



NIH Update

Federal Demonstration Partnership

January 11, 2012

Office of Policy for Extramural
Research Administration



OPERA & NIH Organizational Changes

- Introducing Michelle Bulls as Deputy Director, OPERA
- FY2012 Appropriation creates new NIH Center: National Center for Advancing Translations Science (TR) and dissolves NCRR. All active and pending RR grants to be transferred to other NIH ICs. See Guide Notices OD-12-026 and OD-12-027.
- <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-026.html>
- <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-027.html>



NIH Budget News

- President Obama signed into law H.R. 2055, the Consolidated Appropriations Act, 2012 on December 23, 2011.
 - Appropriates \$30.7 billion to NIH for FY2012
 - Reduces the salary cap to \$179,700 for all NIH grants
 - Now applies to all HHS—not just NIH, AHRQ and SAMSHA as previous
 - Apply Executive Level (EL) II prospectively to all awards that were issued on or after the bill was signed (12/23)
 - Awards issued on or before 12/22, EL-I still applies for FY 2012—only. Future years must be adjusted to reflect EL-II.





Accelerating Unspent ARRA Awards

- OMB Memorandum M-11-34 Issued 9/15/2011 directs agencies to assure ARRA funds are spent by 9/30/2013 and to “revise the terms of Recovery Act discretionary grant agreements, to the extent permitted by law, to provide for reclamation of funds that remain unspent after September 30, 2013, absent a waiver issued by OMB pursuant to this memorandum.”
- NIH issued on December 13, 2011, Notice OD-12-014, Notice of Revised Term of Award for All Recovery Act Awards to Ensure Project Completion by September 30, 2013. In summary:
 - 1) NIH is revising the terms and conditions on some active Recovery Act awards to amend the current automatic no-cost extension authority by limiting the ability for awardees to extend the final budget period of a project period to no later than 9/30/2013 without prior NIH approval;
 - 2) establishing a procedure for recipients to request prior NIH approval for extensions beyond 9/30/2013; and
 - 3) providing options for those recipients unable to accept the revised terms of award.
- At this time NIH has not determined the need for waiver requests to OMB. NIH continues to strongly encourage grantees to accelerate expenditures for all active Recovery Act grants regardless of the current or projected project period end date.



Financial Conflict of Interest

- *Responsibility of Applicants for Promoting Objectivity in Research for Which Public Health Service Funding is Sought* (42 CFR 50, Subpart F for grants and cooperative agreements)
- *Responsible Prospective Contractors* (45 CFR Part 94 for contracts)
- Revised FCOI Module (eRA Commons) anticipated in June 2012
- Resources:
 - OER FCOI Web Site: <http://grants.nih.gov/grants/policy/coi/>
 - FAQs, Tutorial (coming soon), and more
 - FCOI Webinar for NIH grantees and slides:
http://grants.nih.gov/grants/policy/coi/fcoi_webinar_20111130.htm
 - Mailbox for inquiries: FCOICompliance@mail.nih.gov



Updates & Looking Ahead

- Update of NIH Grants Policy Statement: October 2011; See NIH Guide Notice [OD-12-003](#)
- Transition to Research Performance Progress Report
 - CWG pilot implementation: Now planned for April 2012
 - Anticipate expanding pilot to all FDP organizations in late July if pilot goes smoothly.
- Updates on Transition to electronic applications:
 - Administrative Supplements (Type 3 applications): Pilot to begin 2/1/2012; See Guide Notice [OD-12-024](#)
 - Change of Grantee Institution (Type 7 applications)—pilot now April 2012
 - Complex Applications—anticipate pilot system available end of May 2012; targeting a FOA with July/August submission



Recent Policy Notices

Effective Date	Policy Change and Guide Notice
12/21/2011	Notice of Two Pilot Processes for Submitting Administrative Supplement Requests Electronically to NIH (NOT-OD-12-024)
12/21/2011	NIH Research Involving Chimpanzees (NOT-OD-12-025)
12/14/2011	Ruth L. Kirschstein National Research Service Awards (NRSA) and Other Fellowship Applications: New Policy on Post-Submission Information on Sponsor's Research Funding (NOT-OD-12-022)
12/13/2011	Recovery Act: Notice of Revised Term of Award for All Recovery Act Awards to Ensure Project Completion by September 30, 2013 (NOT-OD-12-014)
12/2/2011	Adoption and Implementation of the Guide for the Care and Use of Laboratory Animals: Eighth Edition (NOT-OD-12-019)



Recent Policy Notices

Effective Date	Policy Change and Guide Notice
11/10/2011	Notice of Expanded Transparency Act Subaward and Executive Compensation Reporting Requirements for FY2012 and Beyond (NOT-OD-12-010)
10/21/2011	Publication of the Revised NIH Grants Policy Statement (Rev. 10/1/2011): Policy Changes, Clarifications and Document Enhancements (NOT-OD-12-003)
9/26/2011	NIH Announces the Posting of Frequently Asked Questions (FAQs) applicable to the 2011 revised regulation on the Responsibility of Applicants for Promoting Objectivity in Research for which PHS Funding is Sought for All NIH-Supported Institutions (NOT-OD-11-121)
9/28/2011	Revised Multiple Program Director(s)/Principal Investigator(s) Policy to Allow Change with Prior Approval (NOT-OD-11-118)



Thank You! Questions?

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