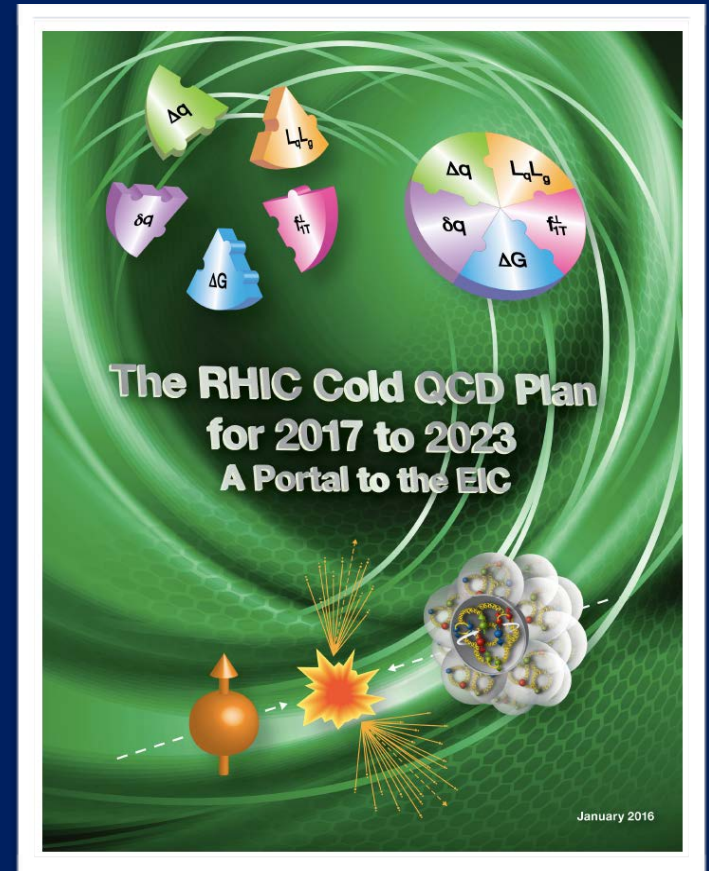


# ***RHIC Cold QCD Plan***

Available as  
arXiv:1602.03922

*Christine A. Aidala*  
*University of Michigan*

*National Academy of Sciences EIC Science Assessment*  
*February 1, 2017*



# “Cold” QCD

- “Cold” in contrast to “hot” QCD, in which energy densities high enough to form a quark-gluon plasma
- At RHIC
  - proton-proton (p+p)
  - proton-nucleus (p+A)
  - ultraperipheral nucleus-nucleus (A+A) collisions
- Cold QCD focuses on
  - *Structure* – description of QCD bound states in terms of the quarks and gluons within them
  - *Hadronization* – processes by which quarks and gluons form QCD bound states
  - *Interactions* involving hadrons – effects due to color flow in different scattering processes



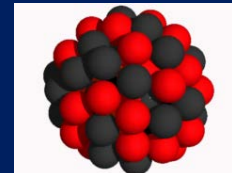
# Structure of QCD bound states

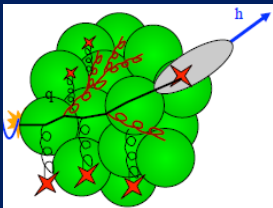
Theoretical + experimental progress since late 1990s has permitted increasingly sophisticated pictures of the quark-gluon structure of the nucleon, in terms of:



- *Momentum* – only parton collinear momentum fraction of nucleon momentum considered until 1990s. Considering transverse momentum of partons within nucleon opened up new subfield of parton spin-momentum correlations within nucleon
- *Spin* – Experimental control of nucleon spin enables study of spin-spin correlations and spin-momentum correlations
- *Flavor* – Flavor asymmetry between antidown and antiup quarks in proton discovered in 1990s, still not understood. Evidence for flavor asymmetry in polarized distributions as well
- *Position* – Basic concepts regarding how to access parton radial position within nucleon only in 1990s. Pioneering experimental measurements so far

Knowledge of quark-gluon structure of *nuclei* still relatively primitive



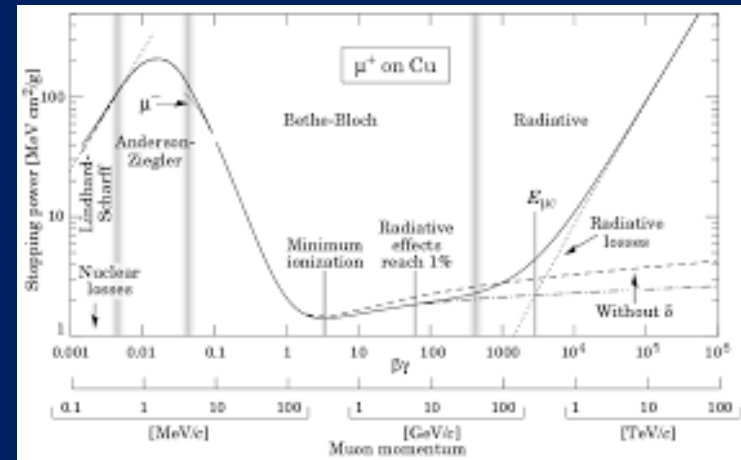


# *Hadronization*

- Not as far along as nucleon structure—much less of a focus in previous decades
  - Phenomenological analyses only incorporated semi-inclusive deep-inelastic scattering and hadronic collision data along with  $e^+e^-$  annihilation data as of 2007
- Recent advances via
  - Spin-momentum and spin-spin correlations in hadronization
  - Multiparton correlations in hadronization—interference effects between hadronization from  $(q+g)$  and only a quark, or  $(g+g)$  and only a gluon
  - Interference effects of multiple hadrons coming from a single parton
  - Hadronization in nuclear environment
- Topic starting to get more attention → major area of focus at EIC

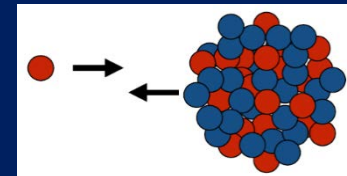
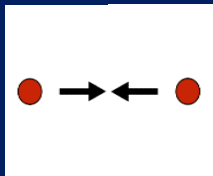
# *Interactions involving hadrons*

- In 2000s, starting to consider color interactions in different scattering processes
  - New interest in the interactions themselves, rather than only hadron structure or hadronization
  - Theoretical breakthroughs in 2002 (Brodsky, Hwang, and Schmidt; Collins) and 2010 (Rogers and Mulders)
- Another example of interest in interactions: parton energy loss in cold (or hot) QCD matter
  - QCD analog of Bethe-Bloch energy loss



# *Cold QCD areas of focus at RHIC*

*(Many linked to one another)*



- Partonic structure of the proton
- Partonic structure of nuclei / Nuclear pdfs
- Gluon saturation / Structure at small parton momentum fraction
- Spin-momentum correlations in the nucleon, quantum interference effects and their process dependence
- Diffraction
- Hadronization in different environments

# *RHIC Cold QCD Plan:*

## *Relation to EIC*

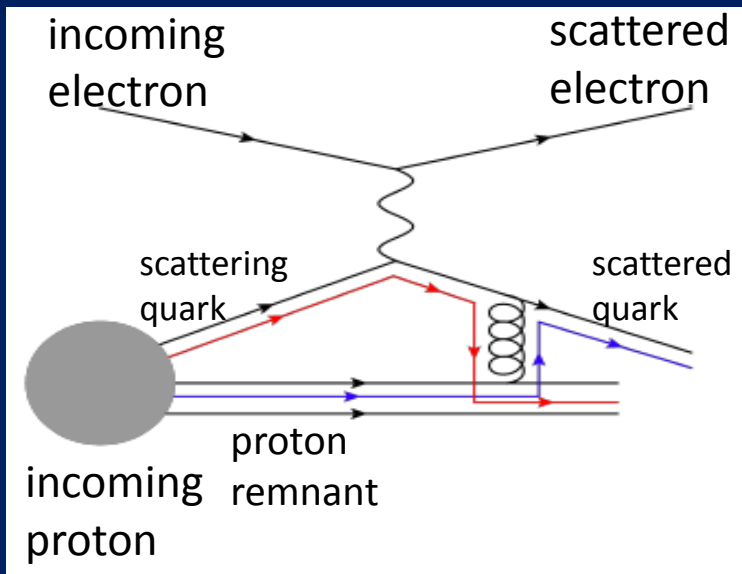
Focus on measurements *complementary to or in support of* future EIC physics program

- Unique color interactions in hadronic collisions
  - Comparison to lepton-hadron scattering → universality studies
  - Novel non-Abelian effects not accessible with a lepton beam
- Early measurements of effects/observables to be studied in depth at EIC
  - Investigate scale of effects
  - Push further theoretical development
- Draw larger community into EIC physics and observables

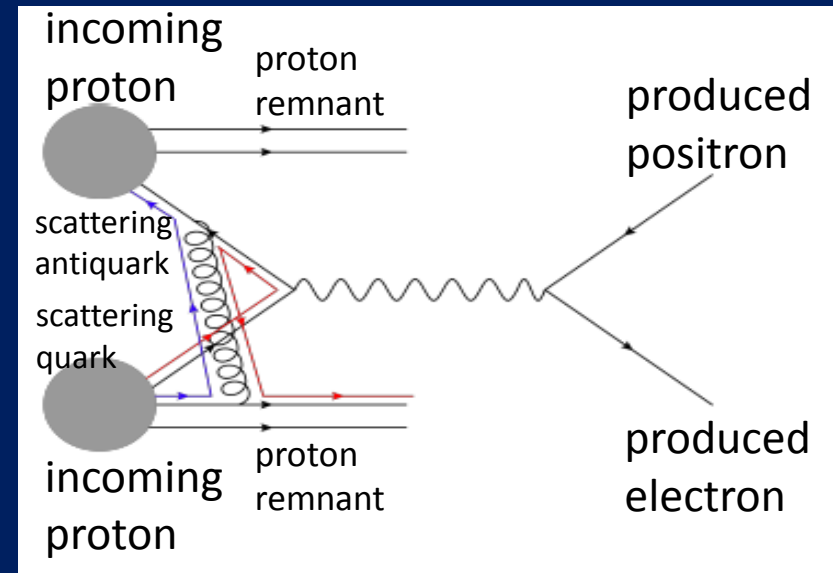


# *Spin-momentum correlations; interactions and color flow*

**Deep-inelastic electron-nucleon  
scattering: Final-state color exchange**



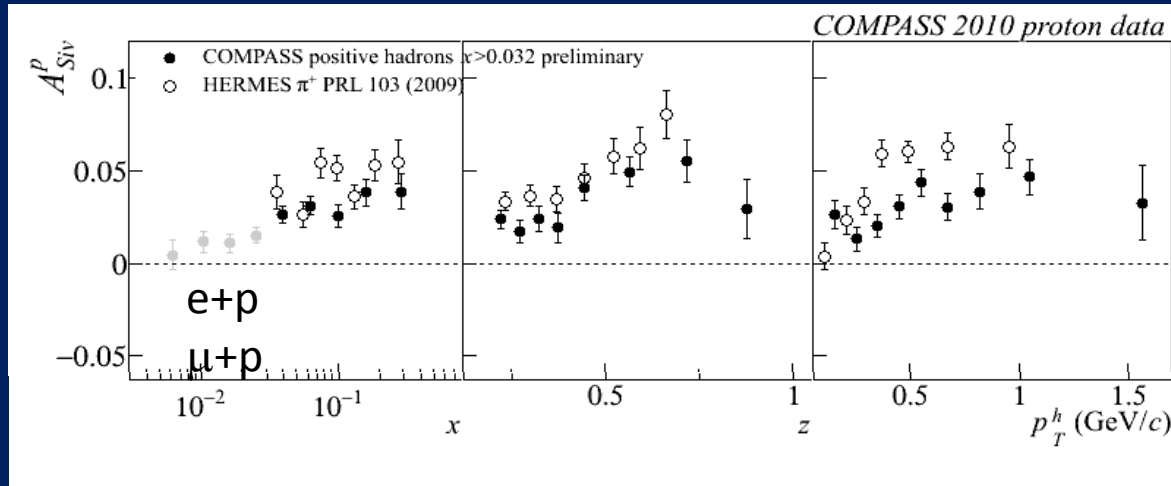
**Quark-antiquark annihilation to  
electrons: Initial-state color exchange**



Get *opposite sign* for certain spin-momentum correlations in these two processes, due to phase interference effects and color exchange in the final state vs. initial state (Collins 2002)

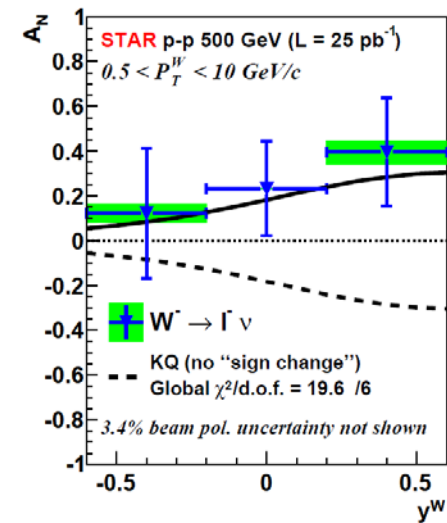
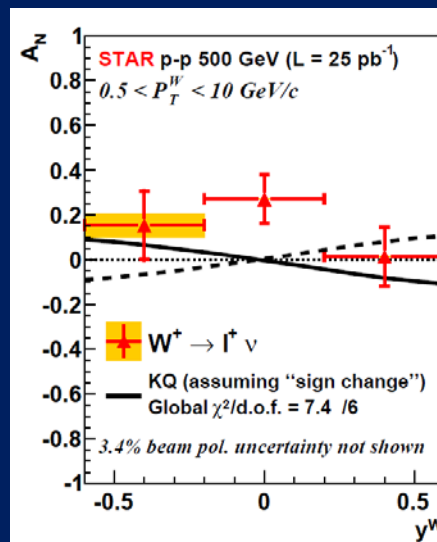


# Spin-momentum correlations; interactions and color flow

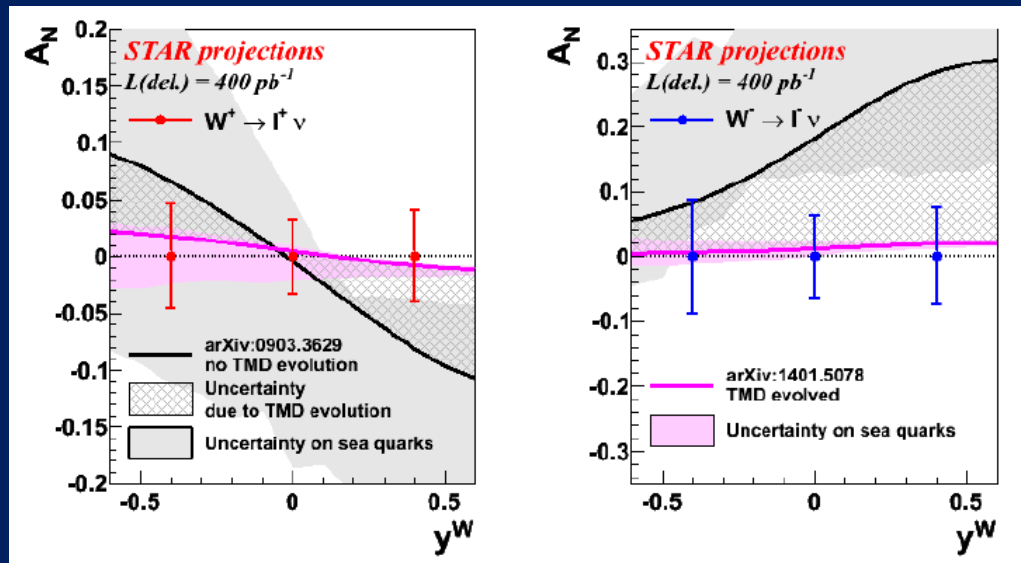


Clear  $\sim 5\%$  spin-momentum correlation measured in lepton-proton scattering, only enabled by final-state color exchange

Initial STAR measurement of corresponding spin-momentum correlation in W production suggestive of predicted sign change due to process-dependent color interactions

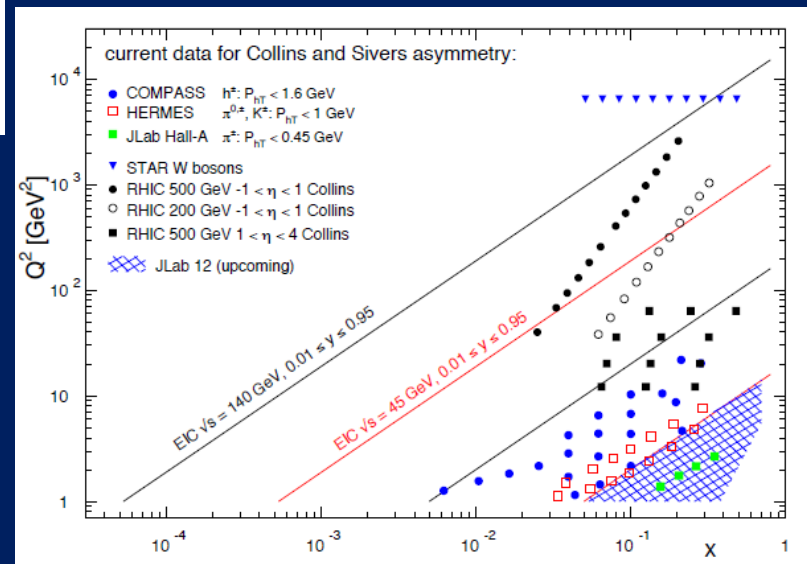


# Spin-momentum correlations; interactions and color flow



Comparison of kinematic coverage for similar spin-momentum correlation measurements

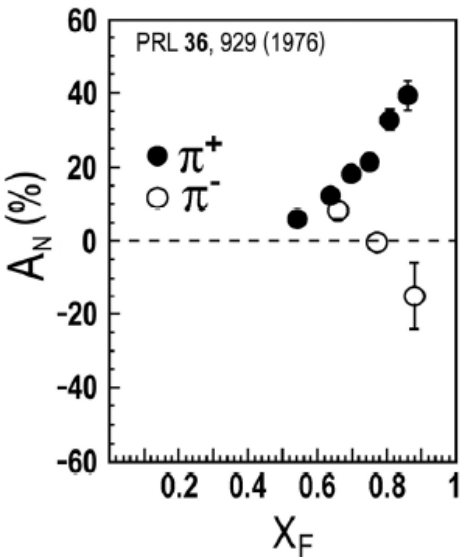
STAR projections for anticipated 2017 data. Stronger check of predicted process dependence, and improved constraints on sea quark spin-momentum correlations, and energy dependence of effects



# Up to 40% spin-momentum correlations in $p+p$ collisions that persist across energies

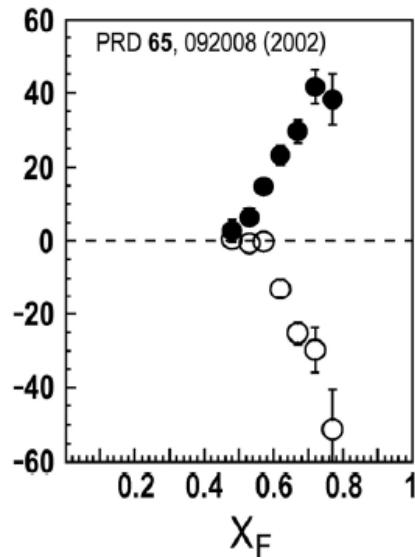
ANL

$\sqrt{s}=4.9$  GeV



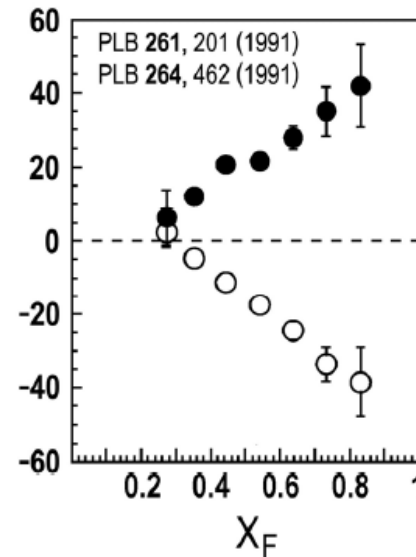
BNL

$\sqrt{s}=6.6$  GeV



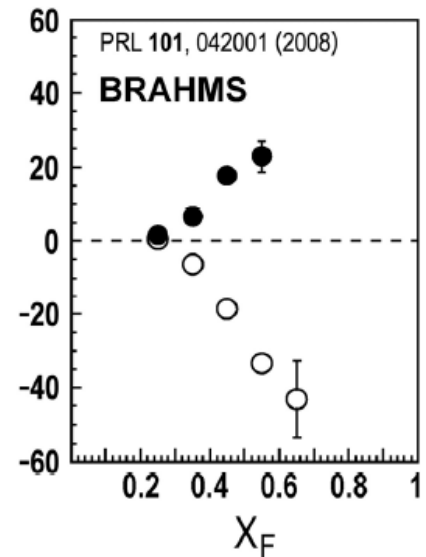
FNAL

$\sqrt{s}=19.4$  GeV



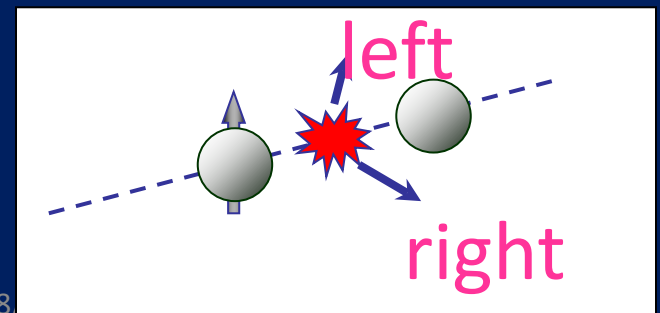
RHIC

$\sqrt{s}=62.4$  GeV



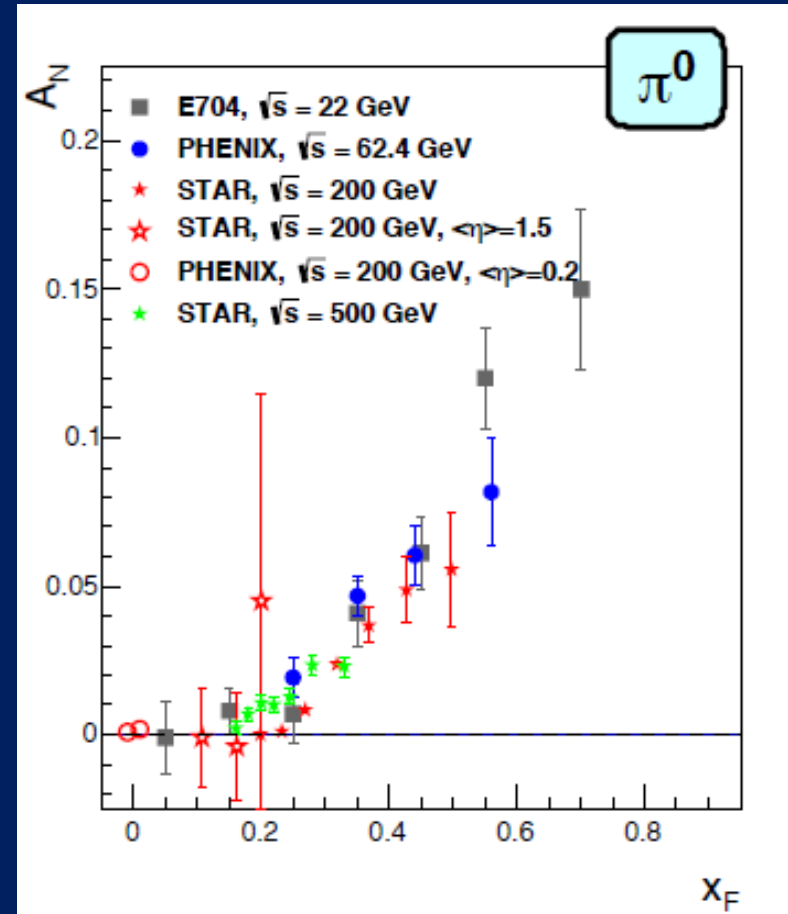
$$x_F = 2p_{long} / \sqrt{s}$$

Still not well understood—possible links to diffraction, color interactions, ...



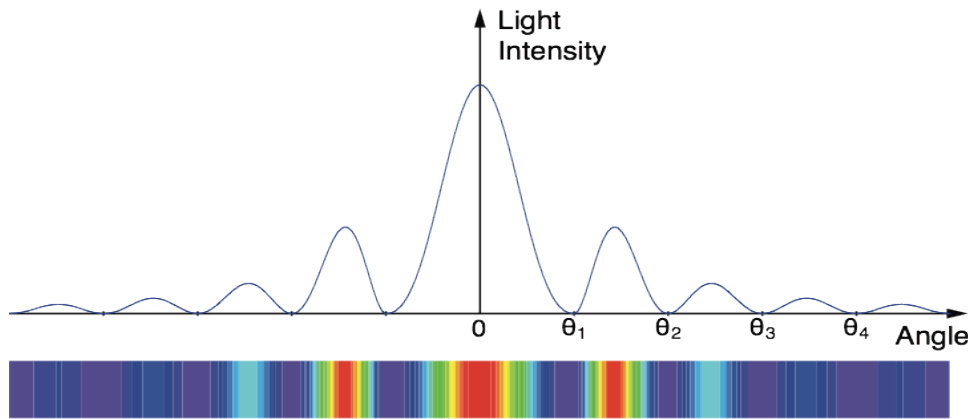
# Large spin-momentum correlations up to 500 GeV

- Neutral pion production asymmetries up to  $\sim 10\%$ , with very little dependence on energy up to 500 GeV
- STAR investigating further via *diffractive* measurements ...



# *“Gamma-ray diffraction” to probe spatial structure of nuclei*

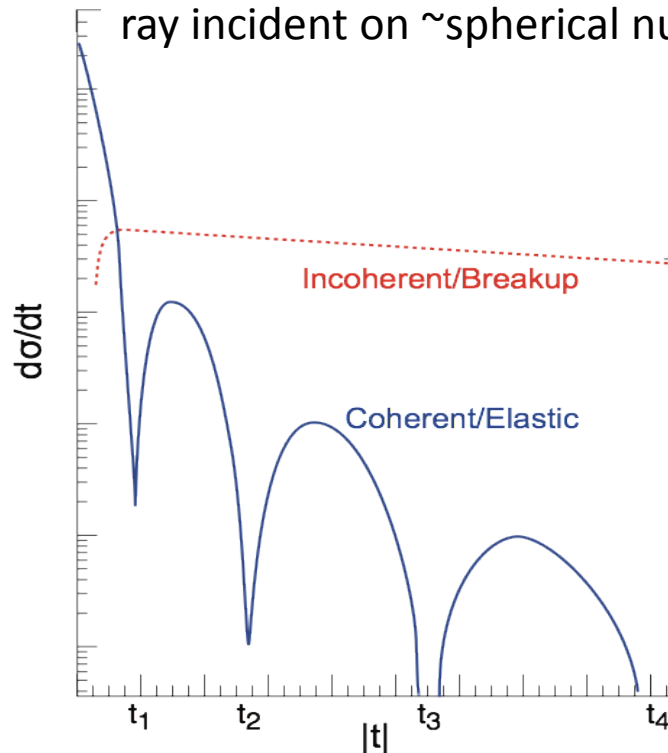
Diffraction pattern from monochromatic plane wave incident on a circular screen of fixed radius



From E. Aschenauer

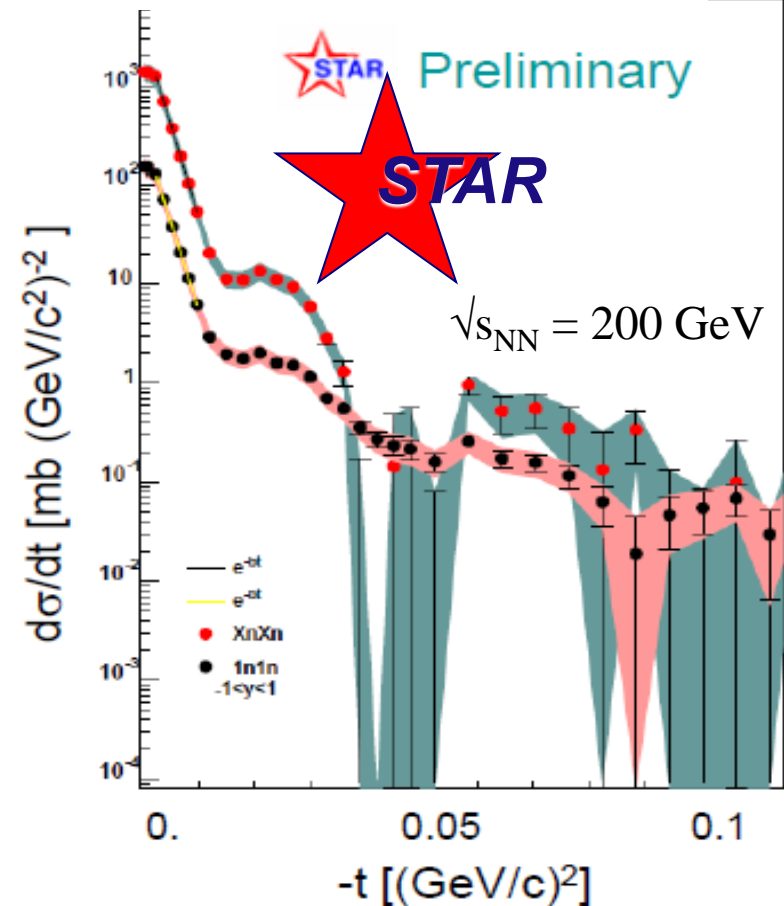
# *“Gamma-ray diffraction” to probe spatial structure of nuclei*

Expected diffraction pattern from gamma ray incident on  $\sim$ spherical nucleus

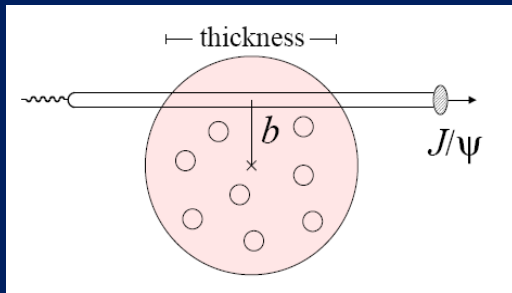


2 nuclear beams. Probed nucleus in one beam. Gamma emitted by Coulomb-excited nucleus passing nearby in second beam.

Diffractive  $\rho$  production in Au+Au ultraperipheral collisions



Ice Assessment,

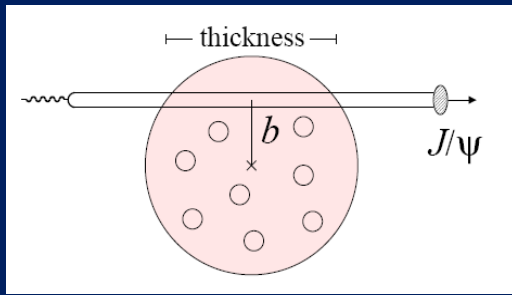


# *Diffraction at RHIC*

- In addition to probing spatial structure, diffraction is one way to probe gluon saturation within nuclei
- Comparing diffraction in hadronic collisions and e+p or e+A is furthermore of interest – study universality

## Ongoing measurements by STAR

- Preliminary  $\rho$  in Au+Au—clear diffractive peaks
- Measurement of diffractive contribution to huge forward transverse single-spin asymmetries

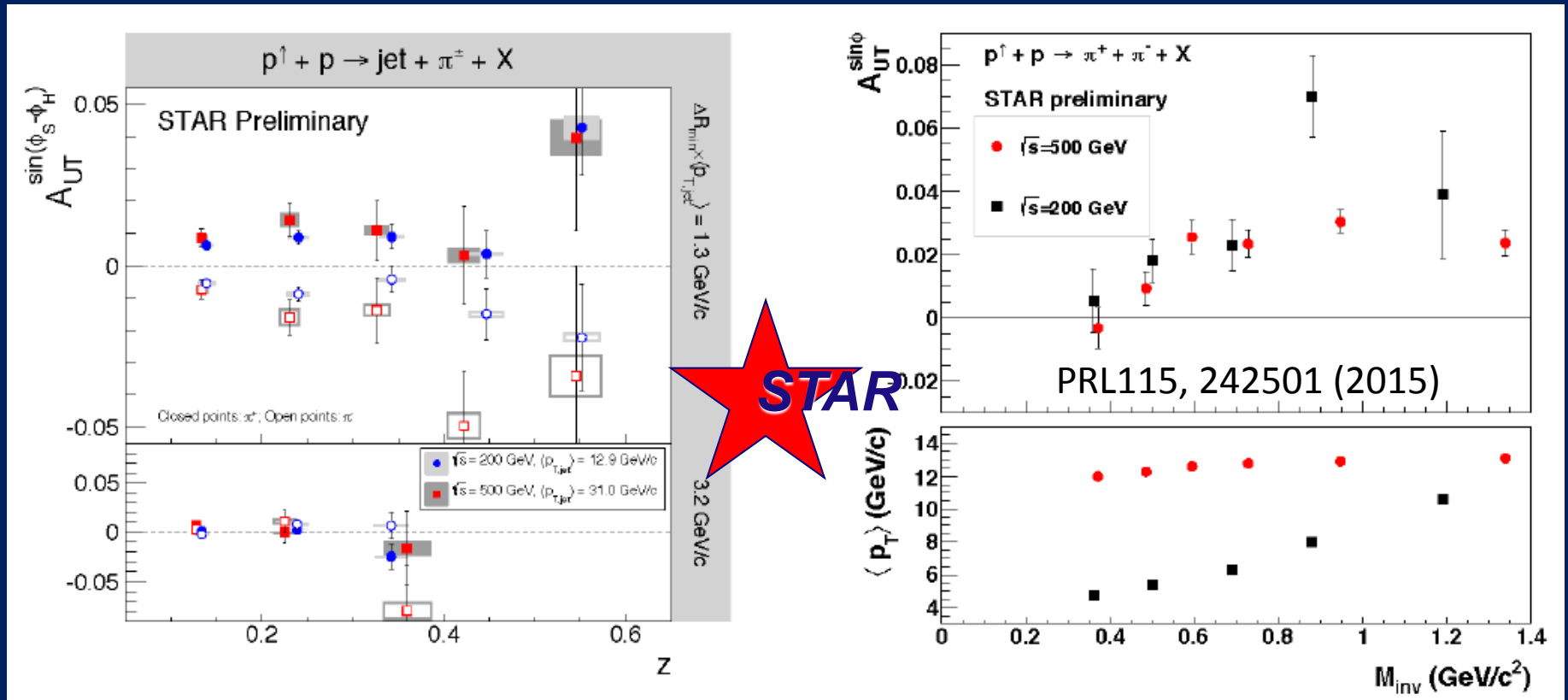


# *Diffractive at RHIC*

- First-ever, early measurement of Generalized Parton Distribution “E” for gluons in 2017 510 GeV p+p via diffractive J/Psi production—sensitive to *gluon orbital angular momentum*
- Diffractive J/Psi production in polarized p+A, for multiple physics measurements
  - *Spatial imaging of gluon distribution in nucleus*
  - Probe gluon orbital angular momentum in polarized proton –  $Z^2$  from heavy nucleus helps



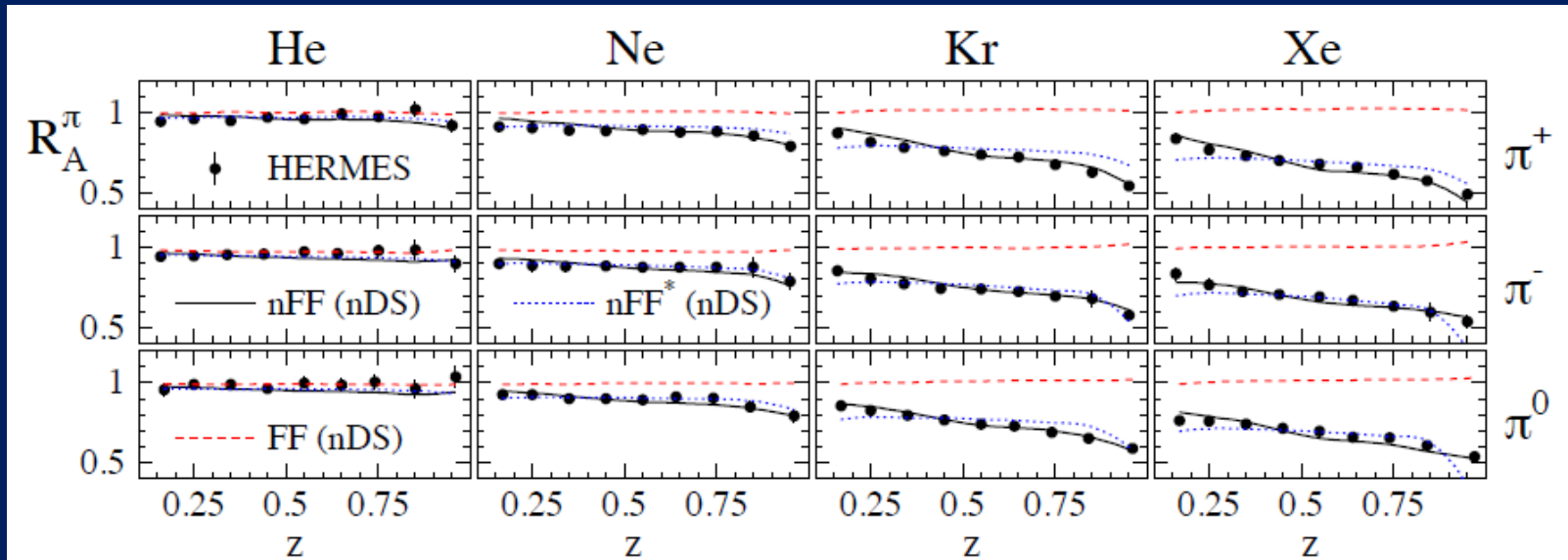
# Hadronization: Clear spin-dependent hadronization observed in $p+p$ collisions



Spin-momentum correlation observed for charged pion in a reconstructed jet

Spin-dependent interference between two pions hadronizing from same parton

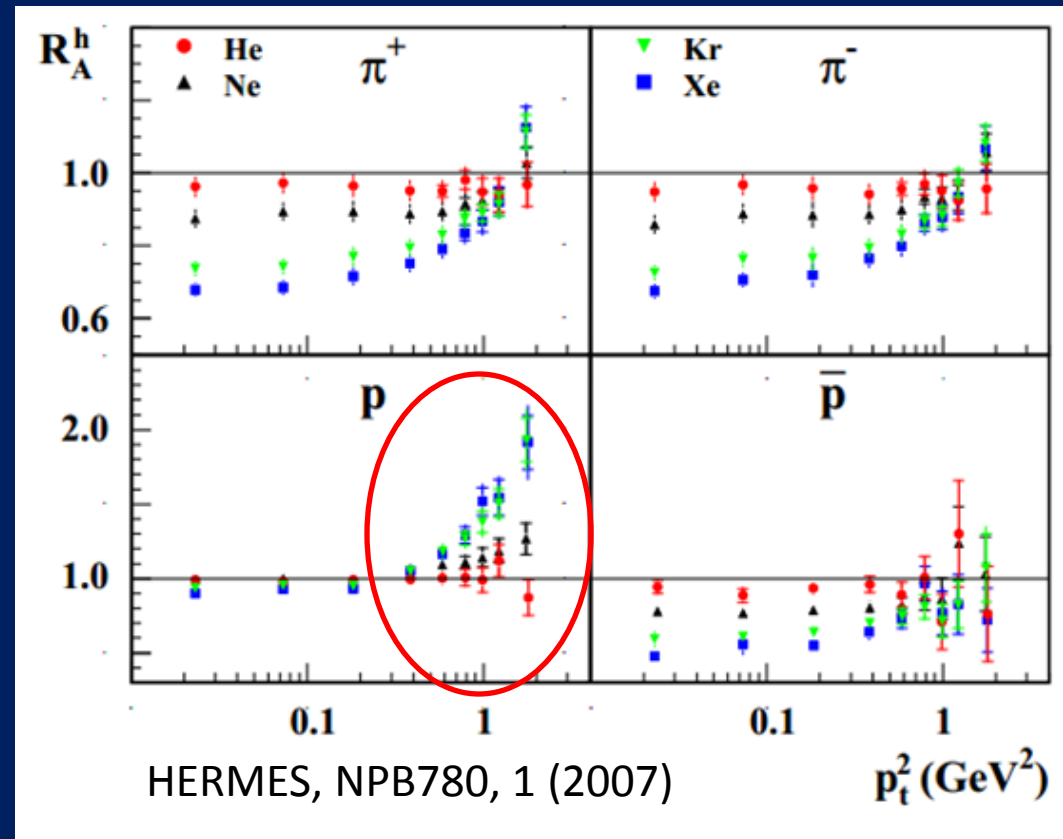
# *Hadronization in nuclei: $e+A$*



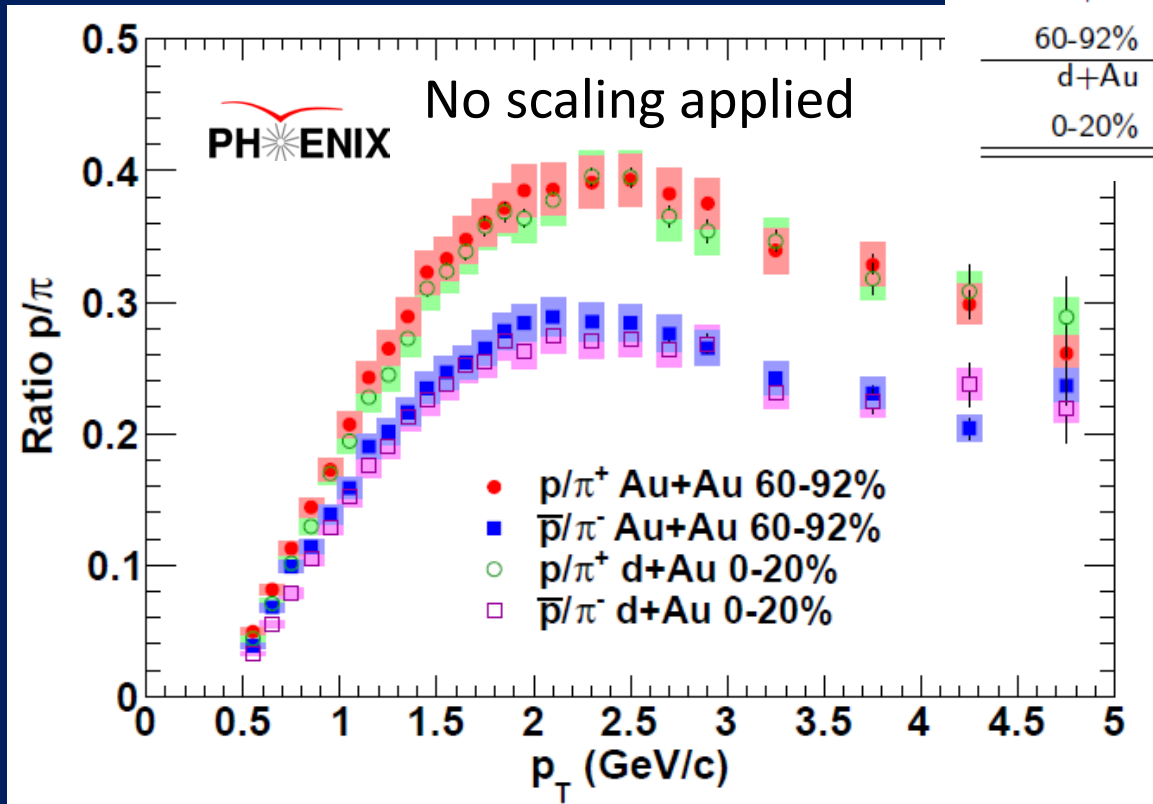
- Modification of pion production in  $e+A$  collisions with respect to scaled  $e+p$  collisions
- Nuclear mass dependence

# *Hadronization in nuclei: Effects due to higher-density partonic environments?*

- Modification of particle production in  $e+A$  compared to  $e+p$ 
  - Not fully explained by nuclear pdfs
- Enhancement of protons compared to pions in  $e+A$  with respect to scaled  $e+p$



# Hadronization in nuclei: Effects due to higher-density partonic environments?



Centrality	$\langle N_{coll} \rangle$	$\langle N_{part} \rangle$
Au+Au		
60-92%	$14.8 \pm 3.0$	$14.7 \pm 2.9$
d+Au		
0-20%	$15.1 \pm 1.0$	$15.3 \pm 0.8$

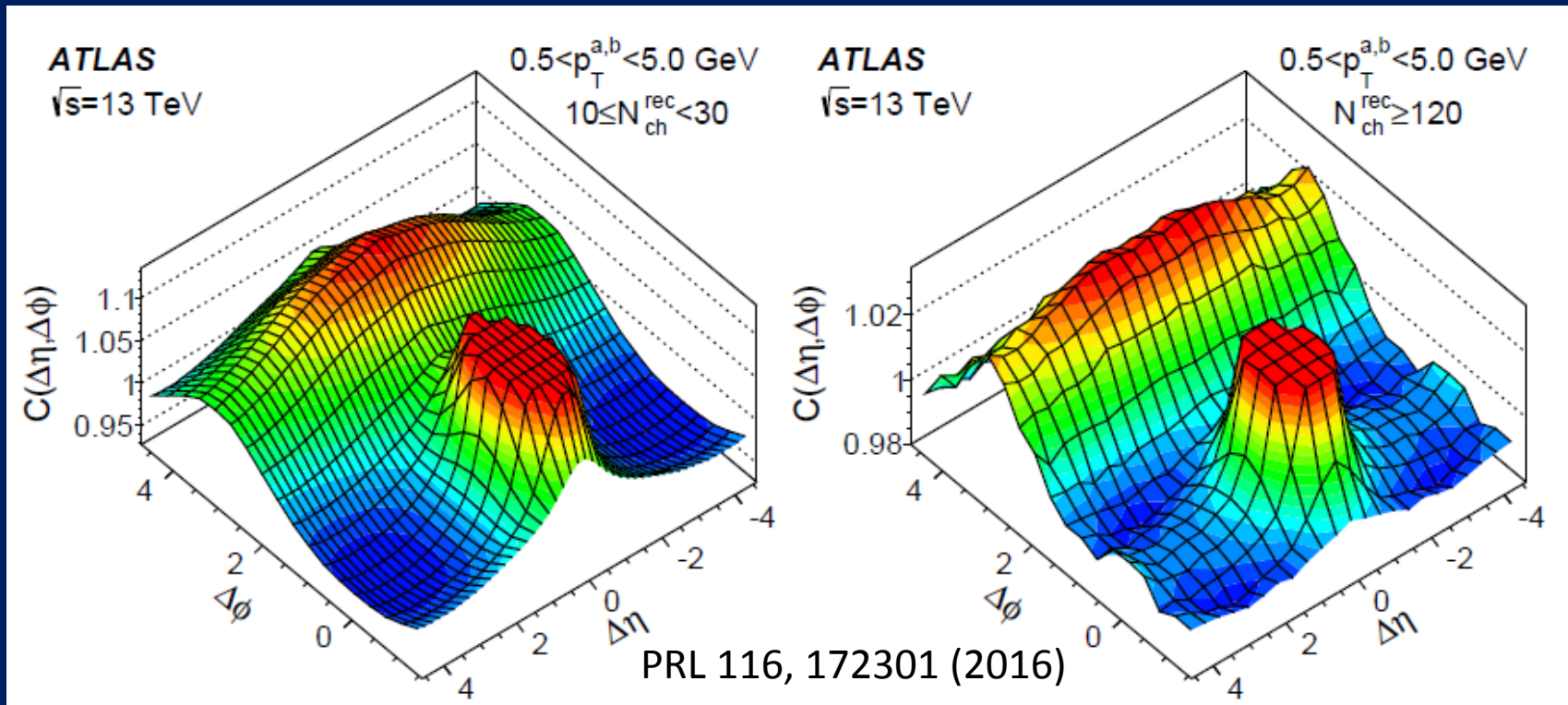
$p/\pi$  ratio for central d+Au and peripheral Au+Au—shape *and* magnitude identical

Suggests common mechanism(s) for baryon production in the two systems

PRC88, 024906 (2013)



# *Links to collective behavior in high-multiplicity $p+p$ , and in $p+A$ ?*



- Long-range correlations in hadron production also observed in deuteron-gold collisions at RHIC
- Unclear so far if “hot” or “cold” QCD effect

# Proposed RHIC Cold QCD program

	Year	$\sqrt{s}$ (GeV)	Delivered Luminosity	Scientific Goals	Observable	Required Upgrade
Scheduled RHIC running	2017	$p^+p^-$ @ 510	400 $\text{pb}^{-1}$ 12 weeks	Sensitive to Siverts effect non-universality through TMDs and Twist-3 $T_{q,F}(x,x)$ Sensitive to sea quark Siverts or ETQS function Evolution in TMD and Twist-3 formalism  Transversity, Collins FF, linearly pol. Gluons, Gluon Siverts in Twist-3  First look at GPD $Eg$	$A_N$ for $\gamma$ , $W^\pm$ , $Z^0$ , DY  $A_{UT}^{\sin(\phi_s-2\phi_h)}$ $A_{UT}^{\sin(\phi_s-\phi_h)}$ modulations of $h^\pm$ in jets, $A_{UT}^{\sin(\phi_s)}$ for jets  $A_{UT}$ for $J/\Psi$ in UPC	$A_N^{DT}$ : Postshower to FMS@STAR  None  None
	2023	$p^+p^-$ @ 200	300 $\text{pb}^{-1}$ 8 weeks	subprocess driving the large $A_N$ at high $x_F$ and $\eta$  evolution of ETQS fct. properties and nature of the diffractive exchange in $p+p$ collisions.	$A_N$ for charged hadrons and flavor enhanced jets  $A_N$ for $\gamma$ $A_N$ for diffractive events	Yes Forward instrum.  None None
	2023	$p^+Au$ @ 200	1.8 $\text{pb}^{-1}$ 8 weeks	What is the nature of the initial state and hadronization in nuclear collisions  Nuclear dependence of TMDs and nFF  Clear signatures for Saturation	$R_{pAu}$ direct photons and DY  $A_{UT}^{\sin(\phi_s-\phi_h)}$ modulations of $h^\pm$ in jets, nuclear FF  Dihadrons, $\gamma$ -jet, h-jet, diffraction	$R_{pAu}(DY)$ : Yes Forward instrum.  None  Yes Forward instrum.
	2023	$p^+Al$ @ 200	12.6 $\text{pb}^{-1}$ 8 weeks	A-dependence of nPDF,  A-dependence of TMDs and nFF  A-dependence for Saturation	$R_{pAl}$ : direct photons and DY  $A_{UT}^{\sin(\phi_s-\phi_h)}$ modulations of $h^\pm$ in jets, nuclear FF  Dihadrons, $\gamma$ -jet, h-jet, diffraction	$R_{pAl}(DY)$ : Yes Forward instrum. None  Yes Forward instrum.
	202X	$p^+p^-$ @ 510	1.1 $\text{fb}^{-1}$ 10 weeks	TMDs at low and high $x$  quantitative comparisons of the validity and the limits of factorization and universality in lepton-proton and proton-proton collisions	$A_{UT}$ for Collins observables, i.e. hadron in jet modulations at $\eta > 1$ and mid-rapidity observables as in 2017 run	Yes Forward instrum.  None
Potential future running	202X	$\bar{p}^+\bar{p}^-$ @ 510	1.1 $\text{fb}^{-1}$ 10 weeks	$\Delta g(x)$ at small $x$	$A_{LL}$ for jets, di-jets, $h/\gamma$ -jets at $\eta > 1$	Yes Forward instrum.

Table 1-2: Summary of the Cold QCD physics program proposed in the years 2017 and 2023 and if an additional 500 GeV run would become possible.

# *Nominal RHIC timeline*

- 2017-21 - only the STAR experiment operating
  - PHENIX experiment completed operations in 2016
  - sPHENIX upgrade detector planned for 2022; received CD0 in Oct 2016
- 2017 - 10-week transversely polarized p+p run at 510 GeV
- 2018-21 – Beam-energy scan to search for QCD critical point
- 2022 – Top-energy nucleus-nucleus collisions
- 2023 – Proton-proton and proton-nucleus running
  - Forward instrumentation in 2022 and 2023 not settled





# *Generic forward rapidity instrumentation requirements for Cold QCD Plan in 2023*

- Coverage approximately  $1 < \eta < 4$
- Calorimetry (electromagnetic and hadronic)
- Tracking
- Roman pots for diffractive measurements
- Hadron PID for hadronization measurements
- Note: Not all proposed measurements require additional forward instrumentation (see table)





# *Forward rapidity instrumentation for Cold QCD Plan in 2023*

Resources for forward instrumentation not currently clear

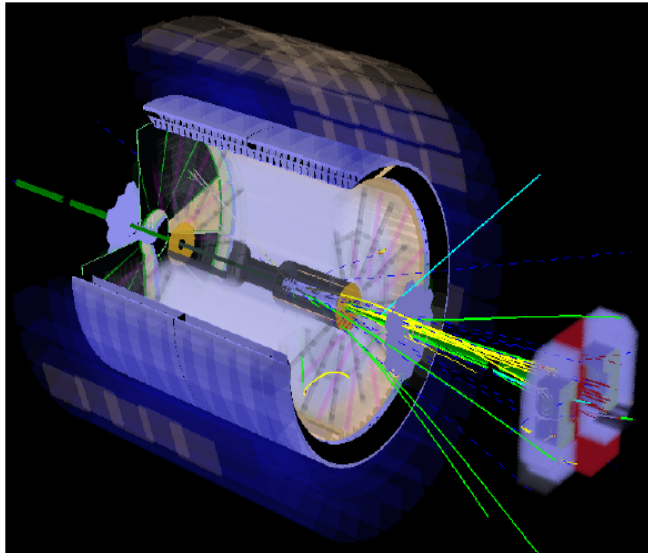
- Reconfiguration of previous instrumentation from PHENIX, STAR, or potentially other (non-RHIC) experiments possible, in particular for electromagnetic calorimetry
- Potential new instrumentation should be reusable for EIC
- Detector requirements for forward instrumentation in the hadron beam direction at the EIC are ~identical; possibility of designing a forward spectrometer for the EIC and building it several years early in order to take advantage of hadronic collisions at RHIC under discussion



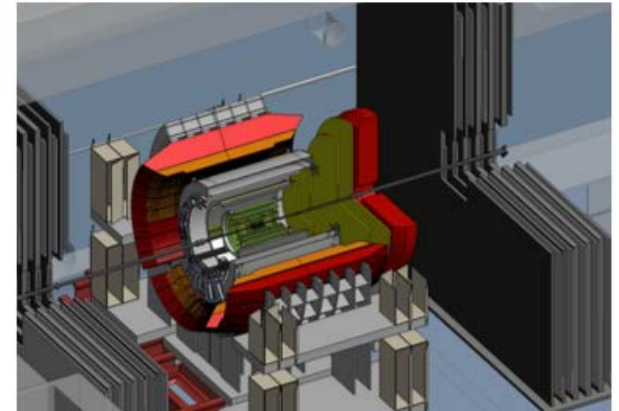
# *STAR and sPHENIX forward instrumentation ideas*

Physics Opportunities with STAR in 2020+

The STAR Collaboration  
(Dated: October 19, 2015)



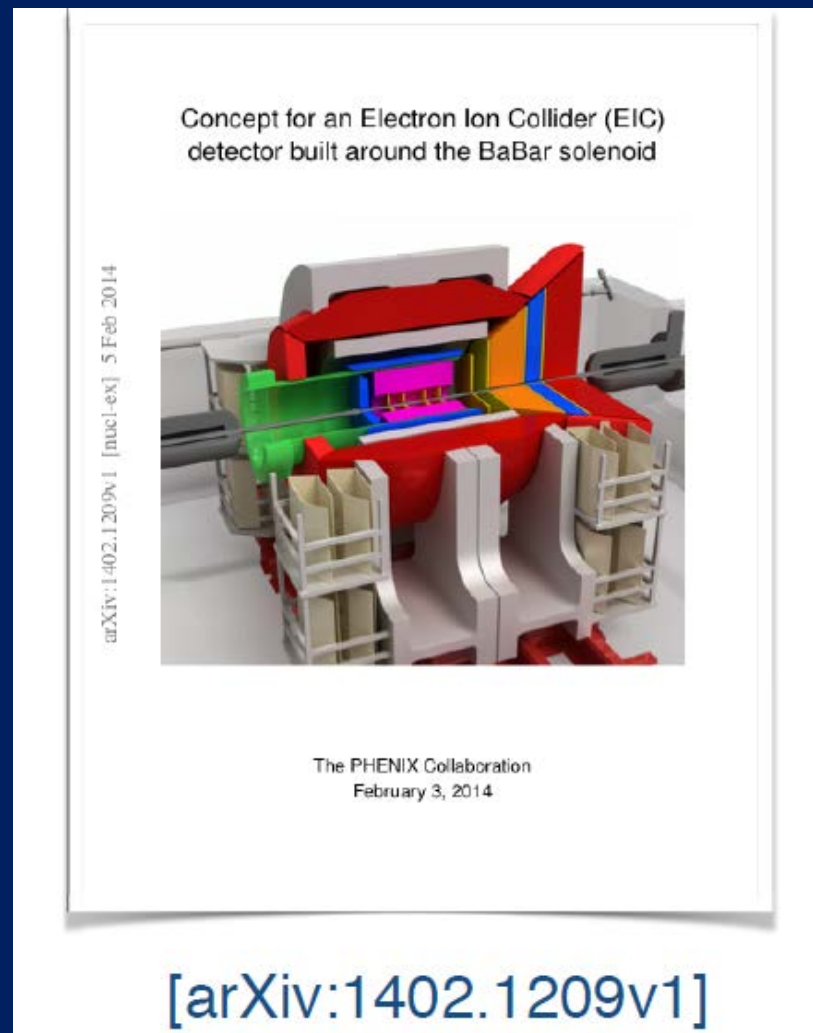
Future Opportunities in  $p+p$  and  $p+A$   
Collisions at RHIC with the Forward  
sPHENIX Detector



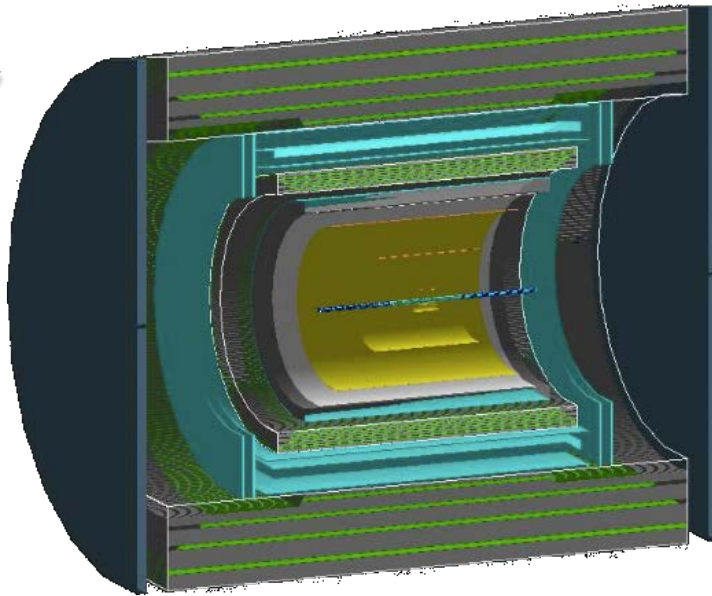
The PHENIX Collaboration  
April 29, 2014

# *LOI for sPHENIX-based EIC detector*

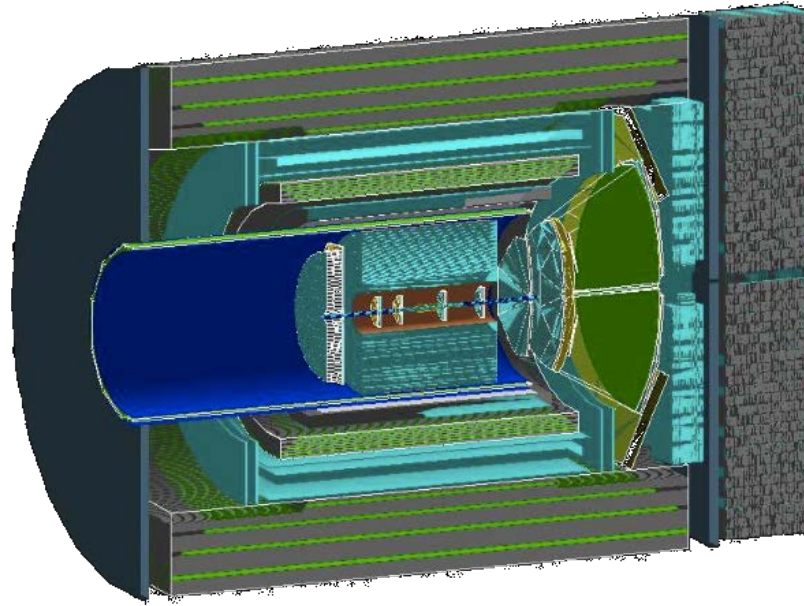
Work ongoing to update; new document anticipated for late spring



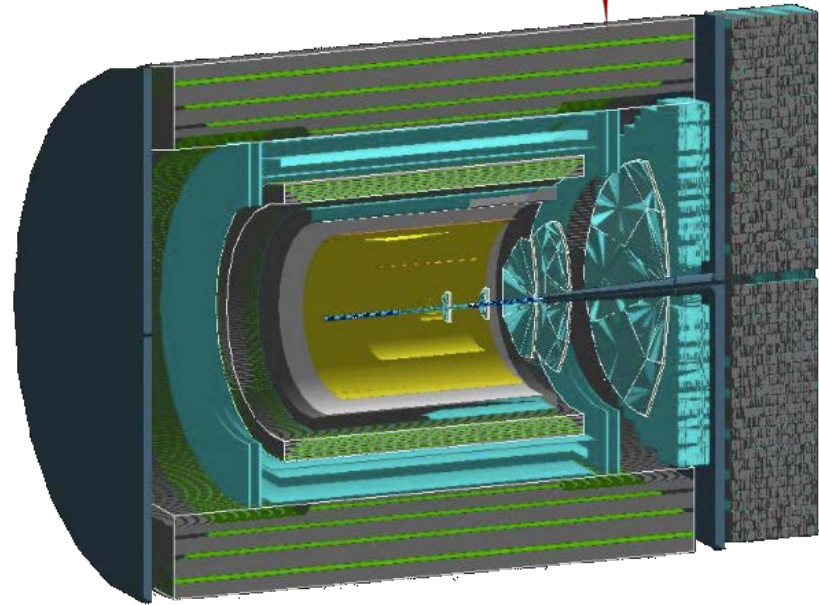
RHIC  $\longleftrightarrow$  eRHIC



sPHENIX



EIC Detector



forward-sPHENIX

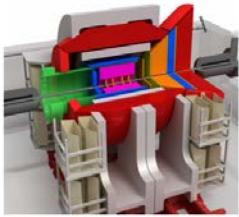
# *Upcoming meetings*

- RHIC Spin Collaboration meeting on hardware for the RHIC Cold QCD Plan – March 9-10 at BNL
- RIKEN-BNL Research Center workshop on p+p and p+A in connection to the EIC – June 26-28 at BNL





Concept for an Electron Ion Collider (EIC) detector built around the BaBar solenoid

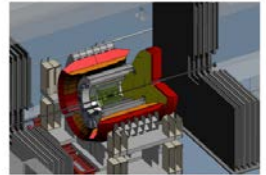


The PHENIX Collaboration  
February 3, 2014

# References and resources

- *Concept for an Electron-Ion Collider detector built around the BaBar solenoid*, arXiv:1402.1209
- *Future Opportunities in  $p+p$  and  $p+A$  Collisions at RHIC with the Forward sPHENIX Detector*, April 2014, <http://tinyurl.com/fsphenix2014>
- *Physics Opportunities with STAR in 2020+*, Oct 2015
  - <https://drupal.star.bnl.gov/STAR/files/STAR-2020-plan.pdf>
- *The RHIC Cold QCD Plan for 2017 to 2023: A Portal to the EIC*, arXiv:1602.03922

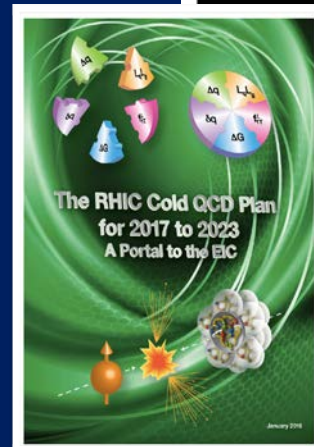
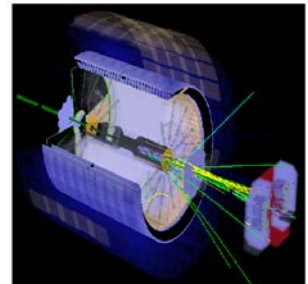
Future Opportunities in  $p+p$  and  $p+A$  Collisions at RHIC with the Forward sPHENIX Detector



The PHENIX Collaboration  
April 29, 2014

Physics Opportunities with STAR in 2020+

The STAR Collaboration  
(Draft October 18, 2015)



# Conclusions

- RHIC cold QCD program focused on
  - *Hadron structure*
  - *Hadronization*
  - *Interactions involving hadrons*
- Planned measurements *complementary to or in support of* future EIC physics program
- Broad themes include
  - spin-momentum correlations within the proton and the process of hadronization
  - diffractive measurements as probes of structure and interactions
  - nuclear modification of parton distribution functions and hadronization
  - unique color interactions and tests of universality
- There are a variety of existing and forthcoming measurements based on data already taken
- STAR experiment will take transversely polarized p+p data in 2017
- STAR and sPHENIX have proposals for further cold QCD measurements in 2023

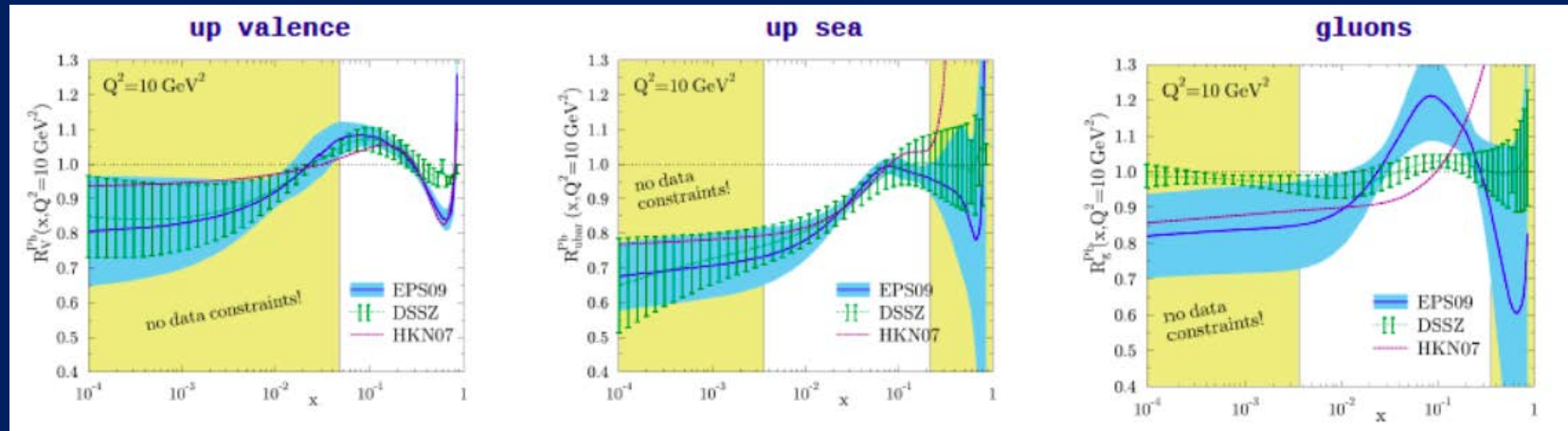


# *Extra*



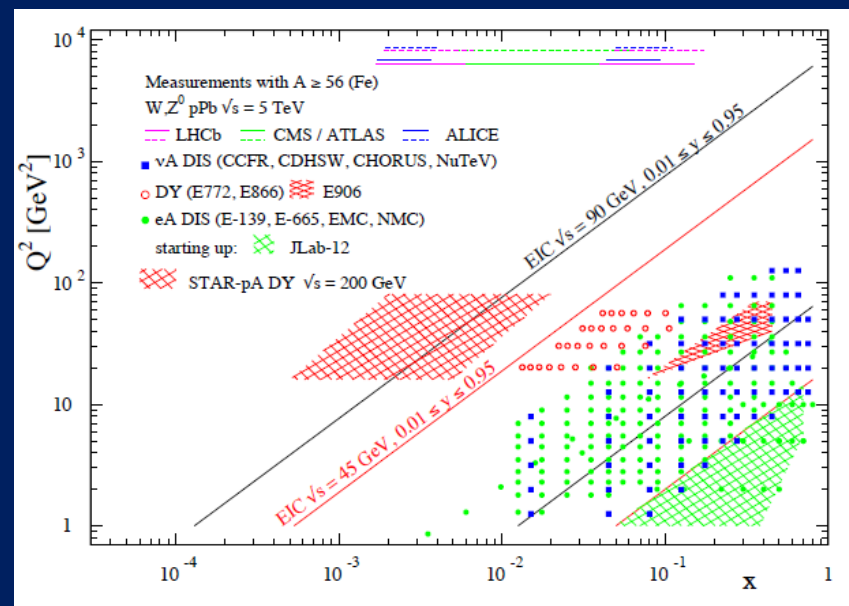


# Nuclear parton distribution functions

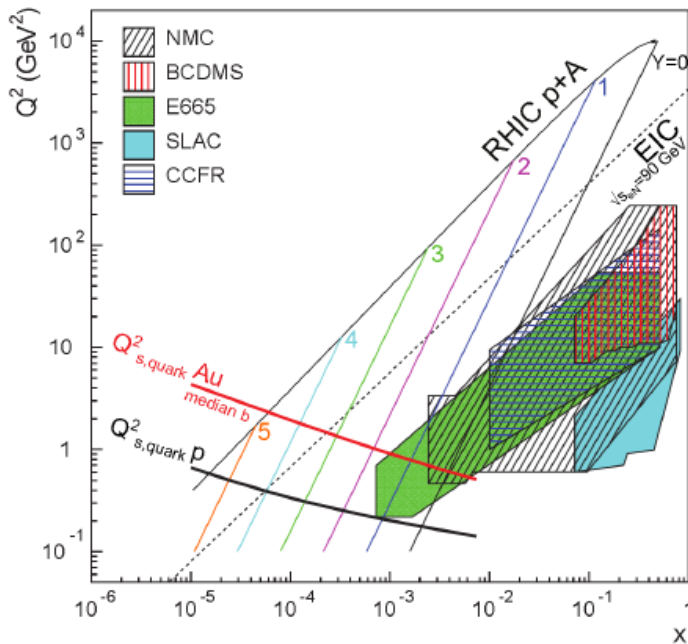
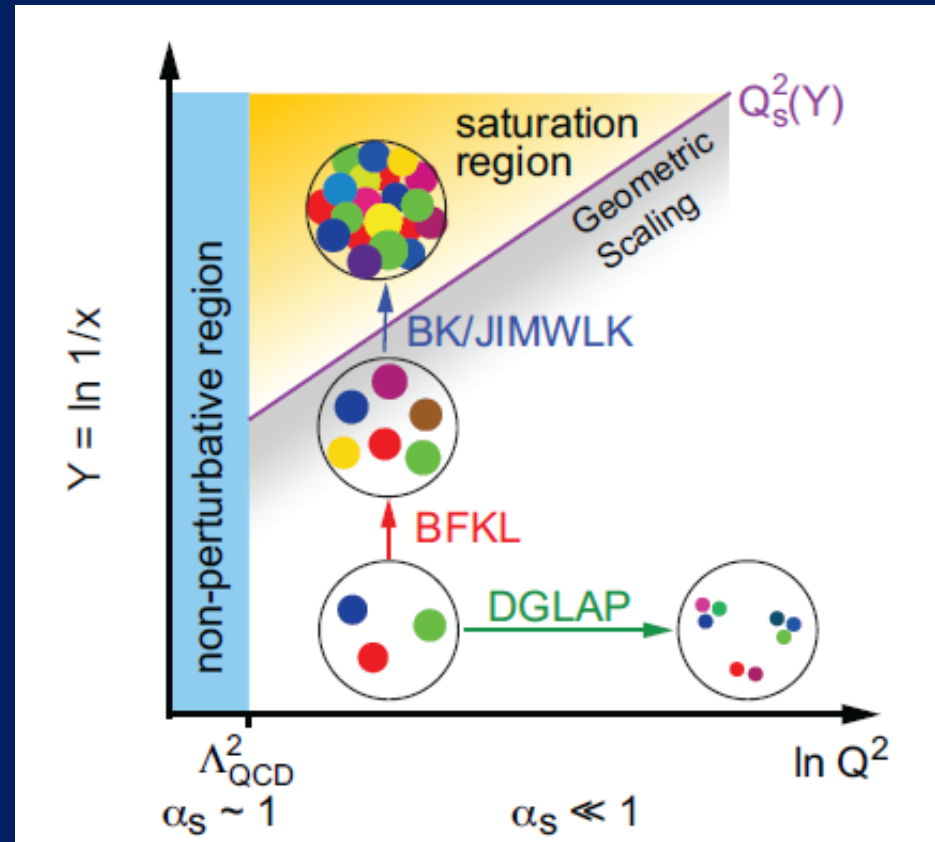
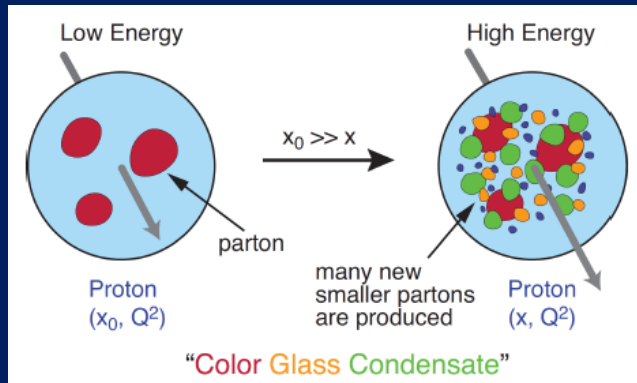


Ratio of parton distribution functions in Pb to those in deuteron

Kinematic coverage for nuclear pdf measurements by different experiments



# Gluon saturation



# Spin-spin and spin-momentum correlations in QCD bound states

Unpolarized


$$f_1 = \text{circle with a dot}$$

Spin-spin correlations

$$g_{1L} = \text{circle with dot and right arrow} - \text{circle with dot and left arrow} \quad \text{Helicity}$$

$$h_{1T} = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \quad \text{Transversity}$$

Worm-gear  
(Kotzinian-Mulders)

$$g_{1T} = \text{circle with dot and right arrow} - \text{circle with dot and left arrow}$$


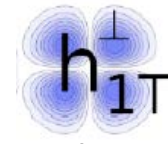
Spin-momentum correlations

$$S \cdot (p_1 \times p_2)$$

$$f_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \quad \text{Sivers}$$

$$h_1^\perp = \text{circle with dot and right arrow} - \text{circle with dot and left arrow} \quad \text{Boer-Mulders}$$

$$h_{1L}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow} \quad \text{Worm-gear} \quad h_{1T}^\perp = \text{circle with dot and right arrow} - \text{circle with dot and left arrow}$$



Pretzelosity

# Spin-spin and spin-momentum correlations in hadronization

Unpolarized

$$D_1 = \text{[Diagram: Yellow circle with a blue dot in the center, representing an unpolarized particle.]}$$

Spin-spin  
correlations

$$G_1 = \text{[Diagram: Two yellow circles with blue dots. The first has a horizontal arrow pointing right. The second has a horizontal arrow pointing right. They are separated by a minus sign.]}$$

$$H_1 = \text{[Diagram: Two yellow circles with blue dots. The first has a vertical arrow pointing up. The second has a vertical arrow pointing down. They are separated by a minus sign.]}$$

$$G_{1T} = \text{[Diagram: Two yellow circles with blue dots. The first has a horizontal arrow pointing right. The second has a horizontal arrow pointing right. They are separated by a minus sign.]}$$

Spin-momentum  
correlations

$$D_{1T}^\perp = \text{[Diagram: Two yellow circles with blue dots. The first has a vertical arrow pointing up. The second has a vertical arrow pointing down. They are separated by a minus sign.]}$$

Polarizing FF

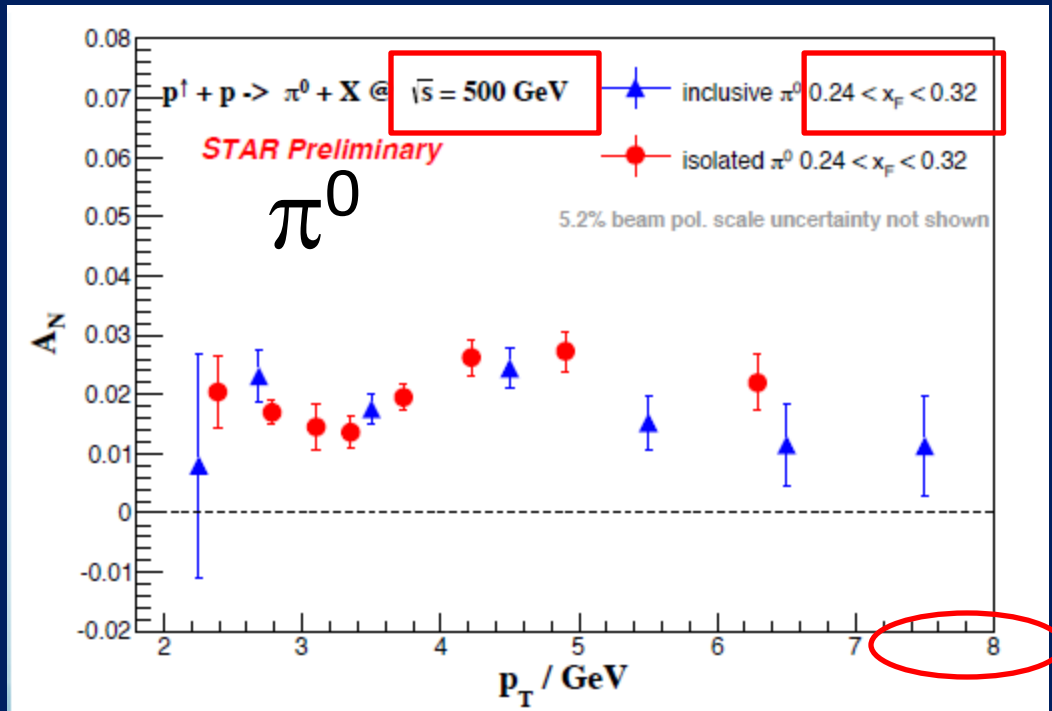
$$H_1^\perp = \text{[Diagram: Two yellow circles with blue dots. The first has a vertical arrow pointing up. The second has a vertical arrow pointing down. They are separated by a minus sign.]}$$

Collins

$$H_{1L}^\perp = \text{[Diagram: Two yellow circles with blue dots. The first has a diagonal arrow pointing up and to the right. The second has a diagonal arrow pointing up and to the right. They are separated by a minus sign.]}$$

$$H_{1T}^\perp = \text{[Diagram: Two yellow circles with blue dots. The first has a vertical arrow pointing up. The second has a vertical arrow pointing up. They are separated by a minus sign.]}$$

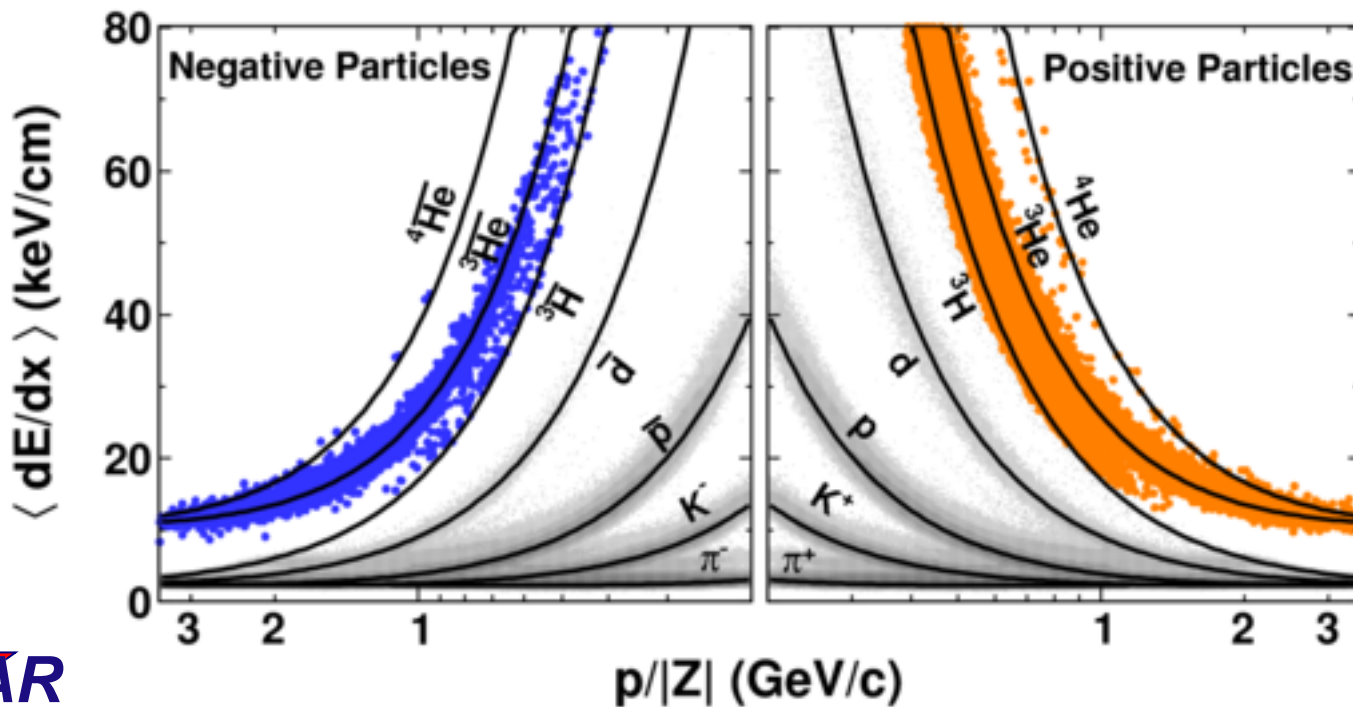
$p+p \rightarrow \text{hadron asymmetries persist up to}$   
 $\sqrt{s}=0.5 \text{ TeV and } p_T = 7 \text{ GeV!}$



- Effects persist to kinematic regimes where perturbative QCD techniques clearly apply
- $p_T = 8 \text{ GeV}$   
 $\rightarrow Q^2 \sim 64 \text{ GeV}^2!$

Note  $x_F = 0.24-0.32$  here, where asymmetries approached zero on lower-energy plots—need more-forward measurements at high energies!

# *Bound states of hadronic bound states: Creating nuclei*



Nature 473, 353 (2011)



↑ eRHIC  
↓ RHIC

- Nucleon spin and 3D structure
- Nuclear modification of parton distributions
- Gluon density saturation
- Hadronization

EIC Detector

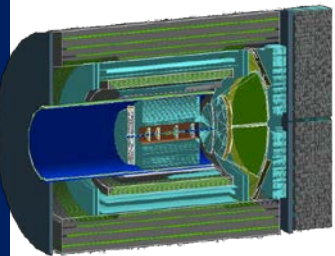
- Quark-gluon plasma
- 'Hot' nuclear matter
- Nuclear fragmentation functions

sPHENIX

- Transverse spin phenomena
- Collective behavior in small systems
- Pre-equilibrium QGP

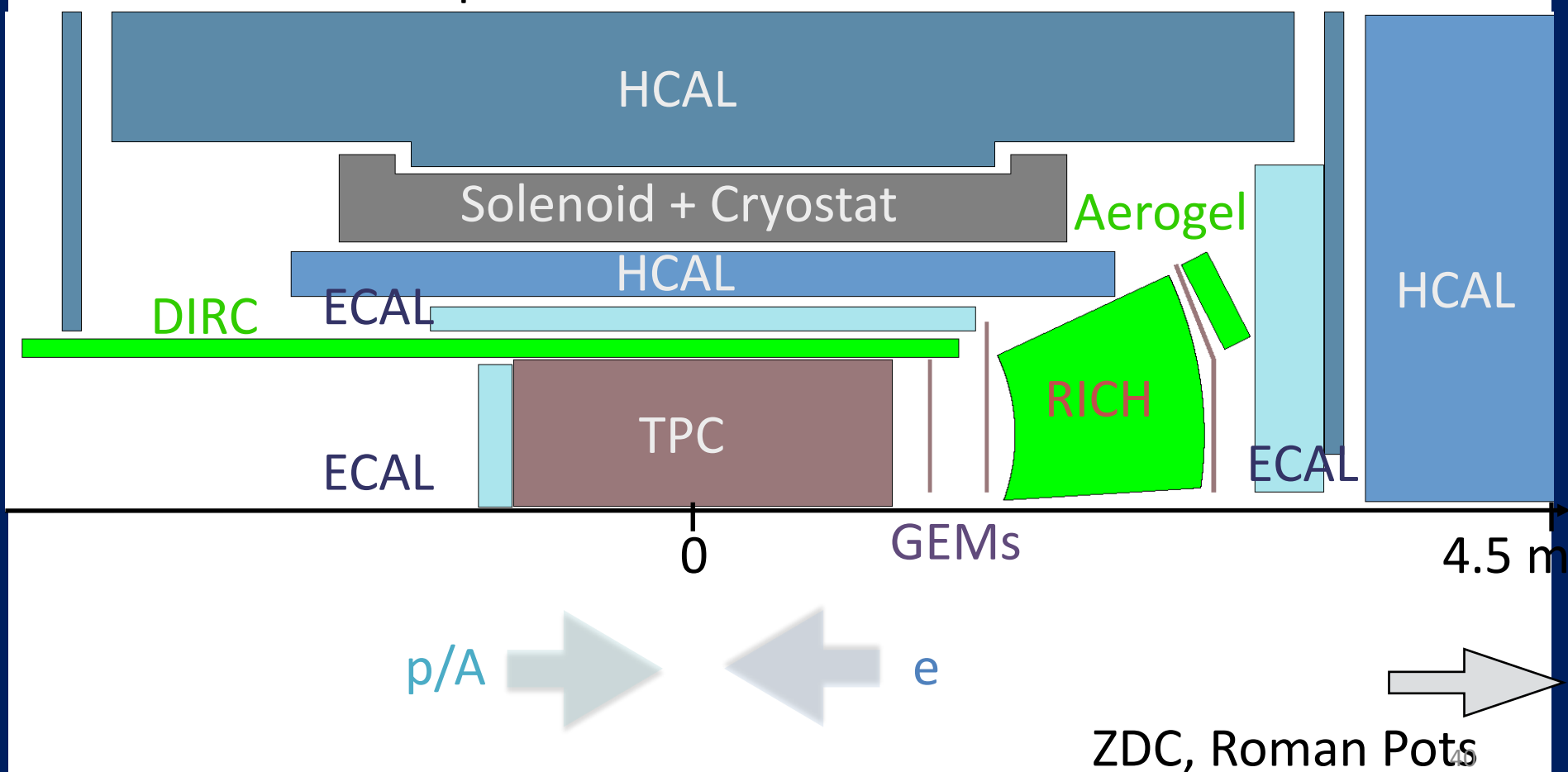
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# *EIC Detector Concept*

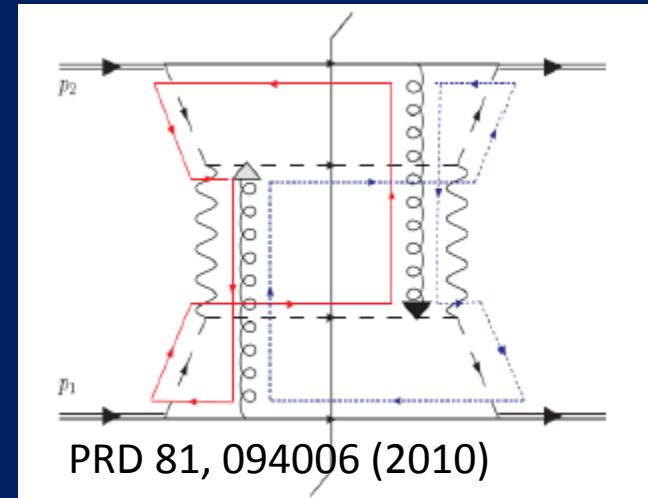
'2016 revised concept'





# *Transverse-momentum-dependent (TMD) factorization breaking and color entanglement*

- 2010: Rogers and Mulders predict *color entanglement* in processes involving p+p production of hadrons if parton transverse momentum taken into account
- Due to gluon exchange between scattering parton and proton remnant in *both* initial and final state
- Partons become correlated *across* the two colliding protons
  - Can no longer factorize the nonperturbative functions into independent pdfs and fragmentation functions
  - Will need new (unknown) nonperturbative functions describing quantum-correlated partons across bound states
- Consequence of QCD specifically as a *non-Abelian* gauge theory!



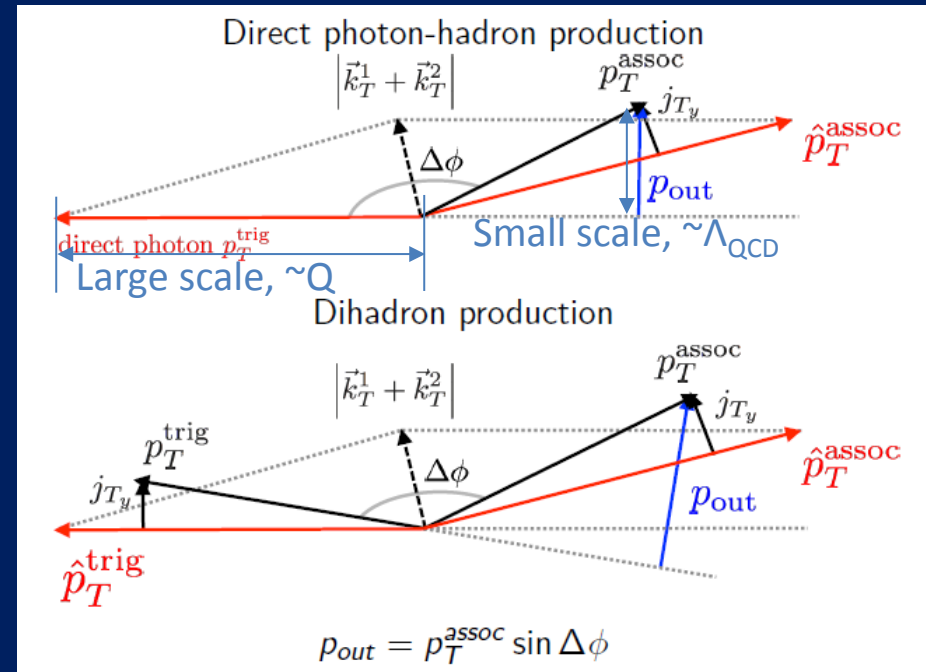
$$p + p \rightarrow h_1 + h_2 + X$$

Color flow can't be described as flow in the two gluons separately. Requires simultaneous presence of both.

# Searching for evidence of predicted TMD-factorization breaking at RHIC

- Need observable sensitive to a nonperturbative momentum scale
  - Nearly back-to-back particle production
- Need 2 initial-state hadrons
  - color exchange between a scattering parton and remnant of other proton
- And at least 1 final-state hadron
  - exchange between scattered parton and either remnant

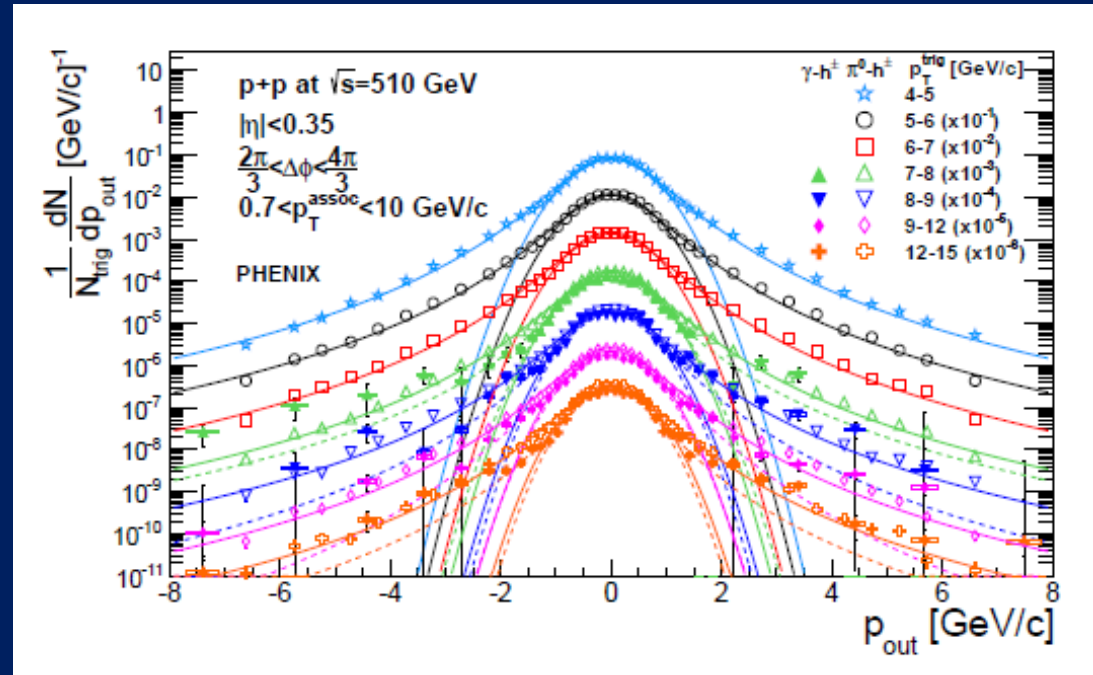
→ In p+p collisions, measure out-of-plane momentum component in nearly back-to-back photon-hadron and hadron-hadron production



# Out-of-plane momentum component distributions

- Clear two-component distribution
  - Gaussian near zero—nonperturbative transverse momentum
  - Power-law at large  $p_{\text{out}}$ —kicks from hard (perturbative) gluon radiation
- Different colors  $\rightarrow$  different bins of trigger particle  $p_T$ , proxy for hard interaction scale

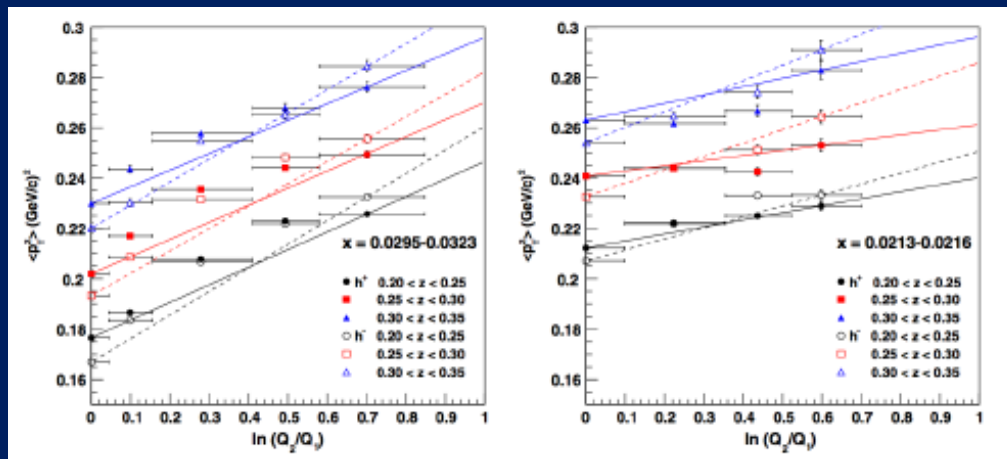
PHENIX Collab., arXiv:1609.04769



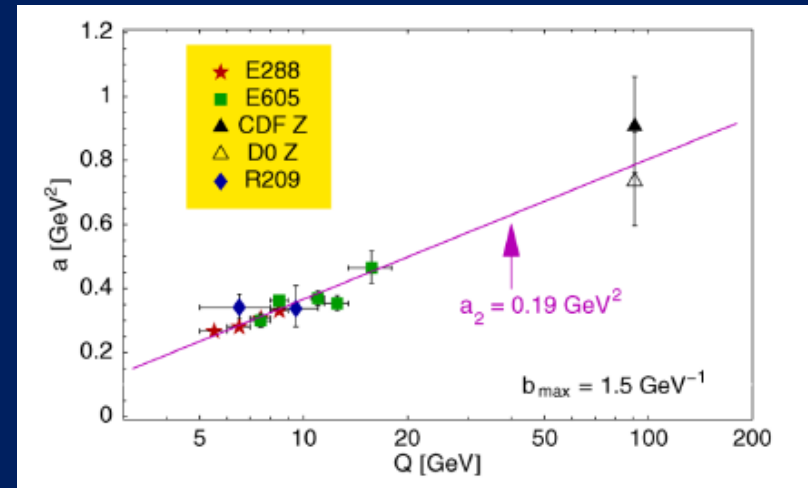
Curves are fits to Gaussian and Kaplan functions, not calculations!

# Look at *evolution* of nonperturbative transverse momentum widths with hard scale ( $Q^2$ )

- Theoretical proof of factorization within transverse-momentum-dependent framework directly predicts that nonperturbative transverse momentum widths *increase* as a function of the hard scattering energy scale (Collins-Soper-Sterman evolution)
  - Increased phase space for gluon radiation
- Confirmed experimentally in semi-inclusive deep-inelastic lepton-nucleon scattering (left) and quark-antiquark annihilation to leptons (right)



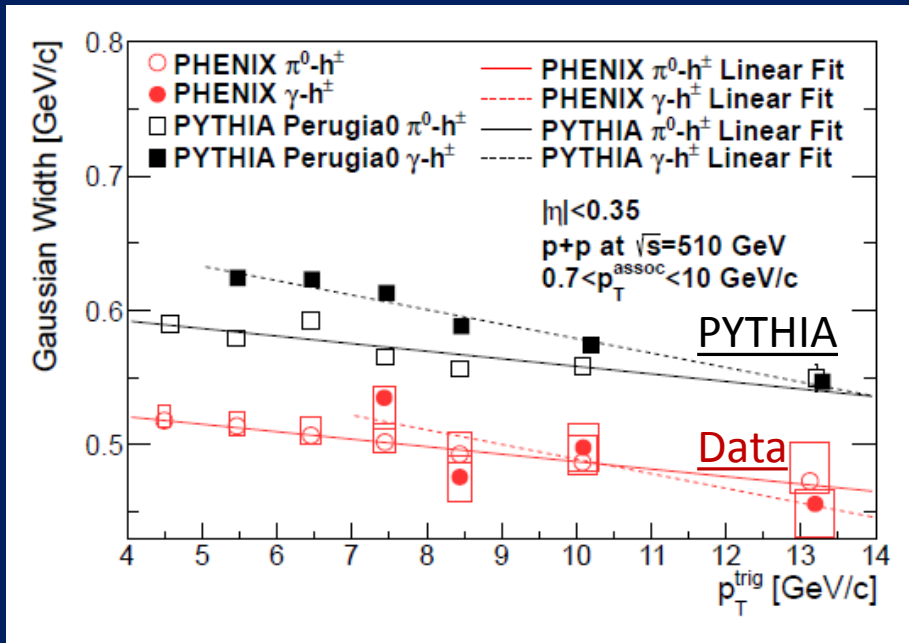
Aidala, Field, Gamberg, Rogers, Phys. Rev. D89, 094002 (2014)



Konychev + Nadolsky, Phys. Lett. B633, 710 (2006)



# *Nonperturbative momentum widths observed to decrease in processes where factorization breaking predicted*

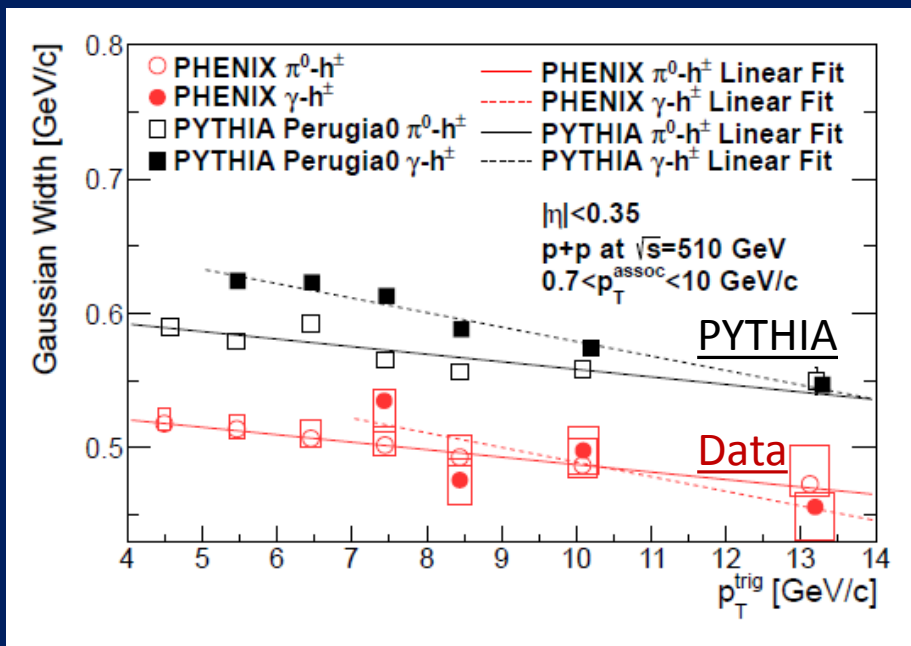


PHENIX Collab., arXiv:1609.04769

- Suggestive of TMD-factorization breaking effects?
- Have not yet completely ruled out a “trivial” nonperturbative correlation between partonic longitudinal momentum fraction  $x$  and partonic transverse momentum  $k_T$
- Steeper negative slope for photon-hadron than dihadron correlations—counterintuitive?
  - Photon can’t exchange gluon with remnant—might expect weaker effects than dihadron case



# Nonperturbative momentum widths observed to decrease in processes where factorization breaking predicted



PHENIX Collab., arXiv:1609.04769

- Slope of decrease for both photon-hadron and dihadron correlations reproduced ~exactly in PYTHIA p+p event generator—could this effect be in PYTHIA??
  - Effectively yes! Unlike analytic pQCD calculations, PYTHIA forces *entire event including remnants* to color neutralize, implemented via something they call “color reconnection”