

NIH RPPR Implementation

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Federal Demonstration Partnership Meeting



Development of NIH RPPR

- **Implementation Plan Jan 2011; updated Jan 2012**
 - Commitment to electronic format
 - Pilot in Spring 2012
 - RPPR to replace all other interim progress reports
 - AHRQ, FDA and CDC partners
- **NIH RPPR Workgroup**
 - Policy co-chairs; representatives from program, grants management, eRA, and agency partners
- **Multiple consultations with various functional committees and subject matter experts (e.g., international activities, public access, training programs, SBIR/STTR, etc.)**
- **Consultation with Commons Working Group**
- **Federal-wide Data Dictionary effort**

Guiding Principles

- Embrace spirit of RPPR
- Minimize collection of new information
- Maintain collection of information that is scientifically and administratively needed to monitor awards or required for reporting purposes
- Eliminate collection of information that is not needed or is not used
- Minimize impact on NIH grantees

eRA RPPR Module

- **8 screens, one for each component**
 - A. Cover Page
 - B. Accomplishments
 - C. Products
 - D. Participants
 - E. Impact
 - F. Changes
 - G. Special (NIH) Reporting Requirements
 - H. Budget – SF424 (R&R) forms
- **Pre-populates data where possible**
- **Uses check boxes, including *Nothing to Report*, text entry, and PDF uploads**
- **Indicates Agency specific instructions with:**



7 Types of RPPR: Examples of Differences

R01-like	<ul style="list-style-type: none">• Standard RPG• Basis for development of other types
Individual CDA	<ul style="list-style-type: none">• Mentor report• RCR reporting
Training	<ul style="list-style-type: none">• Trainee Diversity Report• RCR reporting• Tables 12A/B & program statistics, if applicable
Fellowship	<ul style="list-style-type: none">• Sponsor comments• RCR reporting
Education (e.g., R13, R15, T36)	<ul style="list-style-type: none">• No reporting on technology or invention products, resource sharing, impact on infrastructure, tech transfer
SBIR/STTR	<ul style="list-style-type: none">• Report on technology transfer, product development status, and commercialization plan
Complex	<ul style="list-style-type: none">• Parent reporting similar to R01-like• Project/core (s) reporting on accomplishments, technologies, products, resource sharing, publications, hESCs, human subjects

Business Process Changes

- Collect new information on foreign activities
- Enhance publication reporting
 - Progress Report Additional Materials (PRAM)
- Streamline ClinicalTrials.gov reporting
- Clarify use for prior approval requests
 - Only for significant reduction in LOE of personnel named on NoA
- Apply SNAP questions to all progress reports
- Changes to participant information collection
 - rounded effort
 - foreign affiliation

RPPR Pilot Spring 2012

- **Solicited participants from CWG Oct 2011**
- **7 volunteer grantee organizations**
 - Duke University
 - University Michigan
 - Oregon Health and Sciences University
 - Univ of Calif, Los Angeles
 - Univ of Calif, San Francisco
 - Rush University Med Center
 - University of Washington
- **Held training session and posted resource materials**
- **Allowed 2 week grace period for progress report deadlines**
- **Use of RPPR module OPTIONAL**
- **Encouraged immediate feedback**
 - Critical errors fixed in out-of-cycle, June eRA release
- **Held July feedback discussion**

FDP Early Access

- After consultation with FDP Executive Director, NIH provided FDP institutions with early access in the spirit of collaboration.
 - Allows FDP institutions to begin training investigators and research administration staff
 - Provides FDP institutions with experience with the system before it is available to all NIH grantees
- RPPR Module OPTIONAL for FDP institutions
- June 18 training webinar for FDP; archived on FDP website

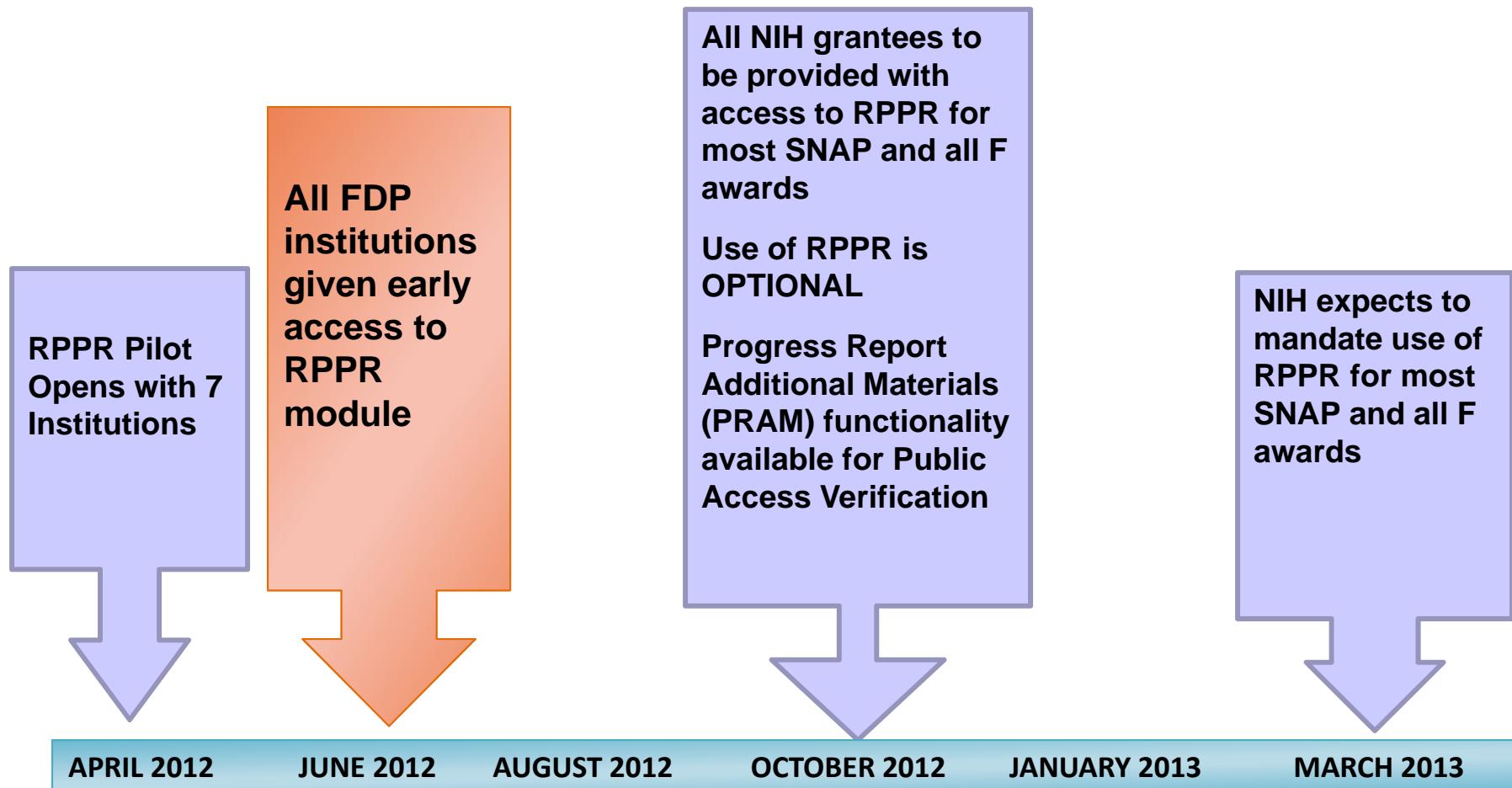
Positive Feedback

- Layout, flow, and functionality are logical and intuitive
- Auto-population improves efficiency
 - Publications from My NCBI accounts
 - Personnel data from Commons Profiles
 - Data from previous reports
- Facilitates administrative review
- Straight-forward and easy to use

Suggestions for Improvement

- **System-to-system submission**
- **Auto-save feature rather than pop-up reminder**
- **Clarify instructions for specific sections**
- **Default performance site to site in application rather than Commons institutional profile**
- **Special characters should be supported as text entry (currently only supported in PDF uploads)**
- **Allow decimals in person months rather than rounding to nearest whole person month**

NIH RPPR TIMELINE



RPPRs Available OCT 2012

	Activity Codes
R01-like SNAPs	D71, DP1, DP5, G08, G11, G13, P40, R00, R01, R03, R18, R21, R33, R34, R36, R37, R56, RC1, RC2, RL1, S10, S21, S22, SC1, SC2, SC3, UB1, UC2, UH1, UH2, UH3, UP5
Individual K SNAPs	K01, K02, K05, K06, K08, K18, K22, K23, K24, K25, K26, K99, KL1
Education SNAPs	D43, K30, R13, R25, RL5, T14, T36, U13, U2R
Fellowships	F05, F30, F31, F32, F33, F34, F37
SBIR/STTR SNAPs	R41, R42, R43, R44, U43, U44

Training Opportunity

- ***NIH Implementation of the RPPR: Training for Grantee Institutions***
- **Wednesday, October 17, 2012**
- **1:30 - 3:00 PM EDT**
- **Space limited to 1,000 logins**
- **<https://www3.gotomeeting.com/register/647997446>**
- **System Requirements**
 - PC-based attendees
Windows® 7, Vista, XP or 2003 Server
 - Macintosh®-based attendees
Mac OS® X 10.5 or newer
 - Mobile attendees
iPhone®/iPad®/Android™ smartphone or tablet

Resources

- **RPPR Webpage:**
<http://grants.nih.gov/grants/rppr/>
 - RPPR Instruction Guide
 - Screen shots
 - Training
- **NIH Guide Notices (OD-12-083, OD-12-142)**
 - <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-083.html>
 - <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-142.html>

Points of Contact

- **System Issues or Comments**
 - E-mail Scarlett Gibb (cc Linde and Wigglesworth)
 - gibbs@od.nih.gov
 - eRA Help Desk
 - 1-866-504-9552
- **Content Questions or Comments**
 - E-mail Emily Linde and Carol Wigglesworth
 - linddee@mail.nih.gov and wigglesc@od.nih.gov