

National Aeronautics and Space Administration



## OFFICE OF THE CHIEF TECHNOLOGIST



# Take-up of SBIR Technologies in NASA Missions

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National Academies Keck Center, Washington DC  
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# Acknowledgements



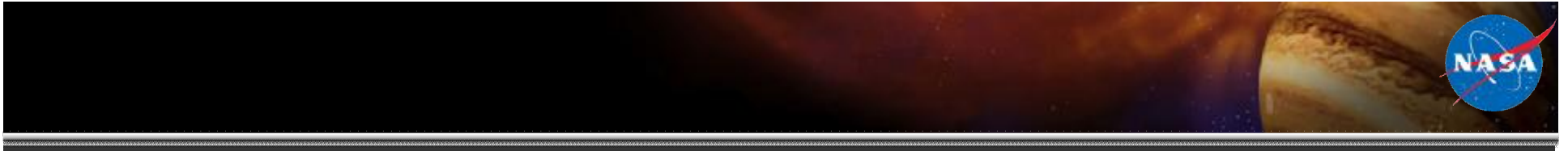
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- Carl Ray/NASA Headquarters
- John Saiz/NASA Johnson Space Center

# Objectives for this presentation



- Provide a brief overview of the NASA Office of the Chief Technologist (OCT)
- Describe the process by which NASA infuses SBIR/STTR-developed technologies into its missions
- Identify key challenges and make recommendations to strengthen technology infusion to NASA and other markets



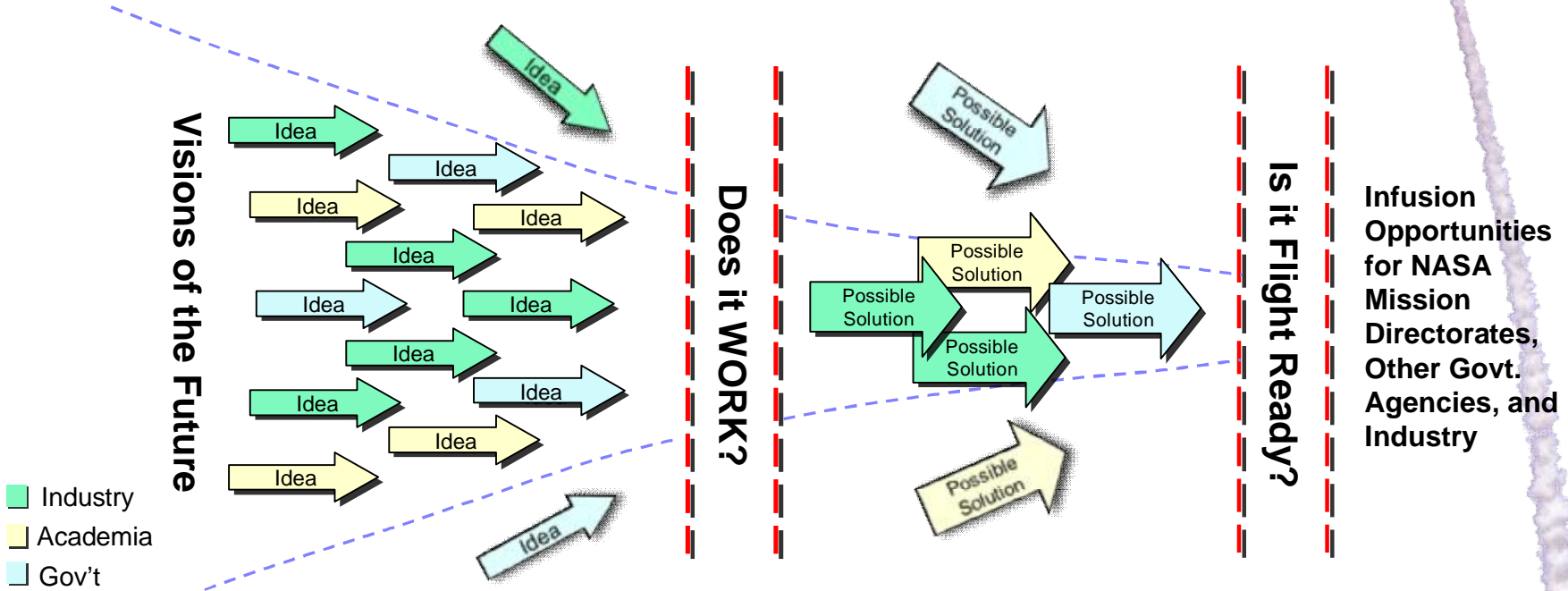
# Overview of NASA Office of the Chief Technologist

# Office of Chief Technologist Roles/Responsibilities



- **OCT established in February 2010**
  
- **OCT has six main goals and responsibilities:**
  - 1) Principal NASA advisor and advocate on matters concerning Agency-wide technology policy and programs
  - 2) Up and out advocacy for NASA research and technology programs. Communication and integration with other Agency technology efforts
  - 3) Direct management of Space Technology Programs
  - 4) Coordination of technology investments across the Agency, including the mission-focused investments made by the NASA mission directorates. Perform strategic technology integration
  - 5) Change culture towards creativity and innovation at NASA Centers, particularly in regard to workforce development
  - 6) Document/demonstrate/communicate societal impact of NASA technology investments. Lead technology transfer and commercialization opportunities across Agency
  
- Mission Directorates manage the mission-focused technology programs for directorate missions and future needs
- Beginning in FY 2011, activities associated with the Innovative Partnerships Program are integrated into the Office of the Chief Technologist

# Space Technology Development Approach



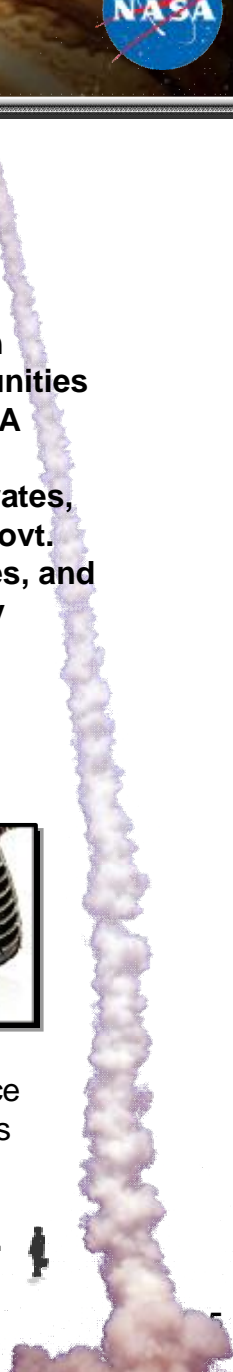
**Early Stage Innovation**  
 Creative ideas regarding future NASA systems or solutions to national needs.



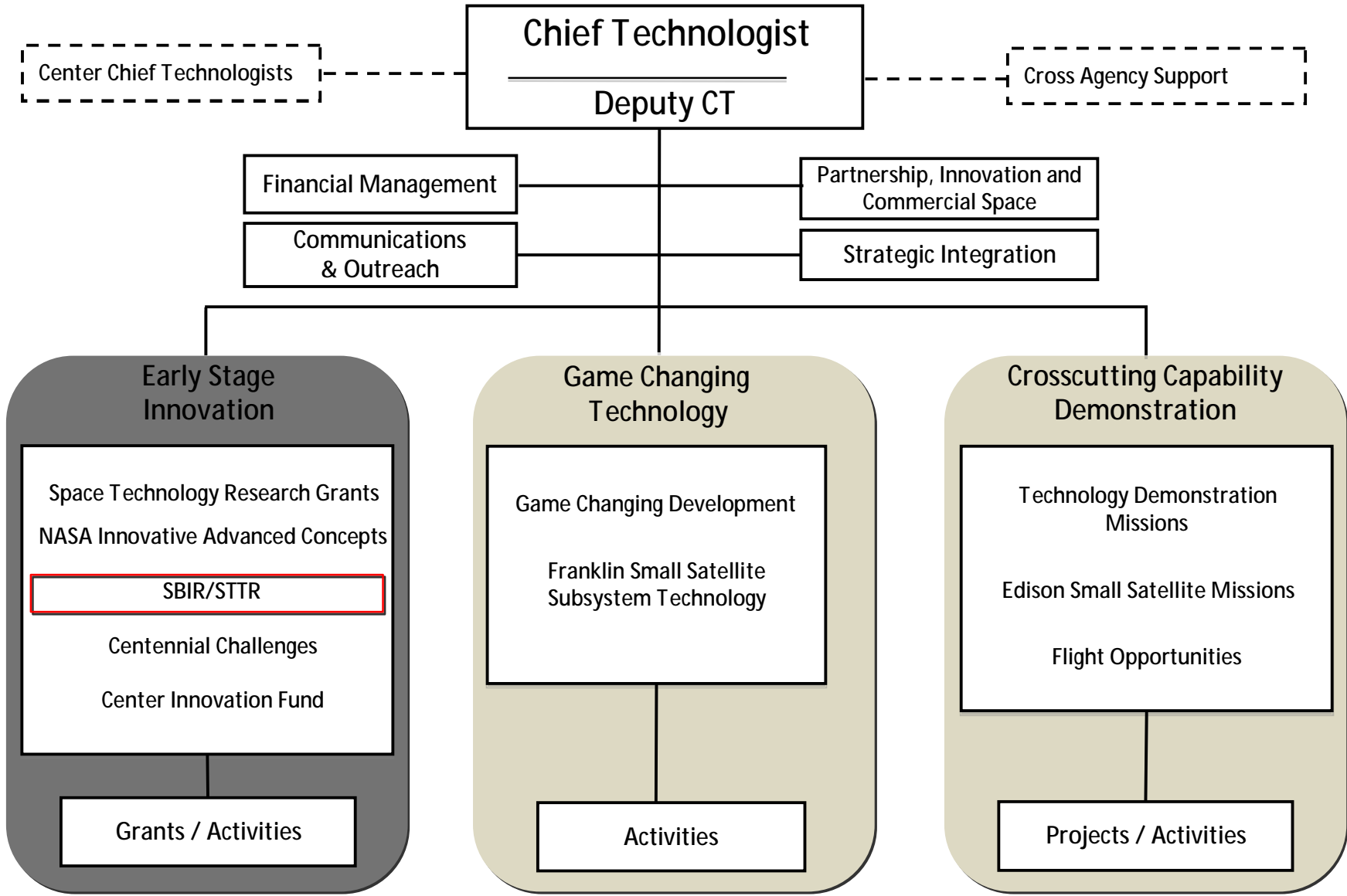
**Game Changing Technology**  
 Prove feasibility of novel, early-stage ideas with potential to revolutionize a future NASA mission and/or fulfill national need.



**Crosscutting Capability Demonstration**  
 Mature crosscutting capabilities that advance multiple future space missions to flight readiness status



# Office of the Chief Technologist Organization



# OCT Space Technology Divisions



	Early-Stage Innovation	Game-Changing Technology	Crosscutting Capability Demos
<b>Development Stage</b>	Concept Validation (TRL 1-2)	Tech Demonstration (TRL 3-4/5)	System Qualification (TRL 6)
<b>Programs</b>	Space Tech Research Grants NIAC Center Innovation Fund SBIR/STTR Centennial Challenges	Game Changing Development  Small Satellite Subsystem Technology	Technology Demonstration Missions Edison Small Satellite Missions Flight Opportunities
<b>Number of Projects</b>	100+	10-20	TDM: 3-8 ESSM: 1-3 FO: 20-40
<b>Typical Project Cost</b>	\$50K-\$800K	GCD: Large: \$25M; Small: \$6M SSST: \$6M	TDM: \$150M from OCT ESSM: \$10M FO: < \$5M
<b>Project Duration</b>	6 months – 2 years	2 yrs w/potential 1 yr extension	TDM: < 3 years ESSM: < 2 years FO: 6 months – 2 years
<b>Performer Selection</b>	100% Competed	> 70% Competed	> 70% Competed
<b>Typical Performers</b>	Academia, NASA, Industry	NASA, Fed Labs, Industry, Academia	Industry, NASA
<b>Acquisition Strategy</b>	Grants, Contracts, Cooperative Agreements, Prize Competitions	BAAs, Contracts	Contracts, Space Act Agreements
<b>Cost-Sharing</b>	Encouraged	Preferred	Required, 25% min for TDM
<b>Partners</b>	Academia Federal: NASA MDs, DARPA, DOD, DOE, NOAA, NSF, Other Industry: Aerospace, Non-Aerospace International Partners		



# Space Technology Grand Challenges



## Space Technology Grand Challenges

### Expand Human Presence in Space



#### Economical Space Access

Provide economical, reliable and safe access to space, opening the door for robust and frequent space research, exploration and commercialization.



#### Space Health and Medicine

Eliminate or mitigate the negative effects of the space environments on human physical and behavioral health, optimize human performance in space and expand the scope of space based medical care to match terrestrial care.



#### Telepresence in Space

Create seamless user-friendly virtual telepresence environments allowing people to have real-time, remote interactive participation in space research and exploration.



#### Space Colonization

Create self-sustaining and reliable human environments and habitats that enable the permanent colonization of space and other planetary surfaces.

### Manage In-Space Resources



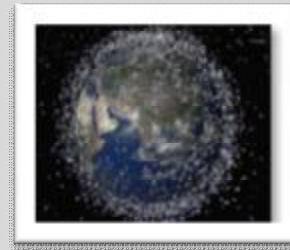
#### Affordable Abundant Power

Provide abundant, reliable and affordable energy generation, storage and distribution for space exploration and scientific discovery.



#### Space Way Station

Develop pre-stationed and in-situ resource capabilities, along with in-space manufacturing, storage and repair to replenish the resources for sustaining life and mobility in space.



#### Space Debris Hazard Mitigation

Significantly reduce the threat to spacecraft from natural and human-made space debris.



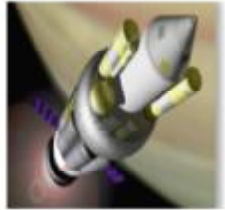
#### Near-Earth Object Detection and Mitigation

Develop capabilities to detect and mitigate the risk of space objects that pose a catastrophic threat to Earth.

# Space Technology Grand Challenges

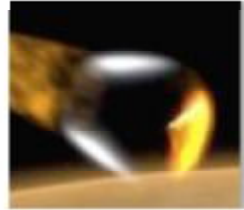


## Enable Transformational Space Exploration and Scientific Discovery



### Efficient In-Space Transportation

Develop systems that provide rapid, efficient and affordable transportation to, from and around space destinations.



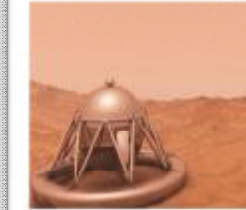
### High-Mass Planetary Surface Access

Develop entry, descent and landing systems with the ability to deliver large-mass, human and robotic systems, to planetary surfaces.



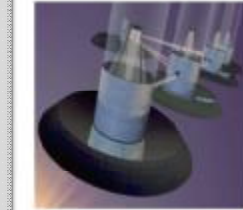
### All Access Mobility

Create mobility systems that allow humans and robots to travel and explore on, over or under any destination surface.



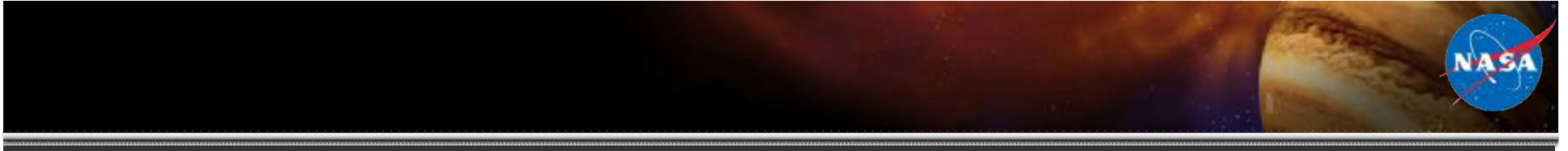
### Surviving Extreme Space Environments

Enable robotic operations and survival, to conduct science research and exploration in the most extreme environments of our solar system.



### New Tools of Discovery

Develop novel technologies to investigate the origin, phenomena, structures and processes of all elements of the solar system and of the universe.



# NASA Space Technology Roadmaps

# Roadmap Technical Areas (TAs)



**TA01**  • **LAUNCH PROPULSION SYSTEMS**

**TA02**  • **IN-SPACE PROPULSION TECHNOLOGIES**

**TA03**  • **SPACE POWER & ENERGY STORAGE**

**TA04**  • **ROBOTICS, TELE-ROBOTICS & AUTONOMOUS SYSTEMS**

**TA05**  • **COMMUNICATION & NAVIGATION**

**TA06**  • **HUMAN HEALTH, LIFE SUPPORT & HABITATION SYSTEMS**

**TA07**  • **HUMAN EXPLORATION DESTINATION SYSTEMS**

**TA08**  • **SCIENCE INSTRUMENTS, OBSERVATORIES & SENSOR SYSTEMS**

**TA09**  • **ENTRY, DESCENT & LANDING SYSTEMS**

**TA10**  • **NANOTECHNOLOGY**

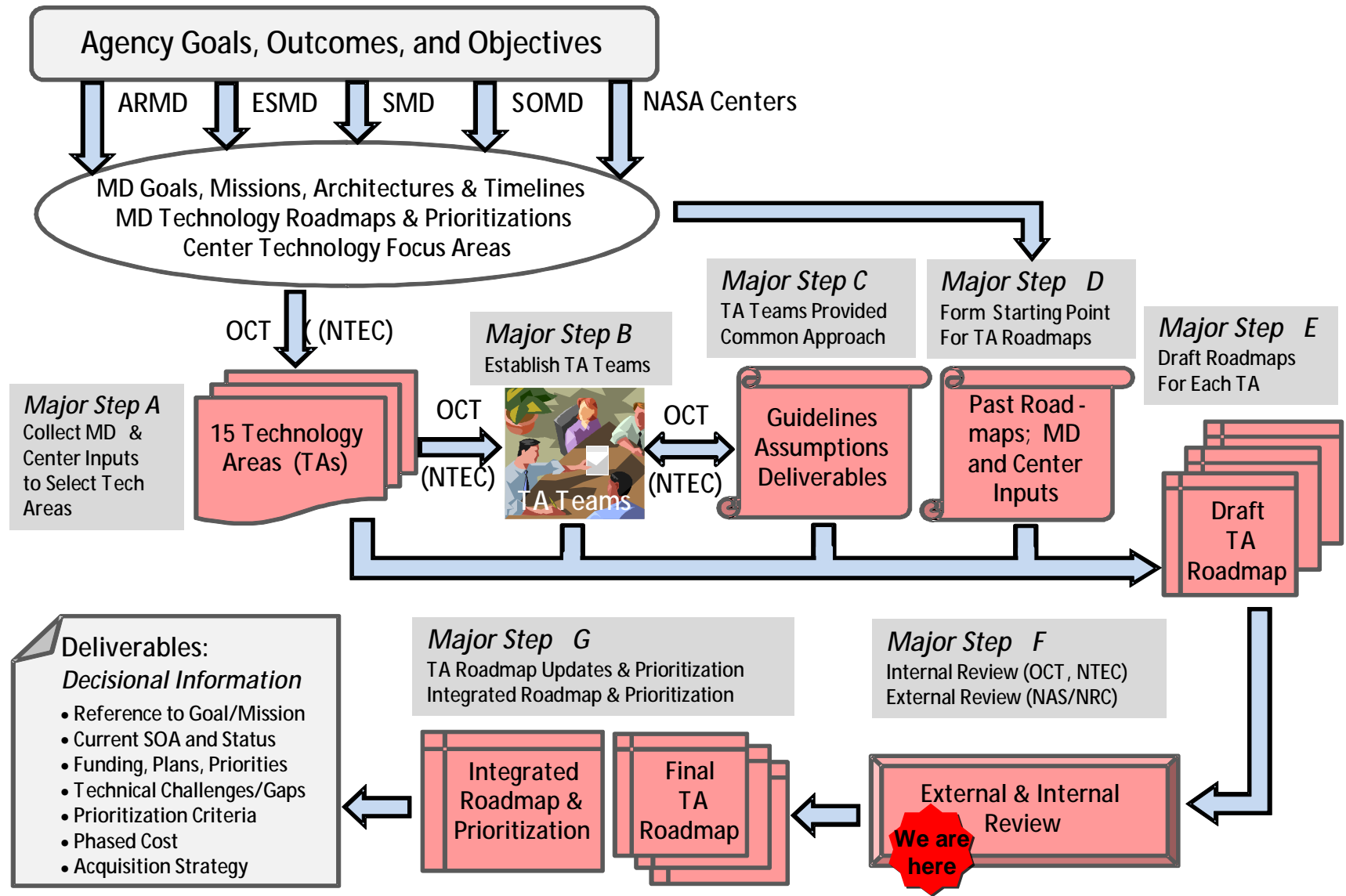
**TA11**  • **MODELING, SIMULATION, INFORMATION TECHNOLOGY & PROCESSING**

**TA12**  • **MATERIALS, STRUCTURES, MECHANICAL SYSTEMS & MANUFACTURING**

**TA13**  • **GROUND & LAUNCH SYSTEMS PROCESSING**

**TA14**  • **THERMAL MANAGEMENT SYSTEMS**

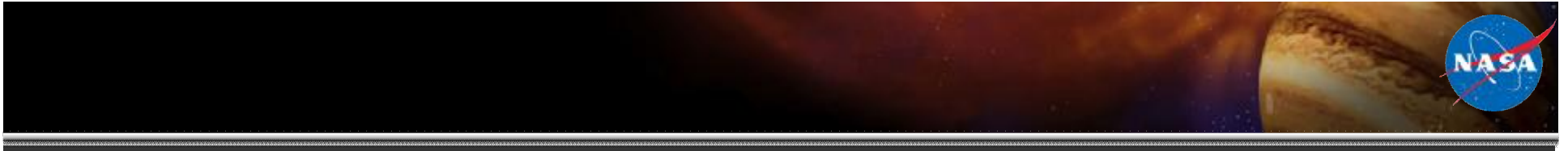
# STR Process



# STR Schedule

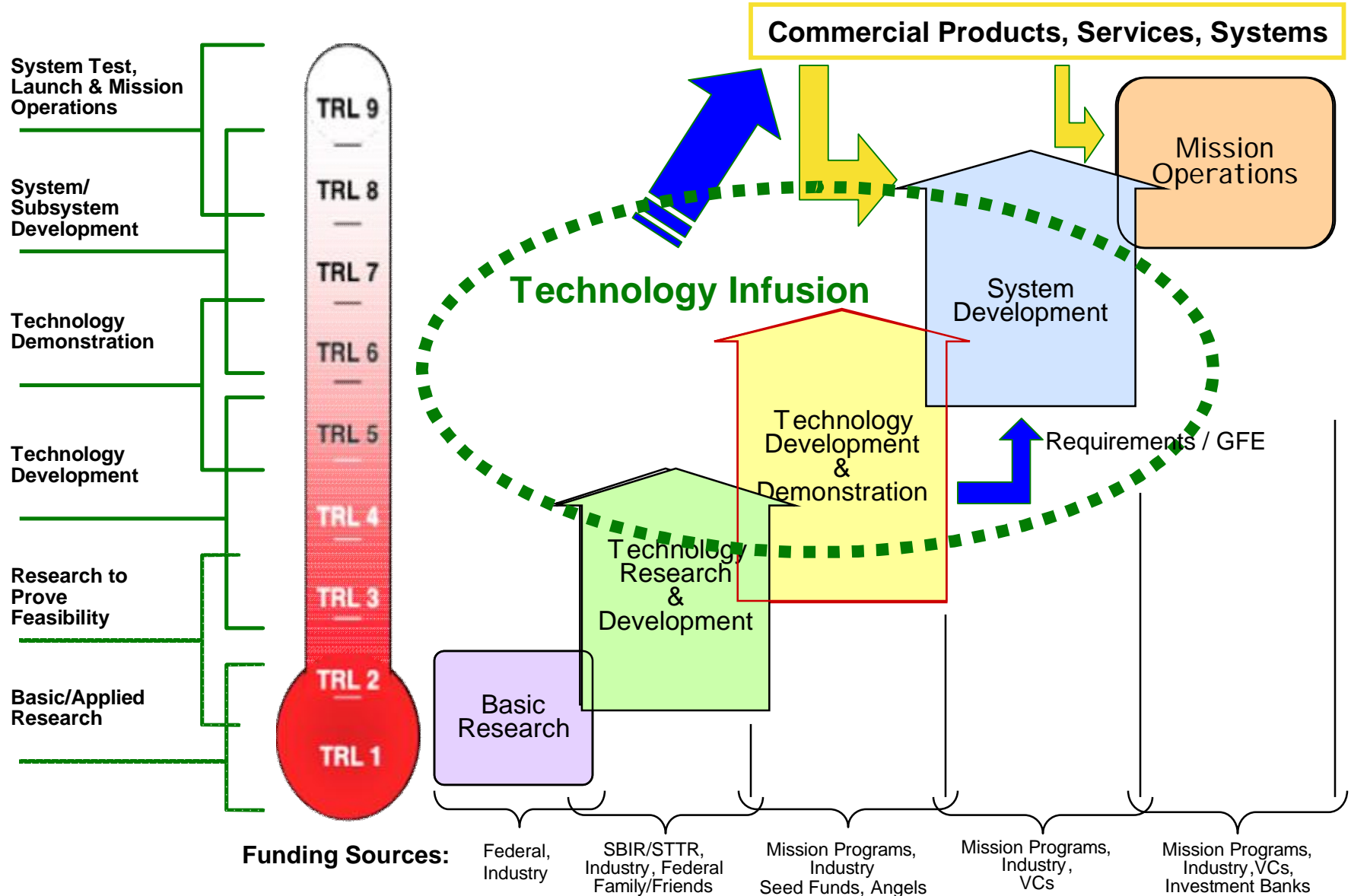


- ü Roadmapping Kickoff meeting with TA chairs 7/28/10
- ü First cut, 1-pg TABS and TASRs provided by each TA 8/13/10
- ü Presentation of Rev 1 Draft Roadmaps for NASA Review 9/15-16/10
- ü Draft Roadmap Review comments due to OCT 9/27/10
- ü TA team disposition of comments and report revisions 10/22/10
- ü OCT approval of final “draft” TA roadmap reports  
11/10/10
- ü Draft NASA Roadmaps sent to NRC & widely distributed 12/2/10
  - NRC kick-off meeting 1/25-27/11
  - NRC panel meetings and workshops 2-4/11
  - NRC Interim Report 8/11
  - NRC Final Report 1/12



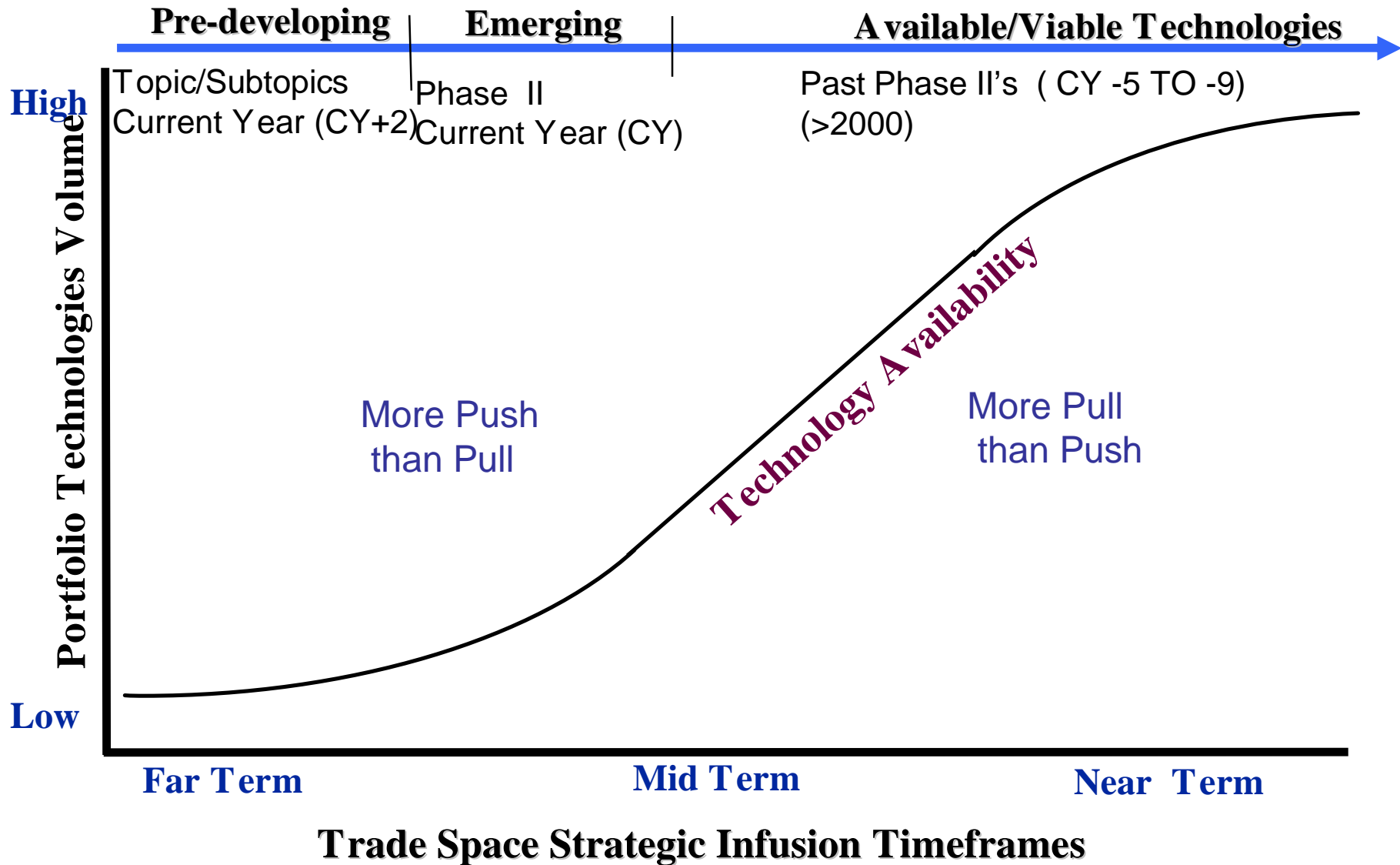
# Process for Infusion of NASA SBIR/STTR Technologies

# NASA Technology Infusion

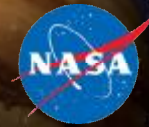




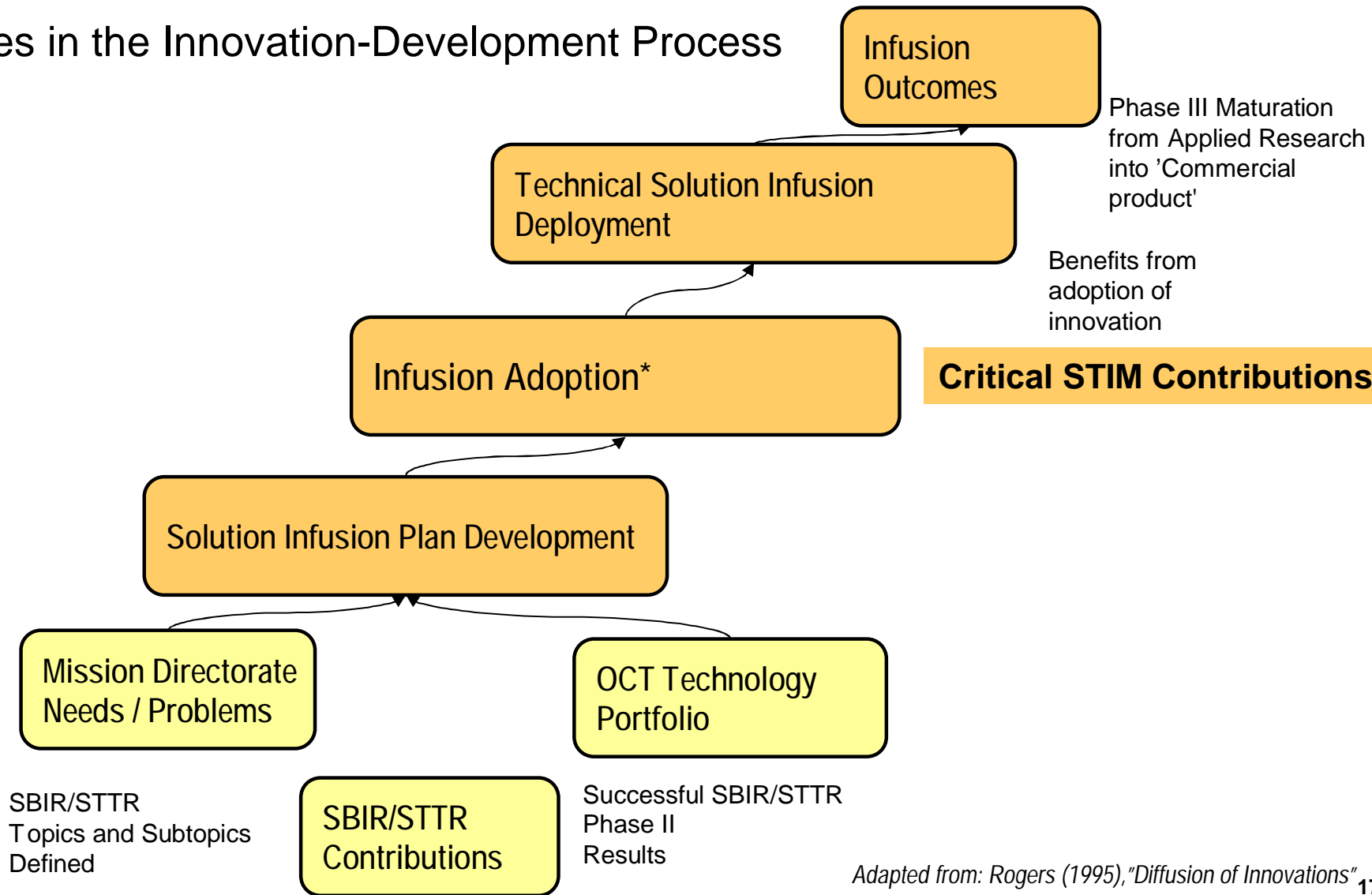
# SBIR/STTR Portfolio Landscape



# Infusion as Part of the Innovation Process



## Phases in the Innovation-Development Process

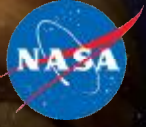


Adapted from: Rogers (1995), "Diffusion of Innovations" 17

# Infusion Interfaces



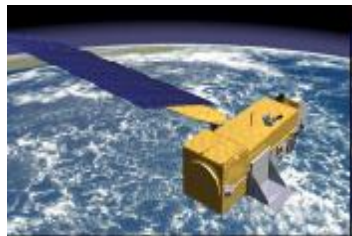
# How does NASA define infusion success?



At NASA, SBIR infusion success is measured in several ways:

- Technology directly picked up by a flight project, mission or instrument – This is the ultimate prize, but not the only one.
- Technology targeted for further specific development, under an advanced technology program which a flight project, mission or instrument supports.
- Technology significantly benefits direction of overall portfolio.
- Small business either (a) sells their technology to a larger company, or (b) is bought out by a larger company, which in turn incorporates the technology into one of their product lines and/or uses it on a flight program.

# Some NASA SBIR Mission Technologies



**Aura**



**Phoenix**



**Hubble**



**Rosetta**



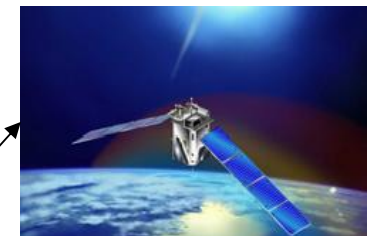
**Palomar**



**MER**



**Kepler**



**TIMED**

# Mars Exploration Rovers Operate Using SBIR-Developed Technology



**Starsys Research**  
Developed several paraffin based heat switches that function autonomously. Heat switches control radiator for electronics package on Mars 2003 Rovers.



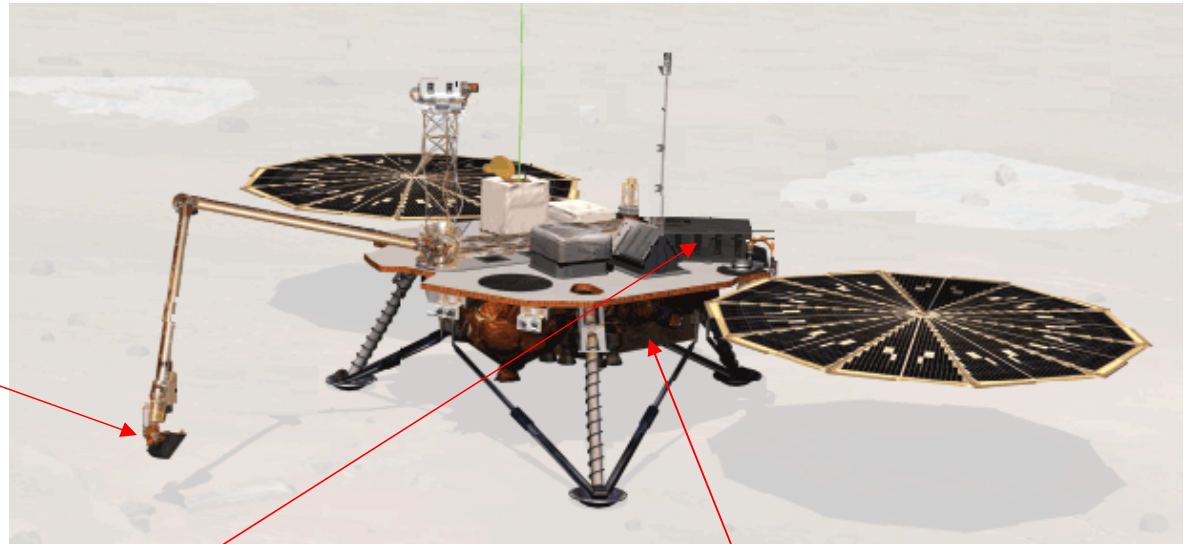
**Yardney Technical Products** Developed lithium ion batteries with specific energy of  $>100\text{Wh/kg}$  and energy density of  $240\text{Wh/l}$  and long cycle life. Subsequently, they won a large Air Force/NASA contract to develop batteries for space applications. They are supplying the batteries for the 2003 Mars Rovers.

**Maxwell Technologies** Fabricated and tested an ASCII chip with single event latch up protection technology. Innovation enables the use of commercial chip technology in space missions, providing higher performance at a lower cost. Supplying A to D converter for Mars 03 Rovers.

# SBIR Contributions to the Phoenix Mission to Mars



Icy Soil Acquisition Device  
supplied by Honeybee Robotics,  
Inc.



SpaceDev contributed wet  
chemistry elements of the  
Microscopy Electrochemistry  
and Conductivity Analyzer  
(MECA)

Lithium ion batteries supplied by  
Yardney Technical Products, Inc.

# SBIR-Developed Miniature Wireless Instrument System Flying on Space Shuttle

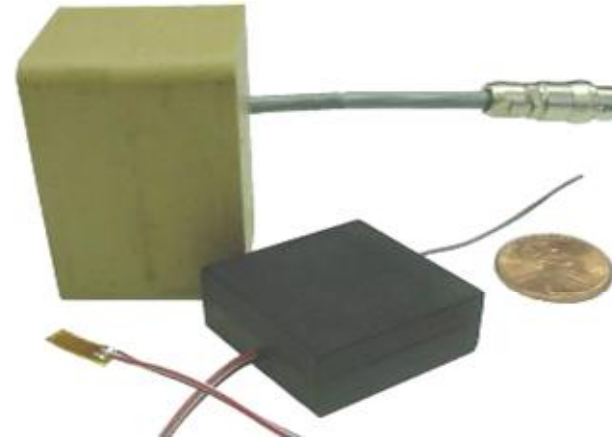


## INNOVATION

- Invocon MicroWIS-XG systems transmit data to receivers attached to a standard RS-232 port on a PC
- Remote units capable of interfacing with any type of resistive strain, temperature, pressure, humidity sensors

## ACCOMPLISHMENTS

- Successfully Flown on [STS-101](#) as a Development Test Object and acquired temperature data from several key locations in and around the Shuttle Crew Compartment and avionics equipment
- Technology Applicable to the NASA Exploration program



## COMMERCIALIZATION

- The system is used to monitor external grout pressure during construction of two tunnels in the Netherlands
- The system was used to monitor the induced fatigue due to stresses on a bridge during the construction and testing phases in 2002

## GOVERNMENT/ SCIENCE APPLICATIONS

- MicroWIS-XG system is enhanced version of original Invocon [MicroWIS](#) system developed for NASA in 1998. MicroWIS can operate in conjunction with both the MITE WIS™ and the ELMWIS™ systems.
- The system successfully flown and operated on [STS-92](#), [STS-96](#), [STS-97](#), [STS-100](#), [STS-101](#), [STS-104](#), [STS-106](#), [STS-114](#), and [STS-108](#)
- Four types of Invocon systems were flown On [STS-114](#), the return to flight mission

Johnson Space Center  
Electronic Systems/ Components, Sensors, Test & Measurement  
[www.invocon.com](http://www.invocon.com)

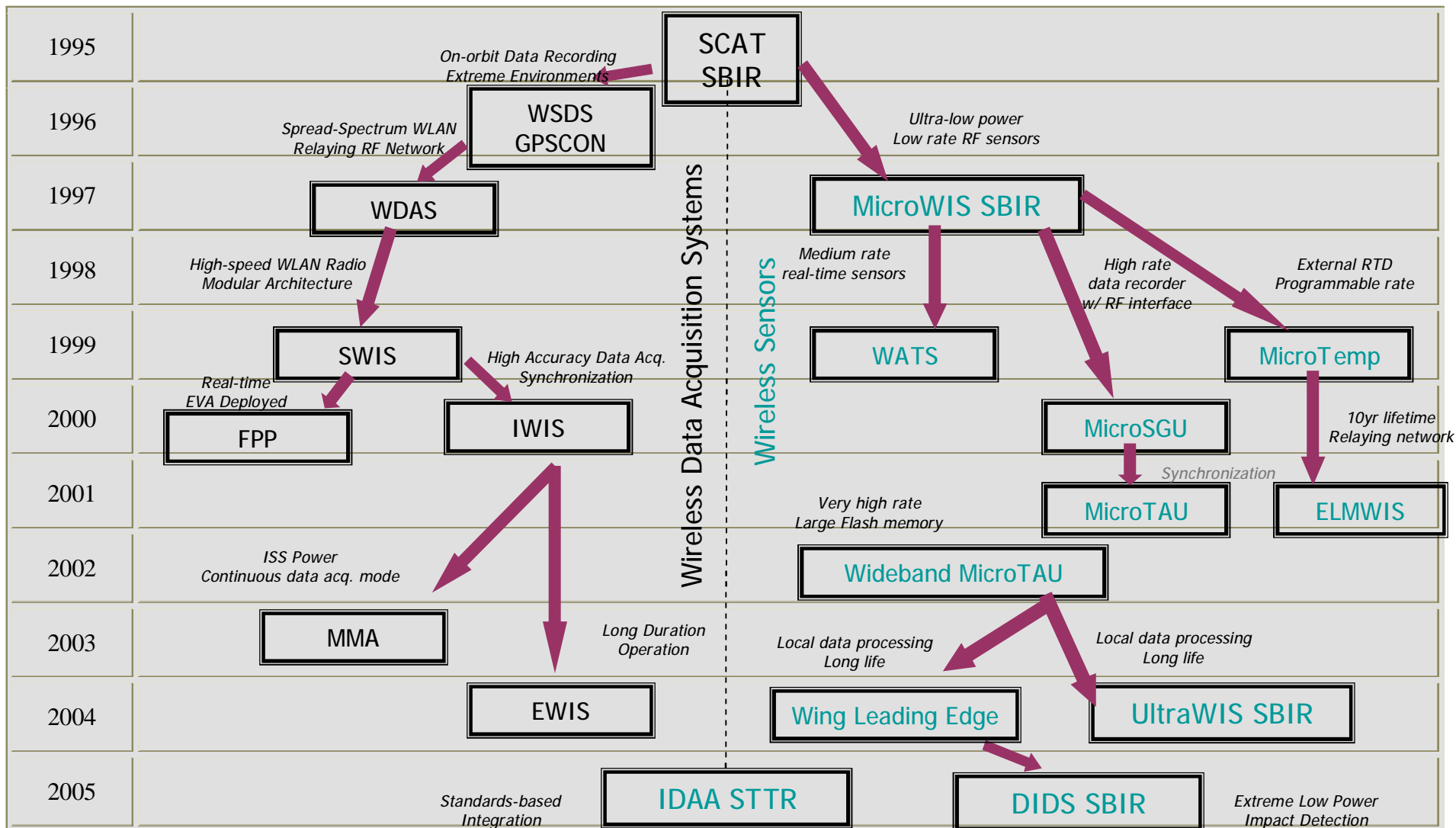
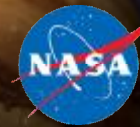
03/20/2006

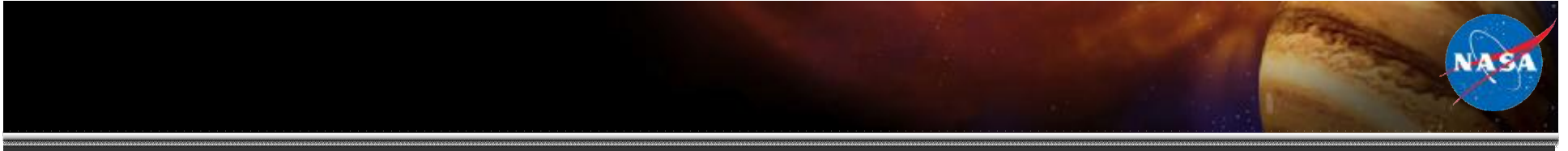
SBIR 1997 Phase I  
NASA Contact: [chieger@ems.jsc.nasa.gov](mailto:chieger@ems.jsc.nasa.gov)  
Company Contact: 281-292-9903

NAS 9 98077



# NASA SBIR/STTR Technology Development Tree for Wireless Data Acquisition





# Challenges to SBIR/STTR Technology Commercialization at NASA

# Technology infusion challenges that are unique to NASA – or are they?



- Small market size for highly specialized technologies
  - Often developed for a particular application, with unique interfaces
  - Makes for narrow pathways to the Federal and commercial markets
  - Even within NASA, there are challenges in creating production flows for repetitive development or manufacturing
- NASA not immune to a challenge that faces all SBIR participants — helping firms connect internally to NASA programs and other federal acquisition opportunities
  - NASA has taken steps to improve these opportunities through Technology Infusion Managers (TIMs) at all NASA centers
  - TIMs act as internal advocates, matchmakers, and advisors to strengthen follow-on opportunities