

# ARRA 2<sup>nd</sup> QTR Recipient Reporting Update



**NSF Briefing to the Federal  
Demonstration Partnership**

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# Report Early, Report Often - Ask Early, Ask Often

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# Guidance Changes

- Due Date Extensions for this quarter by RATB and OMB – January 15<sup>th</sup> and then can file late until January 22nd
- M- 10-08 dated 12/18/09 “ Updated Guidance on ARRA – Data Quality, Non Reporting Recipients and Reporting of Jobs Estimates.”
  - More prescriptive federal data quality guidelines
  - Job estimates
    - Change to quarterly reporting
    - Definition change – report jobs created/retained that were funded with ARRA funds that quarter

# NSF's Review Process: Days 24\* -29

## ■ “Non-Reported” Awards

- A list of these awards and recipients is sent to the OMB, Recovery Act Board and our OIG on 2/5
- Two time Non-Reports are getting high scrutiny

## ■ Reported data -is screened to identify material omissions or significant reporting errors.” Fields reviewed are in 5 data elements:

- Award Number
- Amount of Award
- Recipient Name/DUNS
- Number of Jobs
- Total Amount of ARRA Expenditure

■ Recipients of these awards are notified via the website and NSF e-mail to Sponsored Project Office of non-reporting or significant errors.

■ NSF sent out 1 reminder to all recipients to report

■ NSF sent out 3 reminders to those who had not reported on 1/8, 1/13, 1/18

■ NSF/DGA also reached out to recipients that had not submitted a report for two consecutive quarters.

# Most Frequent Issues and Errors

## ■ Most Frequent Issues:

- Incorrect Data that cause federal agencies to not receive your report - Award Number, Agency Code
- Submission issues – registration, draft
- Duplicate reporting

## ■ Top 4 Errors

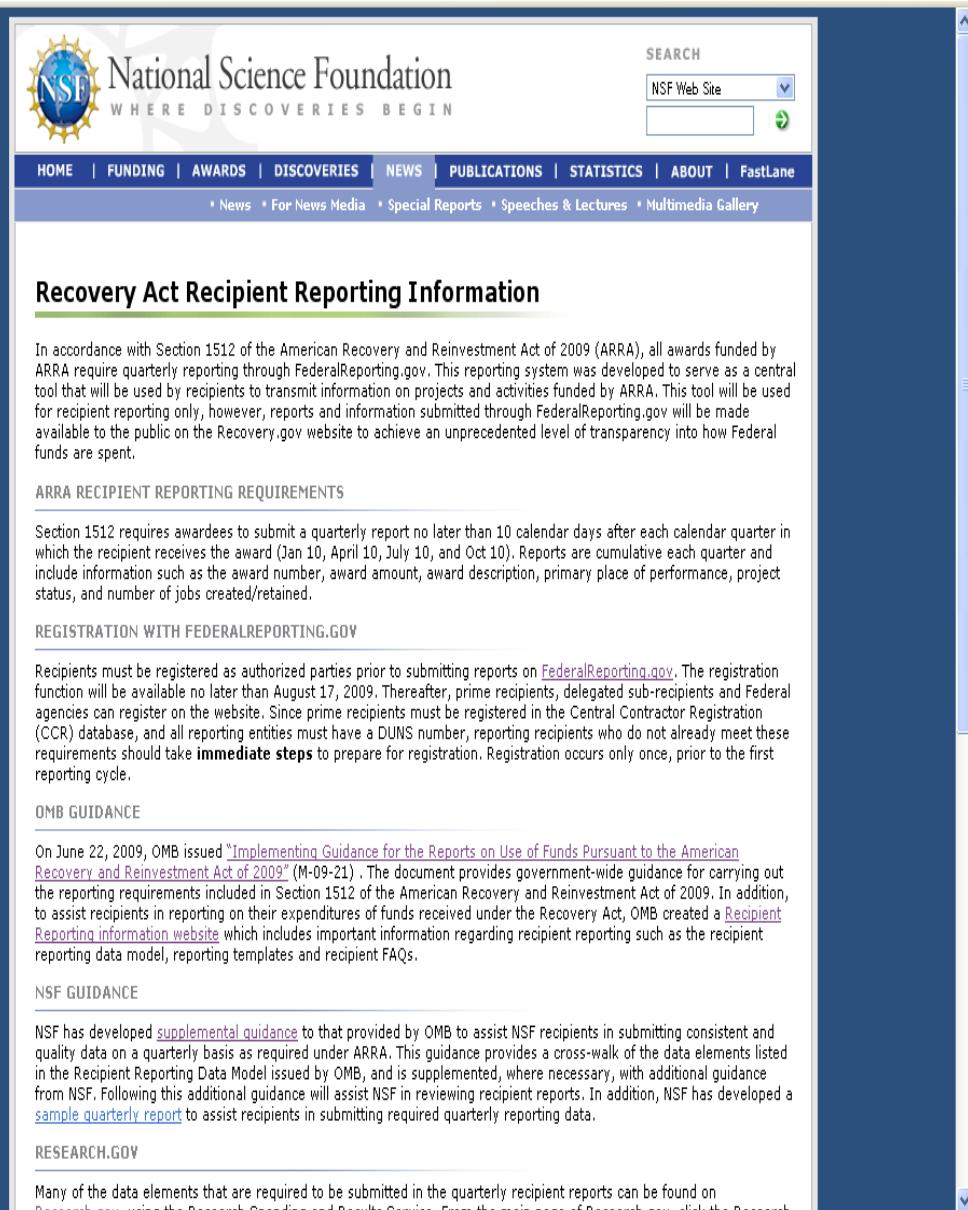
- Award Number
- Award Date
- Treasury Account Symbol
- Expenditure Amount

## ■ Don't change prior quarters – fix prospectively

# Tools for Use by NSF Recipients in Meeting the ARRA Reporting Requirements



# NSF Recipient Reporting Website



The screenshot shows the NSF Recipient Reporting Information page. The page header includes the NSF logo and the text "National Science Foundation WHERE DISCOVERIES BEGIN". A search bar is at the top right. A navigation menu below the header includes links for HOME, FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT, and FastLane. Sub-menu links for NEWS include "News", "For News Media", "Special Reports", "Speeches & Lectures", and "Multimedia Gallery". The main content area is titled "Recovery Act Recipient Reporting Information". It contains a paragraph about the reporting requirements under the American Recovery and Reinvestment Act of 2009 (ARRA). Below this, sections include "ARRA RECIPIENT REPORTING REQUIREMENTS", "REGISTRATION WITH FEDERALREPORTING.GOV", "OMB GUIDANCE", "NSF GUIDANCE", and "RESEARCH.GOV". Each section contains descriptive text and links to further information.

**Recovery Act Recipient Reporting Information**

In accordance with Section 1512 of the American Recovery and Reinvestment Act of 2009 (ARRA), all awards funded by ARRA require quarterly reporting through FederalReporting.gov. This reporting system was developed to serve as a central tool that will be used by recipients to transmit information on projects and activities funded by ARRA. This tool will be used for recipient reporting only; however, reports and information submitted through FederalReporting.gov will be made available to the public on the Recovery.gov website to achieve an unprecedented level of transparency into how Federal funds are spent.

**ARRA RECIPIENT REPORTING REQUIREMENTS**

Section 1512 requires awardees to submit a quarterly report no later than 10 calendar days after each calendar quarter in which the recipient receives the award (Jan 10, April 10, July 10, and Oct 10). Reports are cumulative each quarter and include information such as the award number, award amount, award description, primary place of performance, project status, and number of jobs created/retained.

**REGISTRATION WITH FEDERALREPORTING.GOV**

Recipients must be registered as authorized parties prior to submitting reports on [FederalReporting.gov](#). The registration function will be available no later than August 17, 2009. Thereafter, prime recipients, delegated sub-recipients and Federal agencies can register on the website. Since prime recipients must be registered in the Central Contractor Registration (CCR) database, and all reporting entities must have a DUNS number, reporting recipients who do not already meet these requirements should take **immediate steps** to prepare for registration. Registration occurs only once, prior to the first reporting cycle.

**OMB GUIDANCE**

On June 22, 2009, OMB issued ["Implementing Guidance for the Reports on Use of Funds Pursuant to the American Recovery and Reinvestment Act of 2009"](#) (M-09-21). The document provides government-wide guidance for carrying out the reporting requirements included in Section 1512 of the American Recovery and Reinvestment Act of 2009. In addition, to assist recipients in reporting on their expenditures of funds received under the Recovery Act, OMB created a [Recipient Reporting information website](#) which includes important information regarding recipient reporting such as the recipient reporting data model, reporting templates and recipient FAQs.

**NSF GUIDANCE**

NSF has developed [supplemental guidance](#) to that provided by OMB to assist NSF recipients in submitting consistent and quality data on a quarterly basis as required under ARRA. This guidance provides a cross-walk of the data elements listed in the Recipient Reporting Data Model issued by OMB, and is supplemented, where necessary, with additional guidance from NSF. Following this additional guidance will assist NSF in reviewing recipient reports. In addition, NSF has developed a [sample quarterly report](#) to assist recipients in submitting required quarterly reporting data.

**RESEARCH.GOV**

Many of the data elements that are required to be submitted in the quarterly recipient reports can be found on [Research.gov](#), using the Research Spending and Results Service. From the main page of Research.gov, click the Research

- **Registration with FederalReporting.gov**
- **OMB Guidance**
- **NSF Guidance**

● <http://nsf.gov/recovery/reporting.jsp>

# NSF Recipient Reporting Instructions

❑ Crosswalk of data elements provided by OMB

❑ Assists NSF in reviewing reports

❑ Research.gov – Research Spending & Results

National Science Foundation (NSF)  
American Recovery & Reinvestment Act of 2009 (ARRA)  
Recipient Quarterly Reporting Instructions  
September 01, 2009

The following information is provided to assist NSF recipients in consistent and quality data quarterly reporting under ARRA. This chart provides a cross-walk of the data elements listed in the Recipient Reporting Data Model issued by OMB in the Final Production Release v.3.0. Guidance. The data model, however, has been modified to include only those data elements that are applicable to NSF assistance awards. In an effort to ensure data quality and to assist recipients in reporting accurate data for ARRA quarterly reports, NSF is providing additional information, where appropriate, for use by the recipient. Following this additional guidance will assist NSF in reviewing recipient reports. As new information becomes available, this document will be updated accordingly.

Many of the data elements that are required to be submitted in the quarterly recipient reports can be found on Research.gov, ([www.Research.gov](http://www.Research.gov)) using the Research Spending and Results Service. From the main page of Research.gov, click the Research Spending and Results link to navigate to the search page. Type in the applicable Award ID Number into the Awardee or Award Information box and click Search. A [help sheet](#) that illustrates the location of many of the requisite responses is available for use by the recipient community.

	Data Elements	Yellow – Input (user provided)				Blue – System provided (e.g. CCR, Agency Code Reference Table)			
	RECIPIENT DATA ELEMENTS								
	Data Element	OMB Definition (as specified in OMB Recipient Reporting Data Model, v3.0)	Type	Max Length	Example	Comments	Control	Validation and Business Rule(s)	Additional NSF Guidance, where applicable
1	Funding Agency Code	The Federal Agency name corresponding to the Federal Agency Code used.	string	55	6800	Federal Agency code is mandatory for Recipient Reporting. The Funding Agency is the agency that receives the ARRA funds through the ARRA funds appropriation.	April 1, 2009 Federal Register Transparency Act Guidance March 30, 2007. Initial Reference: fips05-2.pdf Latest Reference: NIST Special Publication 800-87		Select/Enter '4800' for all NSF awards.
2	Funding Agency Name	The Federal Agency name corresponding to the Federal Agency Code used.	string	55	ENVIRONMENTAL PROTECTION AGENCY	Inferred given the Funding Agency Code	April 1, 2009 Federal Register Transparency Act Guidance March 30, 2007	This field is inferred given the Funding Agency Code provided	This field will be auto-populated by FederalReporting.gov.

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# NSF ARRA Help/Tip Sheet

## ARRA Recipient Reporting

### Completing Reporting Requirements Using Information From Research.gov

Many of the data elements for your award that are required in the American Recovery and Reinvestment Act (ARRA) Recipient Reports can be found on Research.gov, using the Research Spending and Results Service.

#### Find Your Award

The Research Spending and Results service can be found at [www.research.gov](http://www.research.gov). From the main page of Research.gov, click the Research Spending and Results link to navigate to the search page.

Type in your Award ID Number into the Awardee or Award Information box and click Search

## Get Your Information

Information you will need to complete your ARRA Recipient Report is available in the Research Spending and Results Detail page for your award. The numbers listed below correspond to data elements listed in the Awardee Guidance document.

Research Spending and Results Detail		
No.	Research.gov Element Name	ARRA Report Element Name
1	Funding Agency Code	Funding Agency Code
3	Awarding Agency Code	Awarding Agency Code
5	Primary Program Source	Program Source (TAS)
6	Federal Award ID Number	Award Number
7	DUNS ID	Recipient DUNS Number
10	CFDA Number	CFDA Number
20	Cong. District	Recipient Cong. District
23	Transaction Type	Award Type
24	Award Date	Award Date
25	Abstract at Time of Award	Award Description
26	Award Title or Description	Project Name
33	Funds Obligated to Date	Amount of Award
48 & 49*	Street	Primary Place of Performance- Street
50	State	Primary Place of Performance- State
51	Country	Primary Place of Performance- Country
52	Zip Code	Primary Place of Performance- Zip Code
53	City	Primary Place of Performance- City
54	Cong. District	Primary Place of Performance- Cong. District

**Grant Detail**

Awardee:  
Doing Business As Name:  
PGPC

22 Award Date:  
Estimated Total Award Amount:  
\$556,404

33 Funds Obligated to Date:  
\$556,404

25 Award Start Date:  
Award End Date:  
06/01/2012

25 Transaction Type:  
Agency:

1 Awarding Agency Code:  
Funding Agency Code:  
CPDA Program Number:  
10

5 Primary Program Source:  
Award Title or Description:  
SYMBIOSIS DEF & SELF RECOG

7 Federal Award ID Number:  
DUNS ID:  
Program:  
Program Officer:  
mrahm@ufl.edu

26 Awardee Location:  
Street:  
1 UNIVERSITY OF FLORIDA  
GAINESVILLE  
FL  
32611-2002  
Gainesville  
US  
00

25 Primary Location of Performance:  
Name:  
Street:  
City:  
State:  
Zip:  
County:  
Country:  
Cong. District:  
54

25 Abstract at Time of Award:  
This award is funded under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5)

Salicylic acid (SA) is a signaling molecule in the plant immune system. SA accumulates following pathogen invasion, triggering activation of the transcription regulator NPR1, which in turn induces resistance to a broad spectrum of pathogens. SA and its analogues have been used in the field to increase plant resistance to various pathogens. This project will use a transgenic approach to increase the natural accumulation of elevated levels of SA in plants, leading to pathogen retardation and reduced seed yields, suggesting that high levels of SA stress genes. The focus of this project is to understand the nature of SA stress by identifying components of the SA-stress signaling pathway using a combination of molecular, biochemical, and physiological approaches. The project will also explore the use of SA and its analogs in SA stress areas, which will help minimize the detrimental effect of SA on crops when utilized to activate resistance in agriculture. If the elevated SA levels from the project will further promote the usage of SA in agriculture for increasing plant resistance to pathogens, reducing the use of

\*49 is only applicable if there is a secondary street address for primary location of performance



Questions? ARRA questions also may be directed to the  
NSF ARRA email [NSFARRAReview@nsf.gov](mailto:NSFARRAReview@nsf.gov)