

Collaborative Proposals Submissions to the National Science Foundation

**Federal Demonstration Partnership
Meeting**

January 12-13, 2009



Jean I. Feldman
Head, Policy Office
National Science Foundation

How NSF Allows, Encourages & Handles Multiple PIs

- NSF has permitted identification of multiple investigators on NSF proposals since 1963
- OSTP Memorandum regarding multiple PIs did not require a significant change to NSF policy or procedures
- A variety of special programs for multiple PI projects exist, e.g., Materials Research Groups. However, hundreds of other “spontaneous” groups have funding
- All PIs share responsibility for proper conduct of the project. For example, failure to submit final reports on time can delay review and processing of proposals for all PIs

Two mechanisms for submission of Collaborative Proposals

- Submission of a collaborative proposal from one organization
- Submission of a collaborative proposal from multiple organization
- Procedures for submission of collaborative proposals are included in the Grant Proposal Guide at:
http://www.nsf.gov/pubs/policydocs/pappguide/nsf09_1/gpg_2.jsp#IID4

Submission Process for Collaborative Proposals

■ Single Proposal

- a single proposal from one institution that includes subawards with the collaborating institutions
- The PIs identified on the Cover Sheet may be from other institutions
- Up to 5 PIs may be identified
- Submission of a single proposal collaborative is supported by [Grants.gov](https://www.grants.gov)

Submission Process for Collaborative Proposals (Cont'd)

- Simultaneously submitted collaborative proposals from multiple institutions
 - The lead organization's submission will include the:
 - Cover Sheet;
 - Project summary;
 - Project Description (including mentoring plans for all applicable collaborating organizations);
 - References cited;

Submission Process for Collaborative Proposals (Cont'd)

- Lead Organizations submission (Cont'd)
 - Facilities, equipment and other resources for their organization;
 - Biographical sketches for Senior Personnel from the lead organization;
 - Current and pending support for Senior Personnel from the lead organization; and
 - Budget and budget justification for the lead organization.

Submission Process for Collaborative Proposals (Cont'd)

- The Non-lead organization submission(s) will include all of the above for their organization, except the Project Summary, Project Description, and References Cited -- which is the same for all collaborating organizations.
- FastLane combines separate proposals into a single proposal for review purposes. Up to 5 PIs may be identified on EACH piece of the collaborative proposal
- Separate award are made to each collaborating organization, if supported by the review process.

Submission Process for Collaborative Proposals (Cont'd)

- Simultaneously submitted collaborative proposals are not supported by Grants.gov