

PROmoting School-community-university  
Partnerships to Enhance Resilience

## Building Capacity: Lessons from PROSPER on What Worked and What Could Work Better

Presented at the National Academies Workshop on Implementing Evidence-Based Prevention by Communities

June 9, 2016

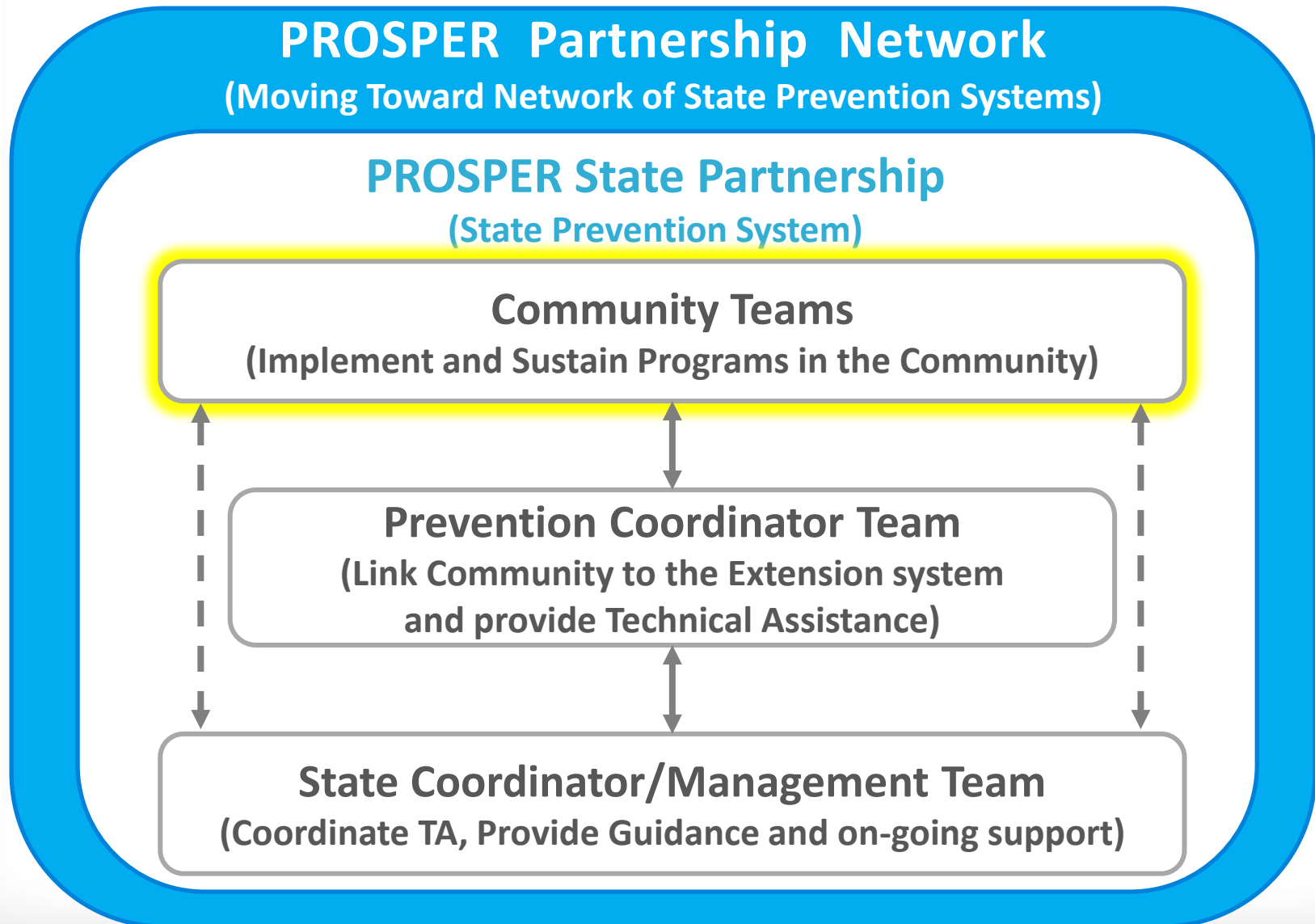
Richard Spoth

Partnerships in Prevention Science Institute, Iowa State University

Reported research has been funded by grants from the National Institute on Drug Abuse (DA013709, DA028879), the Centers for Disease Control and Prevention (DP002279), and the Annie E. Casey Foundation, with co-funding from the National Institute on Alcohol Abuse and Alcoholism.



# PROSPER Partnership Network Structure



# Community Teams **Goal 1: Sustain Evidence-based Programs** with High Quality\*

## Community Team Objectives

- Plan and coordinate family programs, including recruitment and monitoring for quality
- Work with the school to coordinate a school program, including monitoring for quality
- Generate resources for ongoing programming

\*Programs noted subsequently



# Community Teams **Goal 2: Build and Maintain a Well-functioning, Productive Team**

## Community Team Objectives

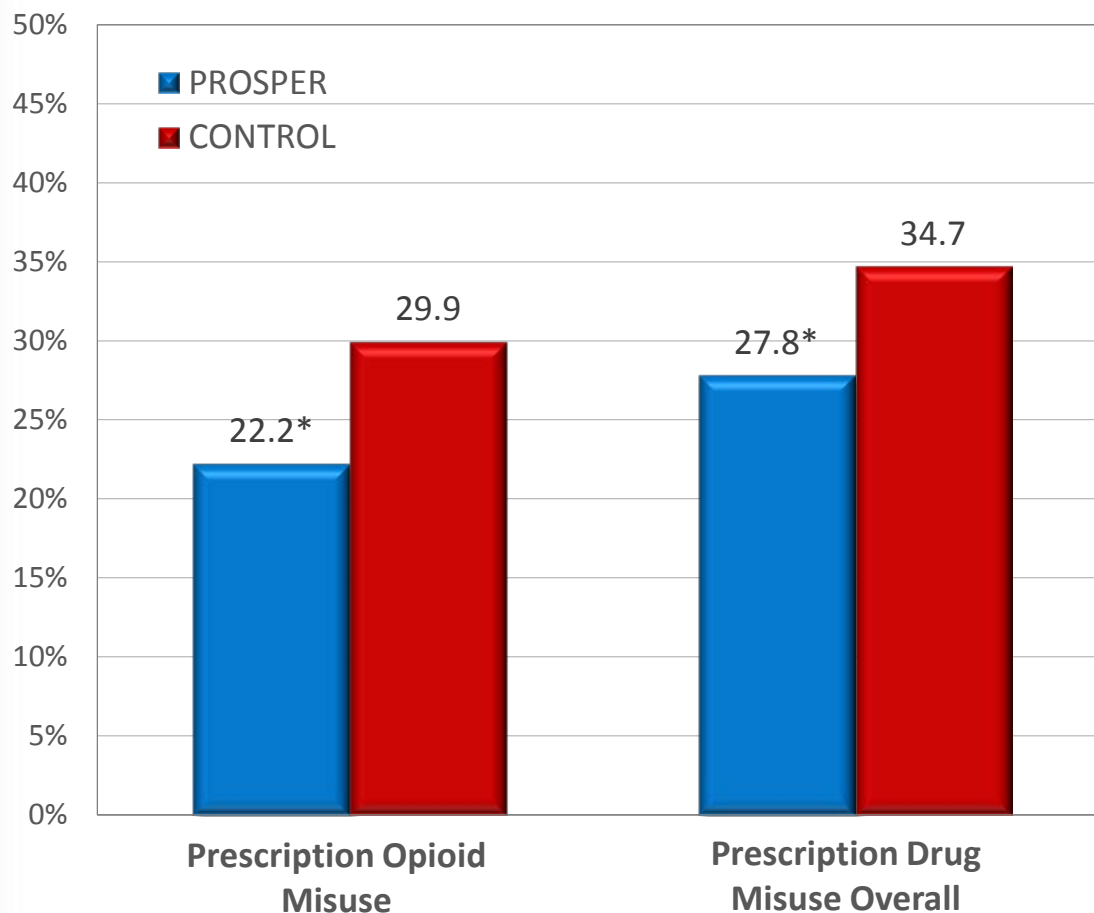
- Internally, the team focuses on holding regular, effective meetings and maintaining an active membership
- Externally, the team focuses on:
  - Building connections with the school and community organizations
  - Strategic communication throughout the community to promote awareness of its efforts
  - Recognizing and rewarding supporters and contributors



# Key PROSPER Partnership Randomized Control Trial Findings (from >80 published reports)

- **Effective mobilization** of community teams
- Community **teams sustained programming** efforts for ten years
- Community teams achieved **high recruitment rates** for family program participation, compared to traditional approaches
- All programs **implemented with high levels of quality**
- Reductions in **negative peer influences** indicated by social network analyses
- Positive effects for **strengthening family relationships, parenting, and youth skill outcomes** – note crossover effects
- Youth score significantly lower on a **range of problem behavior outcomes** (both substance misuse and conduct problems)
- Indications that it is **more cost efficient** than regular programming; also, that it is **cost effective and cost beneficial**

# Illustration: PROSPER Long-term Impact— Young Adult Lifetime Prescription Drug Misuse



**PROSPER vs. control differences are practically significant: For every 100 misusers in non-PROSPER communities, there would be about 20-26 fewer in PROSPER communities.**

Note: \* $p < .05$ , RRRs=20-26%.

Source: Spoth et al. Long-term effects of the PROSPER delivery system for universal prevention: Emerging adult substance misuse and associated risk behavior outcomes. Manuscript in final preparation.



# What Needs to be in Place

- Linking with **existing infrastructures**
- Organizing and fostering **sustainable community teams**
- Proactive, sustainability-oriented **technical assistance** focusing on benchmarking and web-based support
- **Capacity building focused on critical tasks** (recruitment, implementation quality, sustainability)





# Capacity Building Through Existing Infrastructures, Including State Systems

- USDA – Cooperative Extension System
  - Largest informal education system in the world
  - Reach into every county in the country
- DoE – State Public School Systems
  - Universal system reaching nearly all children
  - Existing relationships with Extension
- DoD – Military Family Support Systems
  - Ties into National Guard Support Systems
  - Could link to existing military training infrastructures
- Groundwork for linkage of the systems began in the late 1980s





# Capacity Building Through Proactive Technical Assistance (TA)

- **Prevention Coordinators** attend team meetings in their assigned community
- Contact Team Leaders **nearly every week** to discuss PROSPER activities and goals
- **Learning Communities** led by Prevention Coordinators re successful strategies and approaches
- Military-connected in Promoting NGR Families/ PROSPER Project



## What Worked: Capacity Building for Critical Implementation Tasks — **EBI Recruitment**

- Teams develop **strategic plans** with TA, to:
  - Increase community awareness (e.g., PSAs, cinema commercials, social and professional networks)
  - Recruit through youth in schools (e.g., present to classmates)
  - Recruit parents directly (e.g., personal contacts/calls)
  - Increase awareness of attendance incentives (e.g., youth graduation gift)



# What Worked: Capacity Building for Critical Tasks — **Quality EBI Implementation**

- Educate/train PROSPER partnership members about the **importance of quality monitoring and related strategies** at:
  - Statewide meetings
  - Learning communities
  - Facilitator and observer trainings
  - “Feedback sessions” after program (e.g. SFP 10-14) session is completed
  - Facilitator supervision



# Benchmarking TA Process for Effective Community Teams with Quality Implementation

- Assess benchmarked progress across all phases, with special attention to core components
  - Used to monitor sustainability efforts re team and programs
  - Facilitates sustained, long-term development

### Instructions for Completing PROSPER Model Benchmark Scoring



#### Instructions for Completing PROSPER Model Benchmark Scoring

The PROSPER Partnership Model is a *scientifically-proven delivery system* that provides sustained, quality delivery of evidence-based programs for youth and families. This system facilitates the delivery of programs by creating partnerships among Cooperative Extension, local schools, community volunteers and university-based researchers that operate through a three-tiered partnership structure. The infrastructure created by these partnerships is one of the unique features of this delivery system since it allows for scientific expertise from the university to flow through Prevention Coordinators (PCs) to Community Teams. This expertise and ongoing support, which includes ongoing evaluation and quality control, helps Community Teams implement programs effectively and sustain them long-term. Ultimately, this sustained effort results in a greater impact and benefits the community as a whole.

Based on years of implementation experience, the PROSPER Model Benchmarks have been developed to systematically map onto and reflect the elements of successful model implementation at the community level. Benchmarks have been identified across each of the PROSPER Partnership Model's five core components and are organized by functional areas as outlined in the Team Leader/PC Handbooks. To illustrate how benchmarks map onto the five core components, some examples are provided below:

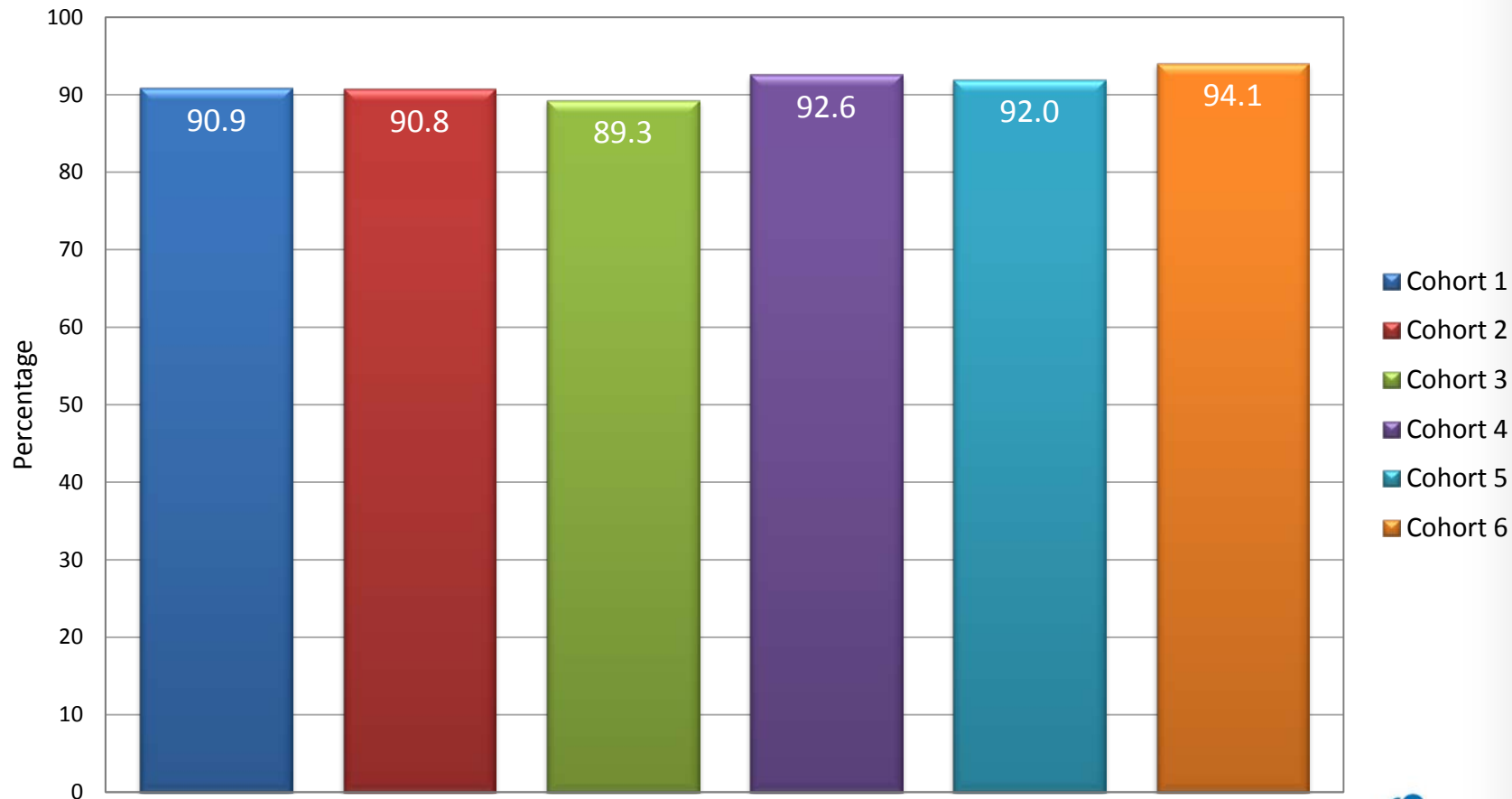
PROSPER Core Component	Example Benchmarks
1) A small, strategic team of community stakeholders led by a Cooperative Extension representative and co-led by a local school representative.	<ul style="list-style-type: none"><li>• PROSPER Team membership reflects the diversity of the community</li><li>• PROSPER Team has regular meetings during the school year</li></ul>
2) A 3-tier state-level partnership consisting of Community Teams, PCs, and a State Management Team	<ul style="list-style-type: none"><li>• Team Leader regularly communicates with Prevention Coordinator</li><li>• Majority of PROSPER Team members attend Statewide Meeting</li></ul>
3) A developmentally-oriented sustainability planning process that addresses long-term continuity and support for programming.	<ul style="list-style-type: none"><li>• PROSPER Team received funding/in-kind support during the past year for program implementation</li></ul>
4) Evidence-based programs that are selected by the Community	<ul style="list-style-type: none"><li>• PROSPER Team selected family program from the PROSPER menu</li></ul>



# PROSPER Strategies to ↑ Implementation

## Quality – Illustrative Findings

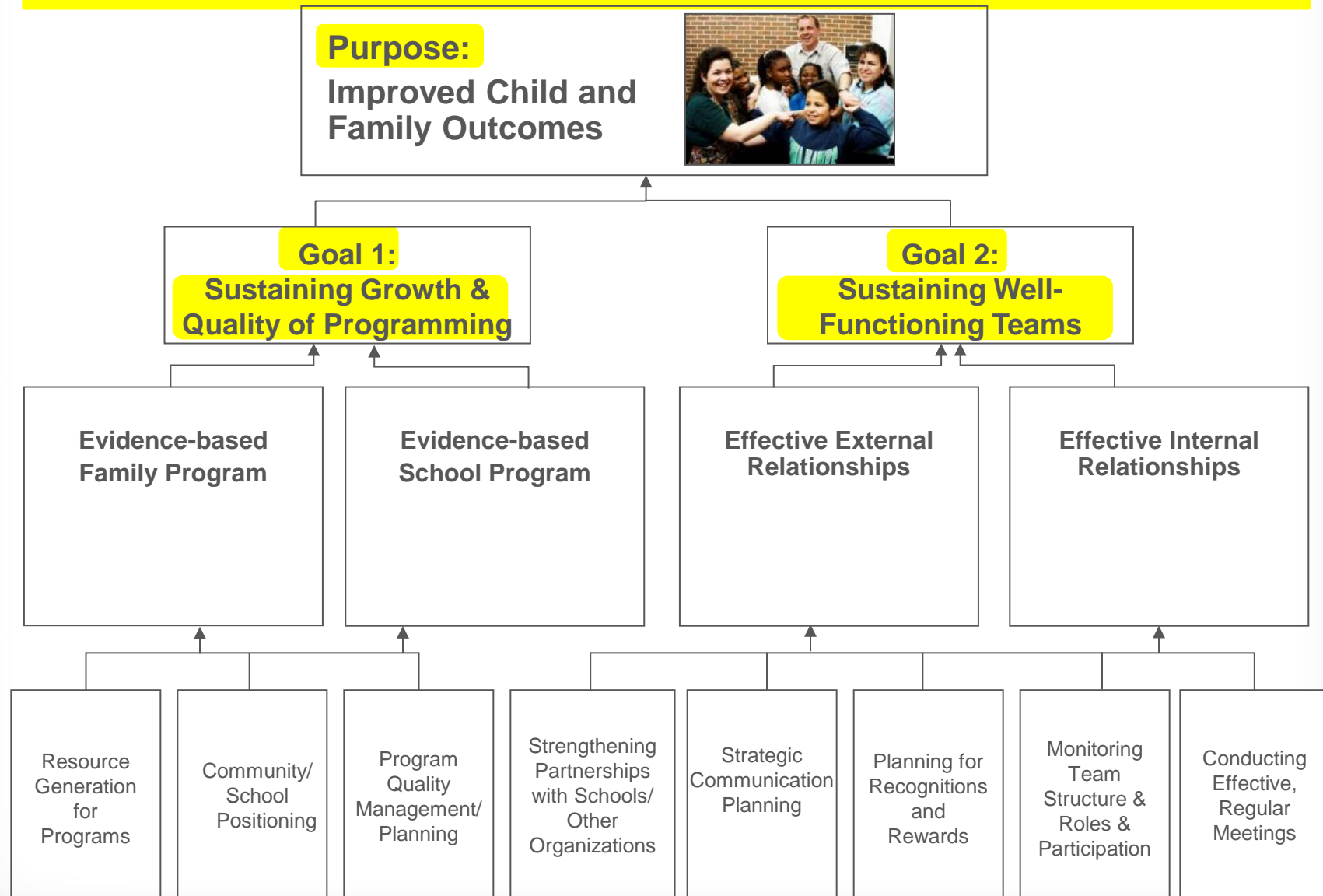
### PROSPER Long-Term Adherence Ratings



See: Spoth et al. (2007). PROSPER study of evidence-based intervention implementation quality by community-university partnerships. *Journal of Community Psychology*, 35(8), 981-999. Also see Spoth et al. (2011). Six-year sustainability of evidence-based intervention implementation quality by community-university partnerships: The PROSPER study. *American Journal of Community Psychology*, 48, 412-425.

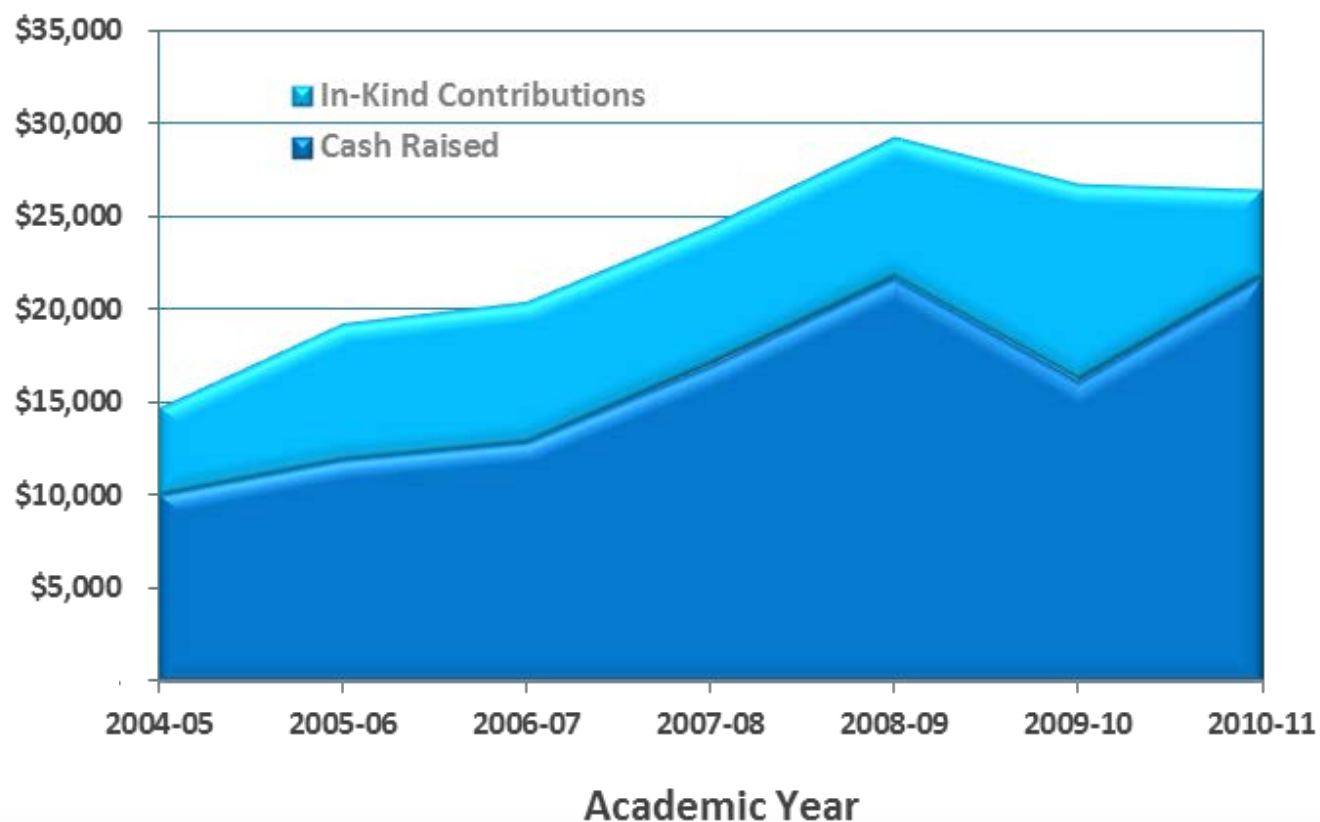


# What Worked: Capacity Building for Critical Tasks — Sustainability Model Mapping Onto Benchmarking



# Illustrative Sustainability Findings: Fundraising, with Web-Based Resource Tracker

Average Total Contributions Received Across  
All Project Communities by Academic Year





## Overarching Lessons Learned from Capacity and Infrastructure Development Grants

- Due to the degree of **complex systems change required**, **considerable resources** must be devoted in formative stages, to assure that barriers are addressed quickly and
- Assessing **readiness, adoption support, implementation capacity-building, and well-functioning implementation staff is key – an iterative process** through which relevant key factors for success must be addressed continuously
- When have **effective systems-level adaptations**, program-level adaptations compromising quality are less of an issue
- **General community learning strategy:** At national/network level, working with stakeholders re continuously improving trainings/TA/data systems to ensure all of the relevant issues are addressed



# Possible Courses of Action to Build Capacity—“Meta Capacity Building”

- **Strengthen Infrastructure for networked prevention systems**
  - Build on existing infrastructures
  - Learn from existing implementation systems research
  - Link with ACA healthcare reform efforts (e.g., Community Benefit)
- **Build prevention workforce**
  - “Build out” currently available training/certification systems
  - Organize network of university-supported trainers (e.g., ICUDDR)
- **Expand innovative, sustainable funding mechanisms**
  - Private-Public partnerships linked with integrated health homes
  - Prevention & Wellness Funds to support networked communities
- **Prioritize Adoption, Implementation, Sustainability Research Questions** (see Table 1, for the **Top 22**)

See: Spoth, Rohrbach, Greenberg, et al. (Society for Prevention Research Type 2 Translational Task Force Members and Contributing Authors) (2013). Addressing core challenges for the next generation of type 2 translation research and systems: the Translation Science to Population Impact (TSci Impact) framework. *Prevention Science*, 14(4), 319-351



# Please visit our website...

## HelpingKidsPROSPER.org



[CONTACT](#) | [LOGIN](#)

We've Got Prevention Down To A Science

[What Is PROSPER](#)

[How It Works](#)

[Proven Results](#)

[About Us](#)

[Support PROSPER](#)

**Success** is too **important** to leave to chance



### We've got prevention down to a science.

Most prevention programs for youth promise to reduce problem behaviors. And they can look good. On paper. But do they work?

Prevention scientists are discovering that results often fall far short of expectations. For some programs, it's because they were not tested. For others, it's ineffective implementation. For still others, it's the lack of continued financial and community support for long-term sustainability, even when the program has positive results.

Learning from this research, we have developed a system for implementing quality, evidence-based prevention programs. Our approach has been tested



PROSPER was recently featured in the Office of Disease Prevention and Health Promotion's *Who's Leading the Leading Health Indicators?* – Substance Abuse.

[Click here to find out more](#)

PROSPER has been recognized by two of the most rigorous review panels for prevention programs, the *Coalition for Evidence-Based Policy* and *Blueprints for Healthy Youth Development*.

**THANK YOU!**

**The ISU Partnerships in Prevention Science Institute**

**The PROSPER Partnership Group**

