

Options for Management of Potentially Dangerous Information Generated by Life Science Research

David A. Relman, Stanford University

Michael Imperiale, University of Michigan

National Academies of Science, Washington DC

Jan 4, 2017

Questions...

- What might trigger the desire (or need) for restrictions on dissemination of information gained from life sciences experiments?
- What are some potential mechanisms with which to manage information, and what are the important, attendant considerations?

Terms, premises...

- “[Information] control” is misleading term (for this discussion)
- “Management” (temporary) more useful
- Avoidance (of generating ‘dangerous information’) is preferred (‘think first’), but science is unpredictable, highly distributed; management is more effective when deployed earlier (in process)

Why, when restrict dissemination of information from life sciences experiments?

- “Dangerous information”—risks of misuse clearly outweigh benefits (near term); high consequences
- Criteria: should be generally applicable across life sciences research, emphasize properties (pathogenicity/harm, breadth of effect) not names; Corson 'gray area' (1982) (4 criteria)
- Context is important: biological, social, political
- 'Buy-in' from critical constituencies
- Fundamental vs applied/proprietary research??

Fundamental versus proprietary?

- Distinction between basic/fundamental and applied/proprietary (NSDD189) no longer holds
- Scientists have social obligations (contract) that involve more than blind pursuit of information
- Obligations include (besides, 'first do no harm'), expectations that work should lead to goods, services (Commons) and be monetized; therefore, line blurred (gray area)
- Two options (unrestricted dissemination, national security classification) no longer suffice

What are some potential mechanisms with which to manage information?

- National security classification: problems = burdens, post hoc?, limited applicability (owned, controlled, produced by/for USG)
- "Controlled unclassified information"? New category? By whom?
- Self-regulation? Ideal, to be encouraged, but currently ad hoc,
- A new system for managed information?

What are some potential mechanisms with which to manage information?

Desired properties for info management:

- Targeted dissemination of, access to info; limit/slow access/dissemination elsewhere
- Information from publicly- and privately-funded, and -conducted research
- Process should be transparent, deliberative, standardized, international, adaptive
- Expertise and people (access, control): science, public health, security, policy, ethics, other
- Guide research to mitigate risks

Conclusions-1

- There is small, but growing 'gray area'; work in this area is increasingly consequential. Risks are assumed before benefits are realized.
- Society and research enterprise are inadequately served by just 2 options, i.e., unrestricted dissemination and classification
- National security classification: can't work for most gray area work

Conclusions-2

- Mechanism(s) for short-term, managed distribution is/are needed, while risk mitigation measures are created/deployed. National system(s)? Gray area orgs?
- Process & mechanism(s) need to be transparent, deliberative, inclusive
- Role for science academies/organizations?

Defining 'Gray'

Corson: Four criteria to define research for which communication ought to be limited (all must be met)

- (1) research with dual use or military applications;
- (2) research with short time to such applications;
- (3) research when dissemination could give short-term advantage to adversaries; and
- (4) research when information is believed not to be already held by adversaries