



**FEDERAL DEMONSTRATION PARTNERSHIP**  
Redefining the Government & University Research Partnership

# Emerging Research Institutions (ERI) Session

January 13, 2015

Hyatt Regency, Capitol Hill

# What is ERI?

*(not ERA)*

- Phase VI: 26 ERIs ([List of current members](#))
  - \$50M or less in all sponsored funding
- Phase V: 13 ERIs
  - \$20M or less in federal research funding



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# ERI Committee Goals

- Provide ERI perspective
- Launch and establish FDP initiatives that further the purpose of the ERI Workgroup
- Engage/raise awareness of FDP members around ERI-related issues
  - Amongst FDP ERI members
  - Amongst FDP non-ERI members
  - Amongst federal agencies
  - Amongst other stakeholders, e.g. non-FDP ERIs
- Such ERI-related issues include:
  - The ways in which FDP initiatives impact the ERI community
  - The ways in which federal agency policies impact the ERI community
  - Opportunities for partnership and increased engagement and dialogue



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# Potential Advantages of ERIs

- Strengths in undergraduate research
- Focus on teaching provides pedagogical expertise
- Attractive for some types of collaborations
  - Large undergraduate student body
  - Liberal arts focus
  - EPSCoR, geographic and broader impacts considerations



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# Challenges to ERIs

(include “usual suspects”)

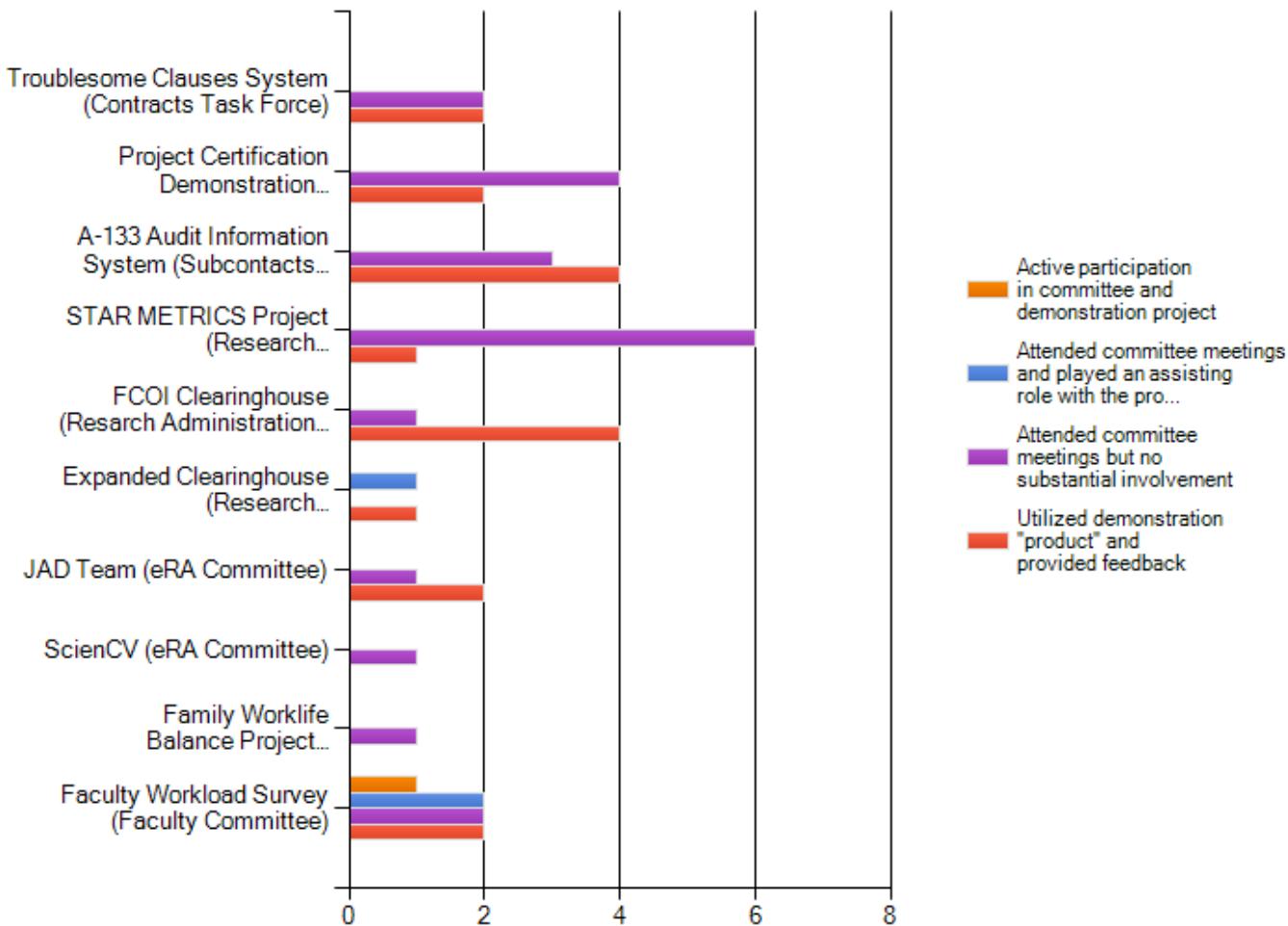
- Small staff
- Administrative burden
- Faculty burden
- IT development needs
- “Federal agency rules and regulations have a different and overwhelming impact on ERIs”



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# Survey of ERI Activities in Phase V

In which FDP projects/demonstrations did you participate and at what level?



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# Solutions

- NSF post-award training webinar
- Informal sharing of best practices/resources with other FDP members
- Hiring IT specialists
- Training
- FDP resources: Listserv, networking, having a voice, pilots, special award terms



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# Partnerships for Emerging Research Institutions

- ERI definition - master's colleges and universities, baccalaureate colleges, and tribal colleges
- 1/3 of institutions of higher education and 30% of enrolled students based on 2005 data
- Focus on undergraduate research at ERIs



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# Recommendations from Partnerships

- Faculty time
- Targeted investments
- Institutional resources
- Faculty reward system
- Administrative leadership
- Embracing research culture
- Funding sources



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# Motivations for ERI Membership

- Why did members join?
- What do people want to get from this?
- How can we be most productive?



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# ERIs in Phase VI

- Define mandate/mission
- Develop resources for members/website
- ERI member on each FDP Committee, working group, demonstration
- Possible ERI demonstration: undergraduate research focus
- What can we encourage federal research sponsors to do?



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# FDP Committees

- Executive Committee
- Operational Standing Committees
  - Membership
  - Finance
  - Communications



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# Membership Committee

- Conducts new attendee activities at meetings; coordinates mentor program
- Evaluates/recommends requests for additional affiliate membership
- Encourages increased federal participation/membership
- Facilitates transition process for new FDP phases
- Oversees nomination/election procedures
- Supports ERI participation
- Manages annual reporting process



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# Finance Committee

- Reviews annual budgets
- Sets financial-related policies and procedures
- Monitors the payment of dues



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# Communications Committee

- Approves FDP publications (printed or electronic)
- Provides direction for the FDP website
- Oversees the FDP Biannual Report
- Compiles/disseminates meeting proceedings
- Develops/distributes outreach materials



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# FDP Functional Standing Committees

- Faculty
- Electronic Research Administration (ERA)
- Research Administration
- Financial, Audit, & Costing Policy
- Research Compliance
- Administrative Cost Working Group



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# Faculty Committee Current Initiatives

- Administrative support
- Export controls/Select agents/Biosafety
- Changes in peer review process
- Electronic research administration issues
- Research involving human participants
- Growing compliance burden
- Oversight/management of research



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# ERA Committee Activities/Initiatives

- Umbrella to ERA-related task groups
- Provides guidelines for ERA development
- Establishes/maintains relationship with other organizations' ERA initiatives
- Establishes/maintains relationship with the Grants.gov effort and related research data standards work under P.L. 106-107
- ERA Sponsor Matrix Initiative



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# Research Administration Committee Initiatives

- Contracts
- Basic assistance grant
- Sub-awards
- STAR Metrics



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# Financial, Audit & Costing Policy Committee Initiatives

- Sub-recipient Monitoring Demonstration
- Project Certification Pilot
- Federal Invoicing Initiative (exploratory)



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# Research Compliance Committee Initiatives

- Human Subjects Protections Pilot
- Research Compliance Information Systems Survey



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# Administrative Cost Working Group Initiatives

- Identification of allowable administrative tasks
- Pilot



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# Contact Information

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