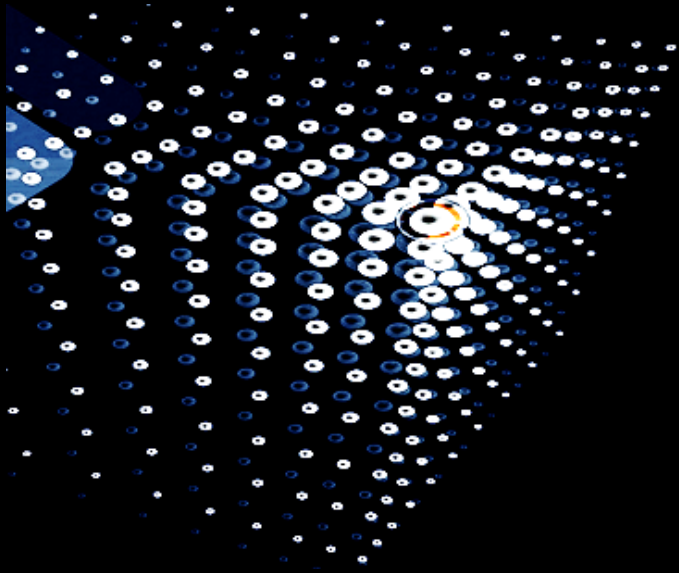


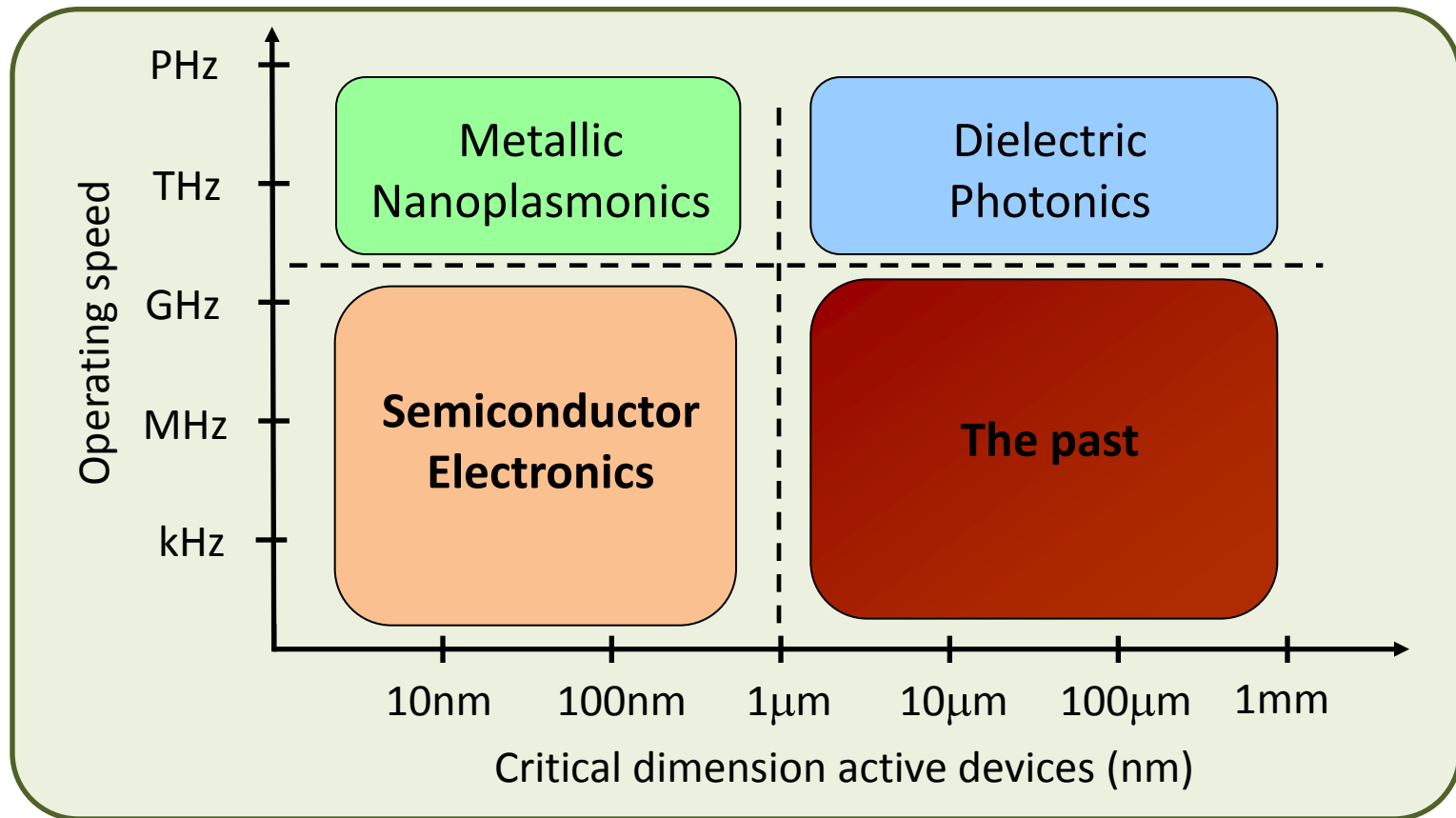


Transforming Light with Metamaterials

Vladimir M. Shalaev

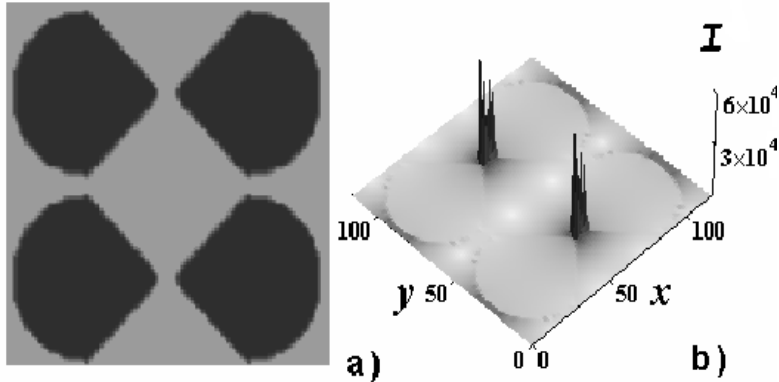
- **Electrical Metamaterials (Plasmonics): A Route to Nanophotonics**
- **Artificial Optical Magnetism in Metamaterials**
- **Optical Negative-index Metamaterials**
- **Active and Loss-Free Metamaterials**
- **Toward Better Materials & Fabrication**
- **Negative-Refraction in Semiconductor-based Metamaterials**
- **Metamaterials for Sensing**
- **Tunable, Ultrafast, and Nonlinear Metamaterials**
- **Quantum Metamaterials**
- **Transformation Optics and Cloaking**

**Electrical Metamaterials
(Plasmonics):
Route to Nanophotonics**



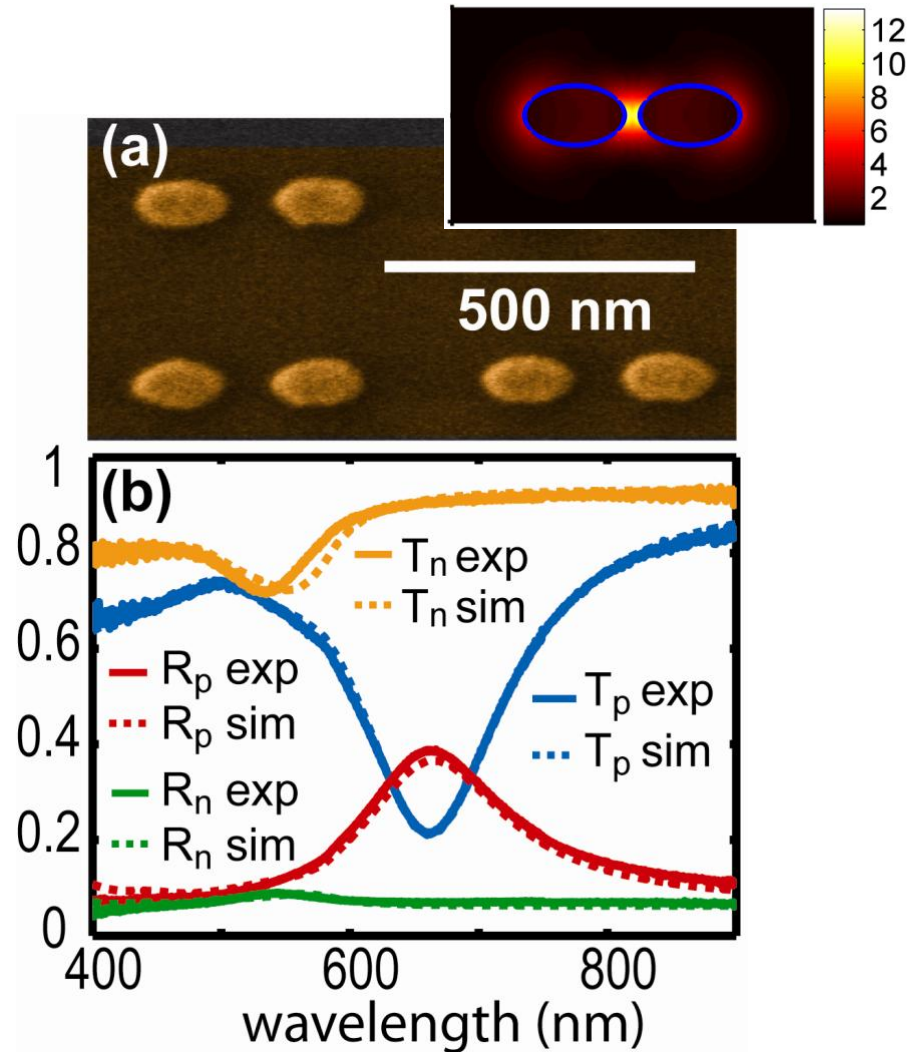
- **Plasmonics will enable an improved synergy between electronic and photonic devices**
 - Plasmonics naturally interfaces with similar size electronic components
 - Plasmonics naturally interfaces with similar operating speed photonic networks

[Bow-tie antennas]

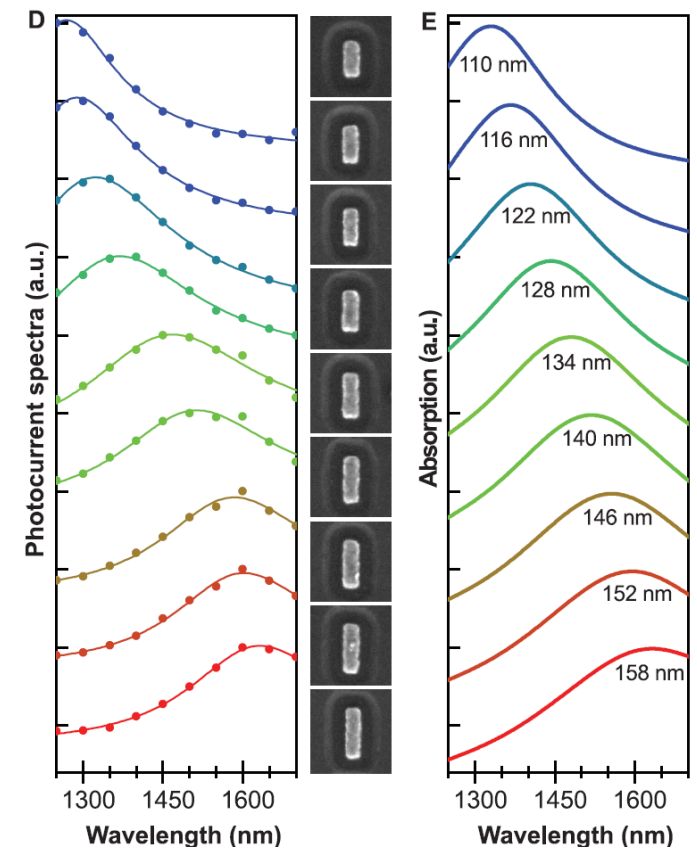
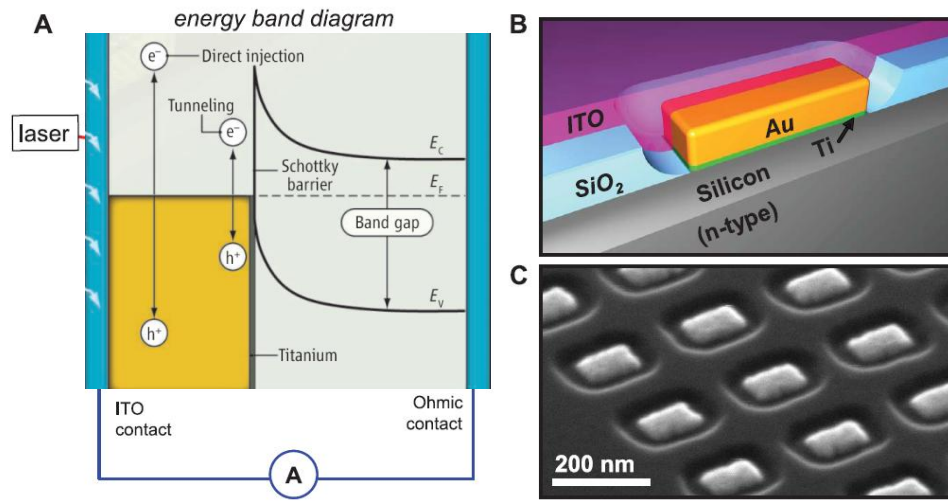


from LC-contour to nanophotonic circuits
(Engheta – ‘metatronics’)

Other Applications:
Sensors

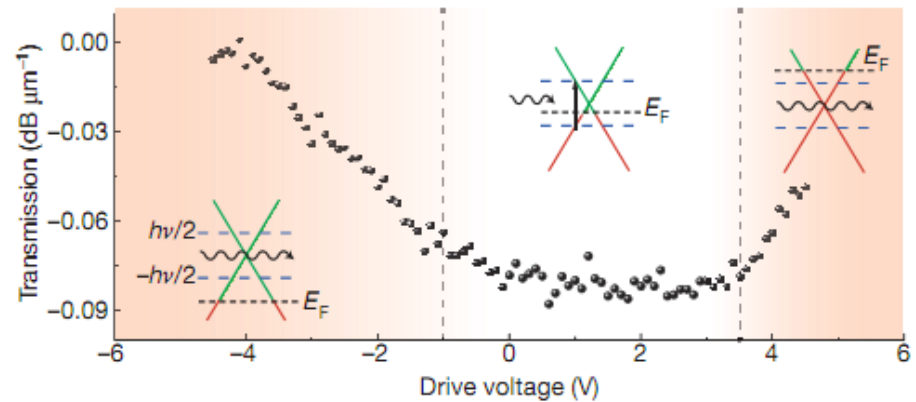
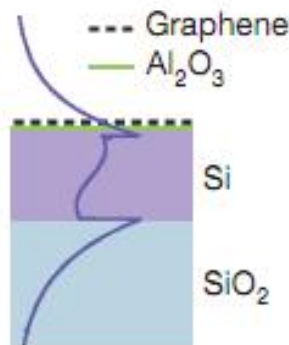
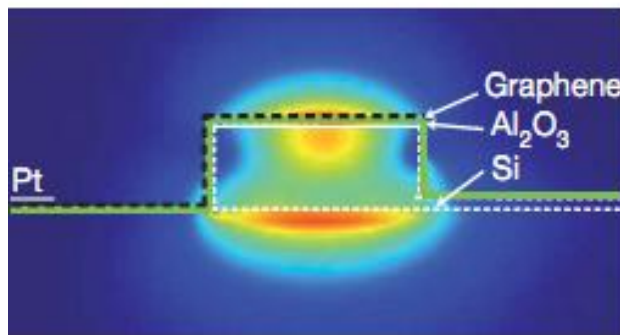
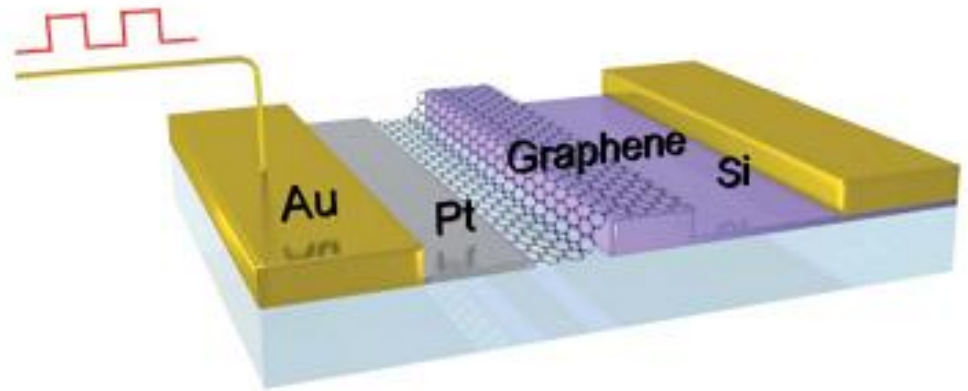


- Idea:** Photons coupled into a metallic nanoantenna excite resonant plasmons, which decay into energetic, “hot” electrons injected over a potential barrier at the nano-antenna semiconductor interface, resulting in a photocurrent
- Features:** Compact, wavelength-resonant, and polarization-specific, spectral response extending to energies well below the semiconductor band edge

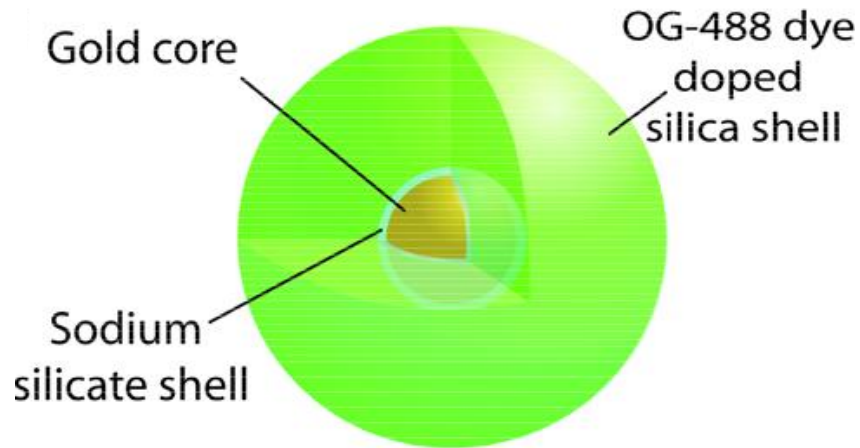


Graphene-Based Optical Modulator

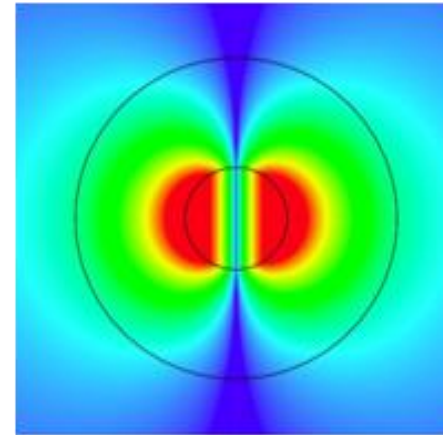
Guided light is electrically modulated in a broad spectral range of 1.35-1.6 μm by controlling the interband transitions in graphene.



Optical Nanolaser Enabled by SPASER



Related prior theory:
Stockman (SPASER)



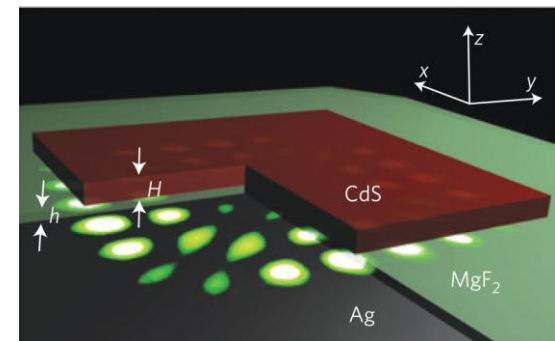
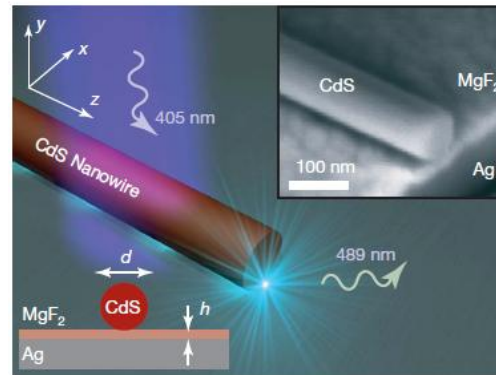
Optical MOSFET
(Stockman)

Noginov, Shalaev, Wiesner groups, Nature (2009)

Zhang group: Plasmon laser
(Nature, 2009)

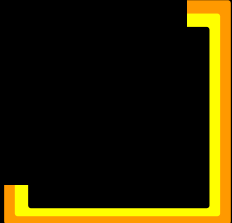
Room-T Plasmon Laser
(Nature Materials, 2010)

Spotlight on Plasmon Lasers
(Perspective, Science, 2011)





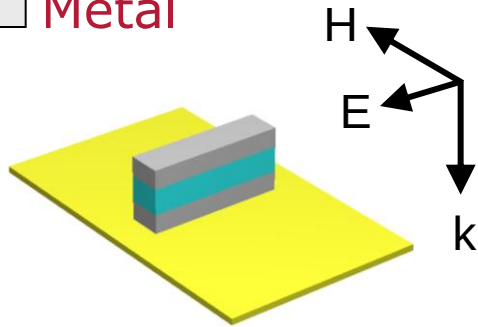
Metamagnetics for optical range



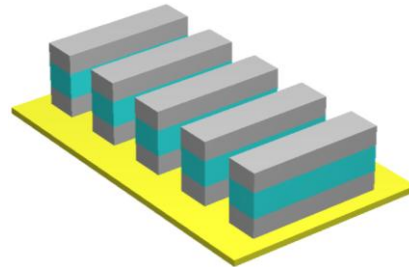
Artificial Magnetic Metamaterials for Visible

■ Dielectric

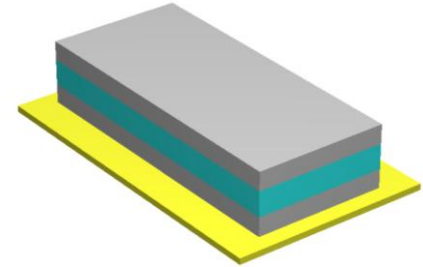
■ Metal



Nanorod pair



Nanorod pair array



Nanostrip pair

Nanostrip pair has a much stronger magnetic response

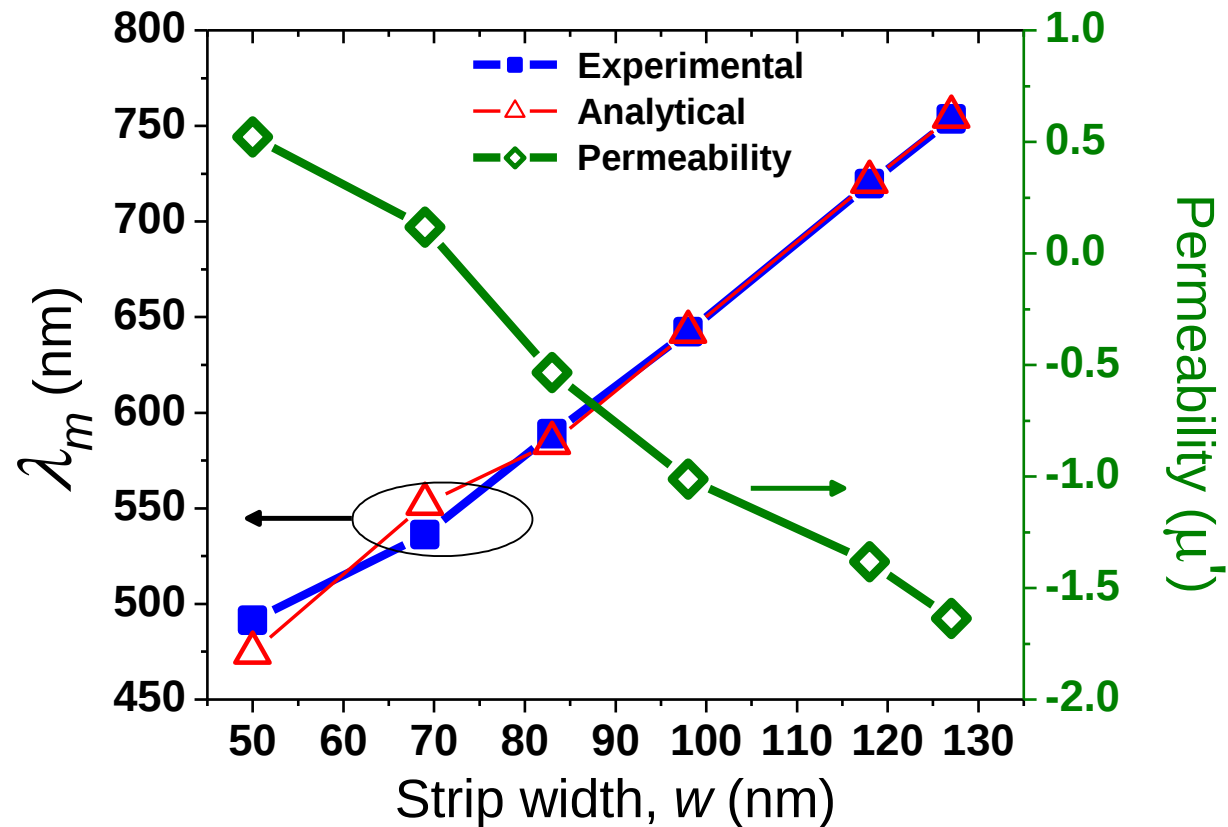
Podolskiy, Sarychev & Shalaev, *JNOPM* (2002) - $\mu < 0$ & $n < 0$

Lagar'kov, Sarychev *PRB* (1996) - $\mu > 0$

Kildishev et al, *JOSA B* (2006); Shvets et al (2006) – strip pairs

Zheludev et al (2001) – pairs of rods for chirality

Visible Meta-Magnetics: from Red to Blue



λ_m as a function of strip width "w": experiment vs. theory

Optical Negative-Index Metamaterials

Negative refractive index: A historical review



Sir Arthur Schuster



Sir Horace Lamb

... energy can be carried forward at the group velocity
but in a direction that is anti-parallel to the phase
velocity...

Schuster, 1904

Negative refraction and backward propagation of
waves

Mandel'stam, 1945



L. I. Mandel'stam



V. G. Veselago

Left-handed materials: the electrodynamics of substances
with simultaneously negative values of ϵ and μ

Veselago, 1968

Pendry, the one who whipped up the recent boom
of NIM researches

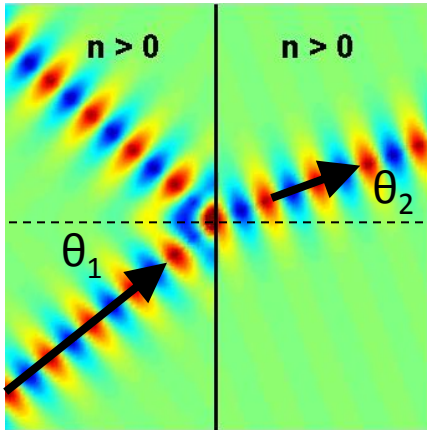
Perfect lens (2000)
EM cloaking (2006)



Sir John Pendry

Others: Sivukhin. Agranovich,...

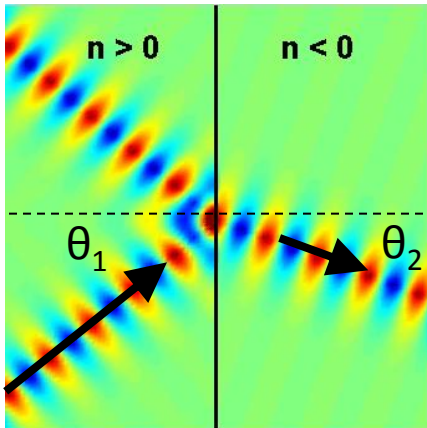
Metamaterials with Negative Refraction



- Refraction: $n^2 = \epsilon\mu$
 $n = \pm\sqrt{\epsilon\mu}$

- Figure of merit: $F = |n'| / n''$

$$n < 0, \text{ if } \epsilon'|\mu| + \mu'|\epsilon| < 0$$



Single-negative:

$n < 0$, when $\epsilon' < 0$ whereas $\mu' > 0$ (F is low)

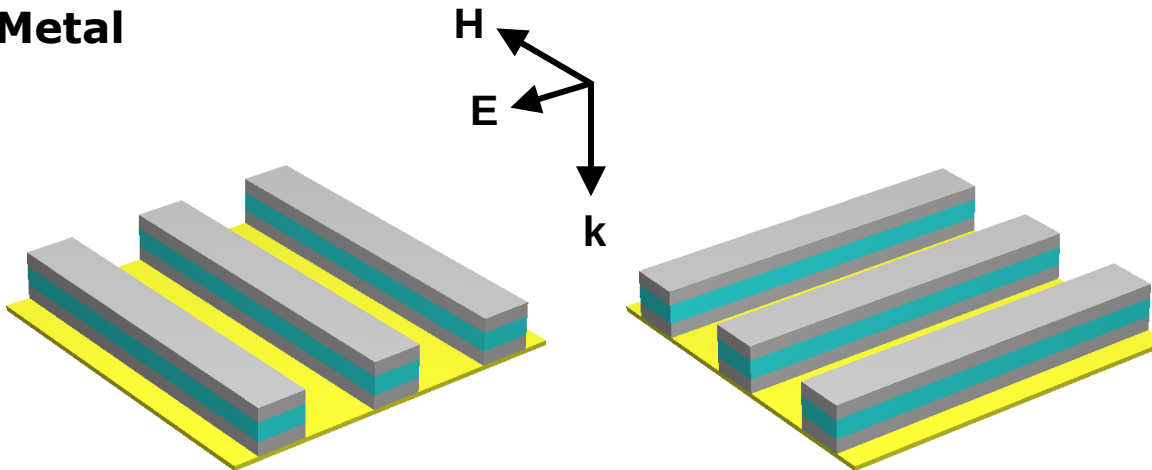
Double-negative:

$n < 0$, with both $\epsilon' < 0$ and $\mu' < 0$ (F can be large)

Negative Permeability and Negative Permittivity

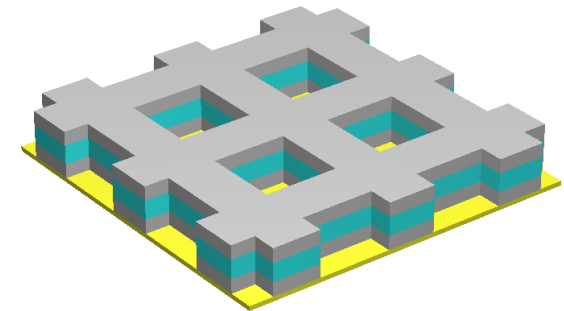
■ Dielectric

■ Metal



Nanostrip pair (TM)
 $\mu < 0$ (resonant)

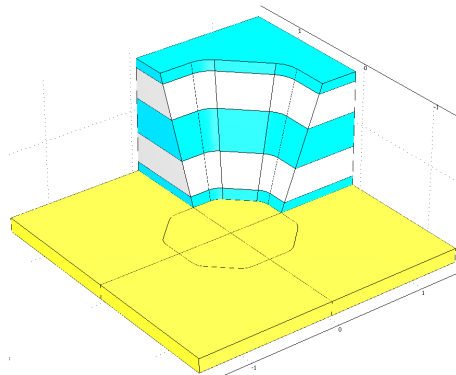
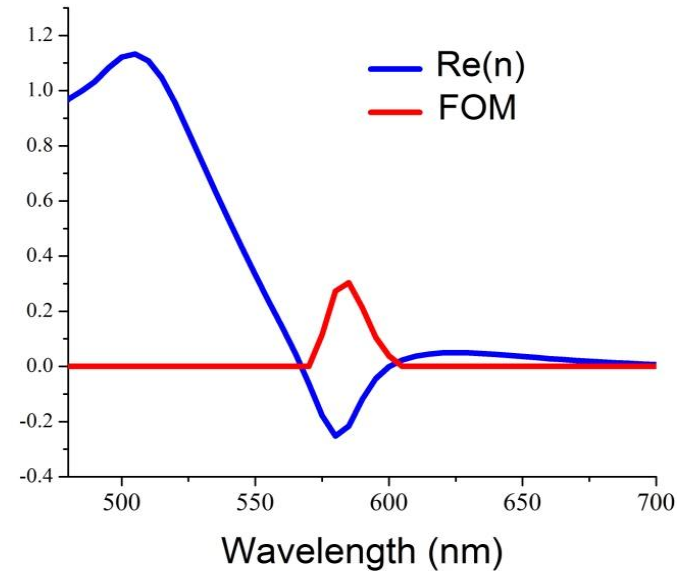
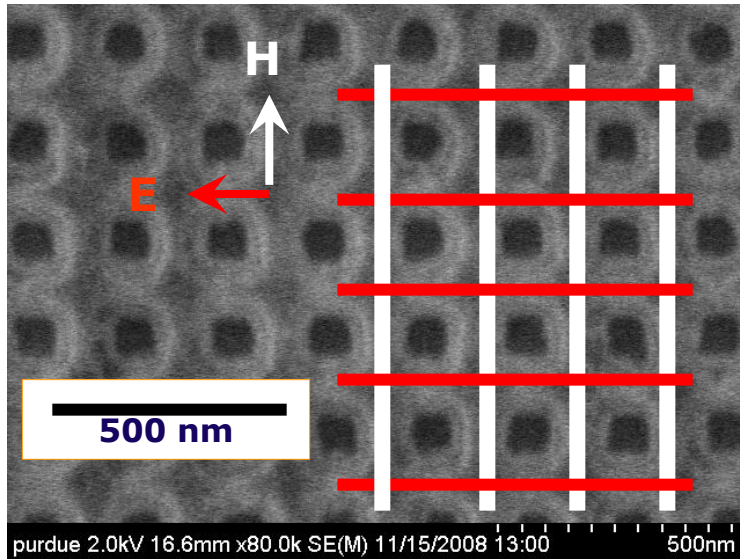
Nanostrip pair (TE)
 $\varepsilon < 0$ (non-resonant)



Fishnet
 ε and $\mu < 0$

Negative Index for Yellow Light ($n' = -0.25$, $FOM = 0.3$, at 580 nm)

Periodicity, E: 220 nm; H: 220 nm



Stacking:

8 nm of Al_2O_3

43 nm of Ag

45 nm of Al_2O_3

43 nm of Ag

8 nm of Al_2O_3

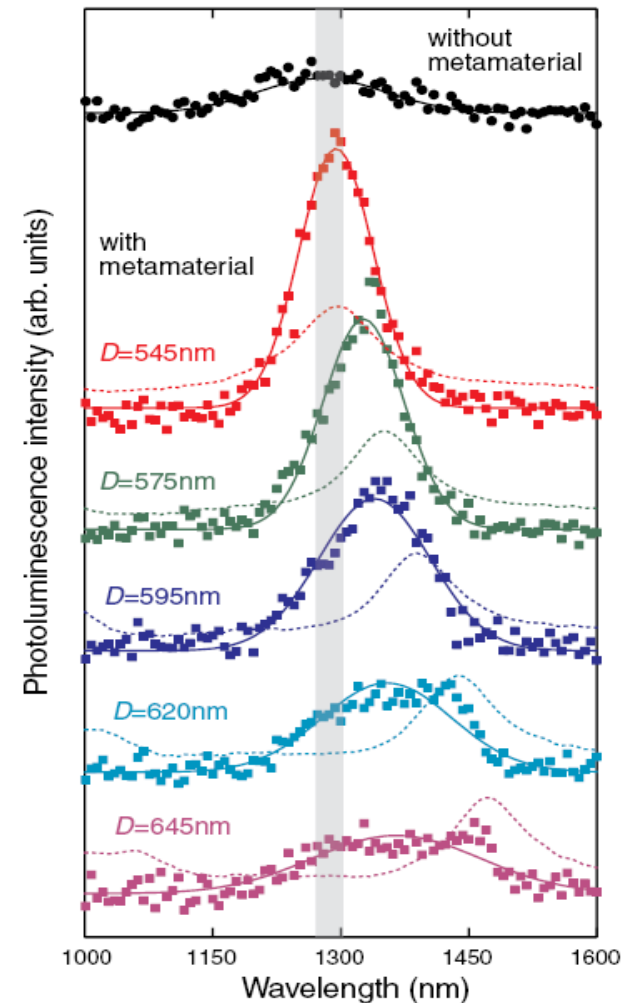
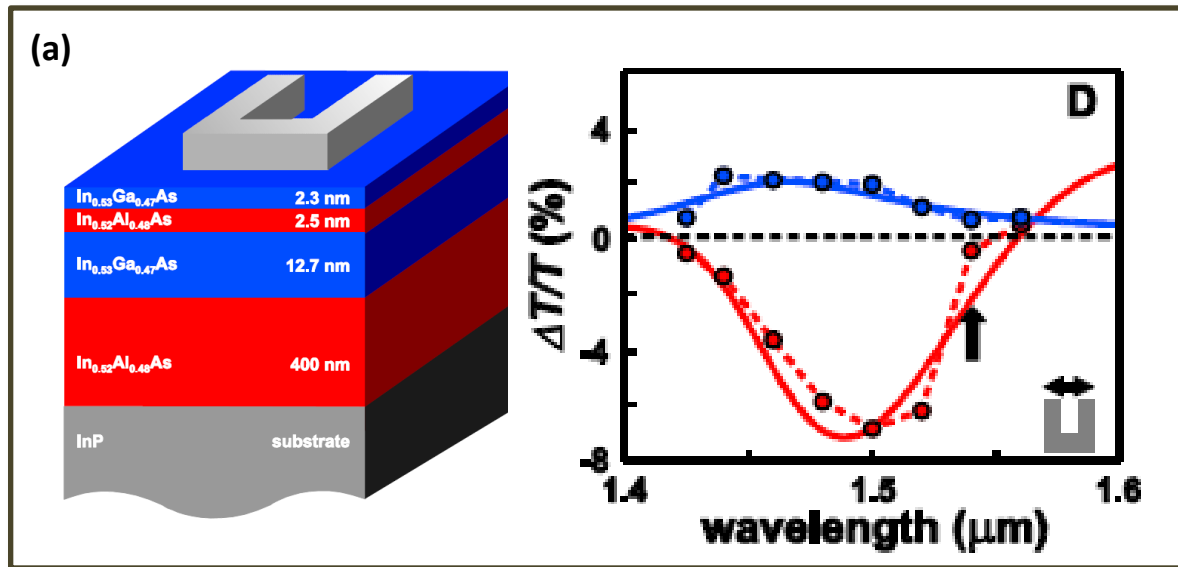
Negative Refractive Index in Optics

<i>Year and Research group</i>	<i>1st time posted and publication</i>	<i>Refractive index, n'</i>	<i>Wavelength, λ</i>	<i>Figure of Merit $F= n' /n''$</i>	<i>Structure used</i>
2005					
<i>Purdue</i>	April 13 (2005) arXiv:physics/0504091 Opt. Lett. (2005)	−0.3	1.5 μm	0.1	Paired nanorods
<i>UNM & Columbia</i>	April 28 (2005) arXiv:physics/0504208 Phys. Rev. Lett. (2005)	−2	2.0 μm	0.5	Nano-fishnet with round voids
2006					
<i>UNM & Columbia</i>	J. of OSA B (2006)	−4	1.8 μm	2.0	Nano-fishnet with round voids
<i>Karlsruhe & ISU</i>	OL. (2006)	−1	1.4 μm	3.0	Nano-fishnet
<i>Karlsruhe & ISU</i>	OL (2006)	−0.6	780 nm	0.5	Nano-fishnet
<i>Purdue</i>	MRS Bulletin (2008)	-0.8 -0.6	725nm 710nm	1.1 0.6	Nano-fishnet
<i>Purdue</i>	OL (2009)	-0.25	580nm	0.3	Nano-fishnet

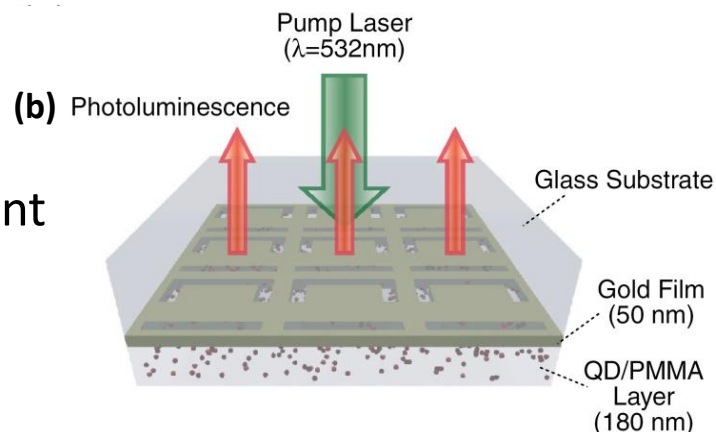
CalTech (Atwater): negative refraction in the visible for MIM waveguide SPPs (2007)

Active Negative-Index Metamaterials

Arrays of Ag split-ring resonators coupled to InGaAs single-quantum-well gain

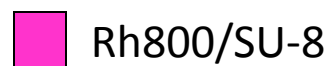
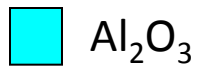
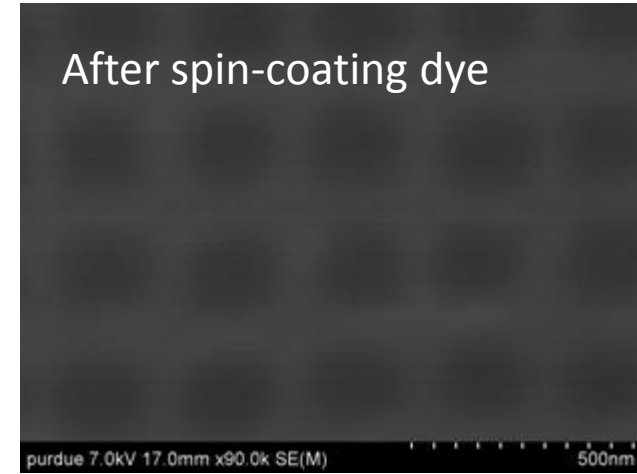
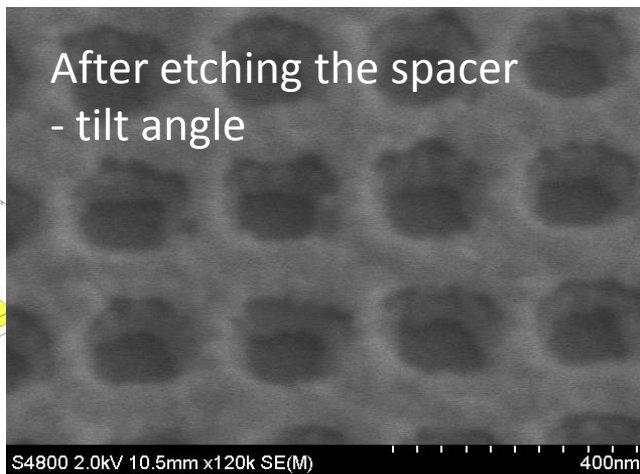
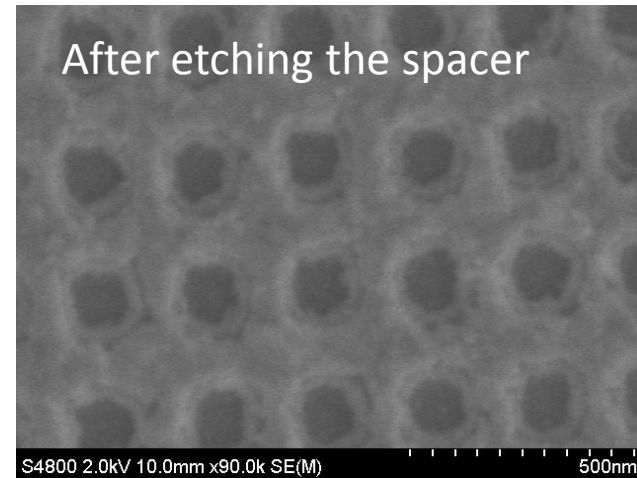
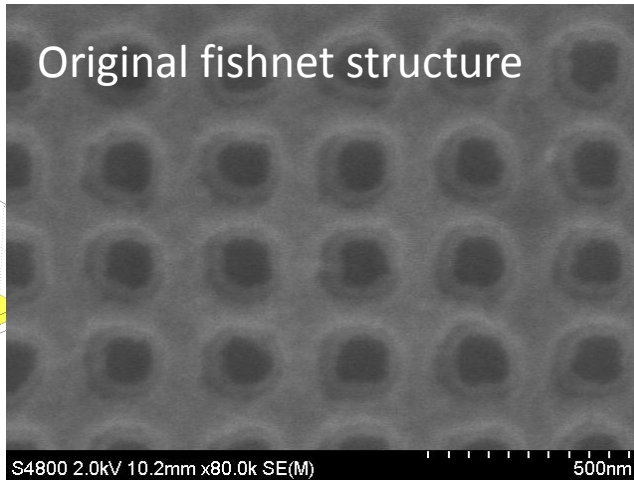


Multifold Enhancement
of Quantum Dot
Luminescence in
Plasmonic
Metamaterials

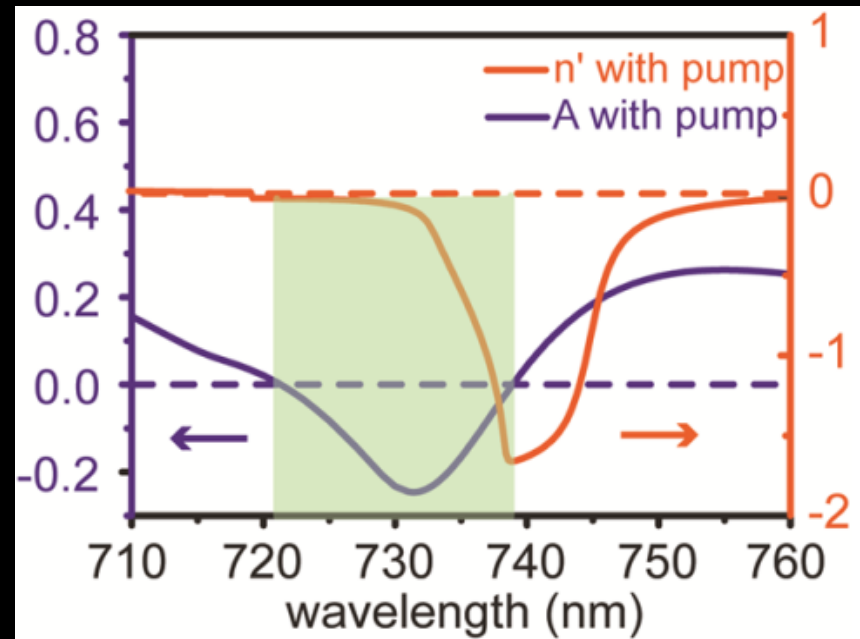
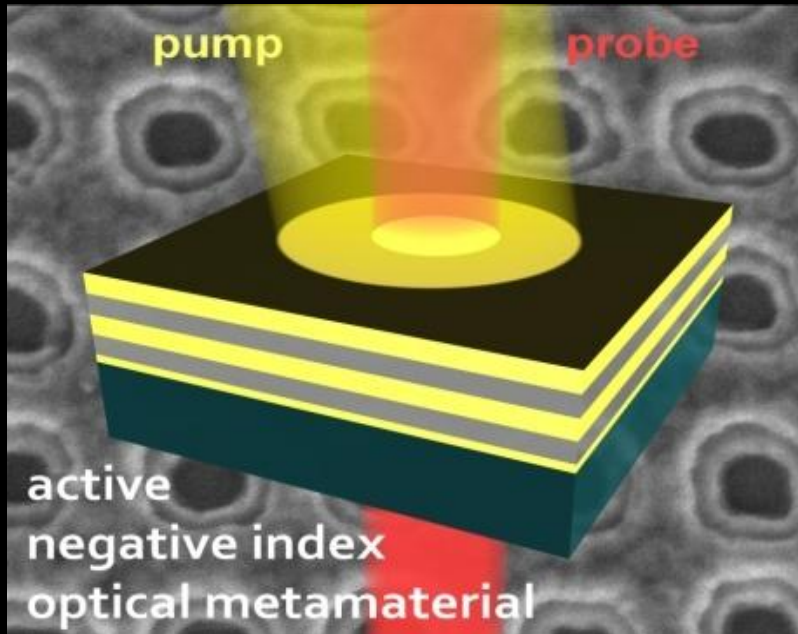


(a) N. Meinzer, et al, OE (2010) (Wegener group)

(b) K. Tanaka, et al, PRL (2010) (Zheludev group)



Optical NIM with Negative Absorptance

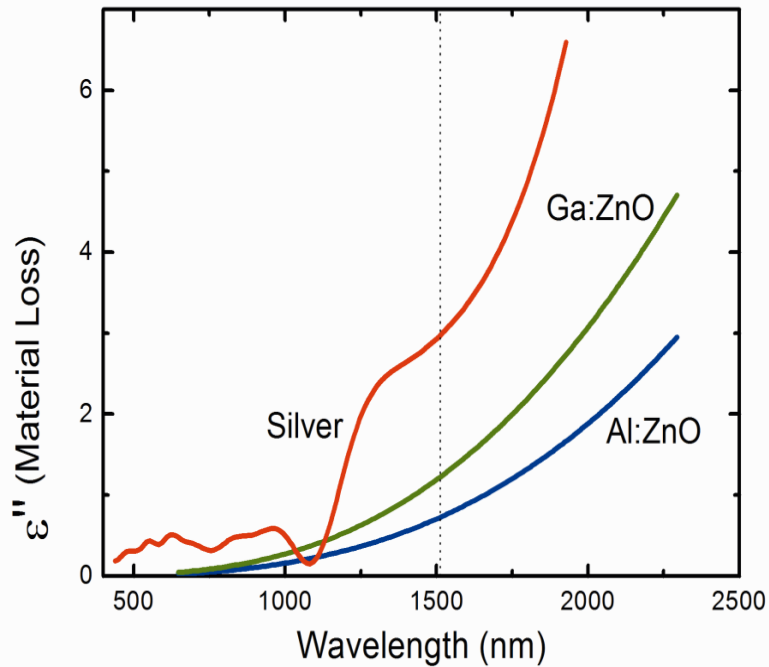


- wavelength range for negative n' : 720 nm - 760 nm
- wavelength for negative absorptance: ~720 nm - 740 nm

Toward Better Materials & Fabrication

(Boltasseva group)

Transparent Conductive Oxides



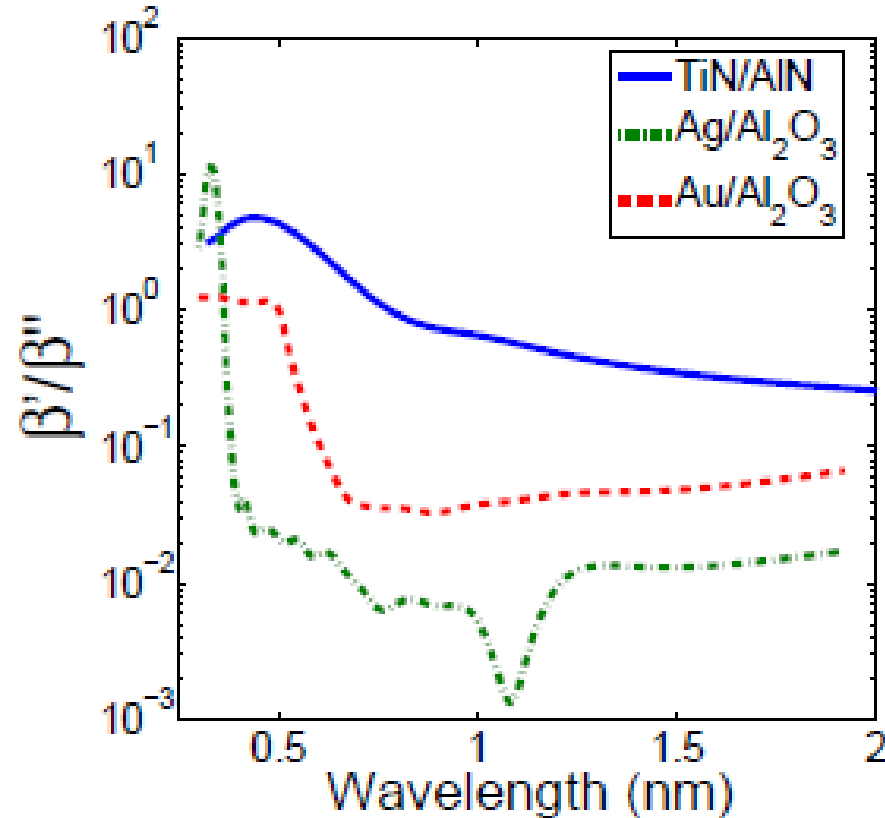
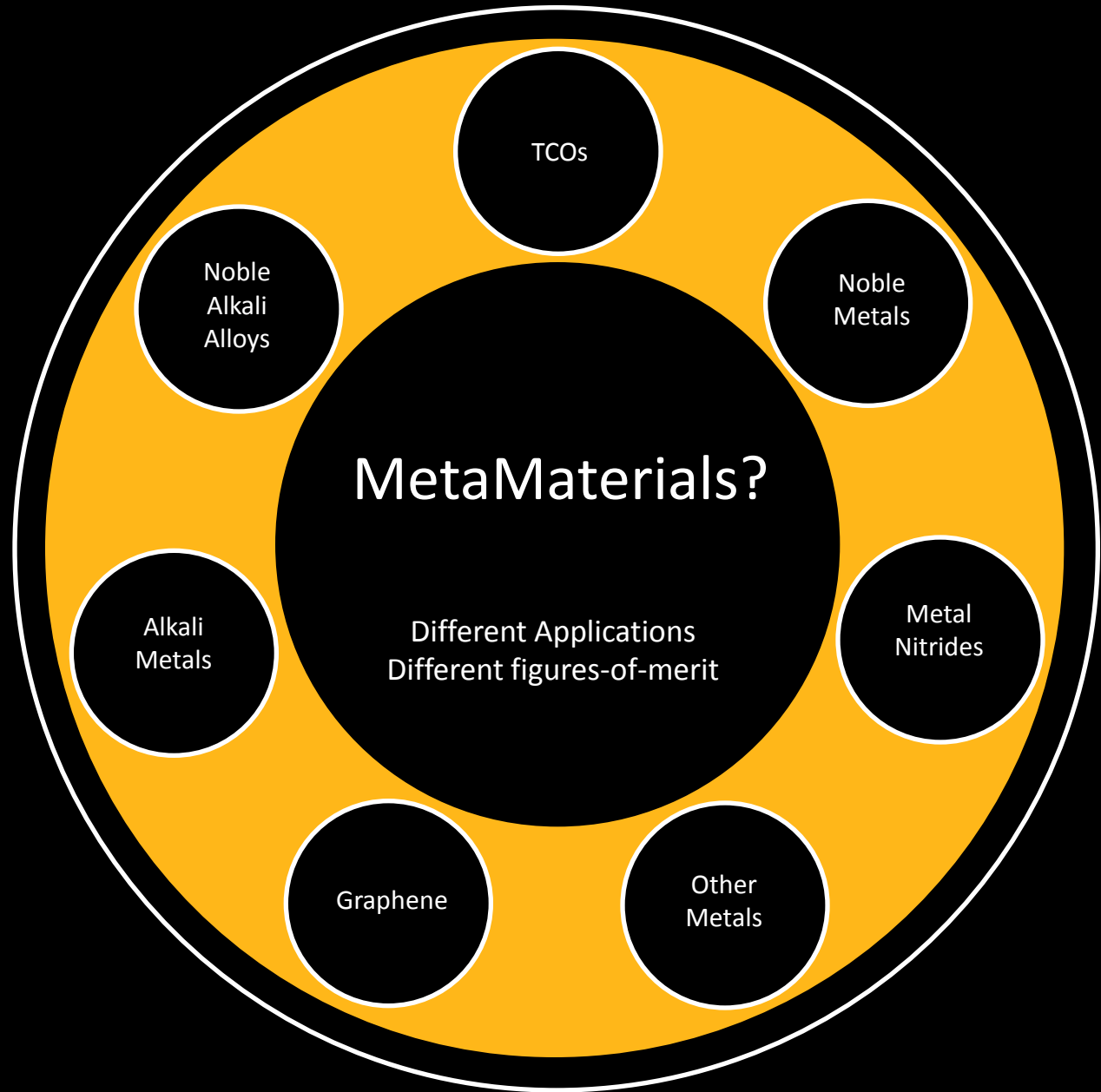


Figure-of-merit of HMMs formed by alternating, sub-wavelength layers of TiN/AlN, Ag/alumina and Au/alumina

What is the Best Material for...



What is the Best Material for...

LSPR:

Au, Ag,
TCOs

SPP Wvgds:

Ag, Au,
Nitrides

NIMs:

Ag, Au, Na

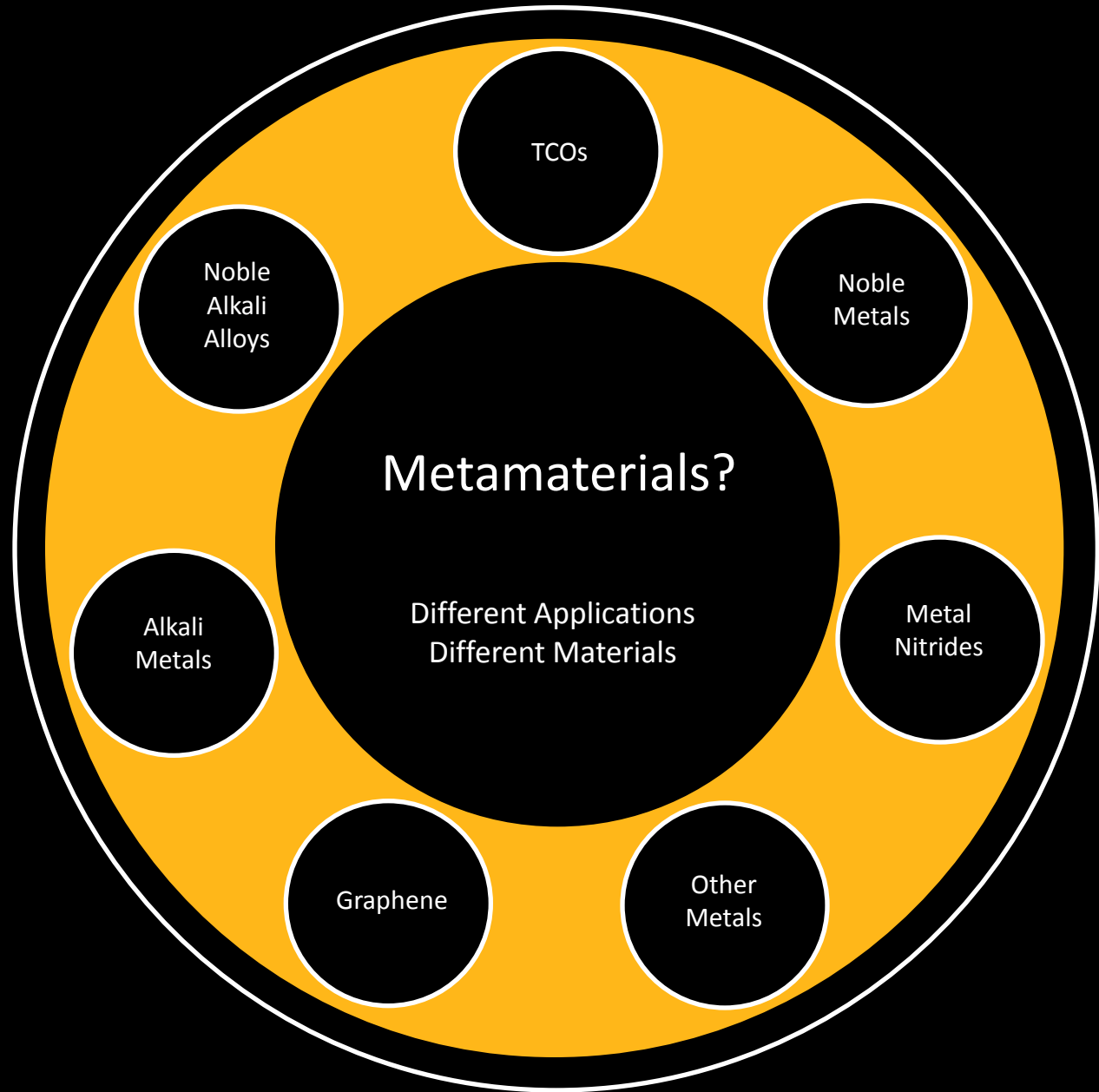
Transform.

Optics:

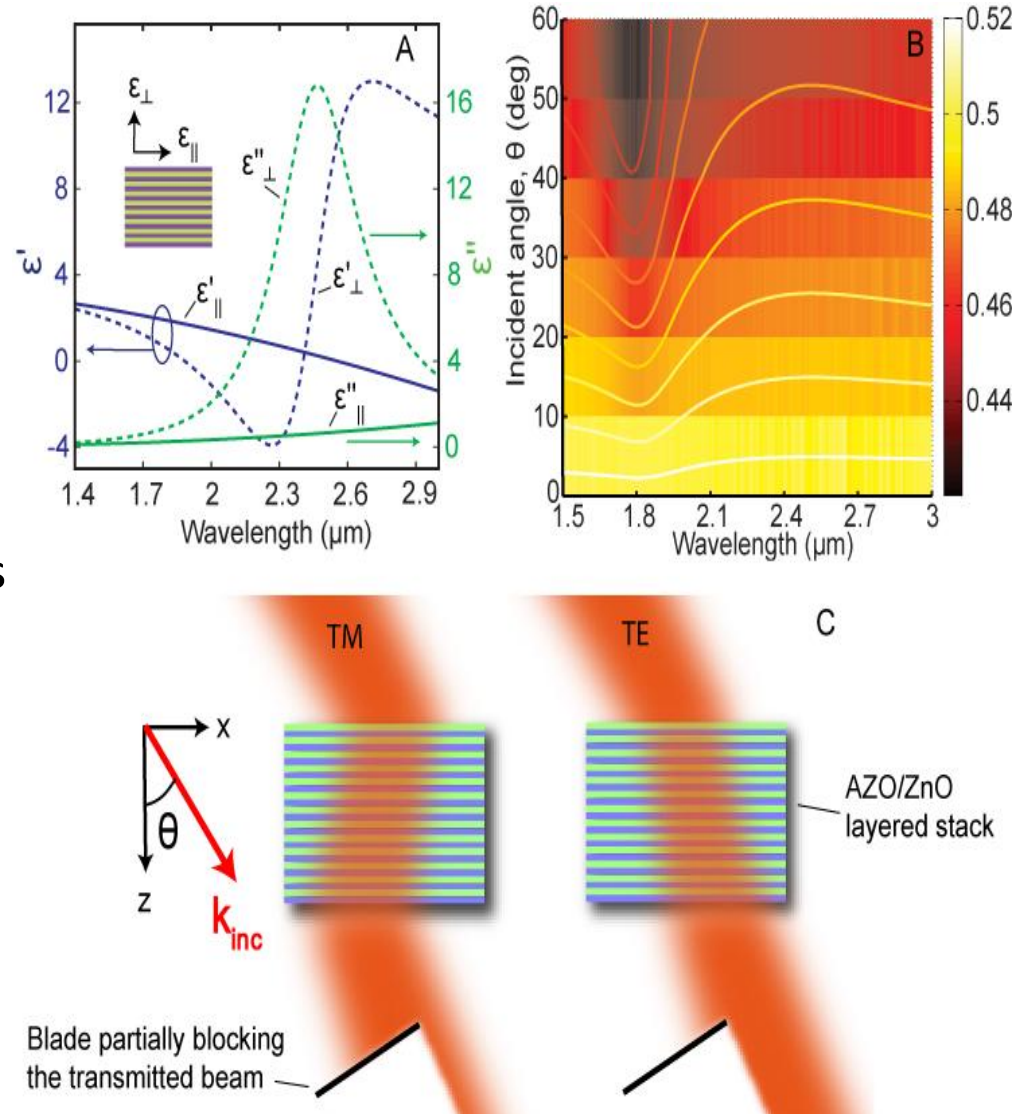
TCOs

ENZ:

TCOs

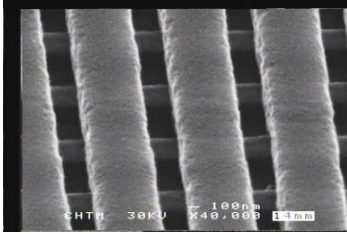


- Semiconductors exhibit metallic properties when heavily doped
- Aluminum doped zinc oxide (AZO) exhibits metallic property in the near-infrared
- Conventional metals replaced by semiconductor-based ones such as AZO can produce high performance metamaterials
- The figure-of-merit of AZO/ZnO metamaterial is 11: three orders higher than metal-based designs

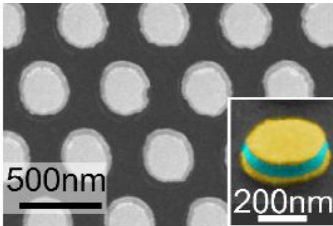


Progress in large-scale & 3D fabrication

[Interference Lithography]

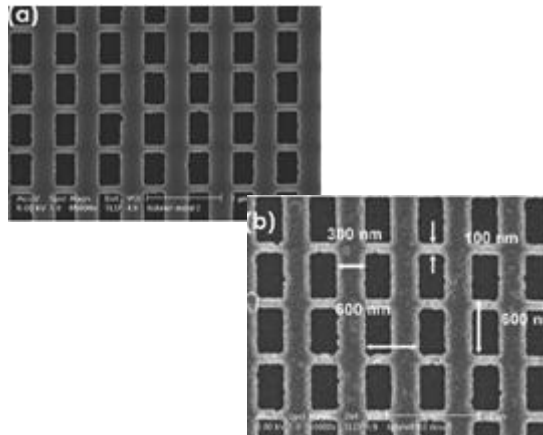


Z. Ku & SRJ Brueck, Opt. Exp. **15**, 4515 (2007)



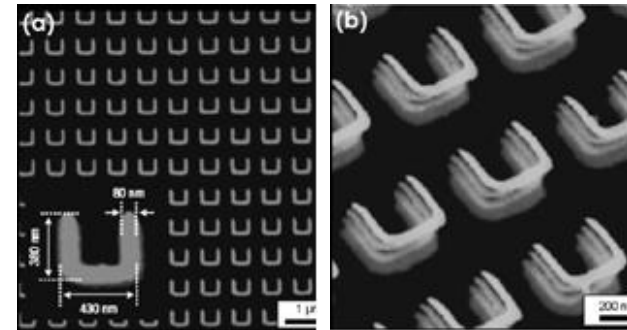
Nils Feth et. al, Opt. Exp. **15**, 501 (2007) (Wegener group)

[Nanoimprint Lithography]



W. Wu et. al, Appl. Phys. A **87**, 143 (2007)

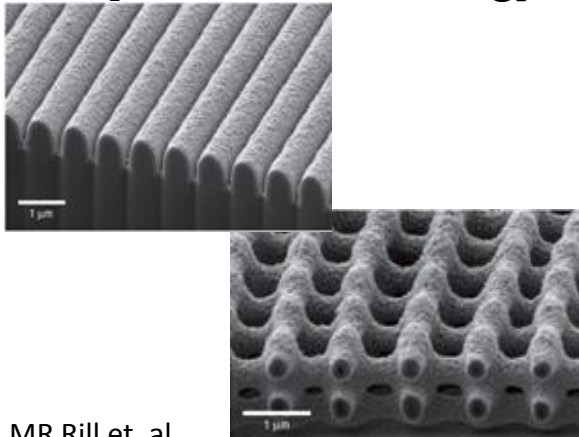
[Building layer-by-layer]



Na Liu et. al, Nat. Mater. **7**, 31 (2007)
(Giessen group)

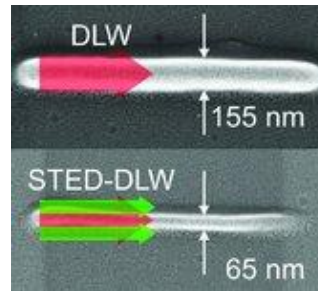
3D

[Direct Laser Writing]



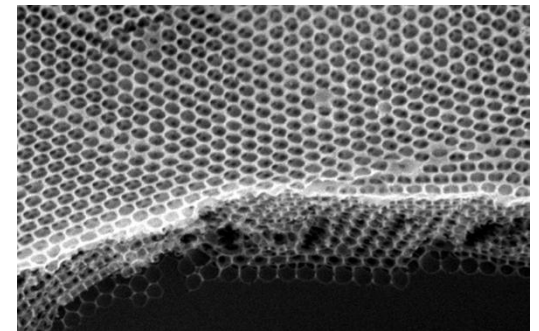
MR Rill et. al,
Nat. Mater. **5**, 743 (2008) (Wegener group)

[STED-Direct Laser Writing]



J. Fischer et. al, Adv. Mater. **22**, 3578 (2010)
(Wegener group)

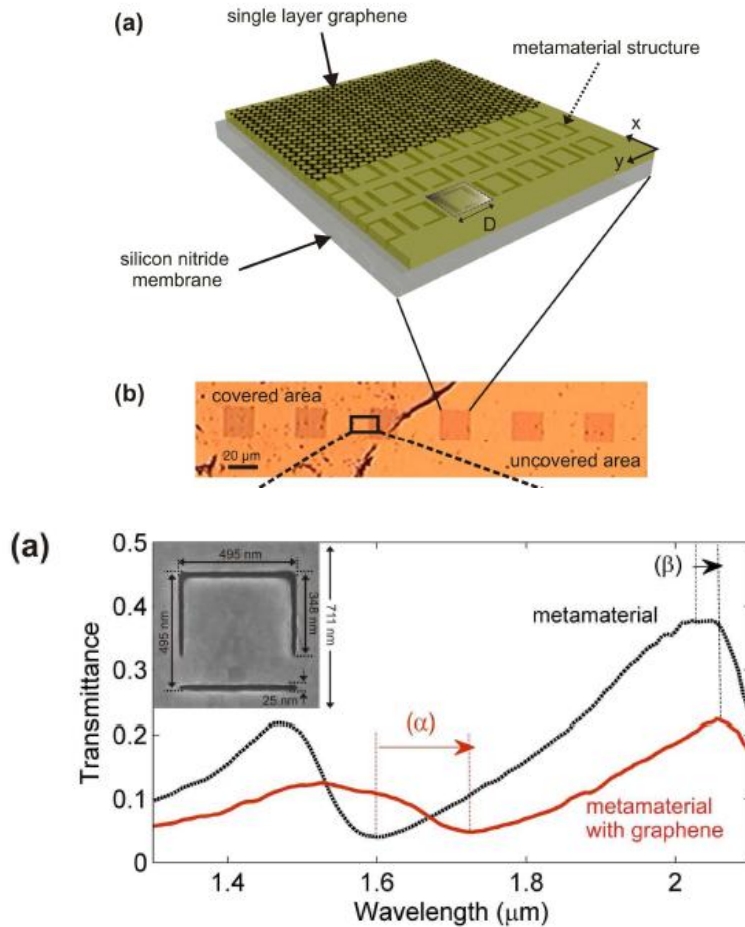
[Self-assembly]



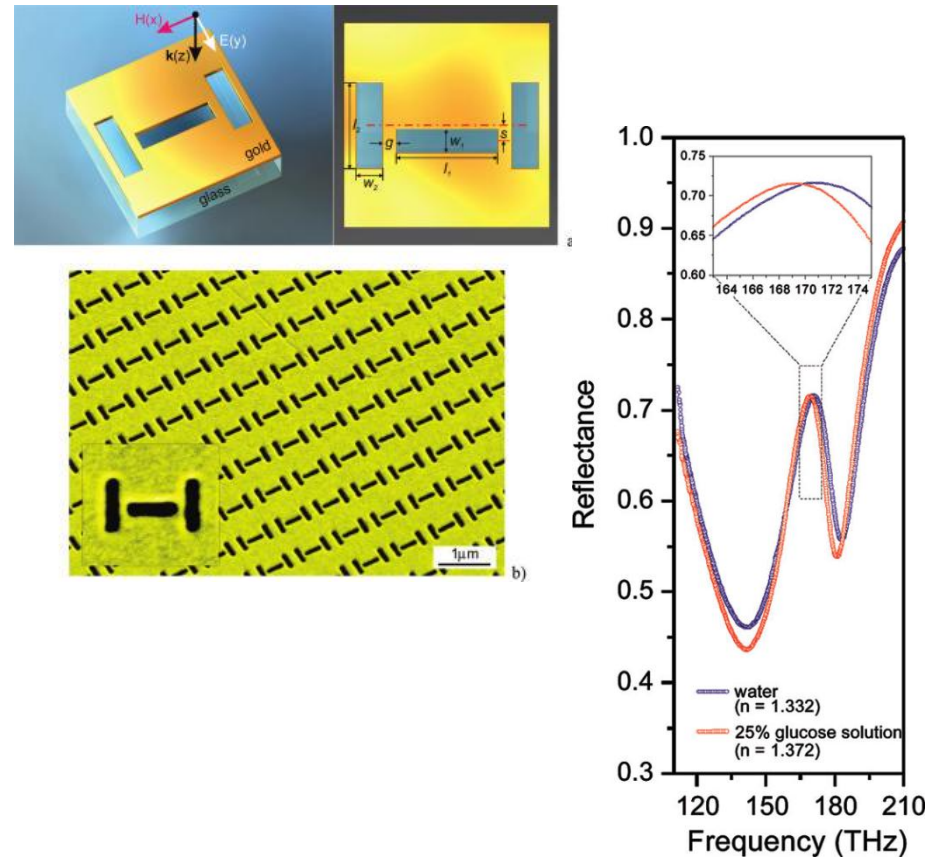
JF Galisteo et. al, J. Opt. A **7**, 244 (2005)

Metamaterials for Sensing

<Graphene+metamaterial for sensing>



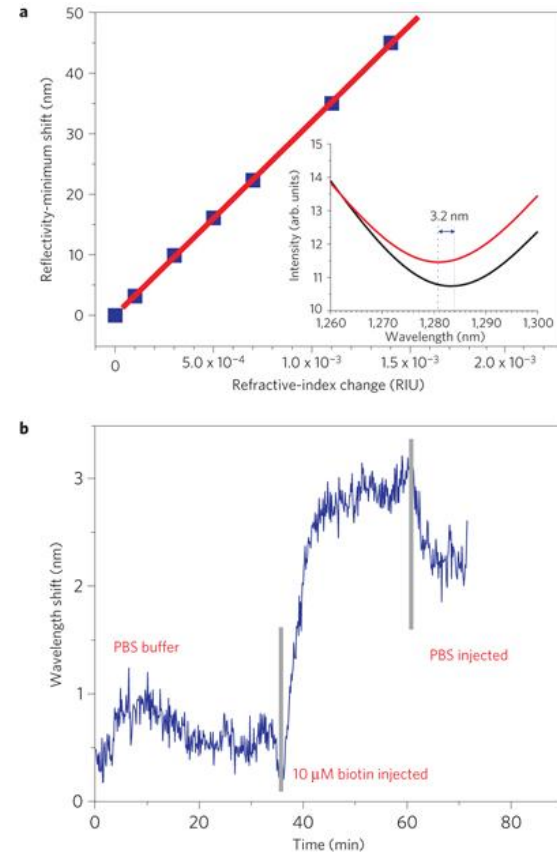
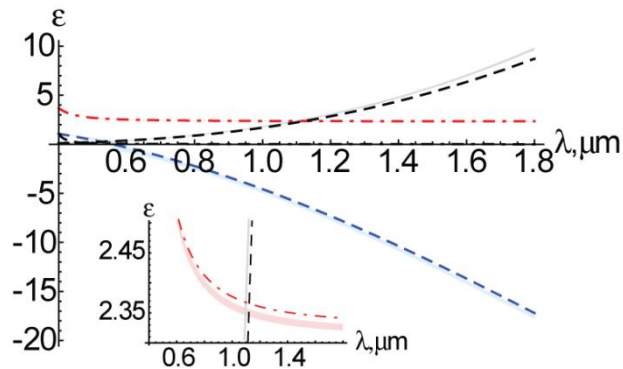
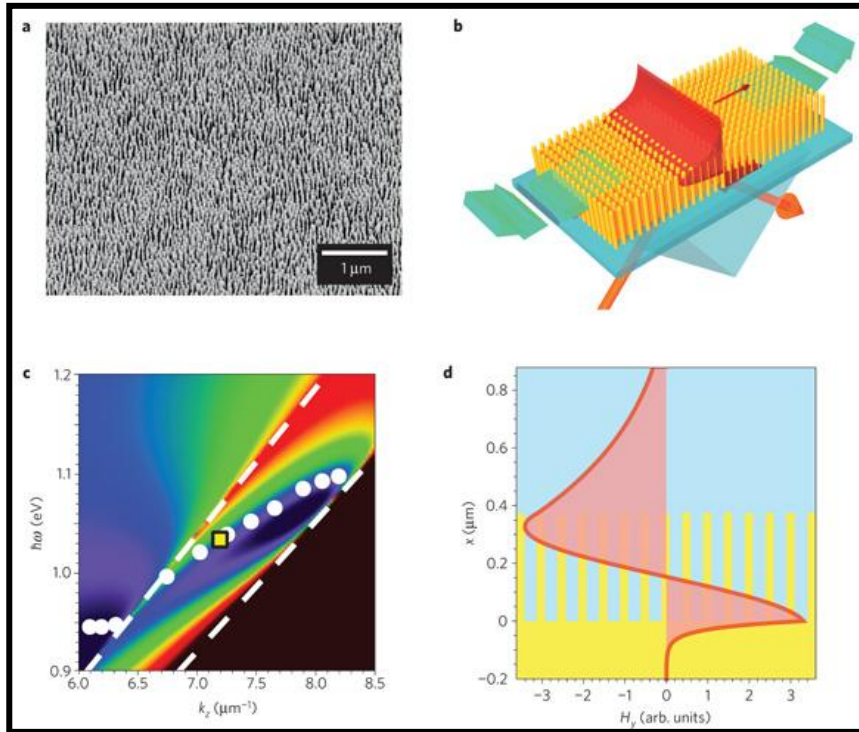
<EIT metamaterial for sensing>



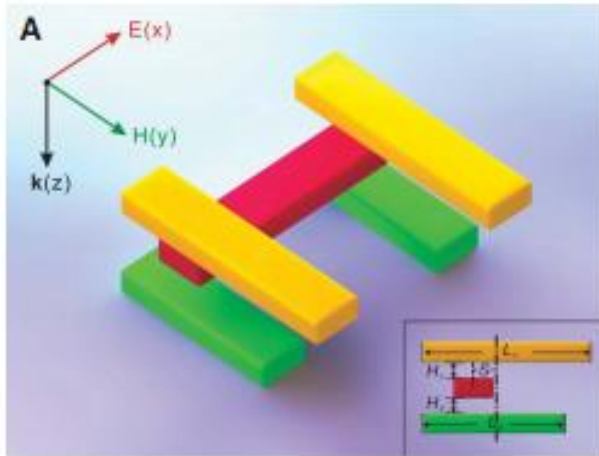
N. Papasimakis, et al, OE (2010) (Zheludev group)

N. Liu, et al, NL (2010) (Giessen group)

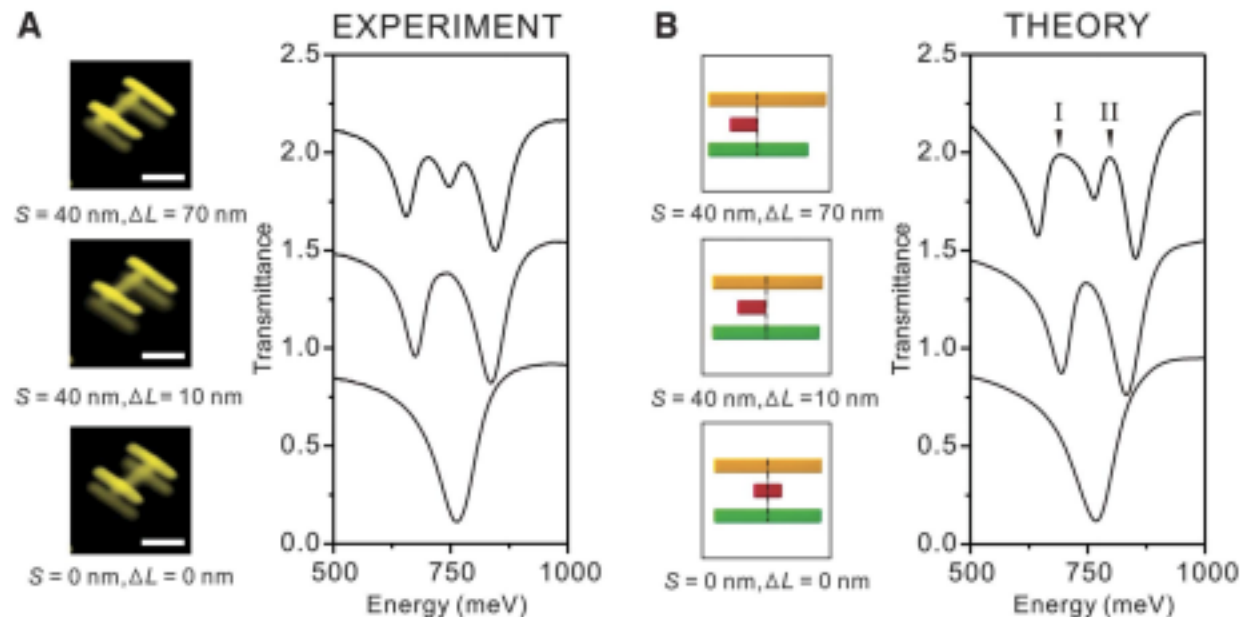
Hyperbolic Metamaterials for Biosensing



More than 100 times sensitive
than other LSP based sensors

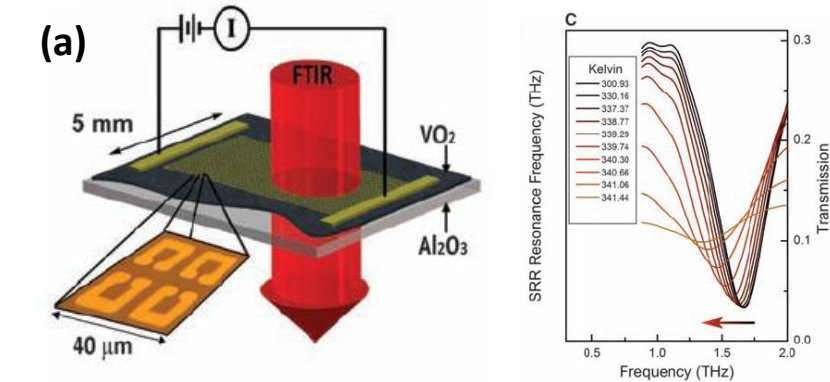


Induce one broad dipolar resonance and two narrow quadrupolar resonances for high precision sensing

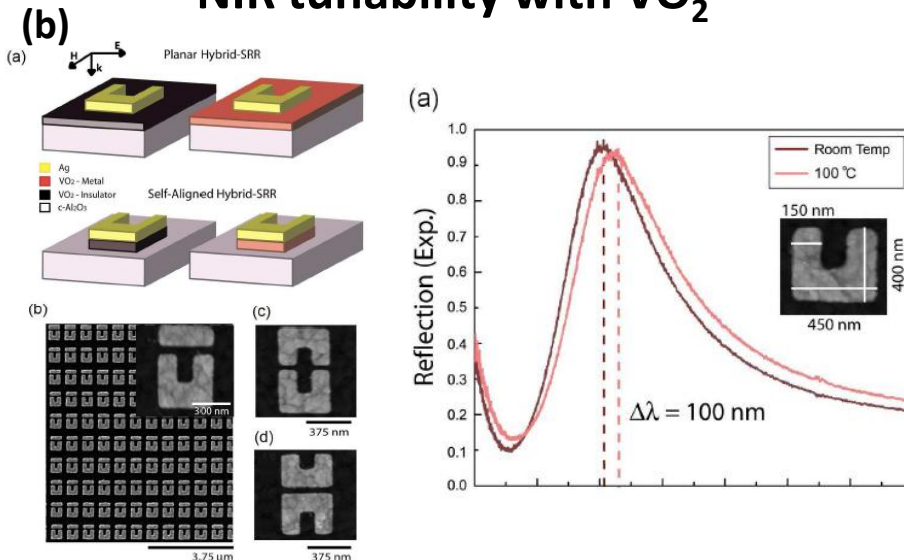


Tunable, Ultrafast & Nonlinear Metamaterials

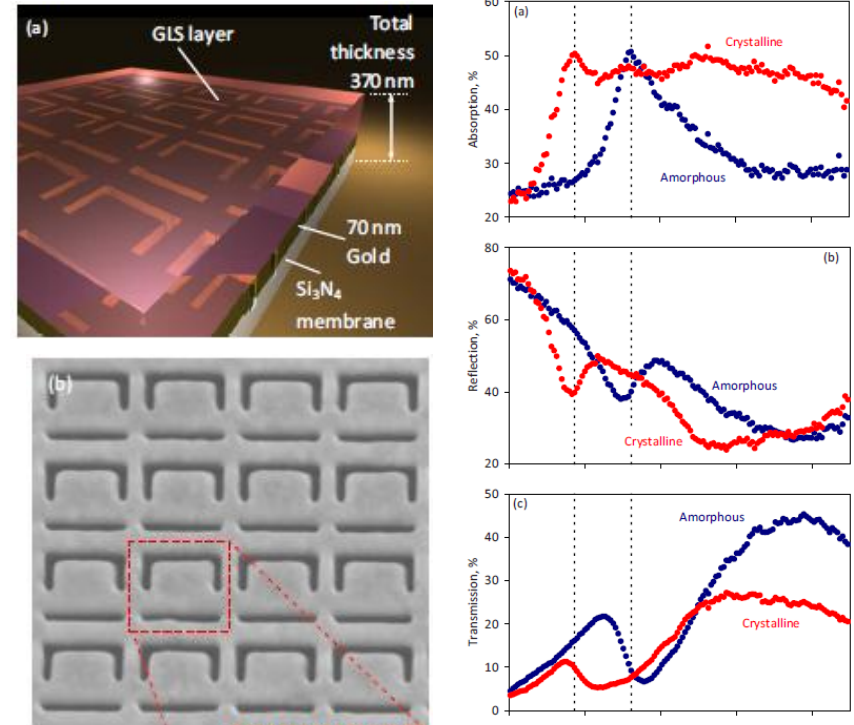
Electrically controllable memory with VO₂



NIR tunability with VO₂



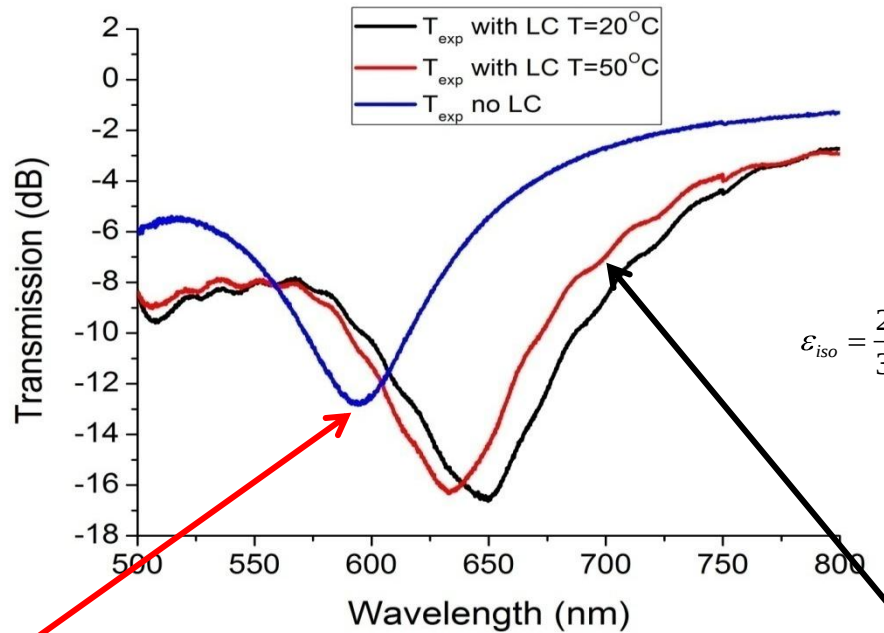
(c) Tunability with ChG



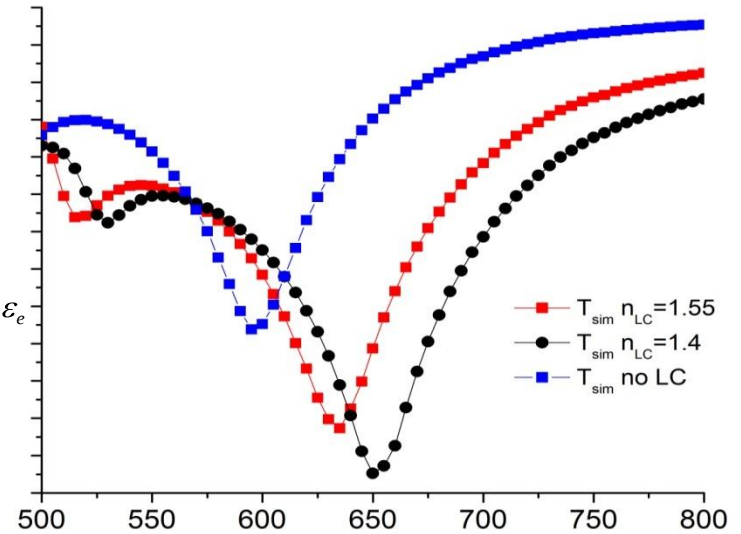
(a) T. Driscoll, et al, Science (2009) (Smith and Basov)

(b) M. Dicken, et al, OE (2009) (Atwater group)

(c) Z. Sámson, et al, APL (2010) (Zheludev group)



$$\varepsilon_{\text{iso}} = \frac{2}{3} \varepsilon_o + \frac{1}{2} \varepsilon_e$$



(Isotropic)

$$n_{\text{iso}}^2 = \frac{2}{3} n_o^2 + \frac{1}{2} n_e^2$$

Phase transition

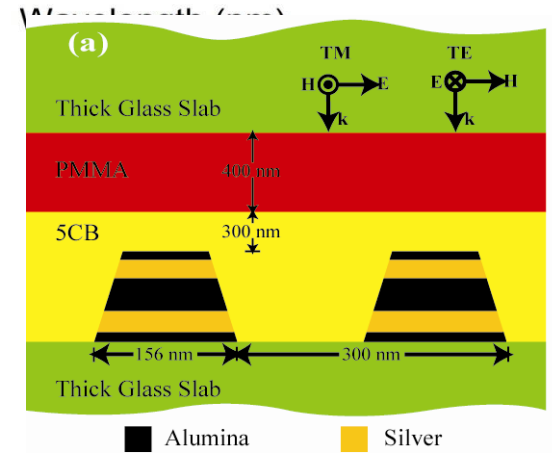
$$\Delta n = 0.17$$

$$T > T_e$$



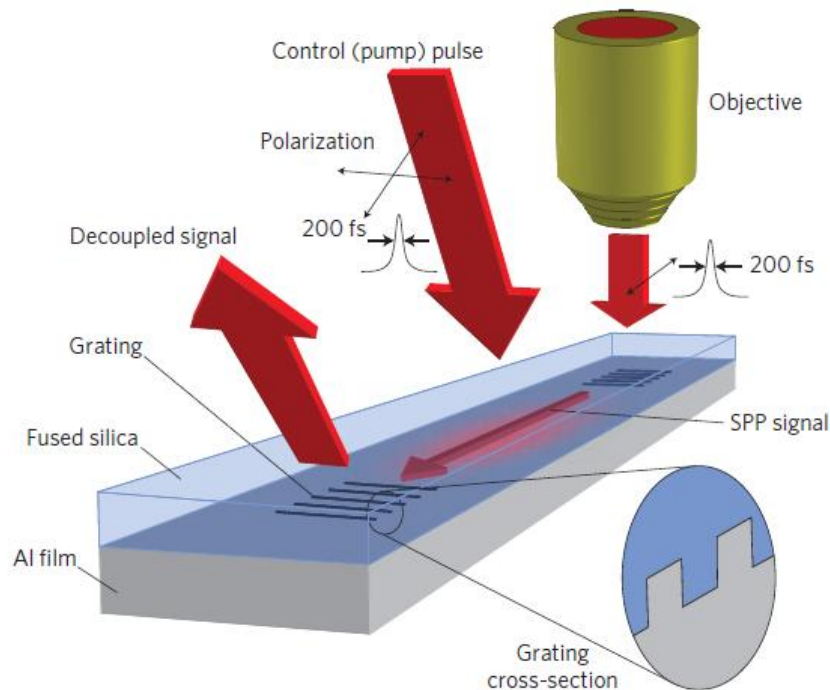
(Nematic)

$$n_{\text{eff}} = n_e$$

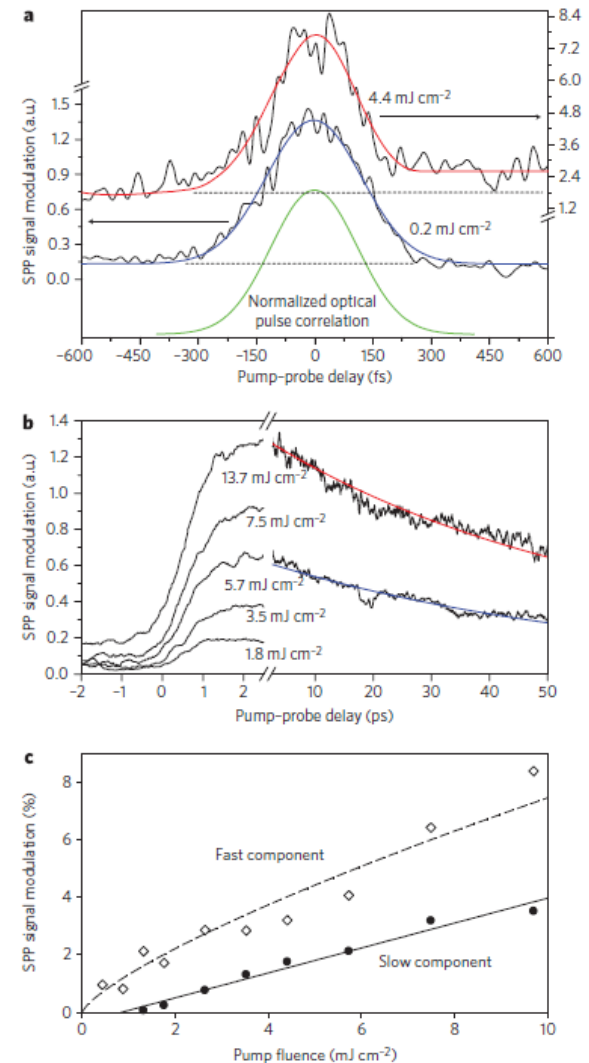


S. Xiao, et al, APL (2009)

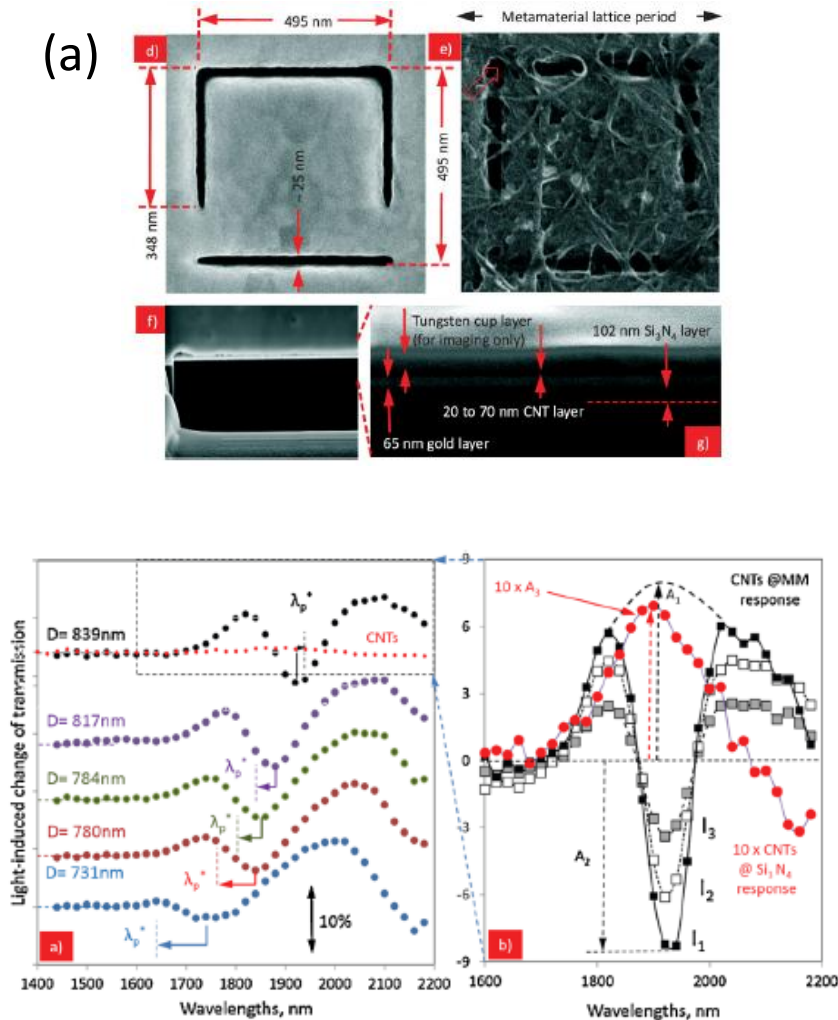
also work by Zheludev group: phase-changing dielectrics



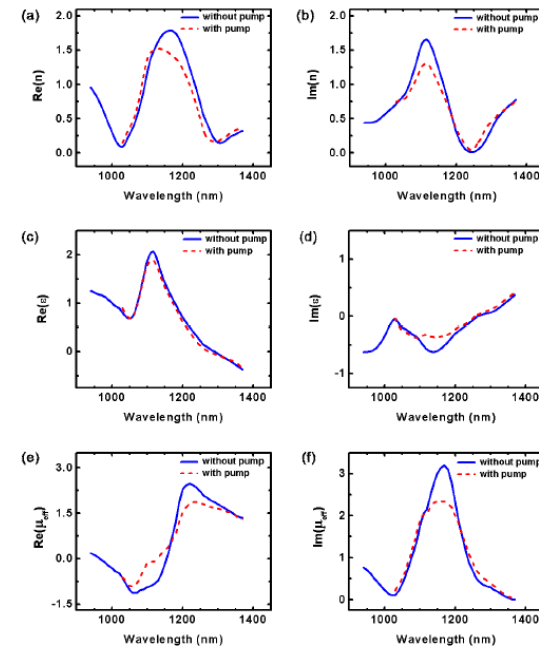
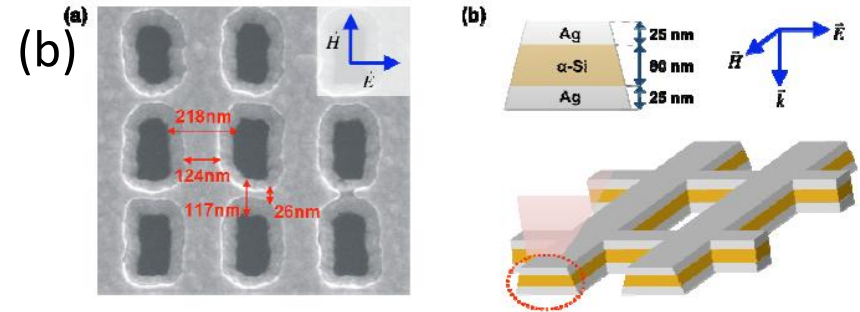
- SPP propagation influenced by optical pump
- Sub-ps control on SPPs



Carbon nanotubes + MM



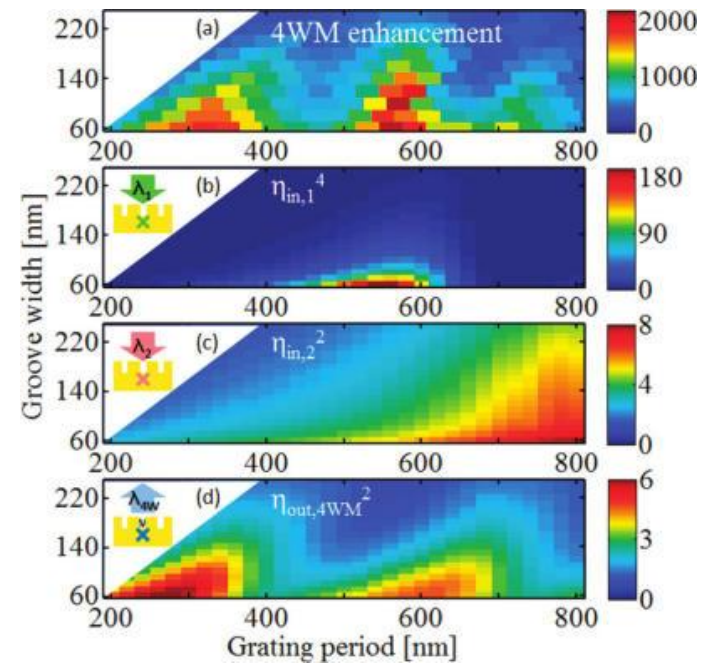
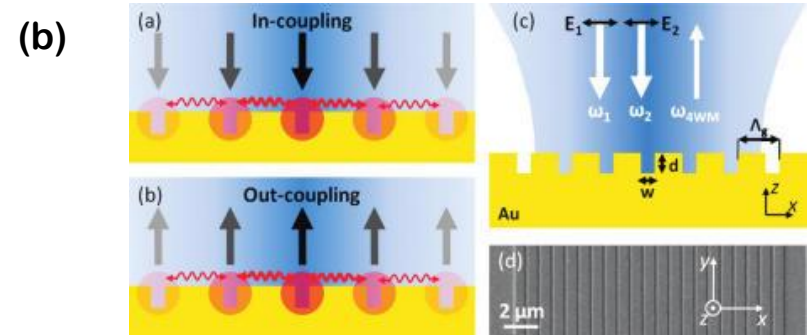
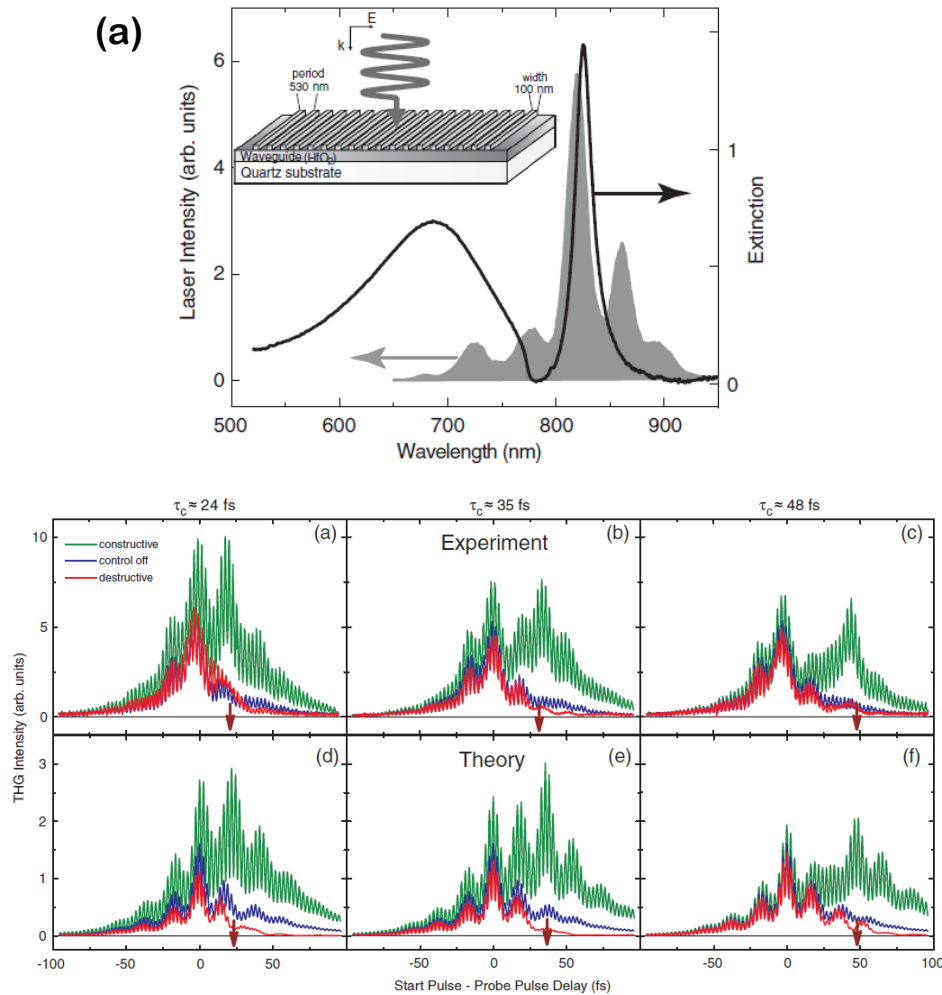
a-Si+ MM



(a) N. Papasimakis, et al, PRL (2010) (Zheludev group)

(b) D. Cho, et al, OE (2009) (Shen/Zhang groups)

Four-Wave Mixing in Metamaterials



(a) T. Utikal, et al, PRL (2010) (Gissnen/Stockman group)

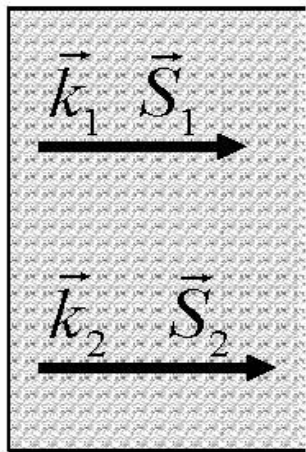
(b) P. Genevet, et al, NL (2010) (Capasso group)

Backward Waves in Nonlinear NIMs

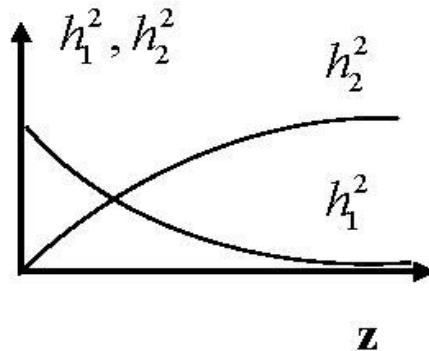
Backward Waves in NIMs - Popov and Shalaev (APB, 2006)

[Others groups: Kivshar, Shadrivov, et al; Zakhidov, Agranovich et al]

RHM

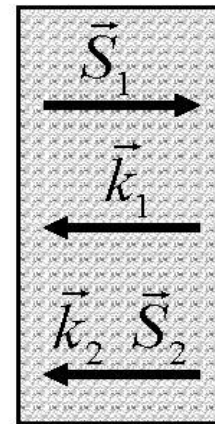


0



z

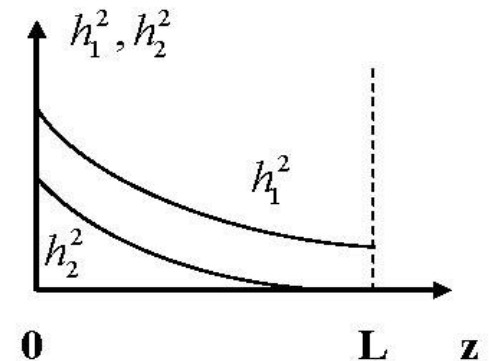
LHM



0

L

$n_1 < 0$ and $n_2 > 0$



0

L

z

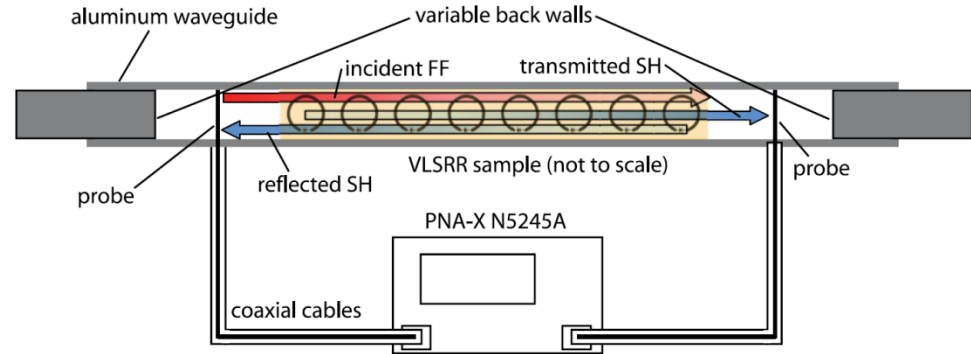
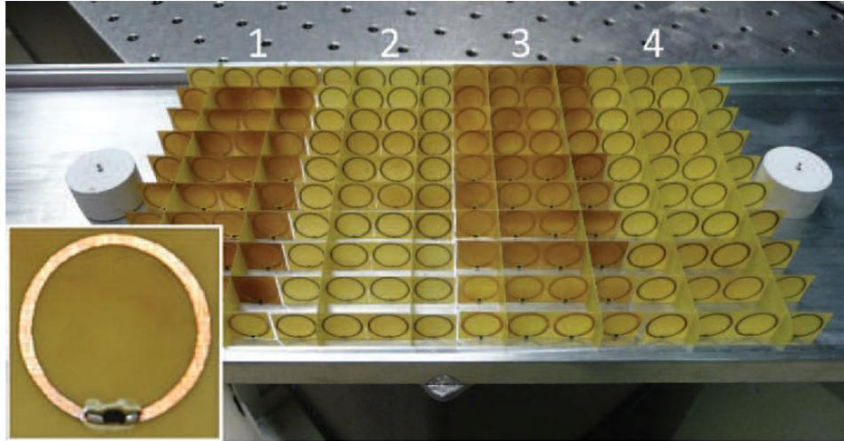
Manley-Rowe Relations

$$\frac{dS_1}{dz} - \frac{dS_2}{dz} = 0,$$

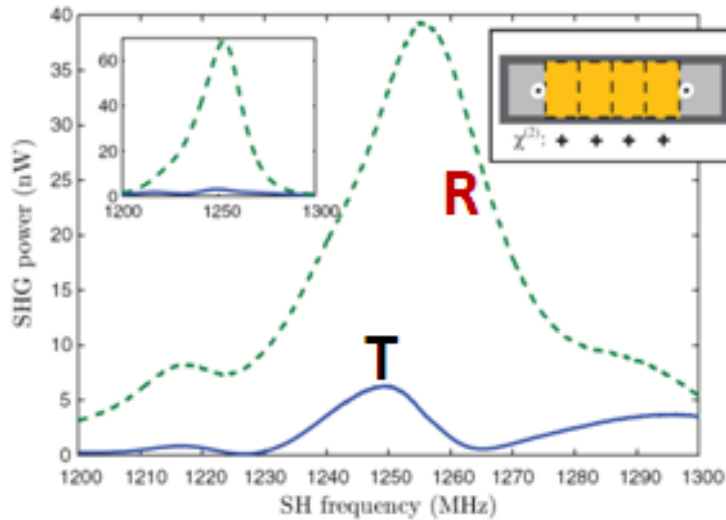
Phase-matching:

$$\varepsilon_1 = -\varepsilon_2, k_2 = 2k_1 \rightarrow h_1^2(z) - h_2^2(z) = C$$

Second Harmonic generation in a Phase-Matched NIM



Experimental setup



R. SH enhancement
(nonlinear optical mirror)

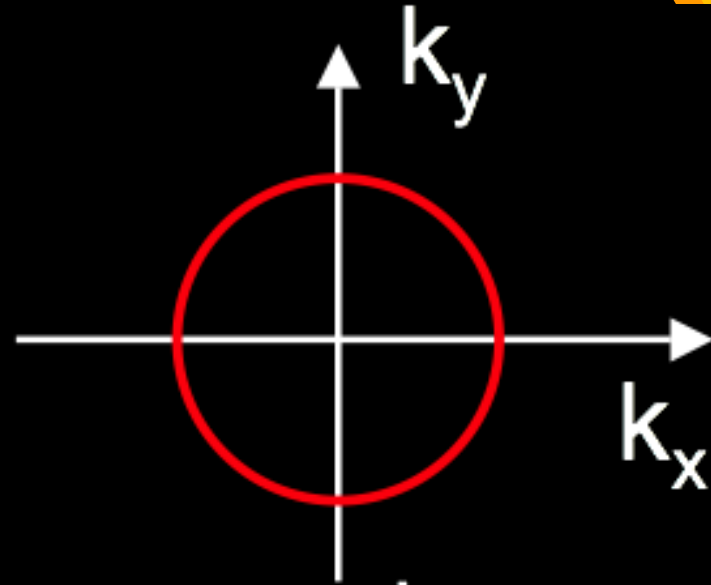
Quantum Optics with Metamaterials: Engineering Photonic Density of States with Metamaterials

J.-Y. Kim, G. V. Naik, Z. Jacob, V. P. Drachev,
Alexandra Boltasseva, Evgenii E. Narimanov and Vladimir M. Shalaev

Hyperbolic Dispersion

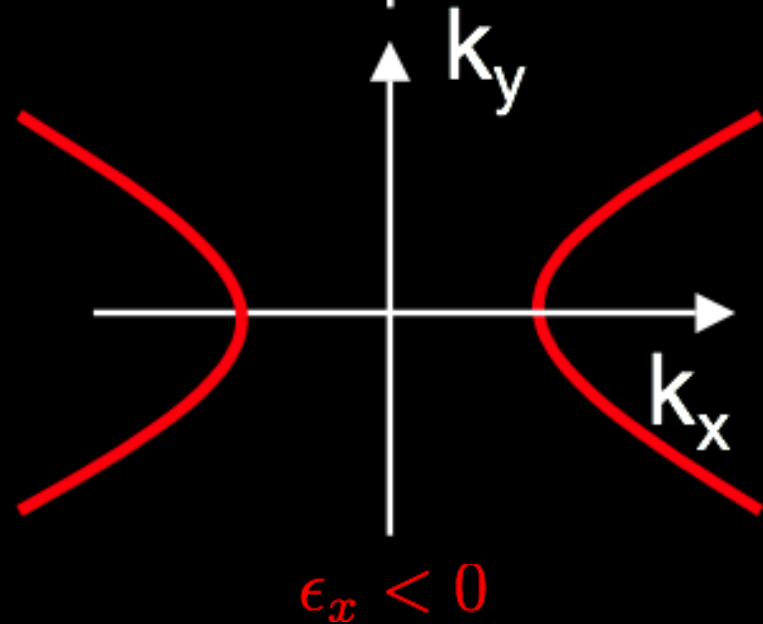
“Regular” dielectric

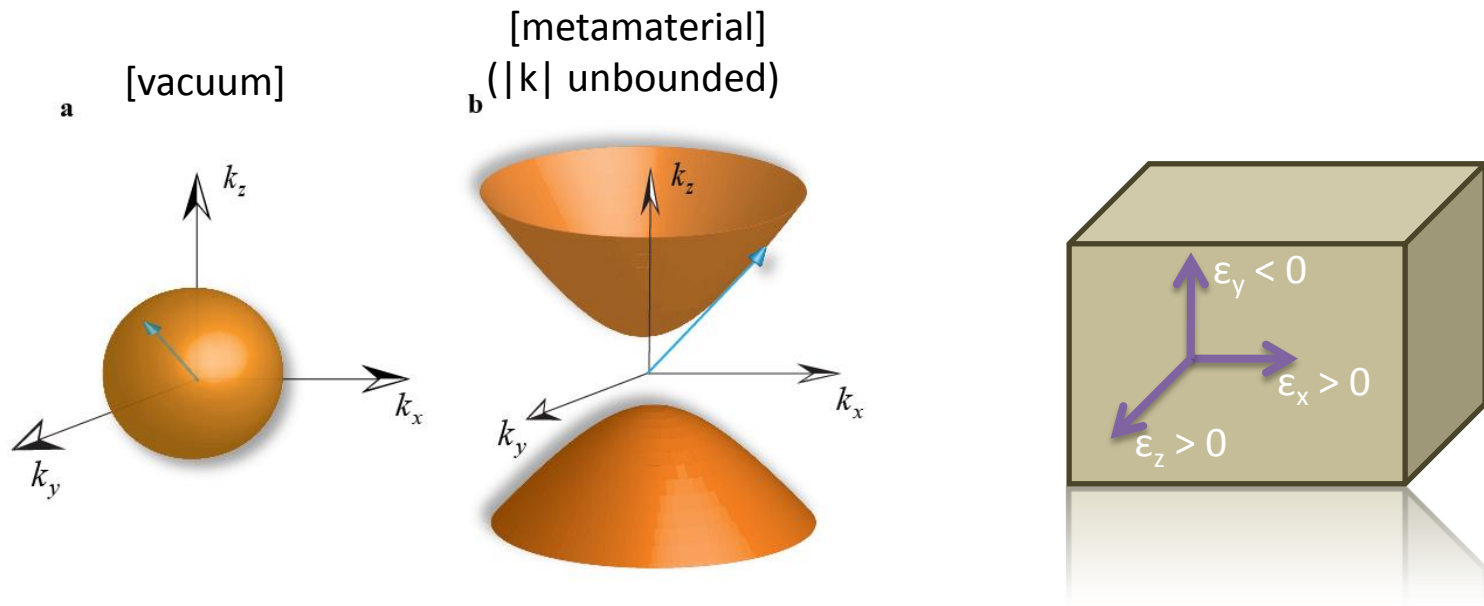
$$\frac{k_x^2}{\epsilon_y} + \frac{k_y^2}{\epsilon_x} = \frac{\omega^2}{c^2}$$



“Strongly Anisotropic” media

$$\frac{k_x^2}{\epsilon_y} + \frac{k_y^2}{\epsilon_x} = \frac{\omega^2}{c^2}$$

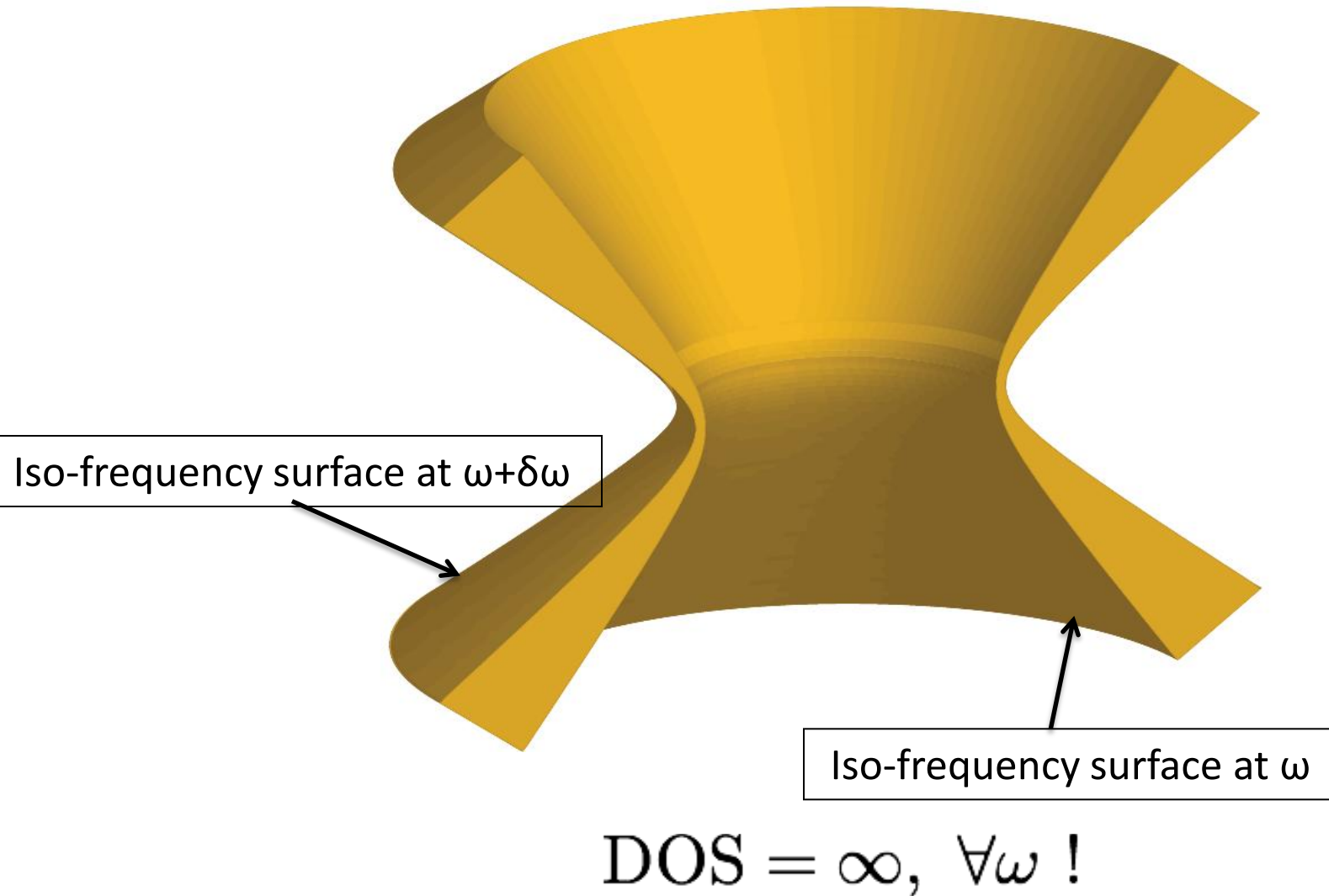


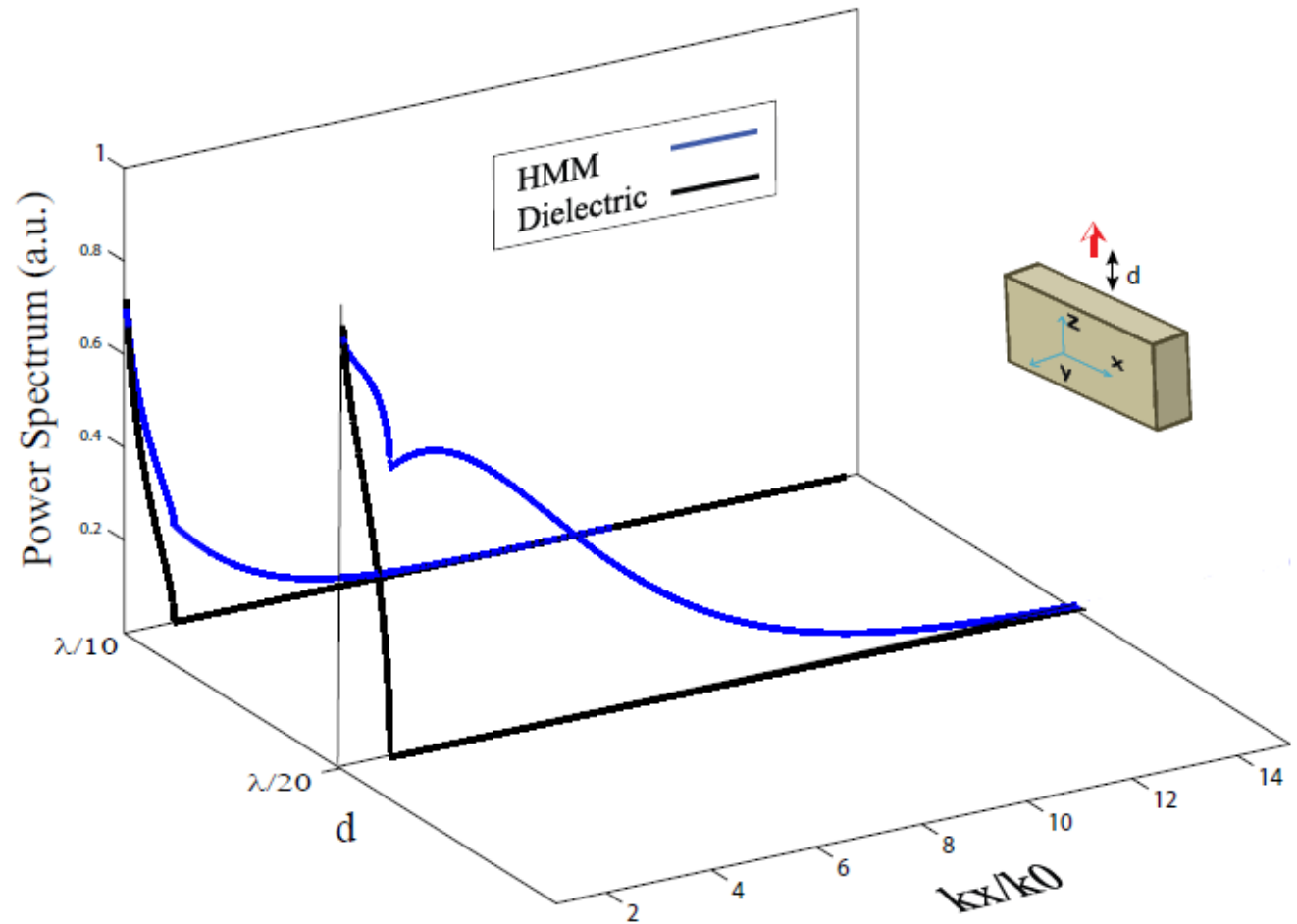
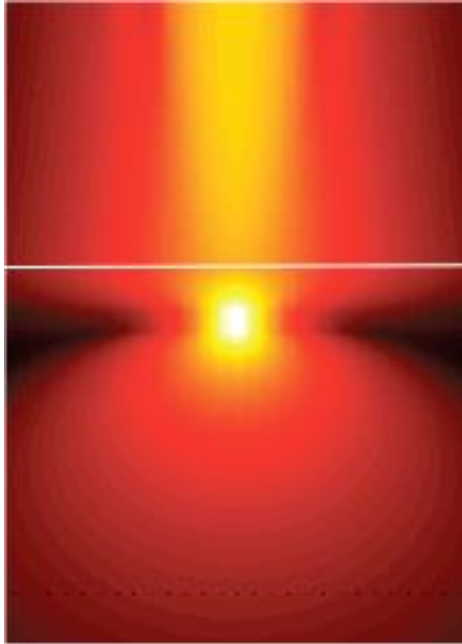


Singularity in density of states!!!!

Hyperbolic dispersion supports high spatial wavevectors compared to vacuum

Large contribution to DOS from the “high k ” states
(think beyond imaging!)





Calculation Methods:

$$\Gamma = \frac{P}{\hbar\omega} : \text{Ford and Weber (1984)}$$

QED: Hughes group (2009)

HMMs: Type I and Type II

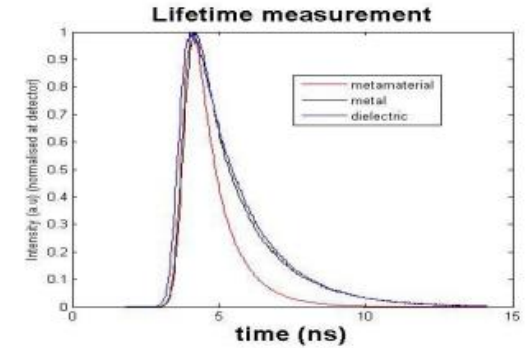
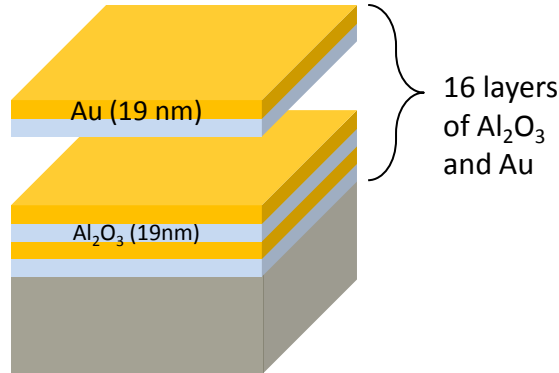
Design 1. Shalaev's group:
Stacked metal-dielectric layer

$$\epsilon_x, \epsilon_y < 0, \epsilon_z > 0$$

$$\epsilon_{\perp} = 12.5 + 0.5i$$

$$\epsilon_{\parallel} = -8.2 + 0.9i$$

Applied Physic B 2010



Design 2. Noginov's group:

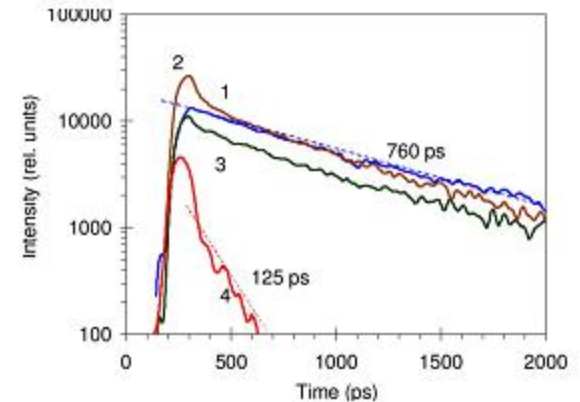
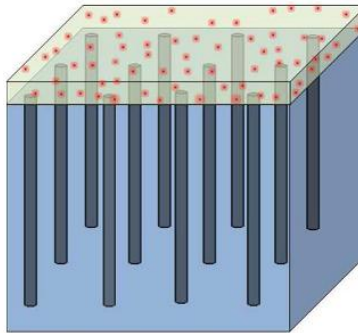
Silver nanowire

$$\epsilon_x, \epsilon_y > 0, \epsilon_z < 0$$

$$\epsilon_{\perp} = -0.15 + 1.07i$$

$$\epsilon_{\parallel} = 4.99 + 0.22i$$

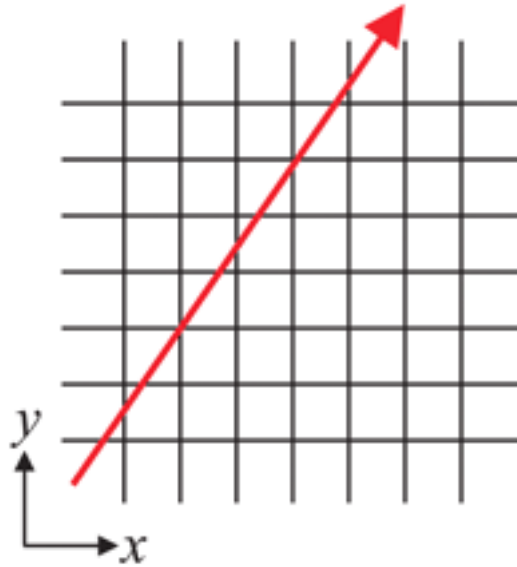
Optics Letters 2010



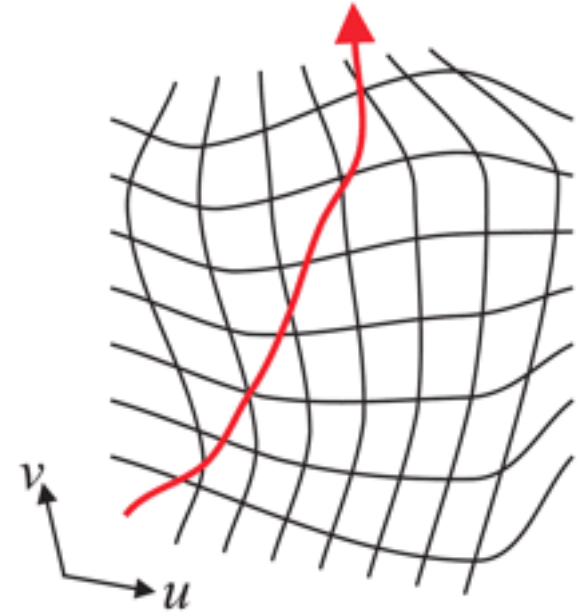
Transformation Optics

Fermat:
 $\delta \int n dl = 0$
 $n = \sqrt{\epsilon(r)\mu(r)}$

**“curving”
optical space**



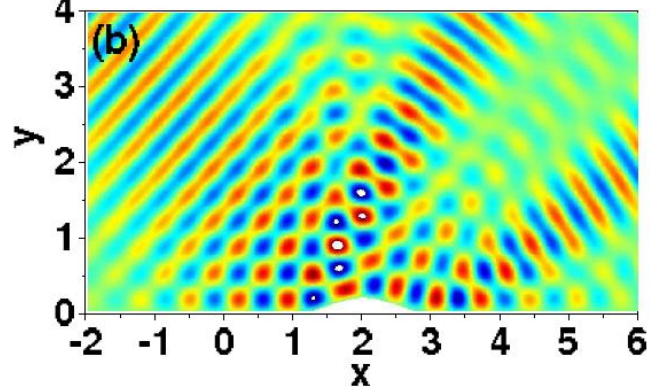
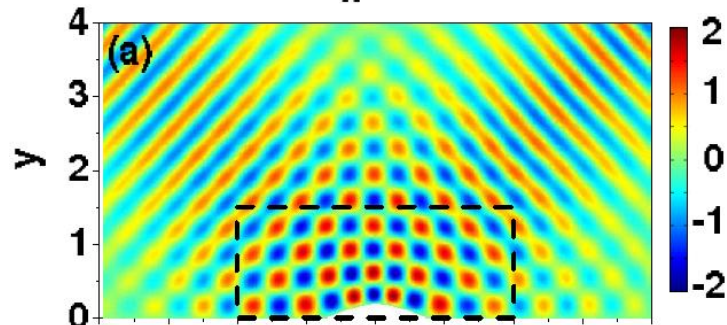
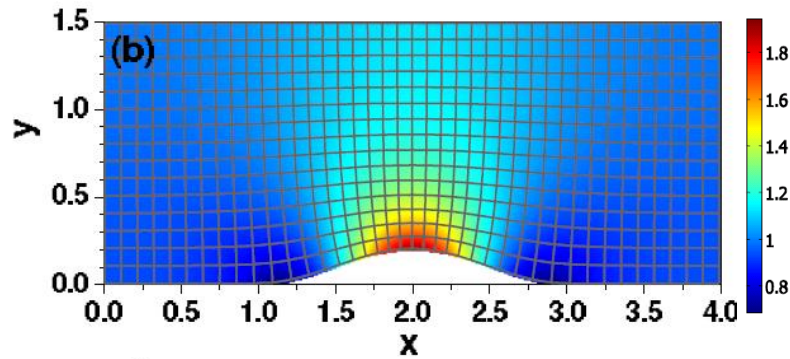
Straight field line
in Cartesian coordinate



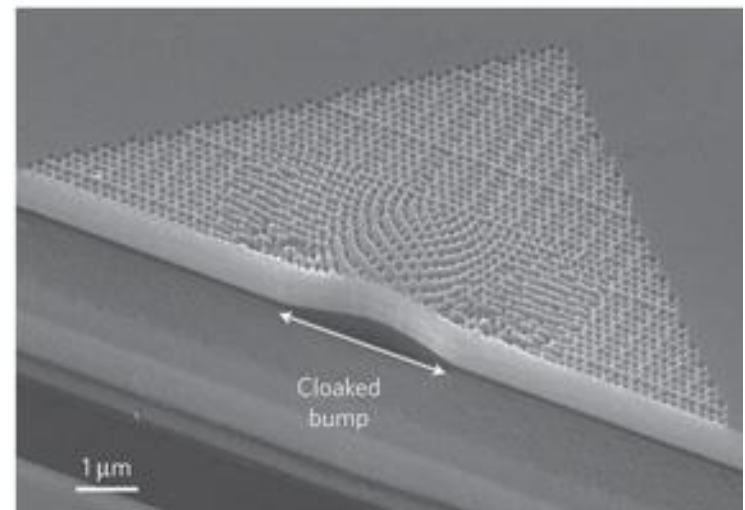
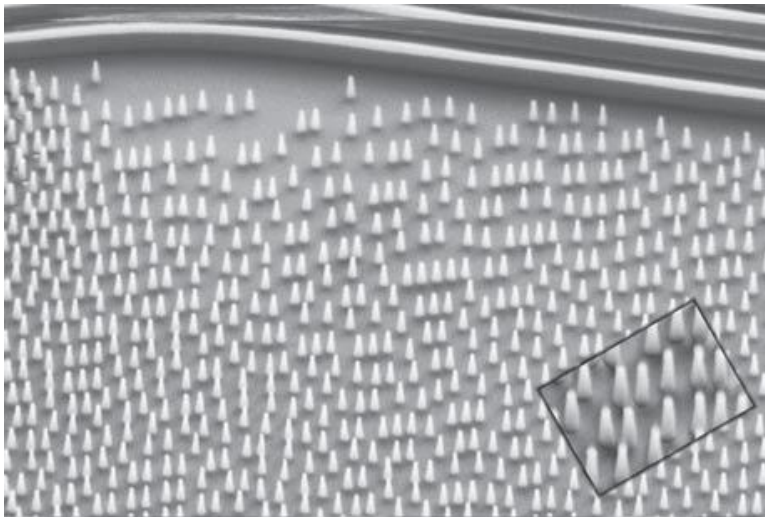
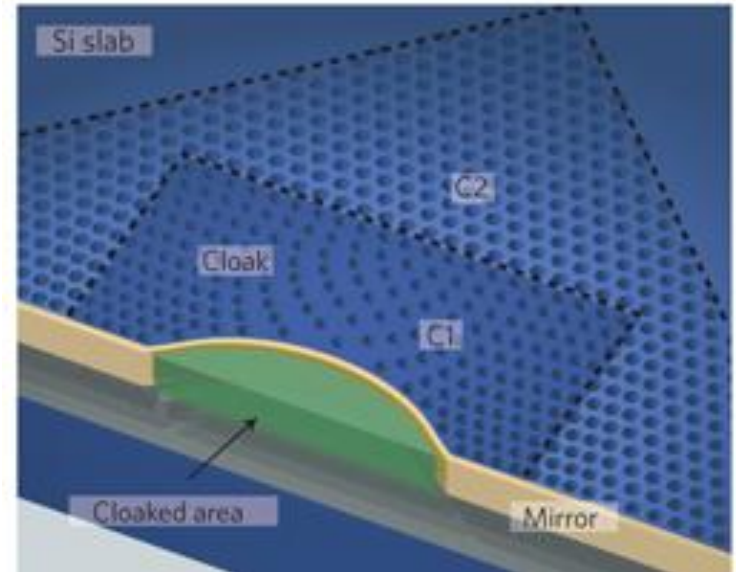
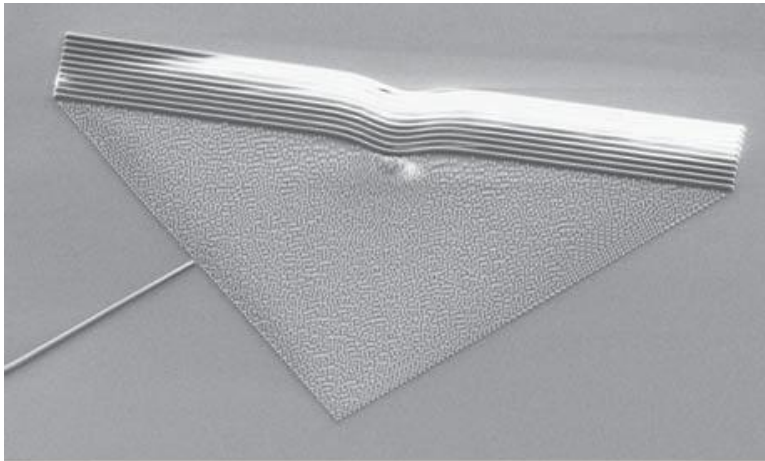
Distorted field line
in distorted coordinate

Spatial profile of ϵ & μ tensors determines the distortion of ordinates

Seeking for profile of ϵ & μ to make light avoid particular region in space — optical cloaking



picture from discovery.com

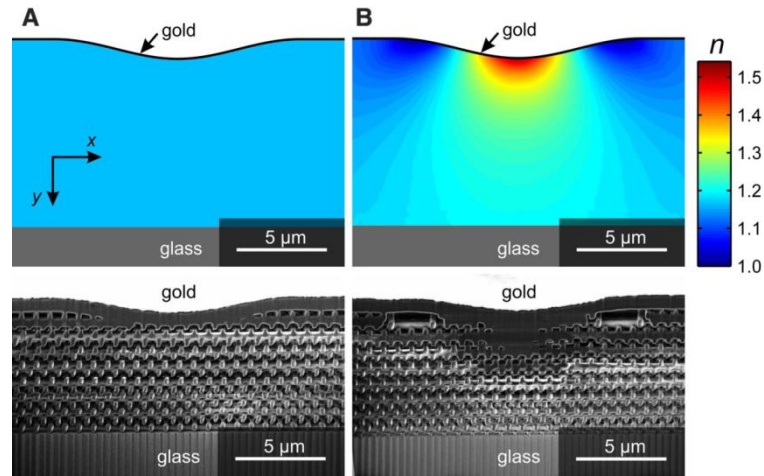
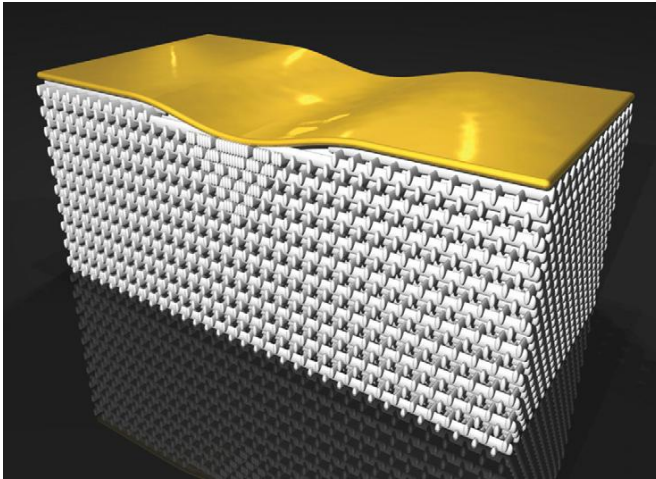


L. Gabrielli, et al, Nature Photonics (2009)
(Lipson group)

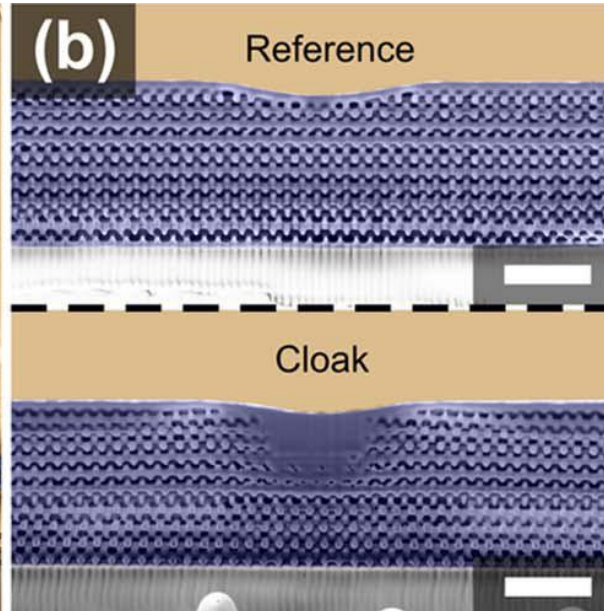
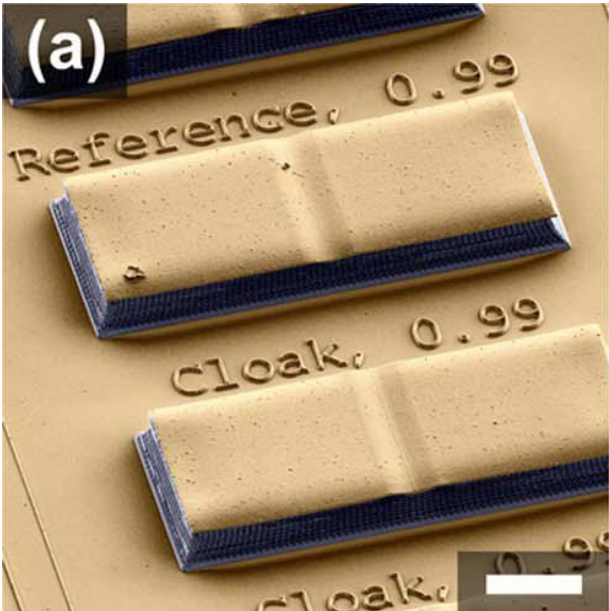
J. Valentine, et al, Nature Materials (2009)
(Zhang group)

3D carpet cloaking

(a)



(b)



stimulated-emission-depletion (STED)-inspired direct laser writing was used

Rod spacing

Science: 800 nm

OL: 350 nm

Operating wavelength

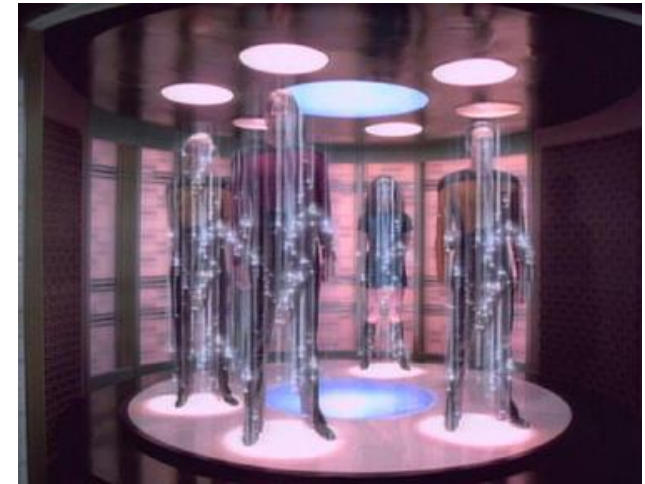
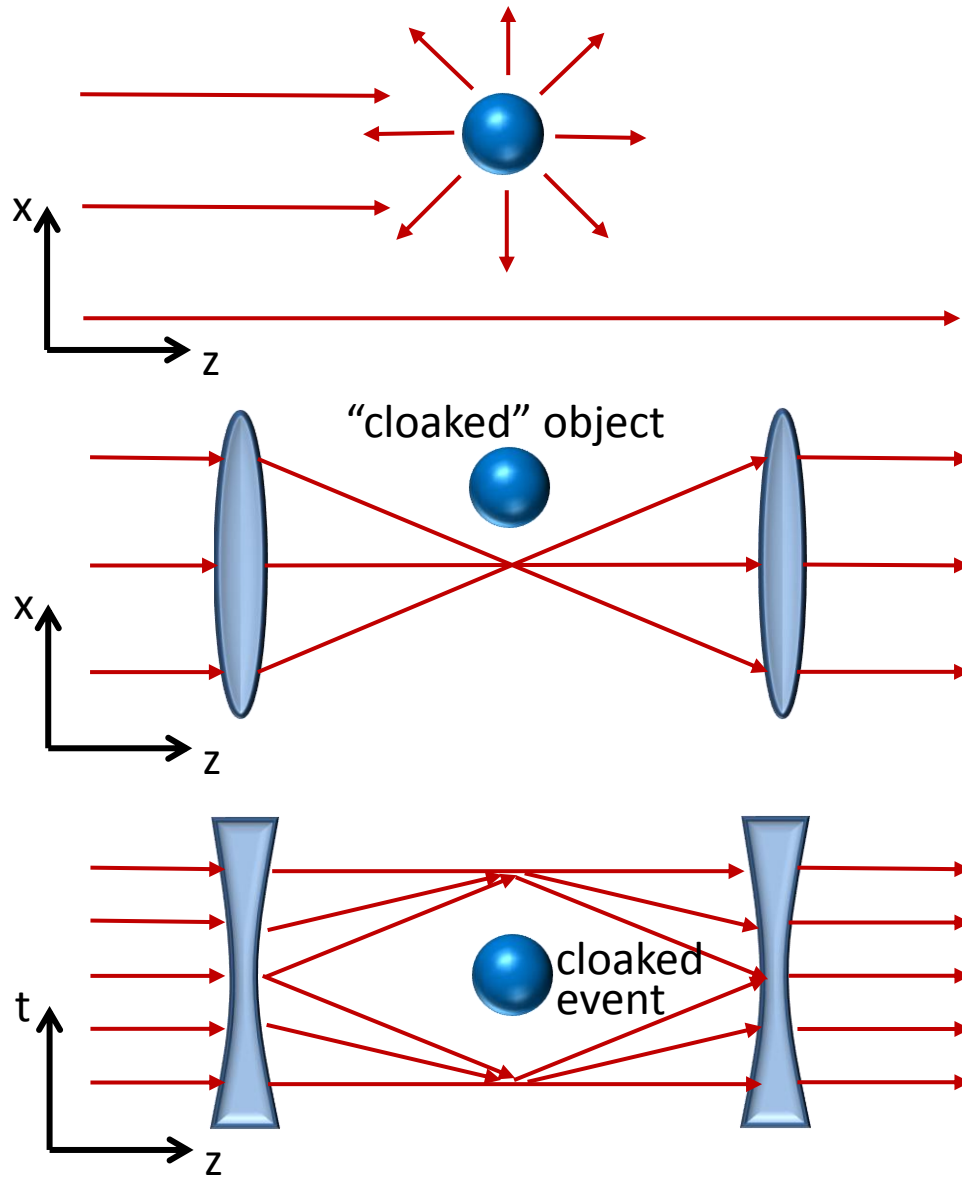
Science: 1.4 ~ 2.7 μm

OL: 650 ~ 900 nm

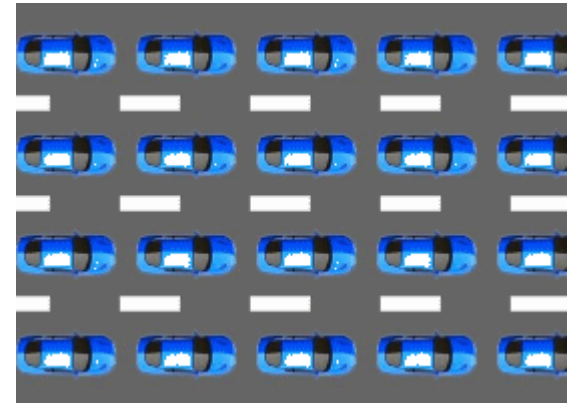
(a) T. Ergin, et al, Science (2010) (Wegener group)

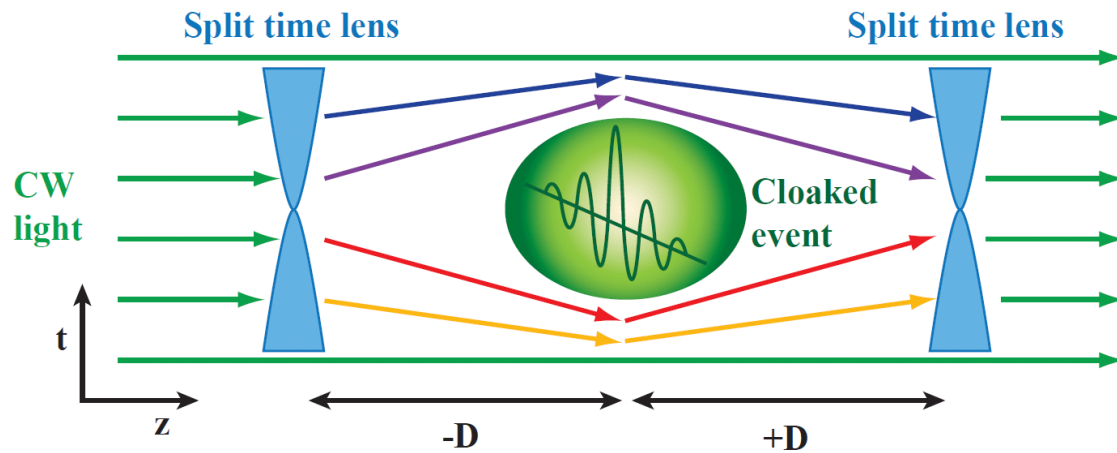
(b) Opt. Lett. (2011) (Wegener group)

Space-time Cloak Theory

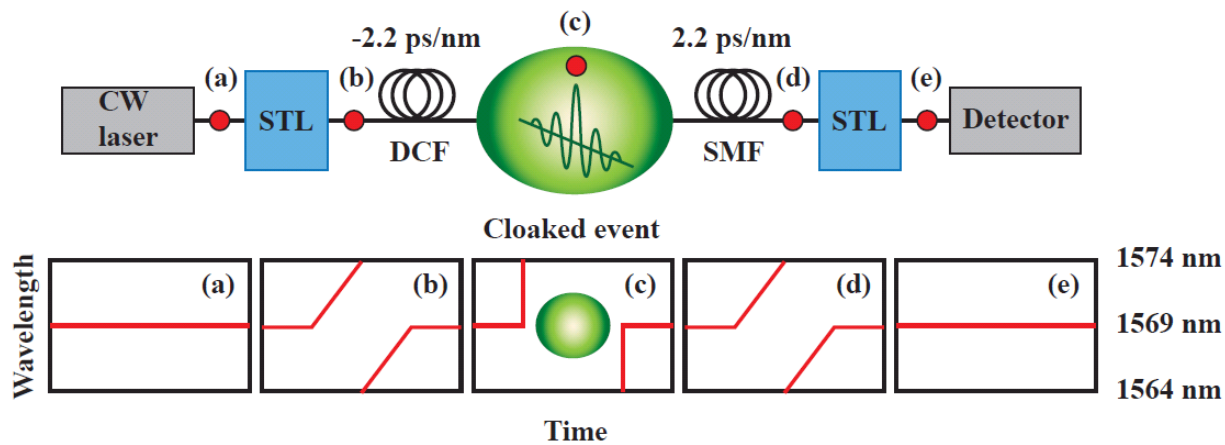
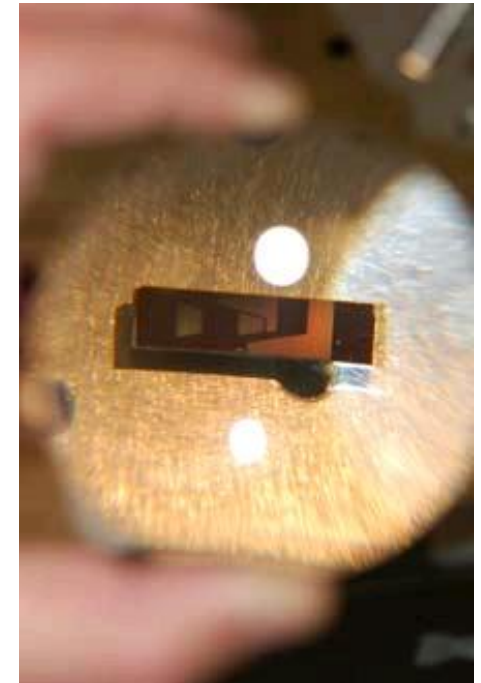


Star Trek transporter





Time Lens



- **Electrical Metamaterials (Plasmonics): A Route to Nanophotonics**
- **Artificial Optical Magnetism in Metamaterials**
- **Optical Negative-index Metamaterials**
- **Active and Loss-Free Metamaterials**
- **Toward Better Materials & Fabrication**
- **Negative-Refraction in Semiconductor-based Metamaterials**
- **Metamaterials for Sensing**
- **Tunable, Ultrafast, and Nonlinear Metamaterials**
- **Quantum Metamaterials**
- **Transformation Optics and Cloaking**

Wenshan Cai
Vladimir Shalaev

Optical Metamaterials

Fundamentals and Applications

 Springer