

NSF Implementation of the Research Performance Progress Report

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★ Jean I. Feldman

- Head, Policy Office,
Division of Institution &
Award Support
- Chair, RBM/RPPR
Working Group
- Office of Budget,
Finance & Award
Management
- 703.292.4573
- jfeldman@nsf.gov

★ Erika Rissi

- Lead, Research.gov
Business Office
- Office of Budget,
Finance & Award
Management
- 703.292.4816
- erissi@nsf.gov

Today's Agenda



- ★ Overview of RPPR
- ★ NSF Plans and Status
- ★ Research.gov Overview

IMPLEMENTING THE RESEARCH PERFORMANCE PROGRESS REPORT



- ★ The Research Performance Progress Report (RPPR) is the result of a government-wide effort to create greater consistency in the administration of federal research awards by streamlining and standardizing reporting formats
 - The RPPR is the product of Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC)
 - One of the RBM Subcommittee's priority areas is to create greater consistency in the administration of federal research awards through streamlining and standardization of forms and reporting formats
 - Upon implementation, the RPPR will be used by federal agencies that support research and research-related activities. It is intended to replace other performance reporting formats currently in use by agencies

RPPR Website

<http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp>



Research Performance Progress Report (RPPR)

The RPPR resulted from an initiative of the Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC). One of the RBM Subcommittee's priority areas is to create greater consistency in the administration of Federal research awards. Given the increasing complexity of interdisciplinary and interagency research, it is important for Federal agencies to manage awards in a similar fashion. Upon implementation, the RPPR will be used by agencies that support research and research-related activities for use in submission of interim progress reports. It is intended to replace other interim performance reporting formats currently in use by agencies. The RPPR does not change the performance reporting requirements specified in 2 CFR part 215 (OMB Circular A-110) and the Common Rule implementing OMB Circular A-102.

Each category in the RPPR is a separate reporting component. Agencies will direct recipients to report on the one mandatory component ("Accomplishments"), and also may direct them to report on optional components, as appropriate. Within a particular component, agencies may direct recipients to complete only specific questions, as not all questions within a given component may be relevant to all agencies. Agencies may develop an agency- or program-specific component, if necessary, to meet programmatic requirements, although agencies should minimize the degree to which they supplement the standard components. Such agency- or program specific requirements will require review and clearance by OMB. Agencies also may use other OMB approved reporting formats, such as the Performance Progress Report (PPR), if those formats are better suited to the agency's reporting requirements, for example, for research centers/institutes, clinical trials, or fellowship/training awards or in connection to reporting on program performance.

[OSTP/OMB Policy Letter](#)

Agency RPPR Implementation Plans

[DHHS/NIH \(and Other PHS Agencies\)](#)
[January 2012 update](#)

[DHS](#)

[DOC](#)

[DOD](#)

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[DoEd/Institute of Education Sciences](#)

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[Office of Justice Programs](#)

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[EPA](#)

- ★ NSF has led research agencies in the development of a draft RPPR data dictionary based upon the OMB RPPR approved policy
- ★ Goal is more uniform implementation across agencies
- ★ The data dictionary is now available on the NSF RPPR website at:
<http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp>

- ★ NSF will offer a new project reporting service on Research.gov which implements the RPPR format, replacing NSF's annual, interim, and final project reporting capabilities in the FastLane System
 - One of the key drivers in development of the project reporting service is the reduction of PI and co-PI burden through use of more innovative mechanisms to pre-populate parts of the report
 - Another key driver is to incorporate more structured collection of the project reports data for enhanced NSF use
 - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

- ★ The project reporting service will provide a common portal for the research community to manage and submit annual, interim, and final progress reports as well as Project Outcomes Reports
 - Development and internal/external User Acceptance Testing (UAT) is complete
 - A pilot will begin in early fall 2012 with final rollout scheduled for early 2013
 - Small number of institutions (~5) will be invited to pilot the new project reporting capability in September
 - Pilot will expand through the end of the calendar year with full rollout targeted for January 2013

NSF Implementation of RPPR Components



- ★ NSF plans to utilize the following components as part of an NSF-wide standard project reporting format:
 - Mandatory Category:
 - Accomplishments: What was done? What was learned?
 - Optional Categories:
 - Products: What has the project produced?
 - Participants & Other Collaborating Organizations: Who has been involved?
 - Impact: What is the impact of the project? How has it contributed?
 - Changes/Problems
 - Special Reporting Requirements (where applicable)
 - Appendix 1: Demographic Information for Significant Contributors

Benefits of the New Project Report Format



- ★ The implementation of the RPPR format at NSF will result in benefits to NSF staff and grantees, including:
 - A consolidated project reporting dashboard that includes Annual, Final, Interim, and Project Outcomes Report
 - The reduction of PI and co-PI burden through use of more innovative mechanisms to pre-populate parts of the report
 - A more structured collection of the project reports data for enhanced NSF use
 - The adoption of a federal-wide data dictionary to increase consistency of implementation across agencies

Benefits of the New Project Report Format



- ★ The implementation of the RPPR format on Research.gov will also:
 - Feature a rich text editor that supports common scientific characters and symbols
 - Allow PDF uploads of images, charts, and other complex graphics
 - Offer grantees access to Thompson Web of Science


Key Differences in Project Report Format



- ★ No longer support file upload for main report narrative and text
- ★ Special reporting requirements controlled by solicitation
- ★ PI no longer provides demographic information on significant participants

Combined Dashboard





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Project Reporting Dashboard

* [Annual, Final and Interim Report](#)

View, complete and submit reporting requirements

* [Project Outcomes Report](#) : 4 Total

Due (0) | Overdue (1) | Submitted (1) | Not Yet Due (2)

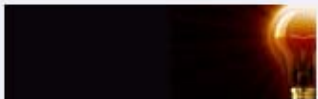
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Project Reports

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| Award Number | Award Title | Report Type | Status | Days Until Overdue | Report Overdue Date | Action |
|------------------------------|---|-----------------------------|------------------------|---|-------------------------------------|-----------------------------|
| 0630294 | NEESR-SG: Smart and Resilient Steel Walls for Reducing Earthquake Impacts | Annual | Returned |  OVERDUE | 10/01/2011 | Create/Edit |
| 0723064 | MRi: Acquisition of Equipment to Simulate Collapse of Engineered Systems under E... | Final | Due |  OVERDUE | 05/01/2012 | Create/Edit |
| 0421577 | NEESR-SG: Seismic Behavior, Analysis and Design of Complex Wall Systems | Final | Due |  OVERDUE | 06/30/2012 | Create/Edit |
| 1000440 | Collaborative Research: Machine Vision Enhanced Post Earthquake Inspection and ... | Annual | Due |  OVERDUE | 07/27/2012 | Create/Edit |

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Research.gov offers grants management services...



- ★ Access Services
 - InCommon
 - Single Sign-on with FastLane
- ★ Reporting Services
 - Project Outcomes Report
 - Annual, Final, and Interim Reports (Coming Soon)
- ★ Financial Services
 - The Federal Financial Report
 - Access to financial services
 - Award Cash Management Service (Coming Soon)
- ★ Application Services
 - Grants Application Status
 - Application Submission Web Services



- ★ NSF is hosting a series of Research.gov webinars for NSF grantees interested in learning more about Research.gov
- ★ Email webinars@research.gov if you are interested in attending any of the following webinars:
 - **September 24:** ACM\$ Preview: A New Approach to Award Payments
 - **October 12:** Project Reports are Moving: What's In It for Me?
 - **November 16:** How Can Research.gov Help Me?

Provide feedback on Research.gov

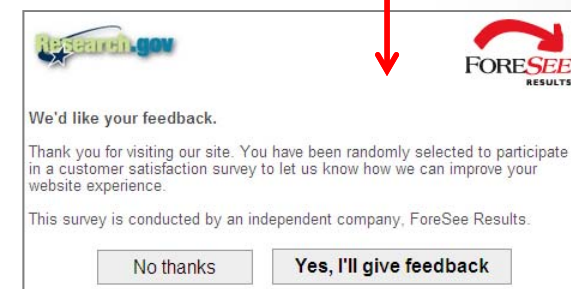


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 - Complete online feedback form
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Email us at feedback@research.gov



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Questions

