



# **NIH ARRA Update January 26, 2010**

**Office of Policy for Extramural  
Research Administration**



# NIH Tools for Recipient Reporting

- NIH FAQs address ARRA recipient reporting NIH-specific concerns:  
[http://grants.nih.gov/recovery/faqs\\_recovery.html](http://grants.nih.gov/recovery/faqs_recovery.html)
- ARRA Quarterly Report Sample Templates:  
[http://grants.nih.gov/recovery/sample\\_quarterly\\_reports.html](http://grants.nih.gov/recovery/sample_quarterly_reports.html)
- ARRA Data Reports:  
<http://report.nih.gov/recovery/index.aspx>  
*(includes most data needed for quarterly reports)*



# Recipient Reporting

- Reports entered at [www.FederalReporting.gov](http://www.FederalReporting.gov) and publicly available at [www.recovery.gov](http://www.recovery.gov)
  - Includes web based form, MS Excel template and XML schema options for report submission
- OMB Data Model v.3.0
  - OMB released the Recipient Reporting Data Model v3.0 for ARRA, Quarter Ending December 31, 2009.
  - Includes a Data Dictionary describing the data elements specifically required for recipient reporting
  - Additional NIH guidance (*based on the OMB Data Model*) has not yet been updated. Use OMB guidance.



# Omissions, Errors, and Problems

- Material Omissions = Report Not Submitted
- Significant Errors reviewed by NIH:
  - Errors reviewed during October reporting period
    - Award amount or date differs from agency records
    - Expenditure amount > award amount
    - Unreasonably high job estimates
  - Additional significant errors reviewed January period:
    - DUNS Number now reviewed
    - Award date no longer checked as a significant error.
- Other Errors being reviewed by NIH:
  - TAS code, agency code, or CFDA number differs from agency records



# Omissions, Errors, and Problems (cont.)

- Common problems with quarterly reports
  - Funding Agency Code –
    - This code is vital for us to find your report
    - Use NIH Code 7529
  - Congressional District –
    - Due to highly publicized problems in October, FR.gov now only allows reporting of actual congressional districts
    - Grantees should still ensure that they are using the appropriate district even if it passes FR.gov validations.



# Recent Changes

- Extensions of reporting period
  - from January 10 to January 15
  - from January 15 to January 22
- Jobs Reporting
  - report job estimates on a quarterly, rather than cumulative, basis
  - See December 18, 2009 OMB Guidance  
<http://www.recovery.gov/FAQ/recipient/Documents/m10-08%20Updated%20Guidance%2012182009.pdf>
  - How to calculate jobs  
[http://www.recovery.gov/FAQ/recipient/Pages/Recipient\\_Reporting.aspx#how\\_to\\_calculate\\_jobs](http://www.recovery.gov/FAQ/recipient/Pages/Recipient_Reporting.aspx#how_to_calculate_jobs)



# Recent Changes

- New OMB FAQ on Reporting Vendor Payments
  - **Do I need to disaggregate payments to vendors under \$25K paid in a previous quarter, if I reach the threshold through additional payments in subsequent quarters?**
  - If a recipient chooses to aggregate vendor payments in a previous quarter, additional vendor payments in subsequent quarters will not automatically trigger the \$25,000 threshold and require disaggregated reporting for the vendor. The threshold is triggered by individual payments within a quarter, though the number of payments and associated dollars should be reported cumulatively.
  - See [http://www.whitehouse.gov/OMB/RECOVERY\\_FAQS/#agg4](http://www.whitehouse.gov/OMB/RECOVERY_FAQS/#agg4) for full FAQ and more information.



# Contact

- **Who can I contact for additional assistance?**
  - Contact the [FederalReporting.gov helpdesk](https://www.federalreporting.gov/helpdesk) to obtain assistance with user functions related to the registration and reporting processes.
- **If I have a question the FederalReporting.gov helpdesk cannot answer, whom should I contact at NIH?**
  - [NIHARRAREPORTING@nih.gov](mailto:NIHARRAREPORTING@nih.gov)
  - Grantees should **not** contact ICs, grants management specialist, or program official on issues concerning this quarterly reporting requirement.





**Thank You!**

Any Questions?