



# **What's Hot at NIH January 2010**

## **Office of Policy for Extramural Research Administration**



# NIH FY 2010 Fiscal Policy for Grant Awards

- **Non-Competing Research Awards:** each IC will use its own discretion to implement compliance with the 2% inflation allowance provided in FY10.
- **Competing Research Awards:** each IC will manage its own portfolio, with average increase of 2% over FY09.
- **New Salary Cap Summary** (equal to Executive Level I) is \$199,700  
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-041.html>
- **New NRSA Stipend levels**  
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-047.html>

More at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-039.html>



# Restructured Applications and Instructions

- Applications restructured to align structure and content with review criteria, and shorten length
- New and resubmissions applications must use new forms for due dates on or after 01/25/2010
- Shorter Page Limits
- Three sections of application revised:
  - Research Plan, Biographical Sketch, Resources and Facilities



# Restructured Applications Changes

- **Research Strategy**
  - Replaces Background and Significance, Preliminary Studies/Progress Report, Research Design and Methods.
  - Has three subsections: Significance, Innovation, Approach
- **Biographical Sketch Changes**
  - *Personal Statement*: why your experience and qualifications make you well-suited for your role
  - *Publications*: Include no more than 15, and make selections based on recency, importance to the field, and/or relevance to the application
  - Does not apply to participating faculty on Ts.



# Restructured Applications Changes

- **Facilities and Resource**

- Describe how the scientific environment will contribute to the probability of success of the project
- Describe institutional investment in success of ESIs
- Describe the biocontainment resources available at all performance sites (in Select Agent Section of Research Plan)



# Adobe Forms B

- Implements Peer Review changes and Federal-wide changes to SF 424 R&R
- Use required submissions intended for due dates on or after 1/25/2010 (or 2/7/09 for AIDS R01, R21, and R34 eligible for continuous submission)
- See 1/12/2010 Items of Interest for details about Adobe-Forms-B  
[http://era.nih.gov/news\\_and\\_events/index.cfm](http://era.nih.gov/news_and_events/index.cfm)



# Revised Progress Report

- Use required as of 10/01/2009 (eSNAP updated 10/2009)
- Policy changes:
  - All Personnel Report (PD/PIs & all > 1 person month)
  - Provide Commons ID for postdocs
  - Assurance for Institutions with Awards for Grad Student Training for Doctoral Degrees
  - Describe any change to innovative potential (peer review initiative change)
  - Biosketch (peer review initiative change)
  - New question regarding hESC change

More at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-139.html> and  
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-150.html>



# NIH Guidelines on Human Stem Cell Research – 7/7/09

- Established criteria for NIH review of Human Embryonic Stem Cells (hESCs) to be included in new Registry as eligible for use in NIH funding
- Centralize processes and procedures for NIH reviews of hESCs
- Organizations submitting information about hESCs for potential inclusion in the Registry must use NIH Form 2890 (web-based form)





# NIH hESC Registry

- Grantees may only use lines listed on the new Registry
- Old cell lines are **not** grandfathered into the new Registry
- First 13 lines approved 12/2/09
- 42 lines are currently approved
- 90 lines are currently pending NIH review

[http://grants.nih.gov/stem\\_cells/registry/current.htm](http://grants.nih.gov/stem_cells/registry/current.htm)



# NIH hESC Registry

- As of 12/02/2009 approved cell lines are in Registry:  
[http://grants.nih.gov/stem\\_cells/registry/current.htm](http://grants.nih.gov/stem_cells/registry/current.htm)
- Applicants should review approved hESCs
  - If any of the approved lines are appropriate, the Registry number should be cited in application/correspondence (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-020.html> )
  - If no approved lines are appropriate, applicant should include statement that one from the Registry will be used (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-123.html> )



# Federal Financial Report SF-425

- Effective 1/1/10 NIH grantees are to report cash transaction data via the Payment Management System (PMS) using the FFR cash transaction data elements
- First quarter reports are due by 1/30/10
- Additional information and training available on the Division of Payment Management website: <http://www.dpm.psc.gov/>.
- Transition of FSR data to FFR is on hold
  - Grantees should continue to use eFSR system until further notice.



# Training Applications

- Have transitioned to electronic submission.
- Revised Responsible Conduct of Research Plan
  - NIH requires that all trainees, fellows, participants, and scholars receiving support through any NIH training, career development award (individual or institutional), research education grant, and dissertation research grant must receive instruction in responsible conduct of research.
  - Effective 1/25/2010 for new and renewal applications
  - Effective 1/1/2011 for progress reports



# Training Applications and Awards

- Assurance and Reporting
  - Applies only to doctoral degree granting institutions receiving: D43, TU2, T15, T32, T37, T90, U2R, U90, and U54/TL1
  - Assurance that institution will disclose to doctoral applicants:
    - % of students admitted who attained a doctoral degree
    - Average time until receipt of doctoral degree
  - Report same info to NIH on Table 12A of Institutional Research Training Data Tables of renewal applications and progress reports beginning 10/01/2009
- xTrain open to all institutions; moving toward mandating use in January 2011



**Thank You!**

Any Questions?