

FDP May 12-15, 2010

Subaward and A-133 Committee minutes

The two committees are merging—

Objectives of the merged committee are:

1. Leverage resources in related areas
2. More effectively utilize skills and expertise of merged committee membership
3. Maximize mobilization of initiatives and progress of activities with priority-focused structure
4. Ensure continuity between pre- and post-subaward related initiatives and activities
5. Mitigate duplication of effort

3 Working groups will be formed: Website, Template, FAQ

Each co-chair will direct one subgroup

Transparency Act may be added to the priority list

Co-Chairs:

Jim Becker, Univ of Indiana, will lead the Template group

Jay McMillen, Texas Tech Univ, will lead the Website group

Sara Judd, Univ of Southern California, will lead the FAQ group

Please forward any questions to all 3 co-chairs

The committee recognized the efforts of Susan Boone, past Co-Chair of Subaward group

**Website Group – Primary responsibilities**

Reorganize structure and content of the web content for the committee

Identify resources, questions

Make forms more readily accessible

Merge between subawards page and subrecipient monitoring

**Template Group—Primary responsibilities**

Monitor and update the subaward templates and A-133 templates

Provide instructional guidance for templates to be posted on the subaward website

Research and provide recommendations for Templates

Field questions about templates

**FAQ group will maintain list of questions**

FAQs will be posted on subaward website as Resource

Name for Merged Committee

Open for suggested names—

Subs Committee

SSMC Committee

Other names to be considered . . .

## Specific objectives for each committee

### Templates, suggested changes

Attachment 2 for NOAA—we will try to do something for an attachment 2

Attachment 3B – Decide place of performance location field

CFDA number AND title to be recorded on the form

Do we actually have a problem with CFDA title?

Several members report additional audit problems with the CFDA number vs title inconsistencies

Several others suggest we look at this very carefully. Do we actually need the CFDA title? Is this not a potential addition to administrative burden?

Research some additional resources—auditors, a-133 actual wording.

Templates---suggestion that an email be sent to membership when templates have been updated.

FAQs – Resources, to be organized a bit like the ARRA section

Risk assessment tools for A-133

There was a request for a foreign subaward template

## Questions, comments, and general information from attendees

Idea to have a more robust institutional site for collection of university information

Name, DUNS, EIN, possibly something on the A-133 site, links to fringe benefit agreement and F&A rate agreement

Question about risk assessment----why do we need to continually collect A-133 risk assessment for universities? Some report findings and modify terms to reduce known risks.

Suggestion that we manage risk for subawardees who have demonstrated findings

Look at actual findings and write conditional terms into the subaward where the institutions have actual findings. Example is repeated findings on effort reporting, unallowable costs.

Change the modifications form for more specific identification of modification---one reported problem in matching the modification to the original award.

Discussion of CFDA numbers and risk assessment. There should be a better way than what we do

Some kind of general membership communication concerning additional materials needed on the website.

Additional terms and conditions, such as Export Controls, are needed in the subaward template. The problem seems to be that General Counsels are adding attachments with too many additional terms and conditions.

The committee should push back a bit on these requests for additional terms on the template.

Question about industry subawards. What can we do for risk assessment for entities not subject to A-133.