

# Current News From NIH

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National Institutes of Health  
Office of Extramural Research

# NIH Fiscal Policy for Grant FY 2013

- Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6) signed March 26, 2013.
- Combines provisions of the 2011 Budget Control Act (a.k.a. Sequestration) with a continuing resolution to fund the rest of fiscal year 2013.
- All FY2012 legislative mandates and priorities remain in effect.
- Salary Limits: Continues with Executive Level II.
- Many of the funding principles used in FY2012 are being continued; details on IC funding plans still being finalized.
- New Investigators: NIH will continue to support new investigators on R01 equivalent awards at success rates equivalent to that of established investigators submitting new (Type 1) R01 equivalent applications.



# Hurricane Sandy

- Administrative supplements to awards active at the time of the storm
  - NIH Administrative Supplements to Recover Losses Due to Hurricane Sandy Under the Disaster Relief Appropriations Act – Non-Construction (Admin Supp), [RFA-OD-13-199](#);
  - Also see related Guide Notice [NOT-OD-13-060](#);
- Grants to new and early stage investigators whose pilot research and data were destroyed or damaged as a result of the storm
  - Limited Competition: Restoration of New Investigator Pilot Projects Adversely Affected by Hurricane Sandy (R21), [RFA-OD-13-005](#);
- Limited Competition: Shared Instrumentation For Hurricane Sandy Disaster Relief (S10), [RFA-OD-13-006](#)
- Limited Competition: Restoring Research Resources Lost Due to Hurricane Sandy (R24), [RFA-OD-13-008](#)



# Hurricane Sandy—Special Provisions

- Awarded funds must be expended within 24 months of the initial award issue date
- Funding will be provided in a single award action; e.g., Budget/Project periods will be up to 24 months
- No-cost extensions prohibited; no carryover since there will only be a single budget period awarded
- Administrative Supplements will be separated financially from the parent (like ARRA). Therefore, no rebudgeting between parent and Sandy-funded T-3 will be permitted
- Award transactions will flow into the PMS “P” subaccount (like ARRA)
- Anticipate special reporting requirements (like ARRA); e.g., Quarterly Financial & Programmatic Reporting. Details to be provided in NoA.



# Upcoming Changes – Subaccounts for Domestic Grantees

- Pending HHS payment policy will require all grants to be in PMS subaccounts
- NIH Implementation
  - 10/1/13 implementation date
  - Plan to transition all awards in FY14—may be delayed until FY2015
  - Will Likely Use PMS “P” Subaccounts (like ARRA)
    - Existing cash and expenditure reporting requirements will remain
    - Real time data available at the transaction level for monitoring
  - Guidance will be forthcoming



# Upcoming Changes – NIH Implementation of New HHS Closeout Requirements

Highlights of NIH closeout policy changes:

- NIH implementation date proposed: 10/01/14; (May be delayed to 10/1/2015 if subaccounts is delayed)
- Maintains 90 day requirement for recipients to submit closeout documents after completion of the award;
- New Proposed: Revised Financial Reports and Expenditures must be submitted no later than 6 months from the due date for the original report;
- New Proposed: A 270 day post-project period requirement for agency completion of the entire closeout process;
- New guidelines for administrative (unilateral) closeout;
  - If acceptable final reports are not received by NIH, GMO must initiate unilateral closeout of the award within 180 days of the completion date of the award;
  - Unilateral closeout defined as “the process by which an OPDIV closes out an award without receipt of all final reports required by the Terms and Conditions of an award, after making reasonable efforts to obtain them.”
- Stay tuned for future Policy Guide Notices on implementation.



# Research Performance Progress Report - Update

- Guide Notice for RPPR changes (Feb. 2013)
  - Progress reports for SNAP and Fellowships with budget start dates on or after 7/1/13 must be submitted using the RPPR
  - Progress Report Additional Materials (PRAM) functionality expanded to include requests from ICs
- Now *required* for all awards issued under SNAP authorities and Individual Fellowships; non-compliant progress reports will not be accepted
- RPPR for non-SNAP and multi-year funded awards
  - Implementation timeline TBD

# Public Access Compliance Monitor

- NIH announced release of the Public Access Compliance Monitor (<http://www.pubmedcentral.nih.gov/utills/pacm>);
- A web-based tool that institutions can use to track compliance of publications that fall under the NIH Public Access Policy;
- By providing efficient and flexible methods for retrieving, viewing, and organizing public access compliance information, the compliance monitor supports the efforts of grantee organizations to ensure their awards are compliant;
- For additional information, see [NOT-OD-13-020](#).





## Changes to Public Access Compliance— Effective with 7/1/2013 Start Dates

- For non-competing awards with starts dates on/after 7/1/2013;
- NIH will delay processing award if publications arising from it are not compliant;
- Investigators must use My NCBI;
- Electronically associated with RPPRs;
- Include PDF Report from My NCBI in paper Progress Reports;
- See Notice [NOT-OD-13-042](#).



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