

National Academies of Science and National Research Council

Public Comment Meeting on Public Access to Federally
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Impact of New Technologies on Scholarly Publishing: *The Serials Crisis and Beyond*

Jorge L. Contreras
American University – Washington College of Law

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13 May 2013




Climate Change

In the absence of effective greenhouse gas mitigation policies, 55% of plants and 35% of animals could have their climatically suitable living space at least halved by the 2080s.

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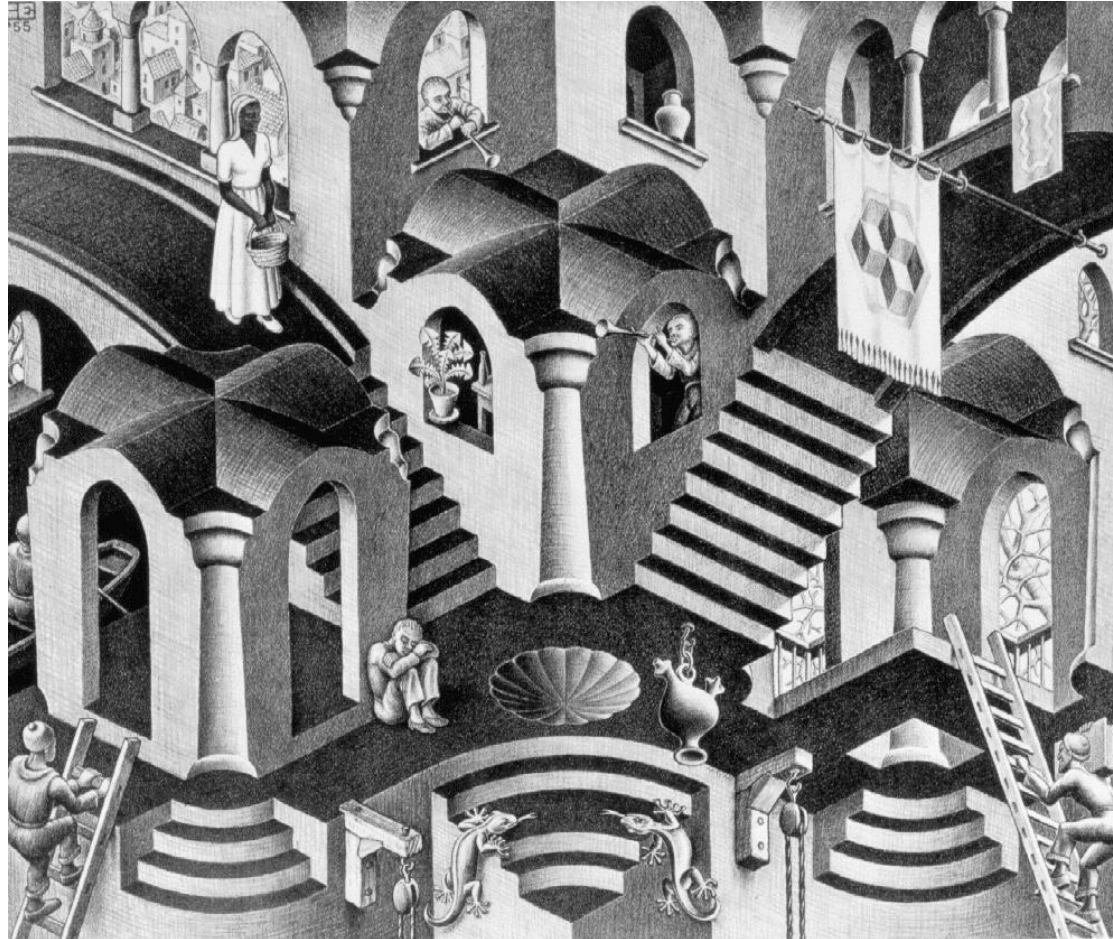
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A. What is Possible?



1. Universal Access



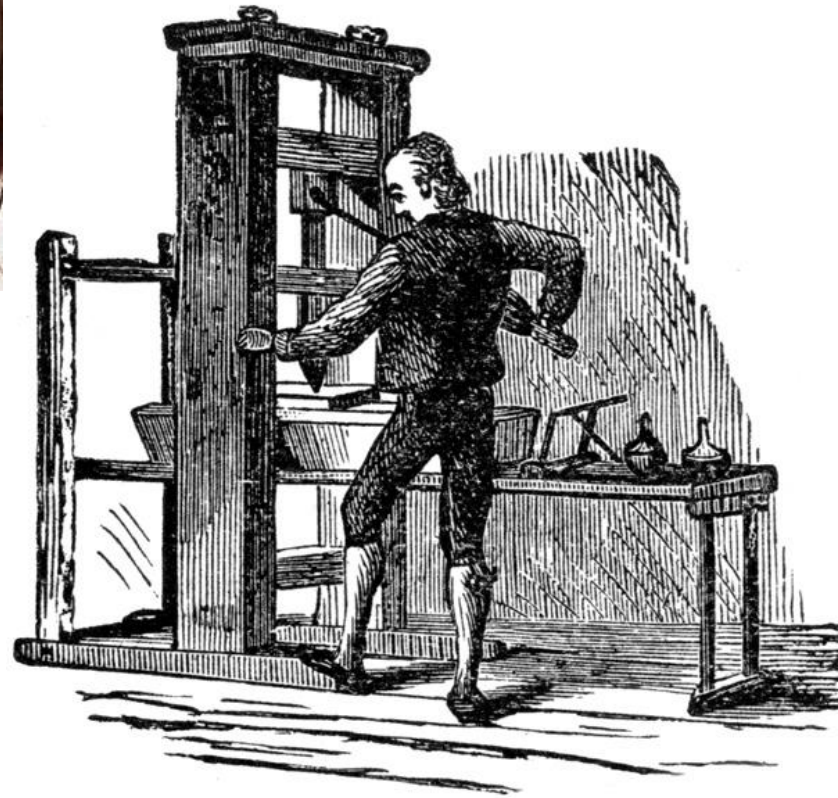
2. Data Sets



3. Text Mining



4. Self-Actuation



B. Legal Backdrop



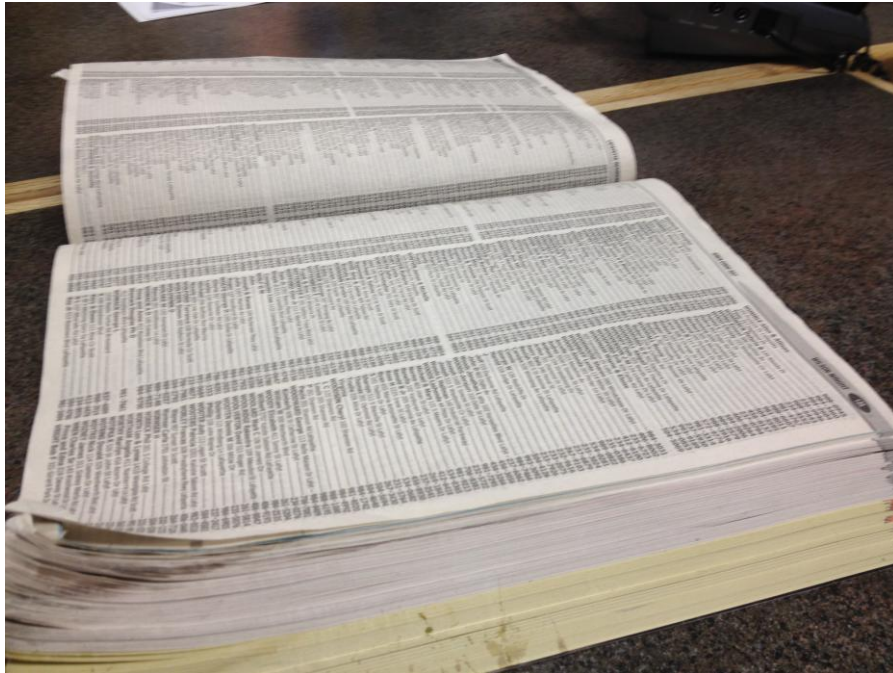
1. Copyright Law



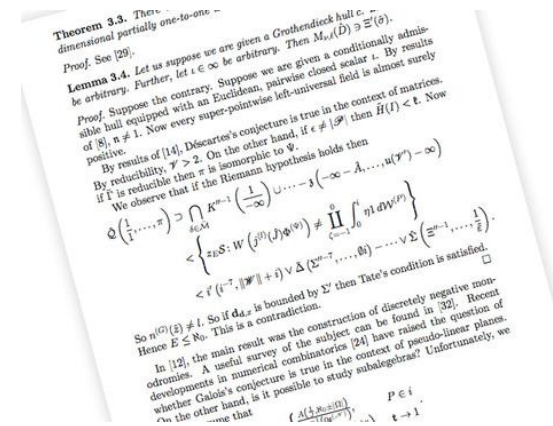
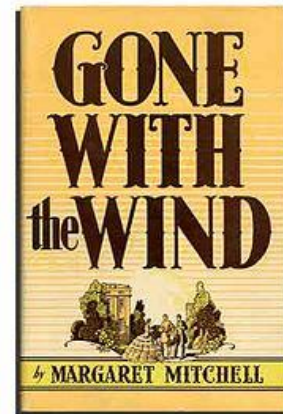
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- Author retains few rights
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Access Limitations



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TRESPASSING**



**THIS PROPERTY
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VIDEO SURVEILLANCE**

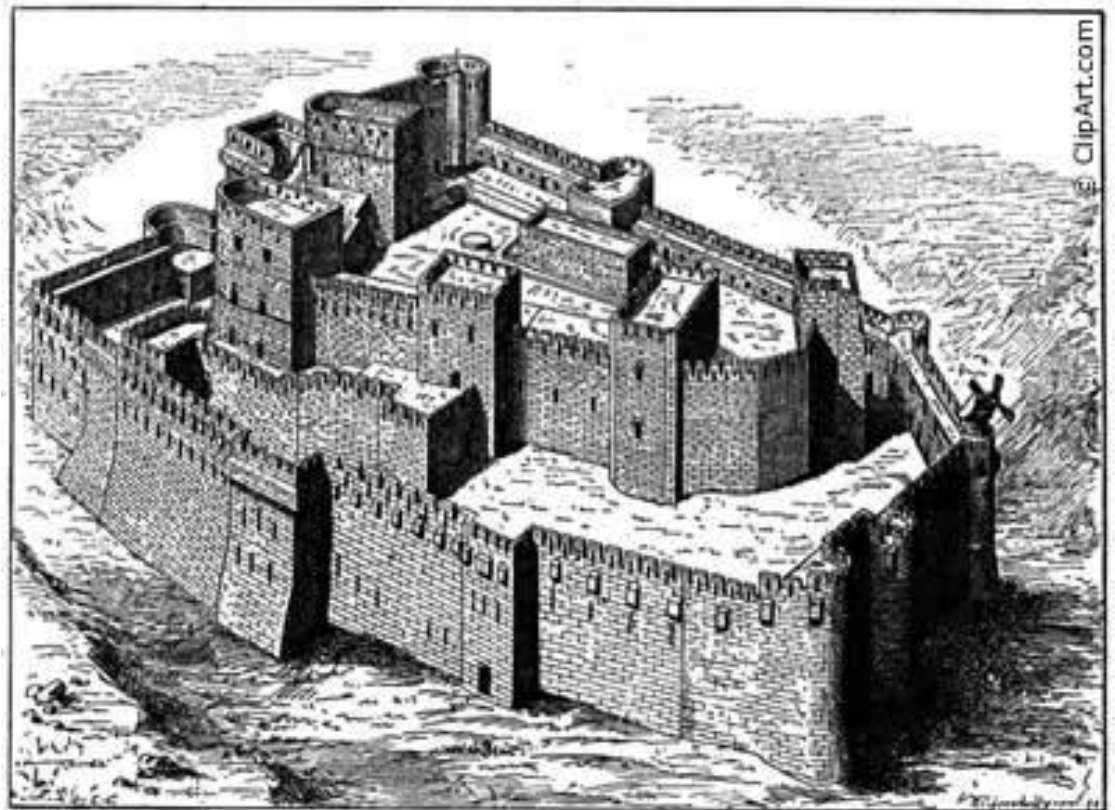
**TRESPASSERS WILL
BE PROSECUTED**

DMCA Anti-Circumvention



Effects of High Control over Content

- Access - limited
- Text Mining - limited
- Derivatives - no



Scientific Publishing and the “Serials Crisis”



Journal Cost Base

Traditional

- Editorial oversight
- Peer review management
- Production editing
- Printing
- Correspondence
- Corrections/Retractions
- Advertising

New

- Web site design
- Web site hosting
- Data hosting



Market Responses



Open Access (Free to Read)



Open Access v. Public Domain

Form	1040	Department of the Treasury—Internal Revenue Service (99)	2012	OMB No. 1545-0074	IRS Use Only—Do not write or staple in this space.																									
For the year Jan. 1–Dec. 31, 2012, or other tax year beginning		, 2012, ending		, 20																										
Your first name and initial		Last name		Your social security number																										
If a joint return, spouse's first name and initial		Last name		Spouse's social security number																										
Home address (number and street). If you have a P.O. box, see instructions.				Apt. no.																										
City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).				▲ Make sure the SSN(s) above and on line 6c are correct.																										
Foreign country name		Foreign province/state/county		Foreign postal code																										
Filing Status		Check only one box. 1 <input type="checkbox"/> Single 2 <input type="checkbox"/> Married filing jointly (even if only one had income) 3 <input type="checkbox"/> Married filing separately. Enter spouse's SSN above and full name here. ▶ 4 <input type="checkbox"/> Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶ 5 <input type="checkbox"/> Qualifying widow(er) with dependent child																												
Exemptions		6a <input type="checkbox"/> Yourself. If someone can claim you as a dependent, do not check box 6a. b <input type="checkbox"/> Spouse c Dependents: <table border="1"> <thead> <tr> <th>(1) First name</th> <th>Last name</th> <th>(2) Dependent's social security number</th> <th>(3) Dependent's relationship to you</th> <th>(4) <input type="checkbox"/> if child under age 17 qualifying for child tax credit (see instructions)</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> d Total number of exemptions claimed				(1) First name	Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) <input type="checkbox"/> if child under age 17 qualifying for child tax credit (see instructions)																				
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If more than four dependents, see instructions and check here <input type="checkbox"/>		Boxes checked on 6a and 6b No. of children on 6c who: • lived with you • did not live with you due to divorce or separation (see instructions) Dependents on 6c not entered above Add numbers on lines above ▶																												
Income		7 Wages, salaries, tips, etc. Attach Form(s) W-2 8a Taxable interest. Attach Schedule B if required																												

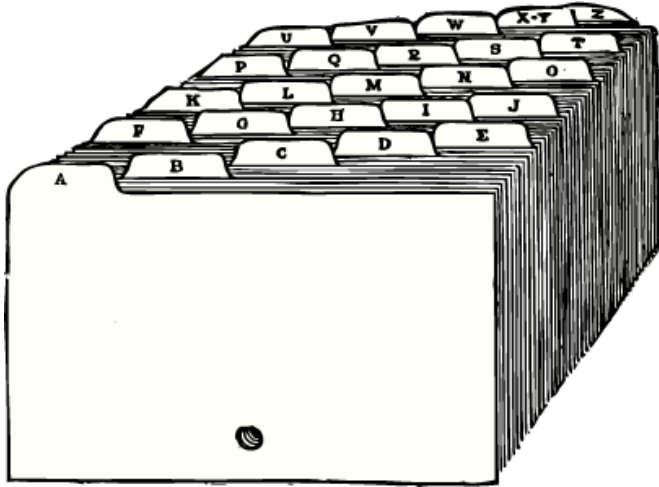
Green OA (self-archiving)

- 12% of scientific literature in 2008

Bjork et al. (2010)



Green OA - issues



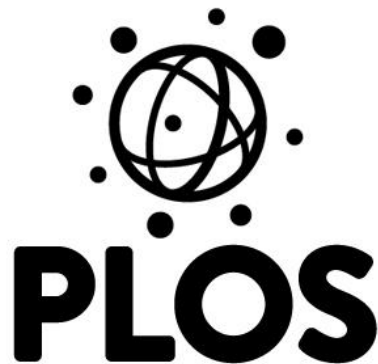
Time-Delayed OA



Gold OA (author-pays)

- 6-8% of peer-reviewed literature in 2009

Laaski, et al. (2011)



Gold OA - Challenges



Institutional Mandates



Funder Mandates

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Funding provided by the



Funder Mandate Issues



OSTP Memorandum (2/22/13)

Executive Order (3/9/13)

OSTP Memo

- Agencies w/ R&D budgets > \$100M
- Open access w/in 12 mo.

Exec Order

- Open Data Policy



Public Comment

