RHIC Cold QCD Plan

Available as arXiv:1602.03922

Christine A. Aidala
University of Michigan

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“Cold” QCD

• “Cold” in contrast to “hot” QCD, in which energy densities high enough to form a quark-gluon plasma
• At RHIC
  – proton-proton (p+p)
  – proton-nucleus (p+A)
  – ultraperipheral nucleus-nucleus (A+A) collisions

• Cold QCD focuses on
  – Structure – description of QCD bound states in terms of the quarks and gluons within them
  – Hadronization – processes by which quarks and gluons form QCD bound states
  – Interactions involving hadrons – effects due to color flow in different scattering processes
Structure of QCD bound states

Theoretical + experimental progress since late 1990s has permitted increasingly sophisticated pictures of the quark-gluon structure of the nucleon, in terms of:

• **Momentum** – only parton collinear momentum fraction of nucleon momentum considered until 1990s. Considering transverse momentum of partons within nucleon opened up new subfield of parton spin-momentum correlations within nucleon

• **Spin** – Experimental control of nucleon spin enables study of spin-spin correlations and spin-momentum correlations

• **Flavor** – Flavor asymmetry between antidown and antiup quarks in proton discovered in 1990s, still not understood. Evidence for flavor asymmetry in polarized distributions as well

• **Position** – Basic concepts regarding how to access parton radial position within nucleon only in 1990s. Pioneering experimental measurements so far

Knowledge of quark-gluon structure of nuclei still relatively primitive
Hadronization

- Not as far along as nucleon structure—much less of a focus in previous decades
  - Phenomenological analyses only incorporated semi-inclusive deep-inelastic scattering and hadronic collision data along with e+e- annihilation data as of 2007

- Recent advances via
  - Spin-momentum and spin-spin correlations in hadronization
  - Multiparton correlations in hadronization—interference effects between hadronization from (q+g) and only a quark, or (g+g) and only a gluon
  - Interference effects of multiple hadrons coming from a single parton
  - Hadronization in nuclear environment

- Topic starting to get more attention ➔ major area of focus at EIC
Interactions involving hadrons

- In 2000s, starting to consider color interactions in different scattering processes
  - New interest in the interactions themselves, rather than only hadron structure or hadronization
  - Theoretical breakthroughs in 2002 (Brodsky, Hwang, and Schmidt; Collins) and 2010 (Rogers and Mulders)

- Another example of interest in interactions: parton energy loss in cold (or hot) QCD matter
  - QCD analog of Bethe-Bloch energy loss
Cold QCD areas of focus at RHIC

(Many linked to one another)

• Partonic structure of the proton
• Partonic structure of nuclei / Nuclear pdfs
• Gluon saturation / Structure at small parton momentum fraction
• Spin-momentum correlations in the nucleon, quantum interference effects and their process dependence
• Diffraction
• Hadronization in different environments
RHIC Cold QCD Plan: Relation to EIC

Focus on measurements *complementary to or in support of* future EIC physics program

- Unique color interactions in hadronic collisions
  - Comparison to lepton-hadron scattering $\Rightarrow$ universality studies
  - Novel non-Abelian effects not accessible with a lepton beam

- Early measurements of effects/observables to be studied in depth at EIC
  - Investigate scale of effects
  - Push further theoretical development

- Draw larger community into EIC physics and observables
Spin-momentum correlations; interactions and color flow

Deep-inelastic electron-nucleon scattering: Final-state color exchange

Quark-antiquark annihilation to electrons: Initial-state color exchange

Get opposite sign for certain spin-momentum correlations in these two processes, due to phase interference effects and color exchange in the final state vs. initial state (Collins 2002)

C. Aidala, MIT LNS Colloquium, 11/28/16
**Spin-momentum correlations; interactions and color flow**

Clear ~5% spin-momentum correlation measured in lepton-proton scattering, only enabled by final-state color exchange.

Initial STAR measurement of corresponding spin-momentum correlation in W production suggestive of predicted sign change due to process-dependent color interactions.
Spin-momentum correlations; interactions and color flow

STAR projections for anticipated 2017 data. Stronger check of predicted process dependence, and improved constraints on sea quark spin-momentum correlations, and energy dependence of effects.

Comparison of kinematic coverage for similar spin-momentum correlation measurements.
Up to 40% spin-momentum correlations in $p+p$ collisions that persist across energies

<table>
<thead>
<tr>
<th>Lab</th>
<th>Energy</th>
</tr>
</thead>
<tbody>
<tr>
<td>ANL</td>
<td>$\sqrt{s}=4.9$ GeV</td>
</tr>
<tr>
<td>BNL</td>
<td>$\sqrt{s}=6.6$ GeV</td>
</tr>
<tr>
<td>FNAL</td>
<td>$\sqrt{s}=19.4$ GeV</td>
</tr>
<tr>
<td>RHIC</td>
<td>$\sqrt{s}=62.4$ GeV</td>
</tr>
</tbody>
</table>

$x_F = 2p_{long} / \sqrt{s}$

Still not well understood—possible links to diffraction, color interactions, …
Large spin-momentum correlations up to 500 GeV

- Neutral pion production asymmetries up to ~10%, with very little dependence on energy up to 500 GeV
- STAR investigating further via diffractive measurements …
“Gamma-ray diffraction” to probe spatial structure of nuclei

Diffraction pattern from monochromatic plane wave incident on a circular screen of fixed radius

From E. Aschenauer
“Gamma-ray diffraction” to probe spatial structure of nuclei

Expected diffraction pattern from gamma ray incident on ~spherical nucleus

Diffractive $\rho$ production in Au+Au ultraperipheral collisions

$\sqrt{s_{NN}} = 200$ GeV

2 nuclear beams. Probed nucleus in one beam. Gamma emitted by Coulomb-excited nucleus passing nearby in second beam.
Diffraction at RHIC

- In addition to probing spatial structure, diffraction is one way to probe gluon saturation within nuclei.
- Comparing diffraction in hadronic collisions and e+p or e+A is furthermore of interest – study universality.

Ongoing measurements by STAR
- Preliminary $\rho$ in Au+Au—clear diffractive peaks.
- Measurement of diffractive contribution to huge forward transverse single-spin asymmetries.
**Diffraction at RHIC**

- First-ever, early measurement of Generalized Parton Distribution “E” for gluons in 2017 510 GeV p+p via diffractive J/Ψ production—sensitive to *gluon orbital angular momentum*

- Diffractive J/Ψ production in polarized p+A, for multiple physics measurements
  - *Spatial imaging of gluon distribution in nucleus*
  - Probe gluon orbital angular momentum in polarized proton – $Z^2$ from heavy nucleus helps
Hadronization: Clear spin-dependent hadronization observed in p+p collisions

Spin-momentum correlation observed for charged pion in a reconstructed jet

Spin-dependent interference between two pions hadronizing from same parton
Hadronization in nuclei: $e+A$

- Modification of pion production in $e+A$ collisions with respect to scaled $e+p$ collisions
- Nuclear mass dependence
Hadronization in nuclei: Effects due to higher-density partonic environments?

- Modification of particle production in e+A compared to e+p
  - Not fully explained by nuclear pdfs

- Enhancement of protons compared to pions in e+A with respect to scaled e+p

HERMES, NPB780, 1 (2007)
Hadronization in nuclei: Effects due to higher-density partonic environments?

**No scaling applied**

<table>
<thead>
<tr>
<th>Centrality</th>
<th>$\langle N_{\text{coll}} \rangle$</th>
<th>$\langle N_{\text{part}} \rangle$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Au+Au</td>
<td></td>
<td></td>
</tr>
<tr>
<td>60-92%</td>
<td>14.8 ± 3.0</td>
<td>14.7 ± 2.9</td>
</tr>
<tr>
<td>d+Au</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0-20%</td>
<td>15.1 ± 1.0</td>
<td>15.3 ± 0.8</td>
</tr>
</tbody>
</table>

$\frac{p}{\pi}$ ratio for central d+Au and peripheral Au+Au—shape and magnitude identical

Suggests common mechanism(s) for baryon production in the two systems

PRC88, 024906 (2013)

C. Aidala, NAS EIC Science Assessment, 2/1/17
Links to collective behavior in high-multiplicity p+p, and in p+A?

- Long-range correlations in hadron production also observed in deuteron-gold collisions at RHIC
- Unclear so far if “hot” or “cold” QCD effect

PRL 116, 172301 (2016)
# Proposed RHIC Cold QCD program

<table>
<thead>
<tr>
<th>Year</th>
<th>√s (GeV)</th>
<th>Delivered Luminosity</th>
<th>Scientific Goals</th>
<th>Observable</th>
<th>Required Upgrade</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>p+p @ 510</td>
<td>400 pb⁻¹ 12 weeks</td>
<td>Sensitive to Sivers effect non-universality through TMDs and Twist-3 $T_{3F}(x,y)$&lt;br&gt;Sensitive to sea quark Sivers or ETQS function&lt;br&gt;Evolution in TMD and Twist-3 formalism&lt;br&gt;Transversity, Collins FF, linearly polarized gluons, Sivers in Twist-3</td>
<td>$A_\gamma$ for $\gamma$, $W^\pm$, $Z^0$, DY&lt;br&gt;$A_{UT}^{\sin(\phi_+-\phi_\Delta)}$, $A_{UT}^{\sin(\phi_+-\phi_\Delta)}$ modulations of $h^*$ in jets, $A_{UT}^{\sin(\phi_\Delta)}$ for jets&lt;br&gt;$A_{UT}$ for $J/\Psi$ in UPC</td>
<td>FMS@STAR</td>
</tr>
<tr>
<td>2023</td>
<td>p+p @ 200</td>
<td>300 pb⁻¹ 8 weeks</td>
<td>subprocess driving the large $A_N$ at high $x_F$ and $\eta$&lt;br&gt;Evolution of ETQS fct. properties and nature of the diffractive exchange in $p+p$ collisions.</td>
<td>$A_N$ for charged hadrons and flavor enhanced jets&lt;br&gt;$A_N$ for $\gamma$&lt;br&gt;$A_N$ for diffractive events</td>
<td>Yes Forward instr.</td>
</tr>
<tr>
<td>2023</td>
<td>p+Au @ 200</td>
<td>1.8 pb⁻¹ 8 weeks</td>
<td>What is the nature of the initial state and hadronization in nuclear collisions&lt;br&gt;Nuclear dependence of TMDs and nFF&lt;br&gt;Clear signatures for Saturation</td>
<td>$R_{\gamma}$, direct photons and DY&lt;br&gt;$A_{UT}^{\sin(\phi_+-\phi_\Delta)}$ modulations of $h^*$ in jets, nuclear FF&lt;br&gt;Dihadrons, $\gamma$-jet, h-jet, diffraction</td>
<td>Yes Forward instr.</td>
</tr>
<tr>
<td>2023</td>
<td>p+Al @ 200</td>
<td>12.6 pb⁻¹ 8 weeks</td>
<td>A-dependence of nPDF, A-dependence of TMDs and nFF&lt;br&gt;A-dependence for Saturation</td>
<td>$R_{\gamma}$, direct photons and DY&lt;br&gt;$A_{UT}^{\sin(\phi_+-\phi_\Delta)}$ modulations of $h^*$ in jets, nuclear FF&lt;br&gt;Dihadrons, $\gamma$-jet, h-jet, diffraction</td>
<td>Yes Forward instr.</td>
</tr>
<tr>
<td>202X</td>
<td>p+p @ 510</td>
<td>1.1 fb⁻¹ 10 weeks</td>
<td>TMDs at low and high $x$&lt;br&gt;quantitative comparisons of the validity and the limits of factorization and universality in lepton-proton and proton-proton collisions</td>
<td>$A_{UT}$ for Collins observables, i.e., hadron in jet modulations at $\eta &gt; 1$&lt;br&gt;mid-rapidity observables as in 2017 run</td>
<td>Yes Forward instr.</td>
</tr>
<tr>
<td>202X</td>
<td>p+p @ 510</td>
<td>1.1 fb⁻¹ 10 weeks</td>
<td>$A_g(x)$ at small $x$&lt;br&gt;$A_{UT}$ for jets, di-jets, h/γ-jets at $\eta &gt; 1$</td>
<td>Yes Forward instr.</td>
<td></td>
</tr>
</tbody>
</table>

Table 1-2: Summary of the Cold QCD physics program proposed in the years 2017 and 2023 and if an additional 500 GeV run would become possible.
Nominal RHIC timeline

- 2017-21 - only the STAR experiment operating
  - PHENIX experiment completed operations in 2016
  - sPHENIX upgrade detector planned for 2022; received CD0 in Oct 2016
- 2017 - 10-week transversely polarized p+p run at 510 GeV
- 2018-21 – Beam-energy scan to search for QCD critical point
- 2022 – Top-energy nucleus-nucleus collisions
- 2023 – Proton-proton and proton-nucleus running
  - Forward instrumentation in 2022 and 2023 not settled
Generic forward rapidity instrumentation requirements for Cold QCD Plan in 2023

- Coverage approximately $1 < \eta < 4$
- Calorimetry (electromagnetic and hadronic)
- Tracking
- Roman pots for diffractive measurements
- Hadron PID for hadronization measurements

- Note: Not all proposed measurements require additional forward instrumentation (see table)
Forward rapidity instrumentation for Cold QCD Plan in 2023

Resources for forward instrumentation not currently clear

• Reconfiguration of previous instrumentation from PHENIX, STAR, or potentially other (non-RHIC) experiments possible, in particular for electromagnetic calorimetry

• Potential new instrumentation should be reusable for EIC

• Detector requirements for forward instrumentation in the hadron beam direction at the EIC are \(\sim\) identical; possibility of designing a forward spectrometer for the EIC and building it several years early in order to take advantage of hadronic collisions at RHIC under discussion
STAR and sPHENIX forward instrumentation ideas

Physics Opportunities with STAR in 2020+

The STAR Collaboration
(Dated: October 15, 2015)

Future Opportunities in $p+p$ and $p+A$
Collisions at RHIC with the Forward sPHENIX Detector

The PHENIX Collaboration
April 29, 2014
LOI for sPHENIX-based EIC detector

Work ongoing to update; new document anticipated for late spring
Upcoming meetings

- RHIC Spin Collaboration meeting on hardware for the RHIC Cold QCD Plan – March 9-10 at BNL

- RIKEN-BNL Research Center workshop on p+p and p+A in connection to the EIC – June 26-28 at BNL
References and resources

- **Concept for an Electron-Ion Collider detector built around the BaBar solenoid**, arXiv:1402.1209
- **Physics Opportunities with STAR in 2020+, Oct 2015**
Conclusions

• RHIC cold QCD program focused on
  – Hadron structure
  – Hadronization
  – Interactions involving hadrons

• Planned measurements complementary to or in support of future EIC physics program

• Broad themes include
  – spin-momentum correlations within the proton and the process of hadronization
  – diffractive measurements as probes of structure and interactions
  – nuclear modification of parton distribution functions and hadronization
  – unique color interactions and tests of universality

• There are a variety of existing and forthcoming measurements based on data already taken
• STAR experiment will take transversely polarized p+p data in 2017
• STAR and sPHENIX have proposals for further cold QCD measurements in 2023
Extra
Nuclear parton distribution functions

Ratio of parton distribution functions in Pb to those in deuteron

Kinematic coverage for nuclear pdf measurements by different experiments
Gluon saturation
Spin-spin and spin-momentum correlations in QCD bound states

Unpolarized

Spin-spin correlations

\[ f_1 = \]

\[ g_{1L} = \]

\[ h_{1T} = \]

Spin-momentum correlations

\[ S \cdot (p_1 \times p_2) \]

\[ f_{1T}^\perp = \]

\[ h_{1}^\perp = \]

\[ h_{1L}^\perp = \]

Worm-gear (Kotzinian-Mulders)

\[ g_{1T} = \]

Transversity

Worm-gear

Sivers

Boer-Mulders

Pretzelosity

Worm-gear

\[ h_{1T}^\perp = \]
Spin-spin and spin-momentum correlations in hadronization

Unpolarized

Spin-spin correlations

Spin-momentum correlations

Polarizing FF

Collins
$p+p \rightarrow \text{hadron asymmetries persist up to } \sqrt{s}=0.5 \text{ TeV and } p_T = 7 \text{ GeV!}$

- Effects persist to kinematic regimes where perturbative QCD techniques clearly apply
- $p_T = 8 \text{ GeV} \rightarrow Q^2 \sim 64 \text{ GeV}^2$!

Note $x_F = 0.24-0.32$ here, where asymmetries approached zero on lower-energy plots—need more-forward measurements at high energies!
Bound states of hadronic bound states: Creating nuclei

Nature 473, 353 (2011)
• Nucleon spin and 3D structure
• Nuclear modification of parton distributions
• Gluon density saturation
• Hadronization

• Quark-gluon plasma
• ‘Hot’ nuclear matter
• Nuclear fragmentation functions

• Transverse spin phenomena
• Collective behavior in small systems
• Pre-equilibrium QGP
EIC Detector Concept

‘2016 revised concept’

HIQ Detector Concept

Solenoid + Cryostat

Aerogel

HCAL

TPC

GEMs

DIRC

ECAL

HCAL

p/A

0

e

4.5 m

ZDC, Roman Pots
Transverse-momentum-dependent (TMD) factorization breaking and color entanglement

- 2010: Rogers and Mulders predict color entanglement in processes involving p+p production of hadrons if parton transverse momentum taken into account
- Due to gluon exchange between scattering parton and proton remnant in both initial and final state
- Partons become correlated across the two colliding protons
  - Can no longer factorize the nonperturbative functions into independent pdfs and fragmentation functions
  - Will need new (unknown) nonperturbative functions describing quantum-correlated partons across bound states
- Consequence of QCD specifically as a non-Abelian gauge theory!
Searching for evidence of predicted TMD-factorization breaking at RHIC

- Need observable sensitive to a nonperturbative momentum scale
  - Nearly back-to-back particle production
- Need 2 initial-state hadrons
  - Color exchange between a scattering parton and remnant of other proton
- And at least 1 final-state hadron
  - Exchange between scattered parton and either remnant

→ In p+p collisions, measure out-of-plane momentum component in nearly back-to-back photon-hadron and hadron-hadron production
Out-of-plane momentum component distributions

- Clear two-component distribution
  - Gaussian near zero—nonperturbative transverse momentum
  - Power-law at large $p_{\text{out}}$—kicks from hard (perturbative) gluon radiation
- Different colors $\rightarrow$ different bins of trigger particle $p_T$, proxy for hard interaction scale

Curves are fits to Gaussian and Kaplan functions, not calculations!
Look at *evolution* of nonperturbative transverse momentum widths with hard scale ($Q^2$)

- Theoretical proof of factorization within transverse-momentum-dependent framework directly predicts that nonperturbative transverse momentum widths *increase* as a function of the hard scattering energy scale (Collins-Soper-Sterman evolution)
  - Increased phase space for gluon radiation
- Confirmed experimentally in semi-inclusive deep-inelastic lepton-nucleon scattering (left) and quark-antiquark annihilation to leptons (right)


Nonperturbative momentum widths observed to decrease in processes where factorization breaking predicted

- Suggestive of TMD-factorization breaking effects?
- Have not yet completely ruled out a “trivial” nonperturbative correlation between partonic longitudinal momentum fraction $x$ and partonic transverse momentum $k_T$
- Steeper negative slope for photon-hadron than dihadron correlations—counterintuitive?
  - Photon can’t exchange gluon with remnant—might expect weaker effects than dihadron case
Nonperturbative momentum widths observed to decrease in processes where factorization breaking predicted

- Slope of decrease for both photon-hadron and dihadron correlations reproduced \( \approx \) exactly in PYTHIA p+p event generator—could this effect be in PYTHIA??
  - Effectively yes! Unlike analytic pQCD calculations, PYTHIA forces entire event *including remnants* to color neutralize, implemented via something they call “color reconnection”

PHENIX Collab., arXiv:1609.04769