

New Materials For and Challenges in Lithium Ion Battery Research

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Basic Research Needs: Electrical Energy Storage

Grand challenges?

 Identify the most efficient mechanism(s) for electrical energy storage
 Find an ideal material combination that will cycle infinitely without capacity loss, for use over a wide range of temperatures.

easy to fabricate, cheap to produce, safe, environmentally friendly, etc.

3. Identify radically different methods or approaches for electrical energy storage ... to couple with new/different sources of energy?

New methods of producing electricity may require new battery designs

Control of the local dynamic and structural behavior of materials on the femtosecond-to-years timescale is necessary in order to develop tailor-made energy storage systems with novel properties

INTRODUCTION

How do rechargeable lithium ion batteries (LIBs) work? Where will they be used and what is the potential short and long term impact? What are some of the technological requirements for 21st century devices and current problems?

MATERIALS

What are the issues?

Where are the frontiers?

What fundamental scientific breakthroughs required to achieve the materials goals? Where are the critical information gaps?

New Electrode Materials

New Diagnostic/Characterization Approaches Applications to Layered Materials

MANY OF THESE MATERIALS EXHIBIT A RANGE OF FASCINATING PHYSICAL PHENOMENA – OF BASIC FUNDAMENTAL INTEREST... Metal-insulator transitions, charge-ordering, co-operative Jahn-Teller distortions .. WHICH DIRECTLY IMPACT BATTERY PERFORMANCE

The big advance in this field came with the development of the SONY "Rocking-Chair" battery in 1990



- Lithium shuttles backwards between two layered compounds
- Very high voltage (4 V; cf Ni/Cd @ 1.35 V)
- LiCoO₂, J. Goodenough (1980)
- 2ndary host material, Murphy et al., and Scrosati et al. ('78 and '80)

Non aqueous electrolyte



Solid state chemistry at the cathode end...

Positive Electrode Composite structure Polymer Binder

Co

 \mathbf{O}

 Co^{3+} is oxidized to Co^{4+} on Li removal (deintercalation) I.e., during charging Carbon black 5.5voltage vs. Li/Li+ 5.04.54.03.53.02.50.20.00.40.60.81.0x In Li_xCoO₂

Ohzuku et al. J. Electrochem Soc. 140, 1862, '93

At the negative (anode) end..

 Graphite anode forms an intercalation compound Li_xC



Requirements for rechargeable batteries:

Reversible electrode chemistry Large difference in the couples of the two 1/2 cells => High voltages Light materials => High energy densities Conducting anode and cathode (current can be removed) => high power densities

	Energy density;	Cycle Life;	Voltage
SONY Cell	90 Wh/kg	500-1000	4V
Pb acid	30	250-500	2V
Ni/Cd	30-35	300-700	1.3V
Ni metal hy	ydride 50	300-600	1.2V



Recent Advances in Portable Technologies and the Proposed Solutions to the Impending Energy Crisis Require Energy Storage Systems: The Various Applications Have Very Different Power Requirements



How will energy storage make impact? **US Carbon Dioxide Emissions (EIA BAU)** (Millions of tonnes - Carbon)

Slide courtesy G. Ceder

	RESIL	DENTIAL+ MERCIAL	INDU	STRIAL	TRANSPO	ORTATION	ТОТ	ГAL
	2005	2025	2005	2025	2005	2025	2005	2025
Petroleum	43	48	119	142	526	- Storag	je	933
Natural G Geothermal + Storage			10	14	252	313		
Coal	3	3	55	47	0	0	58	49
Electricity	458	675	182	223	4	6	644	904
TOTAL	624	875	478	562	541	763	1643	2199
		1.7%/yr		0.8%/yr		1.7%/yr		1.5%/y r

526

+ 458 + 182

= 1166 = 71% of all US CO₂ emissions

Why is more research needed? Some Major Disadvantages of the LiCoO₂ Battery

- COST: Co is toxic and expensive
- MATERIALS RESOURCES: Not sufficient Co globally to meet perceived demands for rechargeables
- I. CAPACITY (how many electrons can be stored): Only 0.5 of the Li can be removed. I.e., low capacity
- II. POWER (rate): V. slow to charge and discharge (low power) not suitable for E.V.s, H.E.V.s or other high power applications
- III. SAFETY: Li-plating can occur on rapid charging big issue for EVs/HEVs



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- III. SAFETY: Li-plating can occur on rapid charging; stability at "high" T (thermal runaway) etc.— big issue for EVs/HEVs







J. -M. Tarascon, Nature '01

Improving battery performance will be driven by:

- New materials
- Understanding how the systems function and why they fail
 -characterization (diagnostics)

Energy density

Need to increase the amount of charge stored per unit of material

Power (rate)

Need to increase Li⁺ diffusion (and electronic conductivity)

Engineering of cell design

ENERGY DENSITY New Materials: Voltage vs. Capacity - Status in 2001

Cathodes



A "few" illustrative examples

J.-M. Tarascon and M. Armand, Nature '01

Pushing Back the Frontiers 1. Spinels: Moving to 3-Dimensional **Structures**





•••

- Li insertion and diffusion can occur in 3D
- Smaller (anisotropic) volume changes in cycling •

-Manganese Spinels $\text{LiMn}_2\text{O}_4 \rightarrow \text{MnO}_2 + \text{Li} 4\text{V} 120 \text{ mAhg}^{-1}$ high power

-Cheap, Good electronic conductivity -

- but low capacity (cannot reduce to $< Mn^{3.5+}$, due to JT distortion) and problems with Mn²⁺ dissolution



Pushing Back the Frontiers 2. Improving Capacity in Layered Materials



Layered cathode materials have been discovered, where the oxidation/reduction processes involve multiple-electron redox processes

Ni²⁺-Ni⁴⁺ (Ohzuku & Makimura, *Chem. Lett.* '01; Lu, MacNeil, & Dahn, *Electrochem. Solid St. Lett.*, '01)

Cr³⁺ - Cr⁶⁺ Li[Li_{0.2}Mn_{0.4}Cr_{0.4}]O₂ (Ammundsen, '01)



Pushing Back the Frontiers 2. Improving Capacity in Layered Materials



Pushing Back the Frontiers 3. Improving Ionic Conductivity in **Layered Materials**



activated state for Li motion is close to TM site



Transition metal	Co ⁴⁺	Ni ⁴⁺	Mn ⁴⁺	Ni ³⁺	Co ³⁺	Cu ²⁺	Ni ²⁺
Activation barrier (meV)	490	490	340	310	310	270	210



Ion exchange

Na⁺ for Li⁺ in molten salt

Na

Kang and Ceder., Phys. Rev. '06

*Kang, Meng, Breger, Grey, Ceder, Science 2006

Pushing Back the Frontiers 4. LiFePO₄: Extracting Li From Insulating Materials



Pushing Back the Frontiers 4. LiFePO₄: Extracting Li From Insulating Materials



A. Yamada et al, Nature Materials, 5 (2006) 357

Pushing Back the Frontiers: 5. Moving Beyond Intercalation Chemistry

Intermetallic





A. Extrusion:

 $InSb + Li \rightarrow Li_{3}Sb + In$ $Cu_{2}Sb + Li \rightarrow Li_{3}Sb + Cu$

High capacities Cost of materials?

Reversibility?



"In³⁺" reduced to In⁰

Thackeray, Dahn



Pushing Back the Frontiers: 6. Combining Insertion With Extrusion



Morcrette and Tarascon

Pushing Back the Frontiers: 6. Combining Insertion With Extrusion



Pushing Back the Frontiers: 6. Combining Insertion With Extrusion



Rapid Li⁺ mobility in sulfide layers

Clarke, Rutt, U. Oxford; S. Indris, J. Cabana and Grey

Pushing Back the Frontiers: 7. Multiple Electron Processes: Nanoparticles and Composites

- Metals and alloys show v. high capacities (e.g, Si = 4000 mAhg-1) but suffer from extremely large volume expansions
- => use a composite (of nano particles/domains) to absorb stresses during cycling
- Tin-Based Amorphous Oxides (TCO): Sn_{1.0}B_{0.56}P_{0.4}Al_{0.4}O_{3.6} (T. Kubota, A. Matsufuji, Y. Maekawa, T. Miyasaka, *Science*, 1997)



TCO : $SnO + SnO_2 \rightarrow Li_2O + Sn \rightarrow Li_xSn$

Pushing Back the Frontiers: 7. Multiple Electron Processes: Nanoparticles and Composites



 $CoO + 2Li ---> Li_2O + Co (740 mAhg^{-1})$

S. Grugeon... J.-M. Tarascon, 2003

Pushing Back the Frontiers: 8. Design of electrodes: New nanostructures, composites and morphologies



Pushing Back the Frontiers: 8. Design of electrodes: New nanostructures, composites and morphologies



•But still issue of capacity fade

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How do we go from new materials chemistry to an operating battery?

Improving the materials performance requires a fundamental understanding of how materials function and what structural/electronic properties limit battery performance

1. How do material/cells/batteries function and how and why they (often!) fail

Structures of the materials as they are cycled

Electronic properties and ionic conductivities: How do they change as Li⁺ is removed?

2. Identify, and develop a fundamental understanding of, mechanisms for processes relevant to energy storage. For example,

•How does an ion intercalate into and diffuse through a solid and how does this vary with type of surface, bulk structure, metal vs. ionic solid, morphology..? (solid solution vs. 2-phase, role of defects, dislocations etc.)

3. Establish *general rules* from these studies to contribute to the materials design process.

•Structure property relationships...

•key material parameters (and their implications for material stability and rate performance).

Identify under what regime the rules or predictions are expected to apply.

4. Challenge the conventional wisdom

•Remove the cartoons (esp. true of nano!)

Optimizing Battery Function Requires that we understand how many components and processes function individually and synergistically

 materials -active (electrodes, additives) -inactive (separator, current collecto -electrolyte (solvent, salt, additives) 	rs)
-multiple interfaces (electrolyte/catho active material/ binder, electrolyte, carbon within active material)	Dde, Characterization Techniques (structural, spectroscopic, physical, electrochemical)
 processes -redox mechanism & kinetics -self discharge -ageing -failure mode -safety, abuse tests 	-Combine with simulation & modelling -in situ -ex situ

Many parameters:

•need to define issues that are critical to performance and to extract general and specific trends

•Batteries are alive and intrinsically complex systems: need for interdisciplinarity, multi – level approaches

We have little general/fundamental understanding of the role that interface structure and chemistry plays in controlling battery performance

Solid - Solid

Internal interfaces – dislocations, reaction fronts etc.

Dislocations in LiCoO₂ (commercial material, uncycled) (H.
Gabrish): View perpendicular to the [001] direction.
Dislocations glide in slabs stacked along [001].

The β -Ni(OH)₂ / β -NiOOH transformation (R. Palacin)





What is the role of dislocations in cycling behavior?
How do they change during cycling, do they adopt an equilibrium configuration?
Relationship to fracture formation/prevention?

We have very general/fundamental understanding of the role that interface structure and chemistry plays in controlling battery performance

Solid - Solid

•Internal interfaces cont.

•How do **reaction fronts** move through solids?

•Does this vary with temperature, overpotential, particle morphology and size?



Active – binder/carbon, Electode-SEI interfaces

Electrode-Solid State Electrolyte Interfaces 2 phase reactions: e.g., LiFePO₄ Li diffusion

Conversion/extrusion reactions: e.g., CoO, InSb, $Cu_{2.33}V_4O_{11}$, BiOF.. Cation and anion diffusion



We have little general/fundamental understanding of the role that interface structure and chemistry plays in controlling battery performance

Solid – Liquid

Electrode-Electrolyte Interfaces, SEI-Electrolyte and Surfaces

•What does the surface structure of a working electrode look like and how does it change during charge/discharge?

•Is surface reconstruction important (esp. in aqueous media)?

How do new electrolytes, salts and additives affect the particle surfaces and interfaces
How do electrolytes and electrolyte salts interact with the particle surfaces? (Effect of different surfaces, surface defects?) How does this affect SEI formation?

Does the electric double layer model bear any relationship to
reality?New characterization methods should be able to
answer this question..



The processes in which the electrolyte is involved are only partially understood, but key for battery performance and life.

And of course Solid – Gas and Liquid – Gas interfaces

Li-air etc

We have little general/fundamental understanding of the role that interface structure and chemistry plays in controlling battery performance

We need to develop new methodologies for the study of interfaces with particular attention to those involving the electrolyte: (need for more interaction with organic chemists?)

•Advance molecular-level surface electrochemistry to determine the identity or chemical functionality and spatial arrangement (orientation) of surface species at the surface (in presence of electrolyte)

•Probe and characterize charge transfer and mass transport processes

•Understand the thermodynamic, structural, mechanistic and dynamic aspects of interfacial reactions at the atomic- and nano-scale

We have little systematic understanding of the role that size and morphology plays in controlling battery performance

•We need to understand interactions and effects at the nano-to-atomic level and the consequences (positive and negative) of utilizing nanoparticles and composites



M. S. Whittingham, J. Mater. Chem., in press (data from Kim & Kim)

Structural relaxation?

Electronic?

Are the different properties due to due electronic or surface structure effects?

Or diffusion path lengths?

or is it simply due to nonstoichiometry?

Pseudocapacitive effects?

Limits of solution altered as f(size) Yamada and Chiang

We have little systematic understanding of the role that size and morphology plays in controlling battery performance



Y-M. Chiang et al, *Electrochem. Solid-State Lett.*, **10** (2007) A134 Are the different properties due to due electronic or surface structure effects?

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Pseudocapacitive effects?



J. Dodd et al *Electrochem. Solid-State Lett.*, **9** (2006) A151

We have very little systematic understanding of the role that size and morphology plays in controlling battery performance

Are the different properties due to

.. diffusion path lengths?

or is it simply due to non-stoichiometry?

Maximize active surface
+minimize Li⁺ diffusion
length
Wire particles together
(hyper-branched
morphology)





We have very little systematic understanding of the role that size and morphology plays in controlling battery performance



•Are the different faults and structural transformations different at the nano-

•Electrochemical profiles are extremely sensitive to differences between bulk and nanomaterials opportunities exist to contribute to understanding of some fundamental physics/chemistry

Dislocations observed at high potentials associated with the O3 -O1 transition (H. Chen, C. P. Grey)

What happens when particles are dominated by surface effects?

•Coatings – how do they work?

What new methods should we develop?

Non-destructive and in situ analysis at the highest resolution, greatest selectivity, and ultimate detection limits are the primary goals in this field

Spectroscopy

photons, x-ray, electron, NMR

Microscopy SPMs, Electrons, x-ray, near-field

X-ray, Electrons, Ions, Neutrons

Diffraction

Novel Techniques and Methodologies

Characterization? Detection

Materials

- Crystals and artificial structures
- Electrolytes (disordered structures)
- Phase transitions
- Electronic and magnetic properties

Goals

- Increase spatial resolution
- Time resolution ultrafast probes
- Higher energy resolution
- improved surface/bulk selectivity
- In situ sensing/monitoring

Interface

- Kinetics of surface phenomena
- (Meta)Stability of surface structures
- Competing processes, side-effects
- Transport and response functions

Combination of spectroscopy/diffraction and microscopy imaging to study elementary excitations at high spatial resolution will lead to development of dedicated techniques to study materials and electrode/electrolyte interfaces in energy storage systems



What new methods should we develop? Imaging + Diffraction

•The simultaneous use of a combination of techniques may help overcome inherent limitations of the individual method



TEM: 117 Å x 50 Å



Both diffraction and TEM data were required to solve the structure of β -NiOOH (R. Palacin)

But, more method development work still needed to e.g., distinguish between strain-broadening and stacking faults

Diffraction + Local Structural Probes

Cation Ordering, Oxidation State and Electrochemical Properties of Li[NiMnCo_(1-2x)]O₂



Li[Ni_{1/3}Mn_{1/3}Co_{1/3}]O₂ Ohzuku & Makimura, '01 Li[Ni_{1/3}Mn_{1/3}Co_{1/3}]O₂Lu, MacNeil, & Dahn, '01

"Solid solution" 1st investigated in detail by Lu and Dahn

Ni and Mn clustering? Ni and Mn oxidation state? Effect of this on electrochemistry

TEM (&XRD) evidence for ordering (Koyama, Yabuuchu.. and Ohzuku; JES **2005**, **2004**)) NMR evidence for local clustering (Goward and Nazar: L. S. Cahill et al., *Chem. Mater.*, **2005**)



 $Ni^{2+}? > Ni^{4+}$ or $Ni^{2+} - > Ni^{3+} - > Ni^{4+}?$



How can we assign the peaks?

Hyperfine shifts in dilute systems are additive: 90° Ni²⁺-O-Li: ~ -10 to -15 ppm; 180° Ni²⁺-O-Li: ~ 170 ppm; 90° Mn⁴⁺-O-Li: ~ 120 - 150 ppm; 180° Mn⁴⁺-O-Li: ~ -60 ppm; Co³⁺: 0 ppm.
e.g. Li(Ni₁Co₅)^{1st}(Mn₁Co₅)^{2nd} Shift = 2(-10) + (-60) = -80 ppm







The Ni and Mn are clustered, even in $Li[Co_{0.96}Ni_{0.02}Mn_{0.02}]O_2$



XAS Confirms that the Transition Metals Remain as Ni²⁺, Co³⁺, Mn⁴⁺ Throughout the Series



Ni K edge

Pair Distribution Function (PDF) Analysis can be used to investigate cation clustering in higher doped samples, e.g., Li[Co_{1/3}Mn_{1/3}Ni_{1/3}]O₂





Coherent scattering lengths (fm): Ni: 10.30 Li: -2.22 Mn: -3.75 Co:2.49

Pair Distribution Function (PDF) Analysis can be used to investigate cation clustering in higher doped samples, e.g., Li[Co_{1/3}Mn_{1/3}Ni_{1/3}]O₂



Giant cluster of 2400 atoms



Coherent scattering lengths (fm): Ni: 10.30 Li: -2.22 Mn: -3.75 Co:2.49

Co disrupts the Ni-Mn ordering; but weak correlations persist





1 st coordination shell							
	RMC re	sults	Possible Models				
	Before "Random" (%)	After (%)	Rando m	[v3 ×v3]R30º- Superlattice	Parallel cation chains	Zigzag	
%Ni-Ni pairs	11.8	9.1	11.1%	0	16.7%	22.2%	
%Ni-Mn pairs	24.4	28.4	22.2%	33.3%	16.7%	11.1%	
%Mn-Mn pairs	12.0	9.6	11.1%	0	16.7%	22.2%	
%Ni-Co pairs	20.4	21.7	22.2%	33.3%	16.7%	11.1%	
%Mn-Co pairs	20.3	21.1	22.2%	33.3%	16.7%	11.1%	
%Co-Co pairs	11.2	10.1	11.1%	0	16.7%	22.2%	
Total	100	100	100%	100%	100%	100%	

Co disrupts the Ni-Mn ordering; but weak correlations persist



C0³⁺

v3 ×v3: Only simple ordered scheme that maximizes Ni-Mn contacts in 1st shell
this results in increased Ni-Ni and Mn-Mn contacts in the 2nd shell

*Kang, Breger, Grey and Ceder, Science '06; J. Mater. Chem. '07;



 $\begin{array}{c} \text{Li}[\text{Ni}_{0.5}\text{Mn}_{0.5}]\text{O}_{2}^{*} \\ \text{derived from} \\ \text{Na}[\text{Ni}_{0.5}\text{Mn}_{0.5}]\text{O}_{2} \end{array}$



Effect of Ni/Mn Concentration and Ordering on Electrochemical Performance



Following the Electrochemical Process





Cause of the Loss of Metal-to-Insulator Transition



Ni²⁺? >Ni⁴⁺ - correlates with Ni content

Loss of "LiCoO₂" signal correlated with loss of Metal-to-Insulator Transition



What new methods should we develop?

Insitu Methodologies

X-ray and XAS studies now routine



Make use of new DOE facilities investments Neutron diffraction – follow the Li (and H/D) But .. sample size issues access to beamtime insensitive technique

DOE investment in Oakridge neutron facilities -> more time available in US -> design of smaller cells possible





Advanced Diagnostic Methods: In situ NMR



Battery Cycler



B. Key, R. Battacharya





"Desert Rose" LiCoO₂ C/2 rate 1st cycle, 1C rate 2nd cycle

(actual capacity for 2nd cycle is twice the x-axis capacity),

NMR spectra - 1 a minute - 0.5 s record to date

in-situ 7Li static NMR. 600 500 400 Capacity (mAhg⁻¹) 300 200 100 0 3.5 10 $\overline{\nabla}$

Automated peak picking and integration routine – dealing with large amount of data



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Differences in rate performances of 2 ½ cells, at high rates, lead to electrolyte conc. changes –

Creates overpotential...



What new methods should we develop?

Electrochemistry under extreme conditions



R. Palacin, J. –M. Tarascon

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Conclusions

- A wide variety of different materials have been identified or synthesized that push the battery chemistries beyond that of the SONY cell.
- Multi-electron systems (capacity), 3 dimensional structures (rate), extrusion (capacity), nanoparticles, insulating materials, new morphologies, metal-organics...
- Pushing back the materials frontiers requires that we understand how the batteries/materials function and how and why they fail.
- New Diagnostic techniques have been developed to follow changes in local structure, sequences of electrochemical events, and oxidation state
- NMR spectra are very sensitive to local structure and electronic structure;
- Pair distribution function analysis methods are sensitive to order around the metals
- Applied to understand how Li[Co_{1-2x}Mn_xNi_x]O₂ functions

However...

Much work is still required to produce a *safe (cheap) lithium-ion battery* for HEV, Plugins and EVs



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