



OPEN GOVERNMENT INITIATIVES

VISIONS OF THE FUTURE

Nancy K. DiPaolo
Congressional & Intergovernmental Affairs





AS THE ARRA \$ FLOWS

\$221.4 Billion out the door in
contracts, grants & loans

\$299.8 Billion

in tax benefits

\$216.8 Billion

in entitlements





THE ACT THAT STARTED IT ALL

ecided
stead
sim-
bold,
the
ng to
bors
ty—
yone
uth-
bet-
that
I be
and
fe of
just
igell
now

MESSAGE FROM THE HOUSE

At 4:16 p.m., a message from the House of Representatives, delivered by Mr. Zapata, one of its reading clerks, announced that the House has passed the following bill, in which it requests the concurrence of the Senate:

H.R. 1. An act making supplemental appropriations for job preservation and creation, infrastructure investment, energy efficiency and science, assistance to the unemployed, and State and local stabilization, for the fiscal year ending September 30, 2009, and for other purposes.

Com-
fund:
tions
gram
are r
31, U
the A
Com

S.
Gate
Stat
pose
ural

S.
tion
ing
heal



ARRA's IMPACT--TODAY

□ Recovery Act Impacts

- Introduced new concepts about reporting
- Introduced use of an universal reporting tool—FederalReporting.gov
- Introduced publishing publically reported data—Recovery.gov
- Introduced a government-wide data quality standard for reporting
- Introduced new data views, e.g., maps

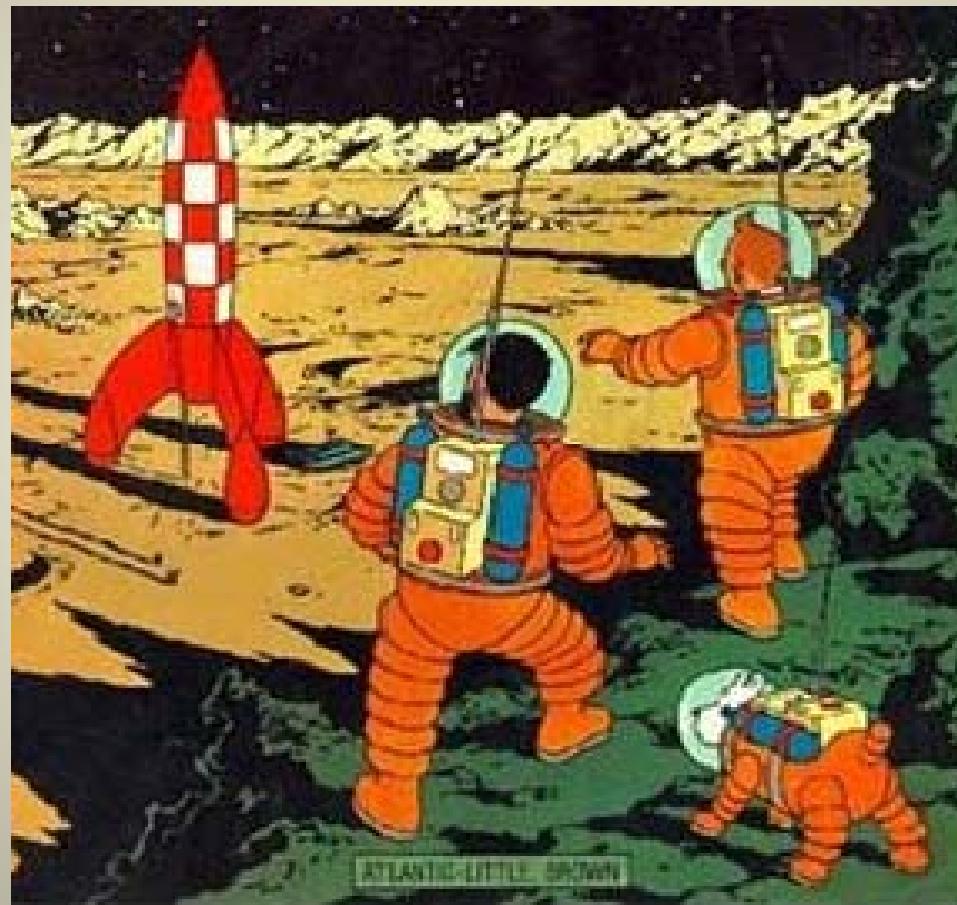


OPENING THE DOOR TO ACCOUNTABILITY & TRANSPARENCY: “THE PUBLIC FIRSTS”





THE “FIRSTS” FOR FUNDING MANAGEMENT





GAME CHANGERS





SOLUTIONS – SIMPLIFYING REPORTING

coeus

Home | Contact Us | MIT OSP | go

About Coeus | Coeus Modules | Help and Training | **User Guides**

Home » User Guides » OSP Guide to ARRA Reporting »

Welcome to the OSP Guide to ARRA Reporting

We are counting down to the next time MIT will transmit reporting data for American Recovery and Reinvestment Act (ARRA) funding.

The next quarterly reporting period begins July 1 and **closes on Monday, July 11, at 5:00PM**. MyARRA data will be available for the PIs on **Sunday, July 3**.

The **deadline** for Principal Investigators to complete ARRA reports is **5:00PM on Monday, July 11**.

If you are a Principal Investigator, see [What PIs Need to Know and Do](#).

We have developed a system that integrates existing data from multiple systems and simplifies the reporting process – a My ARRA module within Coeus Lite.

Overview of our approach

We are being asked to meet a very high standard of timeliness while delivering information that we have not been asked for in the past.

Because compliance is so important, we:

- require that the Principal Investigator completes the report using new Coeus MyARRA pages.
- will submit ARRA award data on the deadline regardless of whether the Principal Investigator has completed the report.
- will place research accounts in restricted status, preventing further spending, if My ARRA reports have not been completed by the Principal Investigator by 5:00PM on July 11.
- have asked Assistant Deans to name an ARRA Administrator in each department, lab or center.
- are delivering automated email notices to inform PIs when the system is ready for access and notifying Department Heads, Assistant Deans, and ARRA Administrators about ARRA awards for which reports have not been completed as the deadline approaches.

[See Important Dates](#)

MIT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY

MIT Office of Sponsored Programs
77 Massachusetts Avenue, Bldg. E19-750 Cambridge, MA 02139

osp@mit.edu



RECIPIENTS FINDING EFFICIENCIES

The screenshot shows the Coeus website with a navigation bar at the top. The navigation bar includes links for Home, Contact Us, MIT OSP, and a search bar with a 'go' button. Below the navigation bar is a horizontal menu with four items: 'About Coeus', 'Coeus Modules', 'Help and Training', and 'User Guides'. The 'User Guides' item is highlighted with a red background. On the left side of the page, there is a sidebar with links to 'Coeus Premium User Guide', 'Coeus Premium User Guide', 'OSP Guide to ARRA Reporting', and a list of topics including 'Important Dates', 'Report of MIT ARRA Awards', 'Roles and Responsibilities', 'Policies and Practices', and 'Data Dictionary'. The main content area on the right is titled 'What Principal Investigators Need to Know and Do' and includes a sub-section 'What to do' with the text: 'You are required by Section 1512 of the Recovery Act for recipients of grants, loans, and other forms of assistance to **submit quarterly reports**'.

Home » User Guides » OSP Guide to ARRA Reporting » What Principal Investigators Need to Know and Do »

What Principal Investigators Need to Know and Do

What to do

You are required by Section 1512 of the Recovery Act for recipients of grants, loans, and other forms of assistance to **submit quarterly reports**.

How long will it take to complete each report?

We don't know. With the data prepopulated as shown above, it could take less than five minutes for each ARRA award.



LESSONS LEARNED

- Transparency is not for the faint of heart –
you end up owning the data

- Data quality is a continuous process
- Cross government view is unique
- Friction against rapid changes in IT, finance, but we CAN do it!
- Award Id system – major cause for mismatched data
- Data standards – generally lacking – major weakness
- Transparency and Accountability work in tandem
- Proactive fraud prevention is effective



RECIPIENT PROFILES

[New Search](#)

YALE UNIVERSITY

DUNS Number: 043207562
 NEW HAVEN, Connecticut 06511
 Congressional District: 03

\$157,946,707
 Total Funds Awarded

327
 Awards
[See All Awards](#)

As Prime Recipient

Number of Awards	284
Total Funds Awarded	\$145,439,772

As Sub Recipient

Number of Awards	43
Total Funds Awarded	\$12,506,935

as of December 2011

Top Awards

Project Title	Award Amount
Transcriptional Atlas of Human Brain Development	\$9,889,558
WCV - West Campus Vivarium	\$9,197,360
Data Coordination Center for the RMN	\$7,565,277
Defining Signatures for Immune Responsiveness by Functional Systems Immunology	\$4,456,091
Genomewide Association Study of Cocaine	\$4,207,355

All data is from the Recovery award recipient; sub-recipients are not required to report Job Numbers, Amount Received, or Completion Status. If you believe data is incorrect, please review your report on [FederalReporting.gov](#). Changes can not be made through Recovery.gov.

Are you a Recipient?

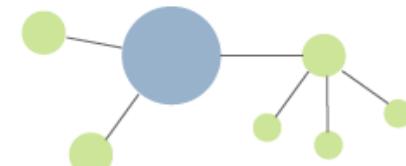
To make corrections to your Recipient Profile
[Visit FederalReporting.gov](#)

Total Funds As Prime Recipient

This content requires [Adobe® Flash Player®](#) version 9 or greater and JavaScript enabled.

[More Details about Total Funds](#)

Relationships To Other Recipients

[More Details about Relationships](#)

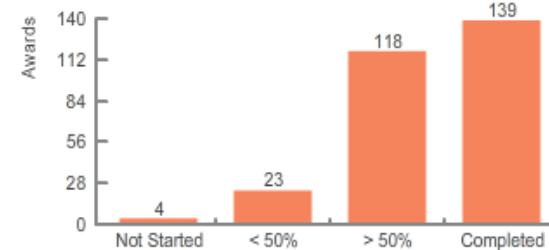
Estimated Jobs By Quarter

[More Details about Estimated Jobs](#)

Primes Vs. Sub Recipient Awards



Completion Status For Prime Awards



Awards Breakdown By Type

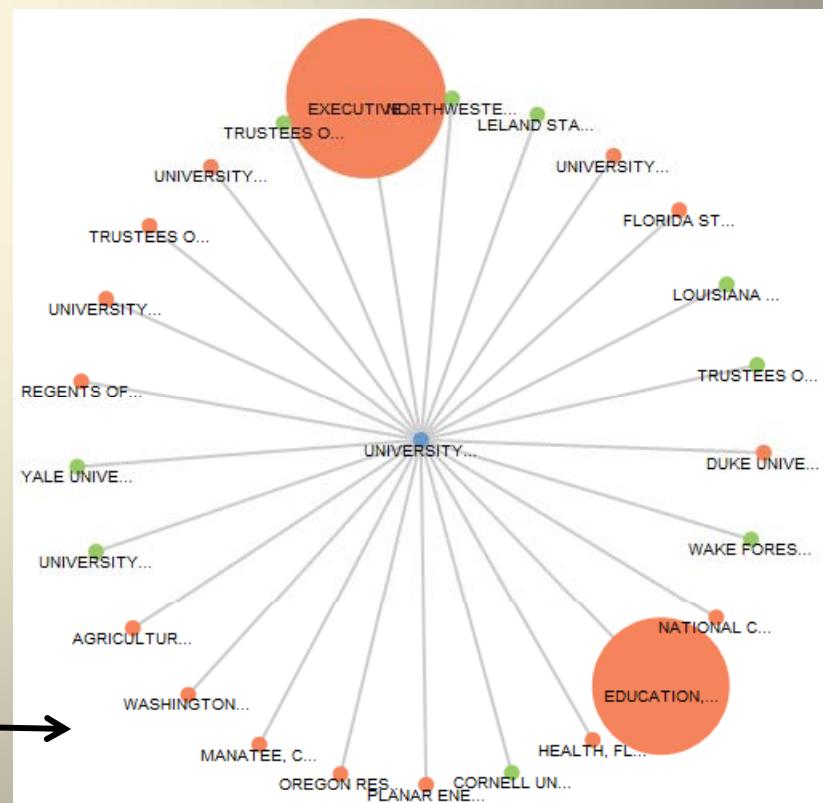
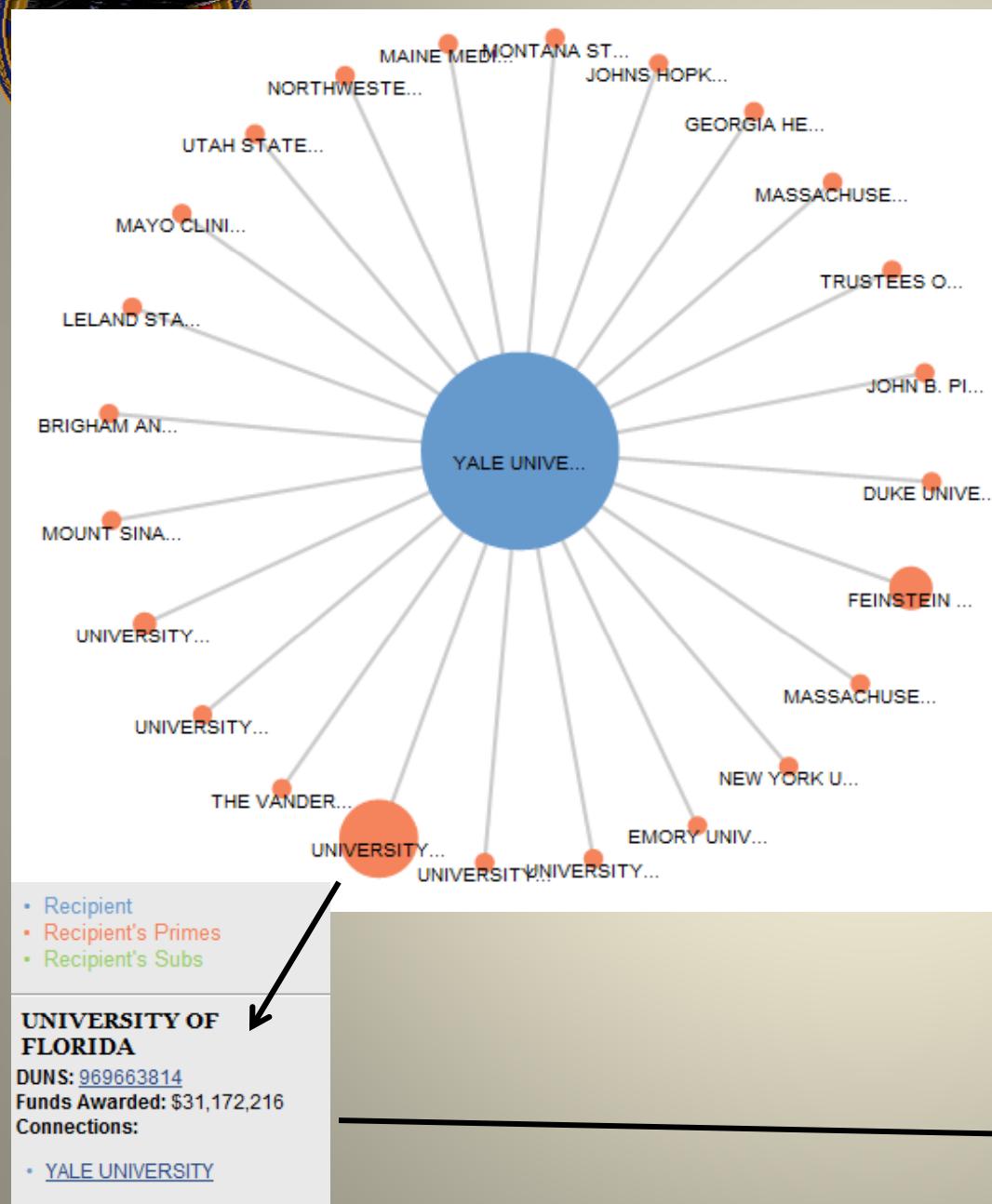
	Contracts	Grants	Loans
Number of Awards as Prime Recipient	1	283	0
Number of Awards as Sub Recipient	1	42	0

Number of Awards as Prime Recipient

Number of Awards as Sub Recipient



RECIPIENT PROFILES - RELATIONSHIPS





MOVING FORWARD





MOVING FORWARD

Batch Reporting – expand usage

Recipient input – formalize & grow

Longer Time lines – for reporting & guidance





June 13th, 2011
Creation of the
GAT Board

the WHITE HOUSE PRESIDENT BARACK OBAMA ★★★★★ ★★★★★

 THE WHITE HOUSE
WASHINGTON

Get Email Updates | Contact Us

BLOG PHOTOS & VIDEO BRIEFING ROOM ISSUES the ADMINISTRATION the WHITE HOUSE our GOVERNMENT

EXECUTIVE ORDER

DELIVERING AN EFFICIENT, EFFECTIVE, AND ACCOUNTABLE GOVERNMENT

By the authority vested in me as President by the Constitution and the laws of the United States of America, and in order to cut waste, streamline Government operations, and reinforce the performance and management reform gains my Administration has achieved, it is hereby ordered as follows:

Section 1. Policy. My Administration is committed to ensuring that the Federal Government serves the American people with the utmost effectiveness and efficiency. Over the last 2 years, we have made good progress and have saved taxpayer dollars by cutting waste and increasing the efficiency of Government operations by curbing uncontrolled growth in contract spending, terminating poorly performing information technology projects, deploying state of the art fraud detection tools to crack down on waste, focusing agency leaders on achieving ambitious improvements in high priority areas, and opening Government up to the public to increase accountability and accelerate innovation.

The American people must be able to trust that their Government is doing everything in its power to stop wasteful practices and earn a high return on every tax dollar that is spent. To strengthen that trust and deliver a smarter and leaner Government, my Administration will reinforce the performance and management reform gains achieved thus far; systematically identify additional reforms necessary to eliminate wasteful, duplicative, or otherwise inefficient programs; and publicize these reforms so that they may serve as a model across the Federal Government.

The implementation of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) (Recovery Act) has seen unprecedented transparency. The Recovery Accountability and Transparency Board (RATB) has developed innovative technologies and approaches for preventing and identifying fraud and abuse that have the potential to improve performance across all of Government spending.

Sec. 2. Accountable Government Initiative. (a) On September 14, 2010, in a Memorandum to the Senior Executive Service, my Administration introduced goals for the Accountable Government Initiative (Initiative). The mission of the Initiative is to monitor and promote agency progress in making Government work better, faster, and more efficiently. To hold executive departments and agencies (agencies) accountable for obtaining results consistent with this mission, the Vice President shall convene periodic meetings in which Cabinet members and the Director of the Office of Management and Budget (OMB) report to him on improvements implemented under



GOVERNMENT ACCOUNTABILITY AND TRANSPARENCY BOARD

Mission Statement

To identify implementation guidelines for integrating systems that support the collection and display of Government spending data, ensuring the reliability of those data, and broadening the deployment of fraud detection technologies, including those proven successful during the implementation of the Recovery Act.



GOVERNMENT ACCOUNTABILITY AND TRANSPARENCY BOARD

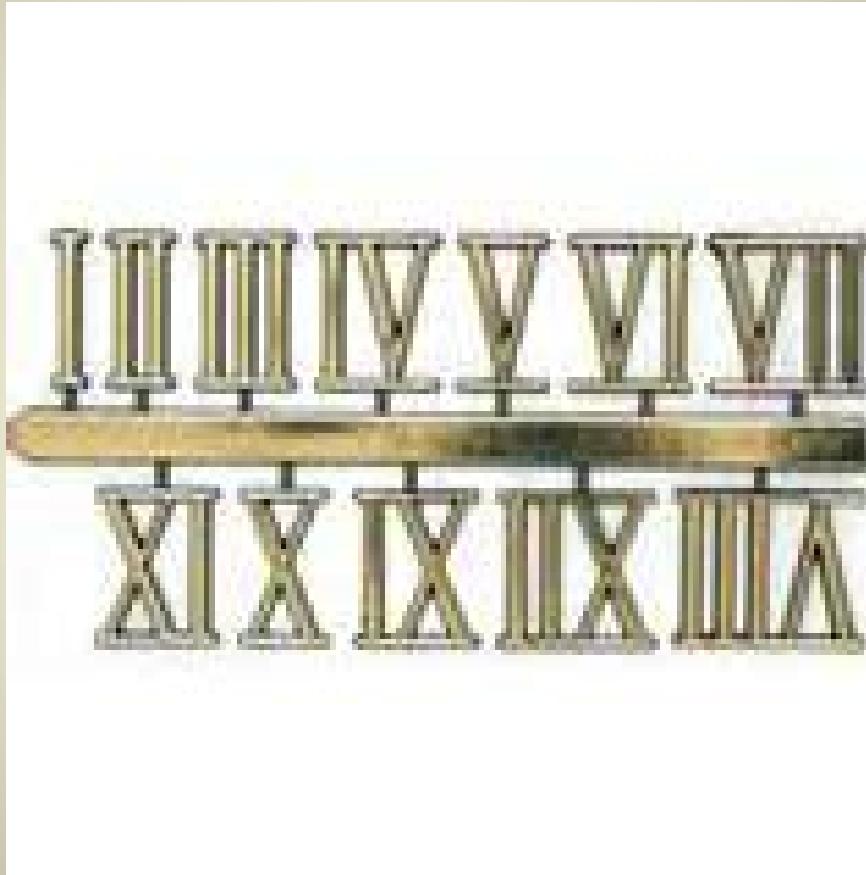
Recommendations to the President

1. The Government should adopt a cohesive, centralized accountability framework to track & oversee spending.
2. The Government needs to rationalize the way it collects & displays spending data. Must consolidate & streamline technology platforms that are serving common purposes.
3. Establish a universal, standardized identification system for all Federal awards.



AWARD IDs

YOUR GUESS IS AS GOOD AS OURS



Answer: Universal Award ID



THE “DATA” BILLS OF THE 112TH CONGRESS

AMENDMENT IN THE NATURE OF A SUBSTITUTE TO H.R. 2146

OFFERED BY MR. ISSA OF CALIFORNIA

[Showing the amendment as amended and adopted by the
Committee on Oversight and Government Reform June 22, 2011]

112TH CONGRESS 1ST SESSION

S. 1222

To amend title 31, United States Code, to require accountability and transparency in Federal spending, and for other purposes.

IN THE SENATE OF THE UNITED STATES

JUNE 16, 2011

Mr. WARNER introduced the following bill; which was read twice and referred to the Committee on Homeland Security and Governmental Affairs

A BILL



HR. 2146 & S. 1222

- The RATB morphs into a permanent board called “FAST”
 - Federal Accountability & Spending Transparency Board
 - Independent!





HR. 2146 & S.1222

A new makeup for the Board





GOALS OF THE DATA BILL, AS WE SEE IT

- to provide accountability and transparency for all contracts, grants, and loans funded with federal monies
- ensure that the work of the Recovery Board can serve as a template for tracking all government spending
- ensure transparency in government spending



Government-wide Accountability Tool & Initial Risk Assessment



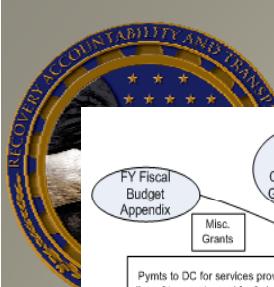
the ROC

All recipients are put through a risk model using variables that are constantly being monitored for relevancy

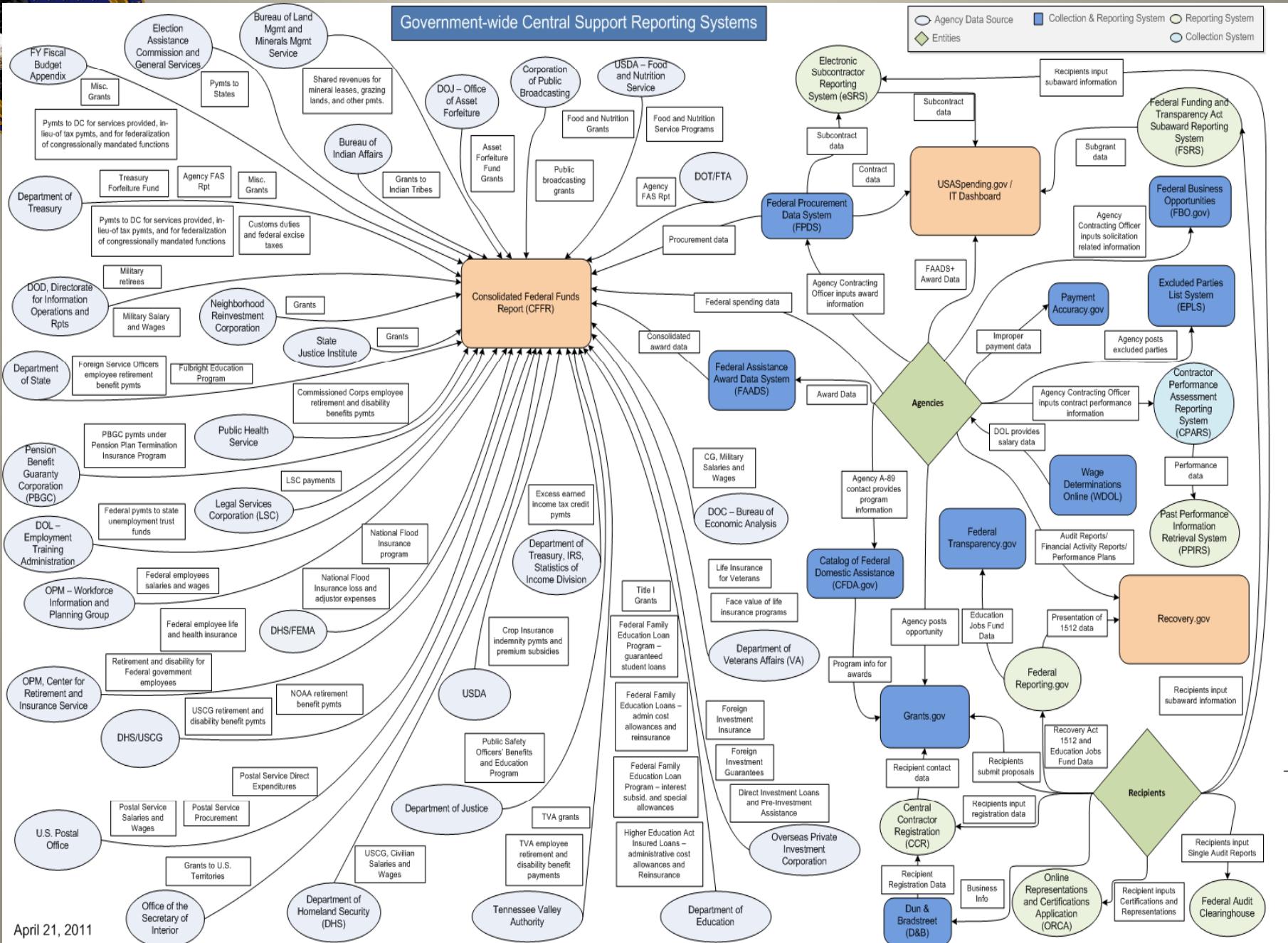


GOALS OF THE DATA BILL, AS WE SEE IT

- Reduce reporting requirements for recipients of Federal funds, and
- Streamline reporting inside and outside the Federal Government – single portal
- Standardize data so it can be utilized across government (Fed & State)
- Formalize recipient input early on

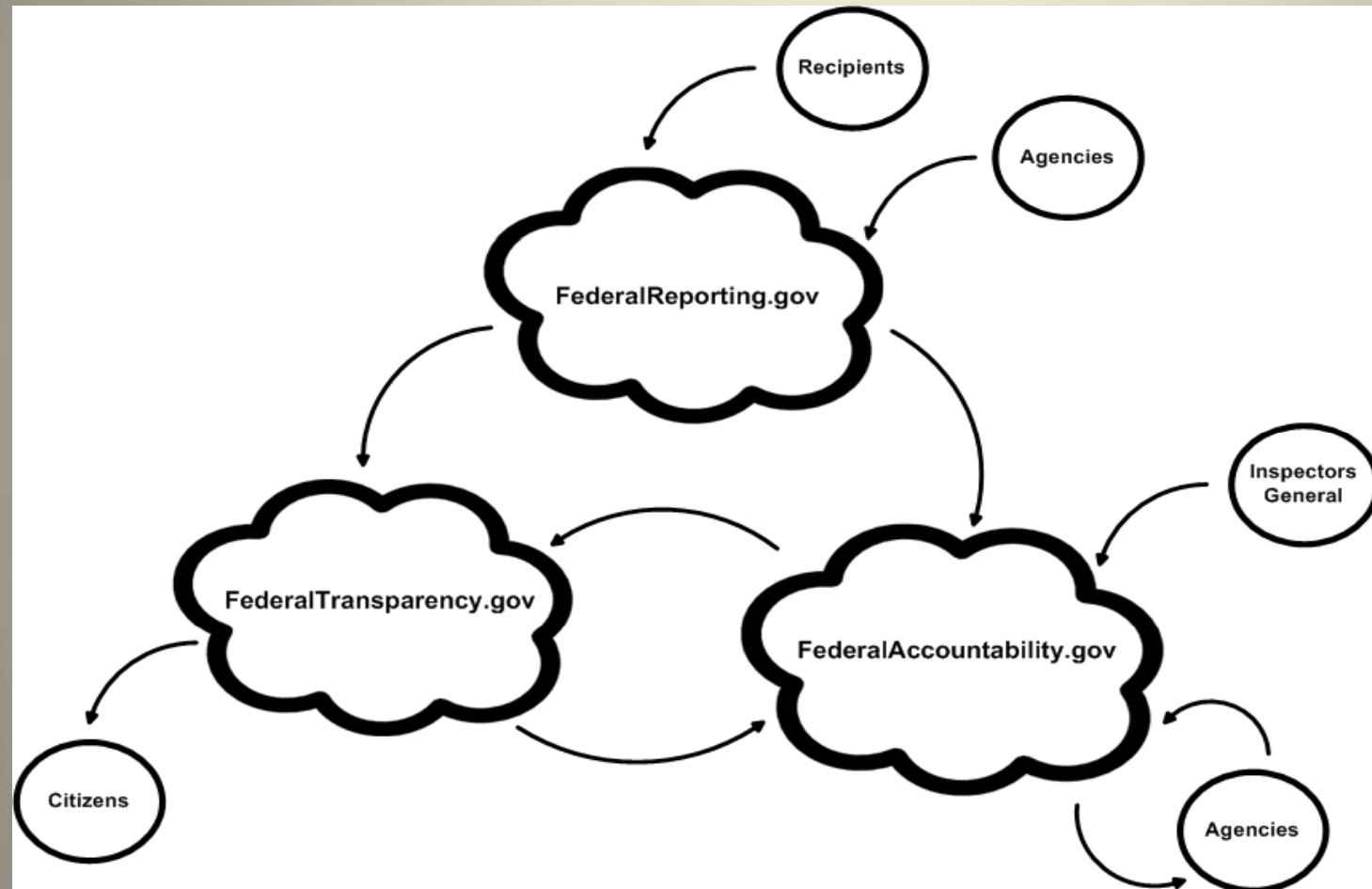


REDUCE REDUNDANCIES - STREAMLINE





FUTURE FRAMEWORK



strengthens transparency & accountability by reducing the size and complexity of federal financial information reporting systems.



HOW CAN WE HELP YOU?



Science



CASE WESTERN RESERVE
UNIVERSITY EST. 1826



DANA-FARBER
CANCER INSTITUTE





NANCY K. DiPAOLO

**CONGRESSIONAL &
INTERGOVERNMENTAL AFFAIRS**

NANCY.DIPAOLO@RATB.GOV

202.254.7954

Recovery Accountability and Transparency Board