



NIH ARRA Update

January 26, 2010

**Office of Policy for Extramural
Research Administration**



NIH Tools for Recipient Reporting

- NIH FAQs address ARRA recipient reporting NIH-specific concerns:
http://grants.nih.gov/recovery/faqs_recovery.html
- ARRA Quarterly Report Sample Templates:
http://grants.nih.gov/recovery/sample_quarterly_reports.html
- ARRA Data Reports:
<http://report.nih.gov/recovery/index.aspx>
(includes most data needed for quarterly reports)



Recipient Reporting

- Reports entered at www.FederalReporting.gov and publicly available at www.recovery.gov
 - Includes web based form, MS Excel template and XML schema options for report submission
- OMB Data Model v.3.0
 - OMB released the Recipient Reporting Data Model v3.0 for ARRA, Quarter Ending December 31, 2009.
 - Includes a Data Dictionary describing the data elements specifically required for recipient reporting
 - Additional NIH guidance (*based on the OMB Data Model*) has not yet been updated. Use OMB guidance.



Omissions, Errors, and Problems

- Material Omissions = Report Not Submitted
- Significant Errors reviewed by NIH:
 - Errors reviewed during October reporting period
 - Award amount or date differs from agency records
 - Expenditure amount >award amount
 - Unreasonably high job estimates
 - Additional significant errors reviewed January period:
 - DUNS Number now reviewed
 - Award date no longer checked as a significant error.
- Other Errors being reviewed by NIH:
 - TAS code, agency code, or CFDA number differs from agency records



Omissions, Errors, and Problems (cont.)

- Common problems with quarterly reports
 - Funding Agency Code –
 - This code is vital for us to find your report
 - Use NIH Code 7529
 - Congressional District –
 - Due to highly publicized problems in October, FR.gov now only allows reporting of actual congressional districts
 - Grantees should still ensure that they are using the appropriate district even if it passes FR.gov validations.



Recent Changes

- Extensions of reporting period
 - from January 10 to January 15
 - from January 15 to January 22
- Jobs Reporting
 - report job estimates on a quarterly, rather than cumulative, basis
 - See December 18, 2009 OMB Guidance
<http://www.recovery.gov/FAQ/recipient/Documents/m10-08%20Updated%20Guidance%2012182009.pdf>
 - How to calculate jobs
http://www.recovery.gov/FAQ/recipient/Pages/Recipient_Report.aspx#how_to_calculate_jobs



Recent Changes

- New OMB FAQ on Reporting Vendor Payments
 - **Do I need to disaggregate payments to vendors under \$25K paid in a previous quarter, if I reach the threshold through additional payments in subsequent quarters?**
 - If a recipient chooses to aggregate vendor payments in a previous quarter, additional vendor payments in subsequent quarters will not automatically trigger the \$25,000 threshold and require disaggregated reporting for the vendor. The threshold is triggered by individual payments within a quarter, though the number of payments and associated dollars should be reported cumulatively.
 - See http://www.whitehouse.gov/OMB/RECOVERY_FAQS/#agg_4 for full FAQ and more information.



Contact

- **Who can I contact for additional assistance?**
 - Contact the FederalReporting.gov helpdesk to obtain assistance with user functions related to the registration and reporting processes.
- **If I have a question the FederalReporting.gov helpdesk cannot answer, whom should I contact at NIH?**
 - NIHARRAREPORTING@nih.gov
 - Grantees should **not** contact ICs, grants management specialist, or program official on issues concerning this quarterly reporting requirement.



Thank You!

Any Questions?