



# *The Sustainability Solutions Initiative (SSI): Maine as a Sustainability Science Laboratory*

David Hart and Colleagues  
Senator George J. Mitchell Center

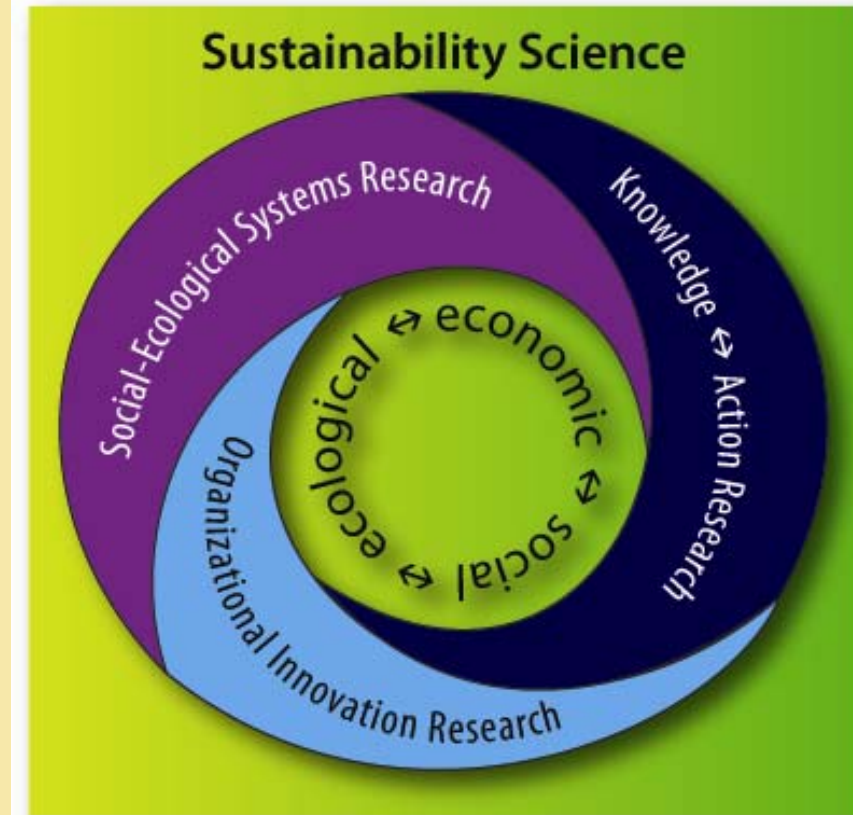


*Supported by National Science Foundation  
award EPS-0904155 to Maine EPSCoR at  
the University of Maine.*

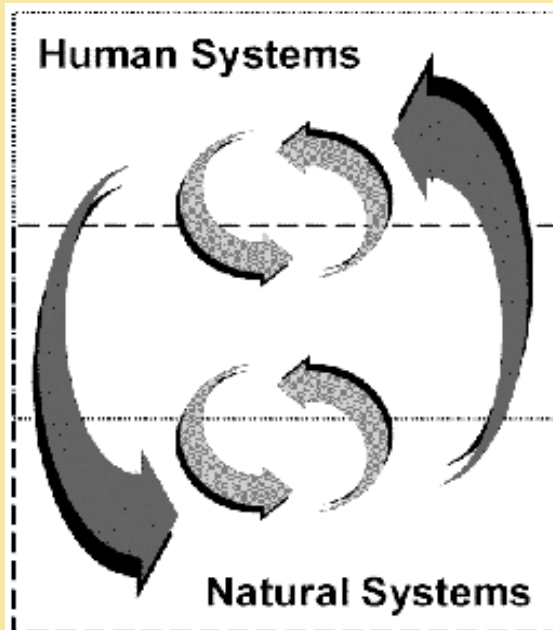
Maine's Sustainability Solutions Initiative - [www.umaine.edu/sustainabilitysolutions/](http://www.umaine.edu/sustainabilitysolutions/)

# Advancing sustainability science via three research strategies

- Understanding the dynamics of coupled social-ecological systems (SES)
- Analyzing and strengthening links between knowledge and action ( $K \leftrightarrow A$ )
- Investigating and fostering interdisciplinary integration and organizational innovation (OI)

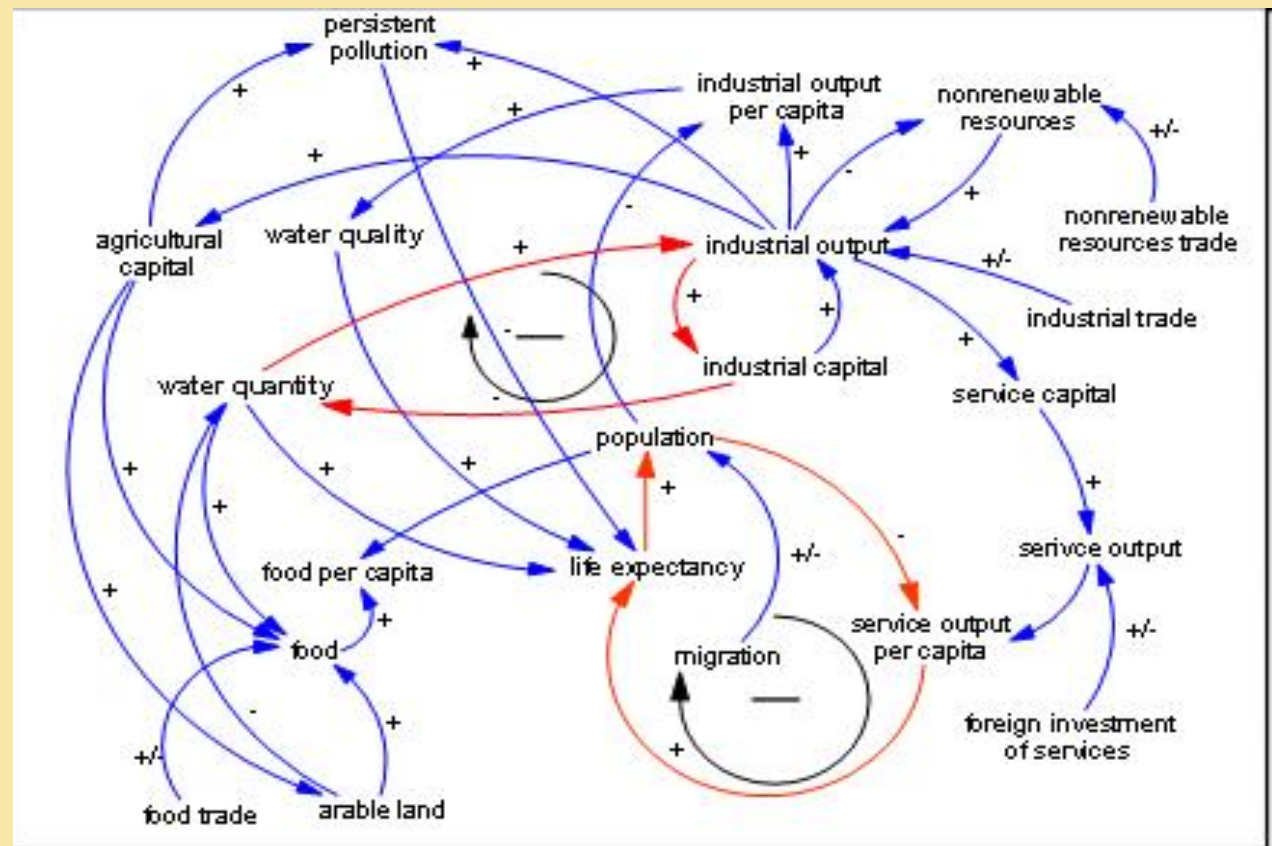


# Dynamics of coupled social-ecological systems (SES)



nsf.gov

- SES thresholds, feedbacks, resilience
- SES as complex adaptive systems



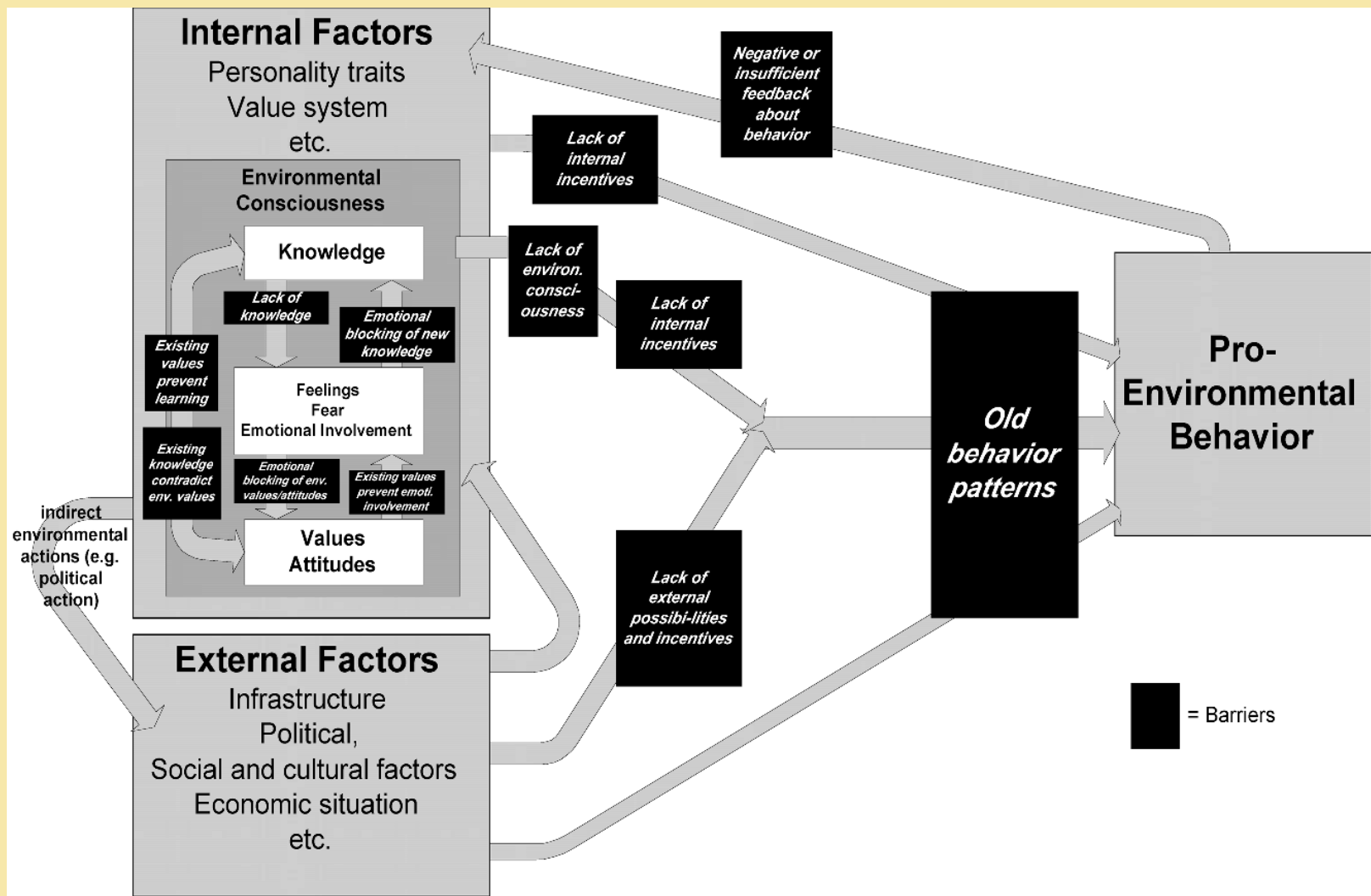
<http://gwd.dpri.kyoto-u.ac.jp/english/research/index.html>

# Links between knowledge and action (K↔A)



Kollmuss and Agyeman, 2002. *Environmental Education Research* 8:239-260.

# Linking knowledge and action: A more complex model



Kollmuss and Agyeman, 2002. *Environmental Education Research* 8:239-260.

# Key research priorities for linking knowledge with action

- Salience, credibility, legitimacy  
(Cash et al. 2003)
- Participation, integration, learning, negotiation  
(van Kerkhoff and Lebel 2006)

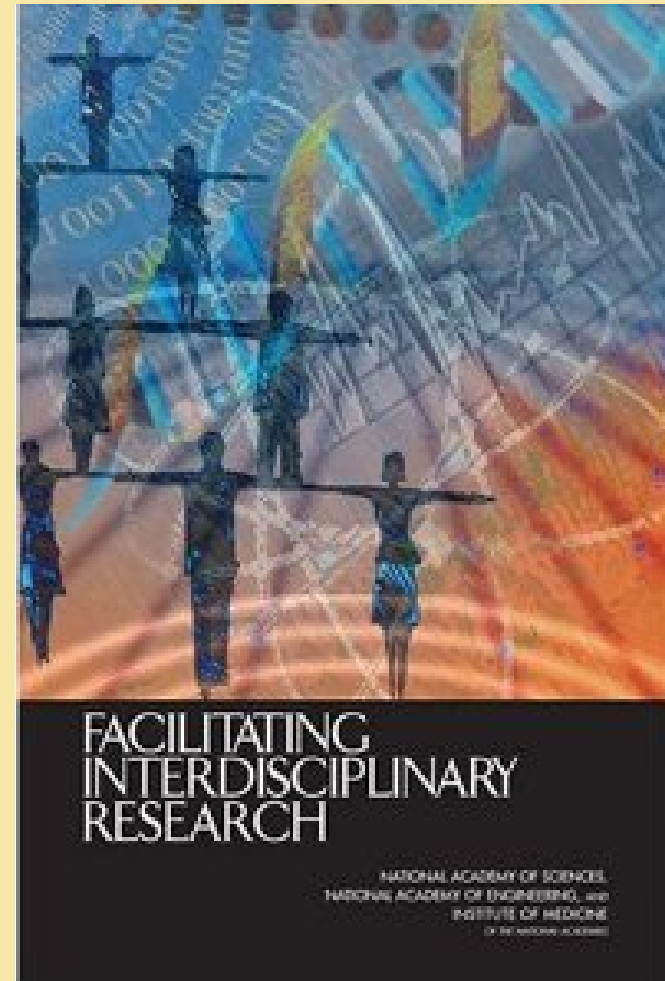
<i>Boundary Work</i>		USE of knowledge to support....		
		Enlightenment (U <sub>0</sub> )	Decision (U <sub>1</sub> )	Negotiation (U <sub>m</sub> )
SOURCE of knowledge...	Single community of expertise (S <sub>1</sub> )	S <sub>1</sub> ↔ U <sub>0</sub> <i>Demarcation</i>	S <sub>i</sub> ↔ U <sub>j</sub> <i>Expert advice</i>	$  \begin{array}{c}  U_k \\  S_i \leftrightarrow \updownarrow \\  U_\ell \\  \textit{Assessment}  \end{array}  $
	Multiple communities of expertise (S <sub>n</sub> )	$  \begin{array}{c}  S_i \\  \updownarrow \leftrightarrow U_0 \\  S_j  \end{array}  $ <i>Integrative R&amp;D</i>	$  \begin{array}{c}  S_i \\  \updownarrow \leftrightarrow U_j \\  S_j  \end{array}  $ <i>Participatory R&amp;D</i>	$  \begin{array}{c}  S_i \quad U_k \\  \updownarrow \leftrightarrow \updownarrow \\  S_j \quad U_\ell  \end{array}  $ <i>Political bargaining</i>

Fig. 1. Context of boundary work as defined by sources and uses of knowledge.

(Clark et al. 2011)

# Interdisciplinary integration and organization innovation

- Individual and institutional factors influencing interdisciplinary collaboration and university-stakeholder partnerships
- Determinants of organizational learning

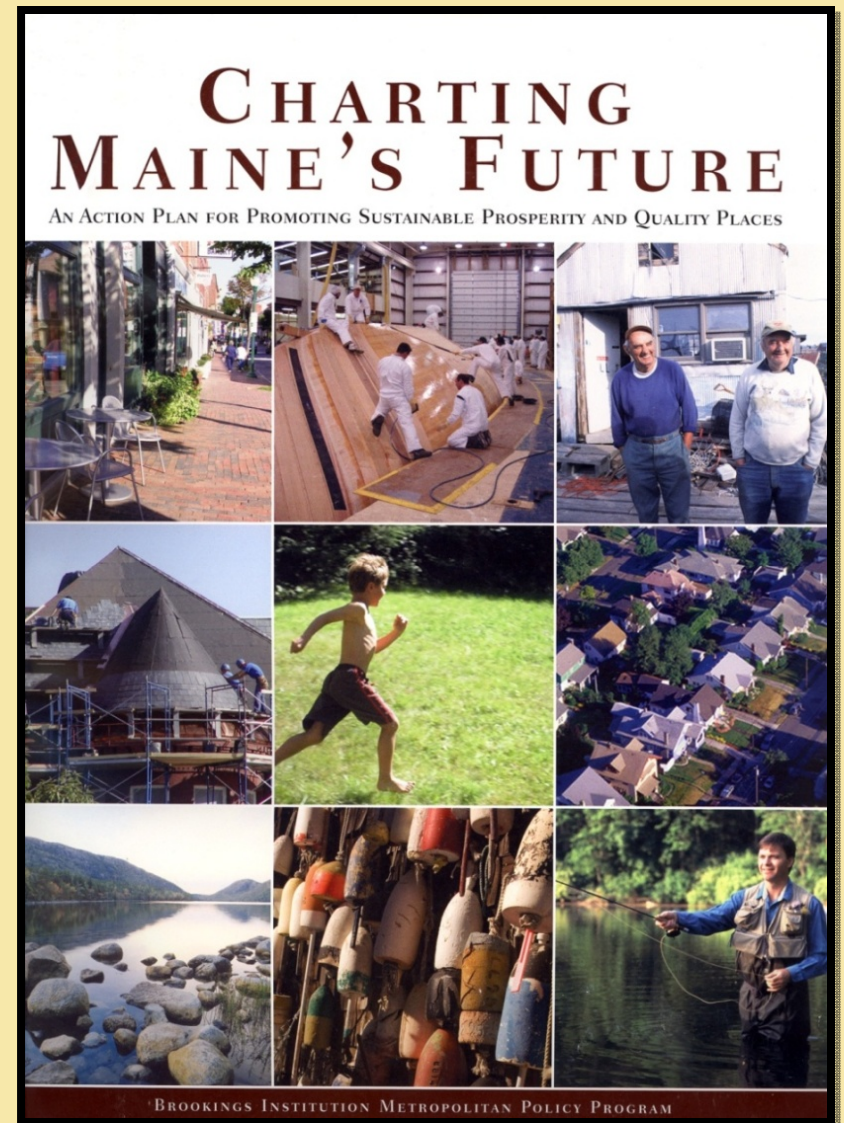


[www.nap.edu](http://www.nap.edu)



# Landscape dynamics in Maine: SSI's model system

Turner, Lambin & Reenberg. 2007.  
The emergence of land change  
science for global environmental  
change and sustainability. PNAS 104:  
20666-20671.



[www.brookings.edu](http://www.brookings.edu)

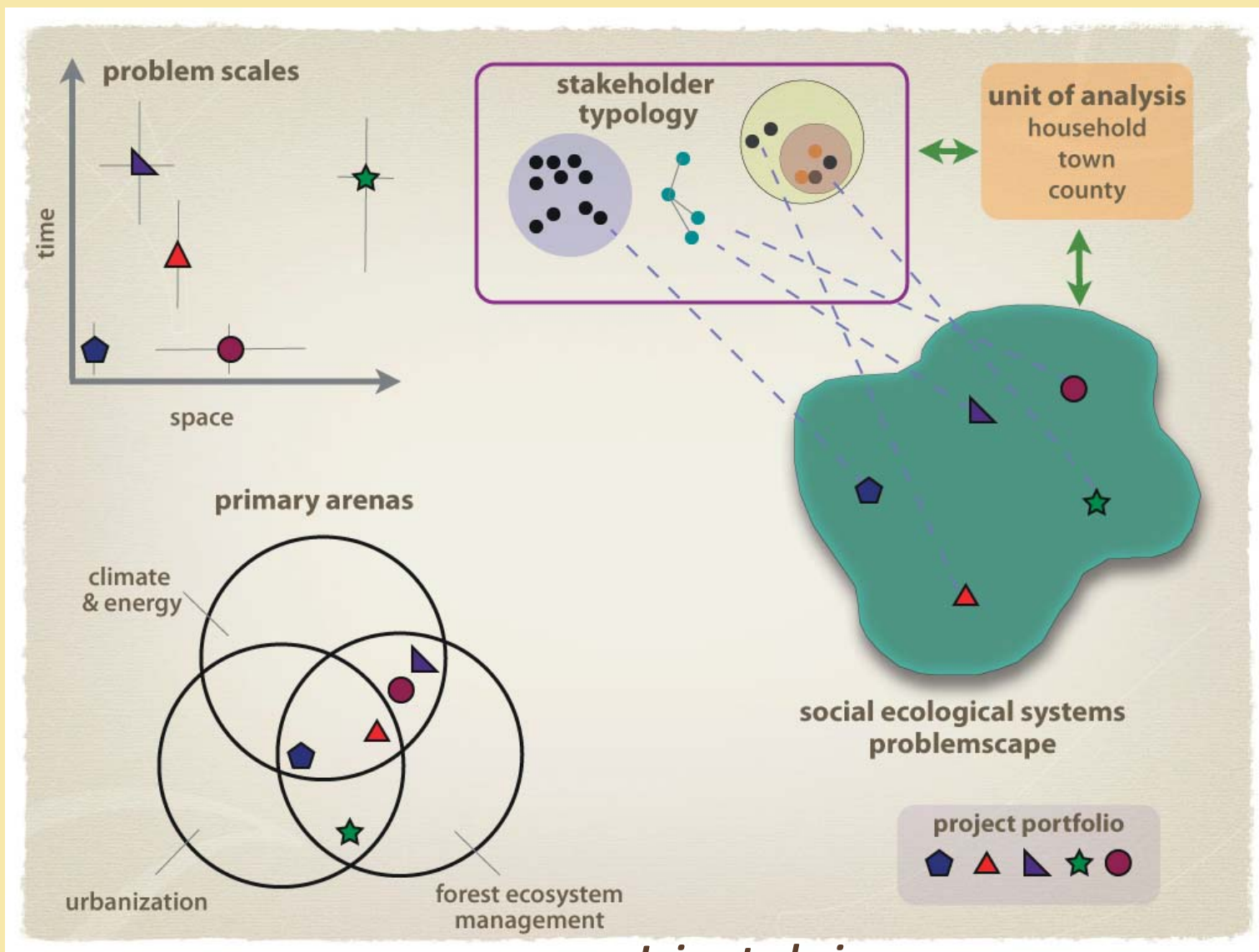


# Landscape-related processes influencing SES in Maine

- Urbanization
- Forest ecosystem management
- Climate and energy



# The design of SSI's research portfolio

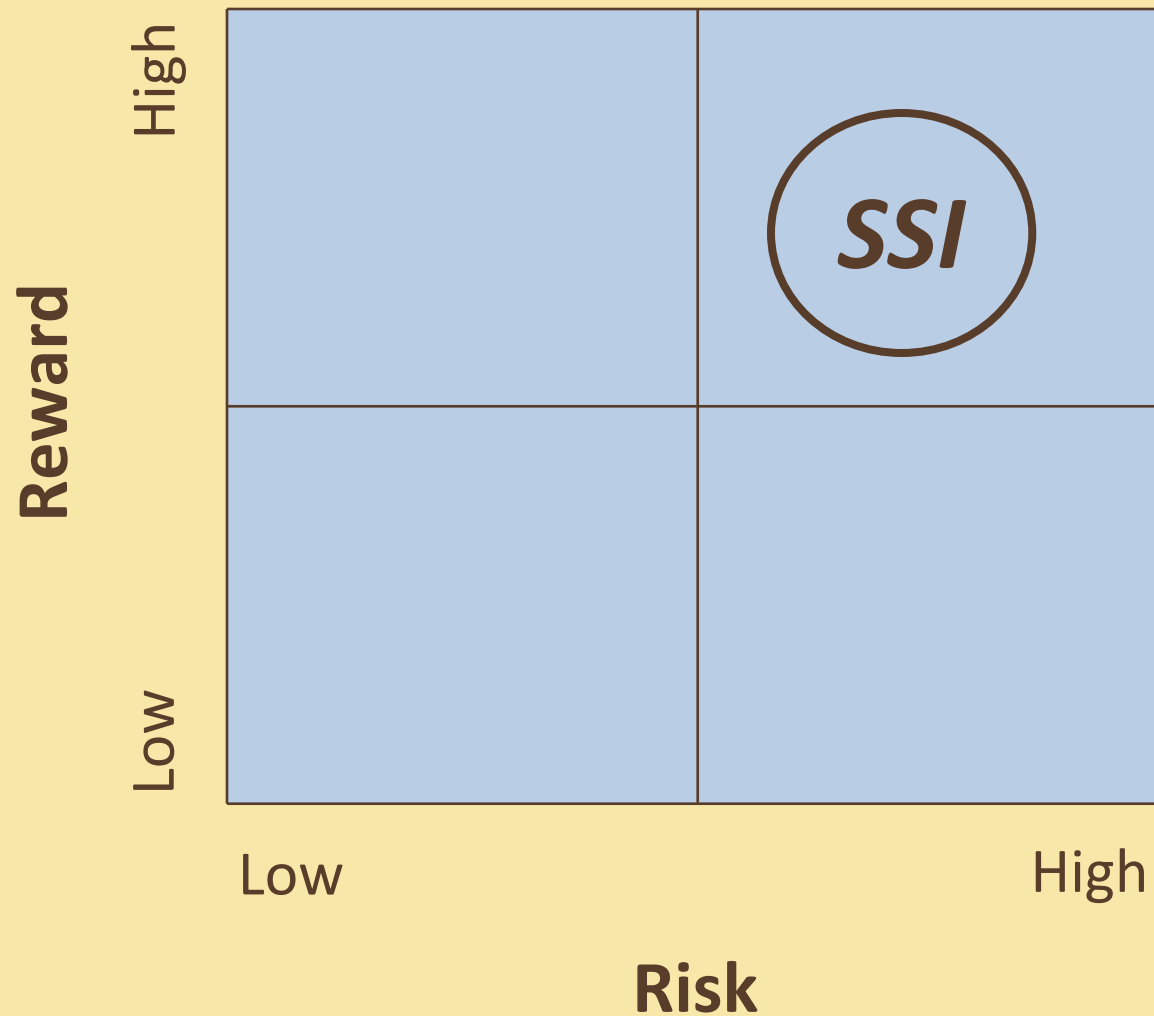


*Jain et al., in prep*

# Implementation of SSI's place-based research projects

- Engage with stakeholders to co-define problems
- Mobilize and support interdisciplinary research teams
- Strive to create durable researcher-stakeholder partnerships
- Develop knowledge co-production strategies
- Emphasize solutions
- Encourage innovation and risk-taking
- Evaluate outcomes and improve effectiveness

SSI emphasizes the *experimental* in EPSCoR



# SSI Infrastructure

- \$20 million, 5-year grant from NSF's Experimental Program to Stimulate Competitive Research (EPSCoR)
- \$4 million in matching support from the State of Maine
- > \$2.8 million in additional external funding in FY 2012
- > 100 faculty participating in SSI-supported projects
- > 30 disciplines represented
- 5 postdoctoral researchers
- 50+ graduate students
- 100+ undergraduates



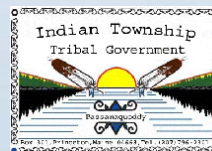
# Diverse partnerships



**US Army Corps  
of Engineers®**



Houlton Band of  
Maliseet Indians



Penobscot Indian Nation



**PORTLAND MAINE** *Strengthening a Remarkable City  
Building a Community for Life*





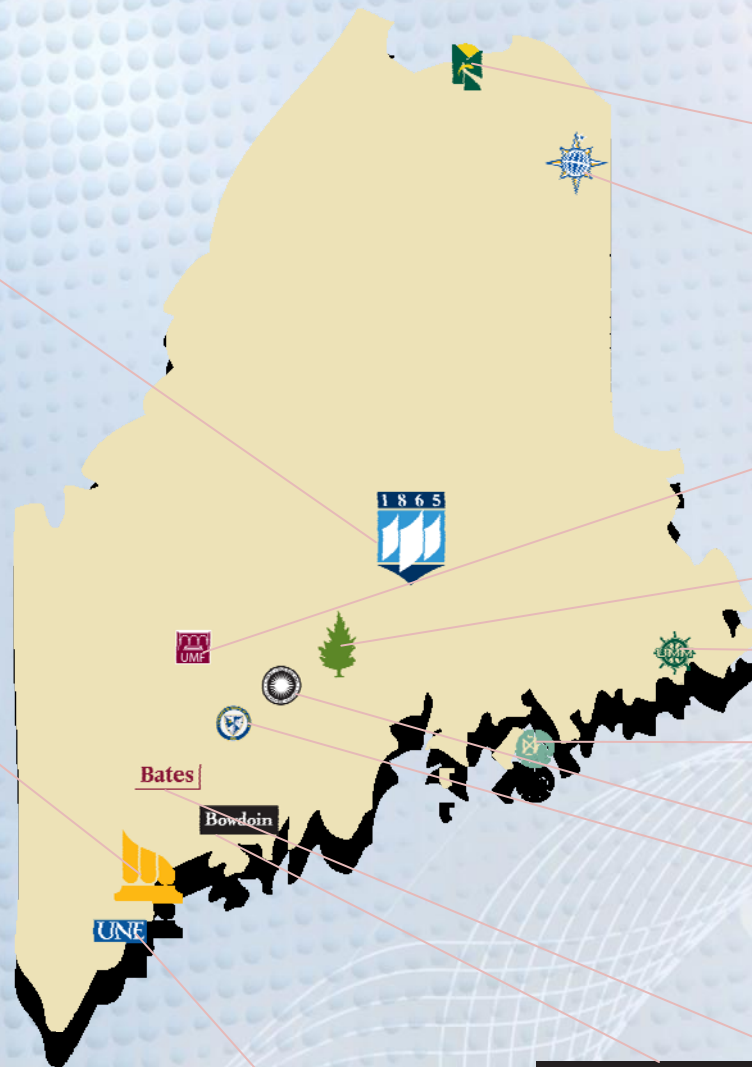
# A statewide partnership of academic institutions



Maine's flagship research & PhD institution – home of Maine EPSCoR & core SSI research faculty teams



Undergraduate & master's level students - core SSI research faculty



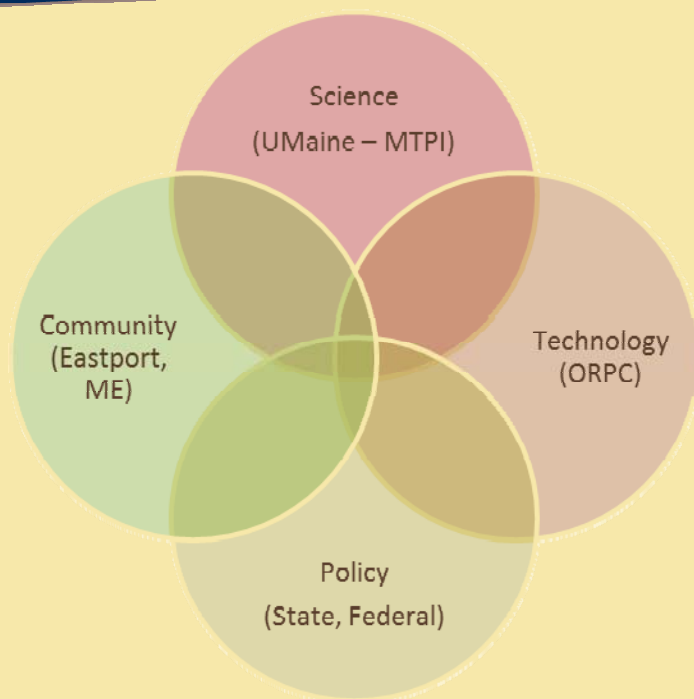
**Bowdoin**

**Bates College**

SSP Partners (primarily undergraduate institutions)



# Tidal energy development in Cobscook Bay



- Technological feasibility
- Economic viability
- Social acceptability

Teresa R. Johnson, Gayle Zydlewski,  
Jessica S. Jansujwicz, Jeffrey Vieser,  
Chris Bartlett, Mick Peterson and  
Colleagues



# Who are the stakeholders?

## Industry



## City Officials



## Agencies (Federal/State)

FERC



DEP



DOE



## Aquaculture



## Passamaquoddy Tribe



## Fishermen





# 2011 Stakeholder Approach

22 April, 2011

## Public input sought for tidal research

Researchers from the University of Maine are holding an informal public meeting at the Hasson University Boat School on Thursday, April 28, from 7 to 9 p.m. to seek input from the community to better understand Cobscook Bay as part of a research project that will gather information on the region's fish population and any impacts that ongoing tidal power projects might have on the fish. Students and staff will present results collected thus far and solicit community input on areas of the bay that should be of interest to researchers. Light snacks and refreshments will be provided.

Gayle Zydlewski emphasizes that she and her group of researchers are invested in the long-term health of the bay, and the role of local citizens is important to the success of this research. "The knowledge of the area from local residents is essential at this stage of the project," emphasizes Zydlewski. "Experience of people who live around the bay would be an invaluable asset and allow researchers to be sure that they are sampling at the most valuable locations. As we collect information we want to provide it back to those inter-

ested, and we are seeking comments on the best way to do that."

A new phase of research is set to begin in May. While researchers have produced important information on fish presence at sites targeted for tidal power device deployment since 2009, work was focused on limited areas in Cobscook Bay and Western Passage. This new research will broaden the scope to look at the region's fish populations.

Research in Cobscook Bay is being funded by the U.S. Department of Energy and the Maine Sustainability Solutions Initiative. The fish work is intended to be a neutral party assessment. The purpose of the research is to generate information on the fish species that inhabit the bay and assess the possible effects of tidal power installations on those populations. Results of the study will be made publicly available for use by all parties.

Zydlewski and her team are eager to hear any suggestions or concerns from the community. If community members have questions ahead of time or cannot make the meeting, email the team at [umaine.fish@gmail.com](mailto:umaine.fish@gmail.com).

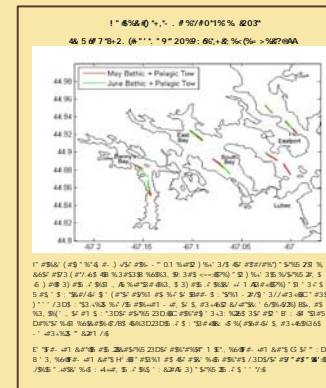
Redefine Problem/  
Identify Needs



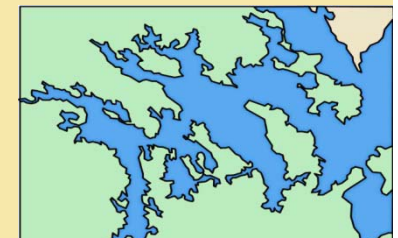
Problem  
Identification



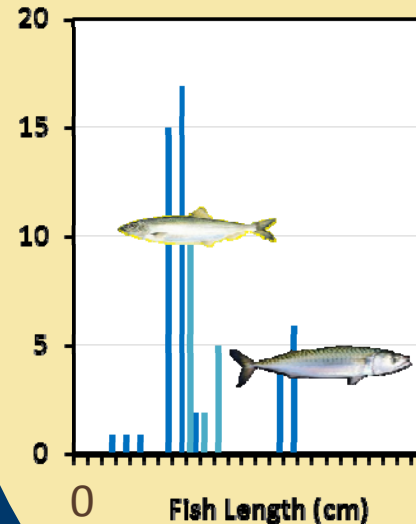
Research  
Plan



Data Collection  
& Analysis

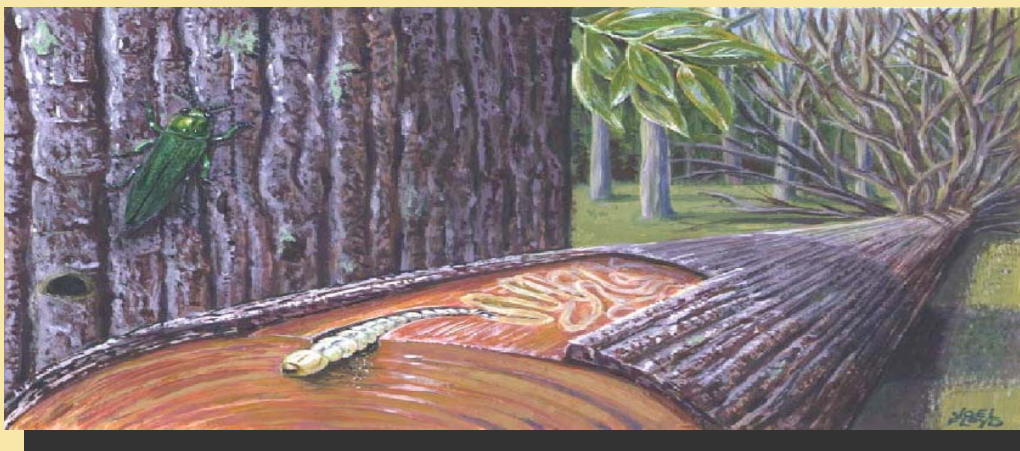


Present  
Results



# Reducing vulnerability to an invasive forest pest

- Emerald Ash Borer (EAB) has killed 50-100 million ash trees in 14 states, and is spreading east towards Maine
- Goal: Increase capacity to detect and respond to EAB





# Protecting the Ash for Future Generations

## *Kolunkayowan Wikpiyik*

**Facilitate collaboration among indigenous basketmakers, tribes, state and federal foresters, university researchers, landowners**





# Linking indigenous knowledge and western science to strengthen resilience

## UMaine faculty

Darren Ranco (Tribal governance and environmental justice)

John Daigle (Human dimensions of natural resource management)

Rob Lilieholm (Forest economics and policy)

Bill Livingston (Forest ecology)

Maine Indian Basketmakers Alliance – Theresa Secord and Jennifer Neptune



Theresa Secord



Darren Ranco

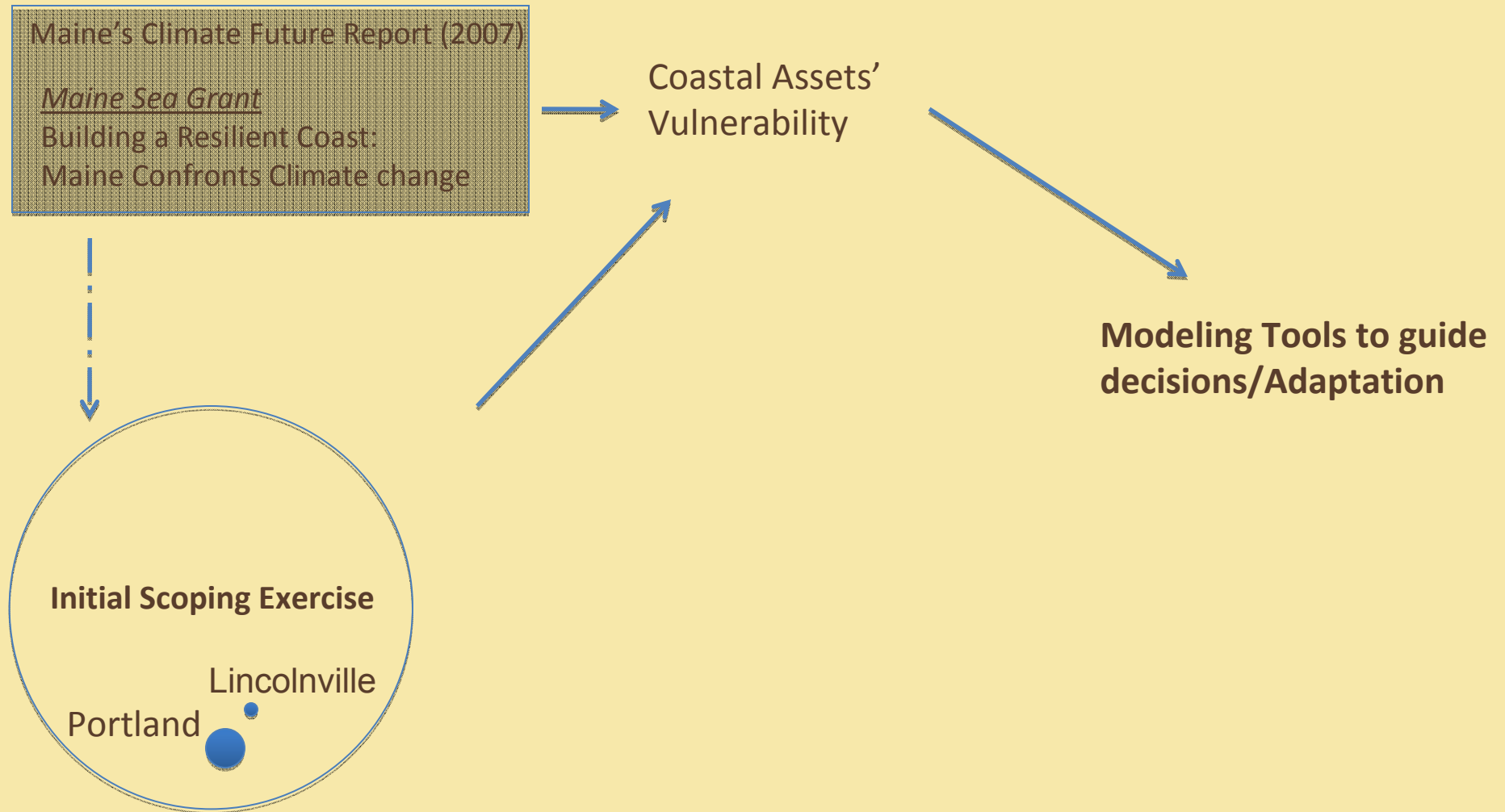
# Coastal Adaptation in a changing climate

- Focus on Coastal Assets and vulnerability
- Single towns → Maine coast
- Individual Extreme events → Long-term prospects
- Existing network (Maine Sea Grant)
- Initial Survey designed to understand the information needs, critical issues, and knowledge gaps

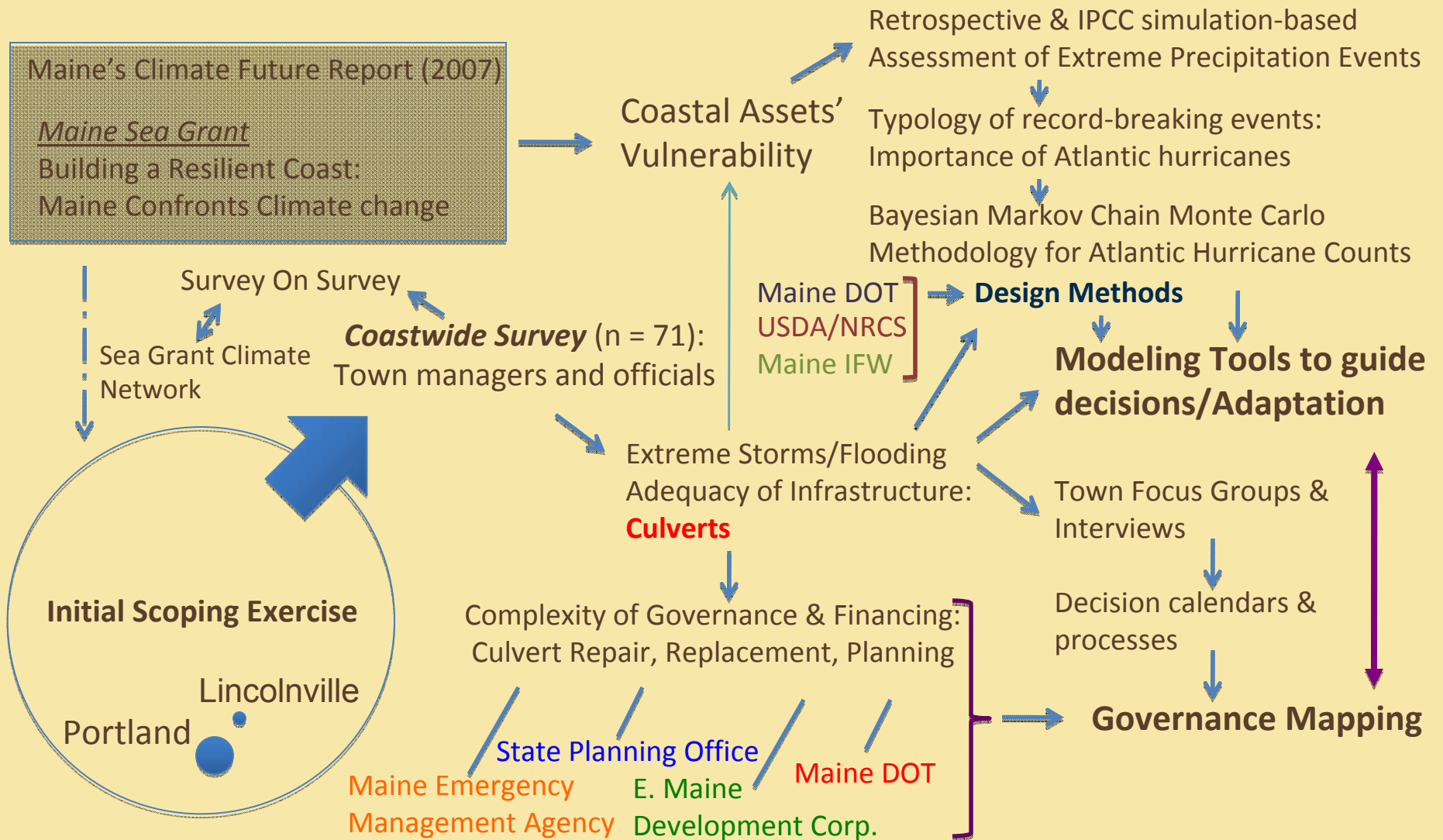


*Shaleen Jain, Civil Engineering and Climate Change Institute  
Esperanza Stancioff, Cooperative Extension and Sea Grant*

# Coastal Adaptation in a changing climate

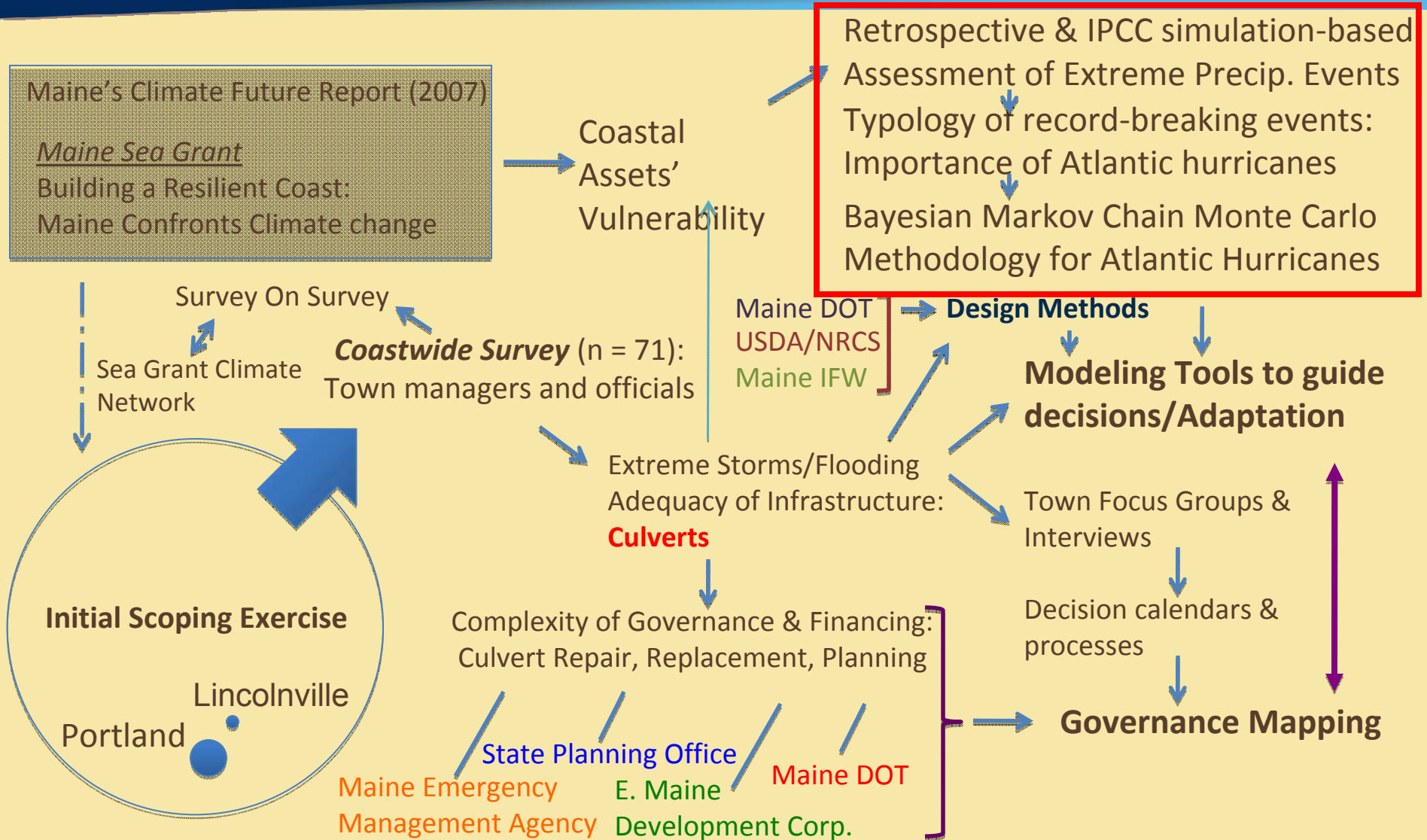


# Coastal Adaptation in a changing climate

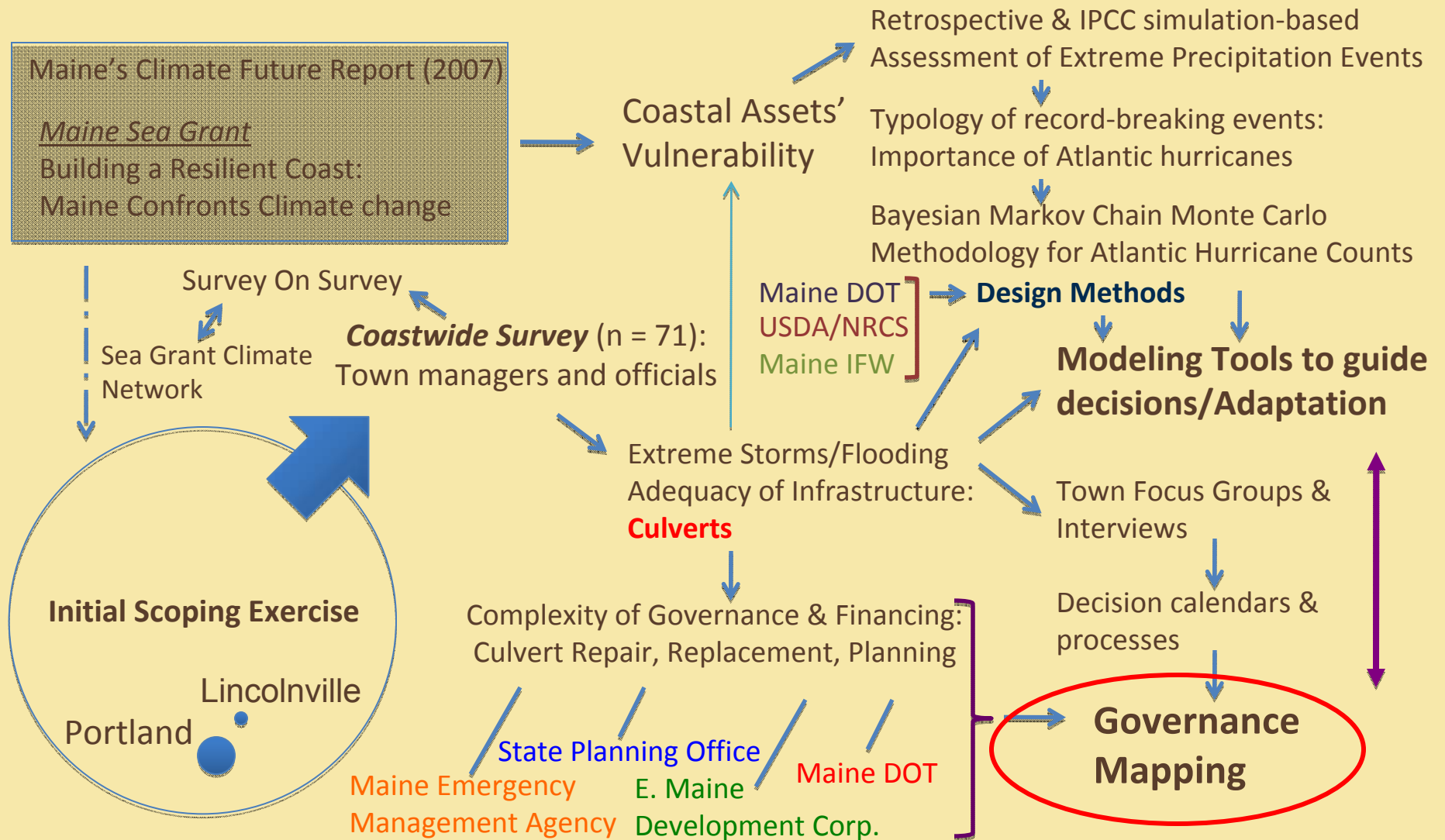




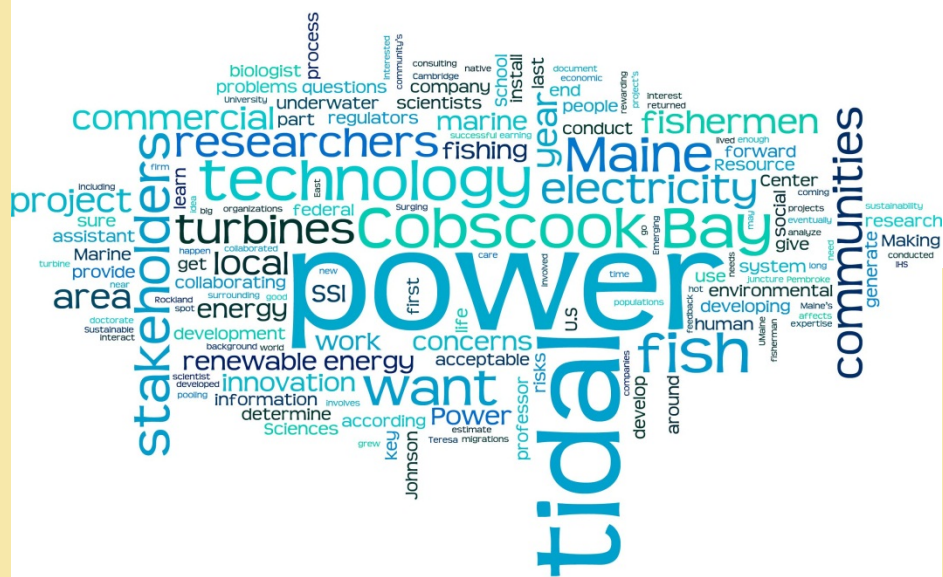
# Coastal Adaptation in a changing climate



# Coastal Adaptation in a changing climate







# Research on interdisciplinary collaboration

Mixed-methods research (qualitative interviews, quantitative surveys, economic experiments) to identify factors influencing collaboration

## **Researchers low in tolerance for ambiguity fare less well in SSI**

Higher discomfort with ambiguity is correlated to:

- o Lower the pride in being a member of SSI,  $r = -.60^{**}$
- o Less similar their values are to other members of SSI,  $r = -.50^*$
- o Less they feel they can influence SSI,  $r = -.50^*$
- o Less they would work on SSI without compensation,  $r = -.36^*$


*McCoy, Gardner et al., in preparation*

## Feedback from OI research has strengthened interdisciplinary collaboration

***“One of the most impressive things about SSI is that interdisciplinarity is truly central to this initiative; nothing is forced.*** Indeed, the interdisciplinary nature of the initiative seems to be one of the central elements that draws people to SSI.”


— Feedback from AAAS review, May 2011


# Maine Public Broadcasting Network has created an entire series about SSI called “*Sustainable Maine*”



## Sustainable Maine

MPBN is proud to present a brand new science series **Sustainable Maine**. Viewers meet Maine researchers and stakeholders working together on new solutions to tough problems.





**WEB EXTRA VIDEO**



### Episode 1: The Triple Bottom Line

Can science help solve the problems of real Mainers? Researchers with Maine's Sustainability Solutions Initiative are working with the people and communities they study to tackle urgent economic, social and environmental challenges. And they're making progress.

The first episode of Sustaining Maine travels Down East to meet SSI researchers, fishermen and others who are collaborating to make sure tidal power in Cobscook Bay is developed sustainably. We then head to Central Maine, where researchers are working with family forest owners struggling to steward their land in the face of growing pressures.


From forest to sea, these scientists and citizens are seeking ways to grow Maine's economy while sustaining our quality of life.

#### Meet the Researchers

- [Teresa Johnson](#)
- [Gayle Zydlewski](#)
- [Jessica Leahy](#)

#### SSI Partner links

- [Maine Tidal Power Initiative](#)
- [ORPC](#)
- [Cobscook Bay Resource Center](#)
- [SWOAM](#)

 [Visit Maine's Sustainability Solutions Initiative website](#)



# Maine Public Broadcasting Network has created an entire series about SSI called “*Sustainable Maine*”



## Sustainable Maine

MPBN is proud to present a brand new science series **Sustainable Maine**. Viewers meet Maine researchers and stakeholders working together on new solutions to tough problems.





**The Triple Bottom Line**

00:00 / 28:45

**WEB EXTRA VIDEO**



### Episode 1: The Triple Bottom Line

Can science help solve the problems of real Mainers? Researchers with Maine's Sustainability Solutions Initiative are working with the people and communities they study to tackle urgent economic, social and environmental challenges. And they're making progress.

The first episode of Sustaining Maine travels Down East to meet SSI researchers, fishermen and others who are collaborating to make sure tidal power in Cobscook Bay is developed sustainably. We then head to Central Maine, where researchers are working with family forest owners struggling to steward their land in the face of growing pressures.

From forest to sea, these scientists and citizens are seeking ways to grow Maine's economy while sustaining our quality of life.

#### Meet the Researchers

- [Teresa Johnson](#)
- [Gayle Zydlewski](#)
- [Jessica Leahy](#)

#### SSI Partner links

- [Maine Tidal Power Initiative](#)
- [ORPC](#)
- [Cobscook Bay Resource Center](#)
- [SWOAM](#)

 [Visit Maine's Sustainability Solutions Initiative website](#)

***Sustainable  
Maine just  
nominated for  
two Emmys!***



*Connecting knowledge with action to strengthen our economic, social and environmental future*



*Supported by National Science Foundation  
award EPS-0904155 to Maine EPSCoR at  
the University of Maine.*



# Acknowledgments

- NSF EPSCoR Award EPS-0904155
- Maine Economic Improvement Fund
- Sustainability Solutions Initiative Team
- SSI Advisory Board (especially Bob Kates, Chair)
- Aldo Leopold Leadership Program (especially Jane Lubchenco and Pam Matson)