

Increasing Access to the Results of Federally Funded Scientific Research: Data Management and Citation

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CODATA Workshop on Data Citation & Attribution

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OSTP Memorandum - 22 February 2013

EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY
WASHINGTON, D.C. 20502

February 22, 2013

MEMORANDUM FOR THE HEADS OF EXECUTIVE DEPARTMENTS AND AGENCIES

FROM: John P. Holdren
Director



SUBJECT: Increasing Access to the Results of Federally Funded Scientific Research

1. Policy Principles

The Administration is committed to ensuring that, to the greatest extent and with the fewest constraints possible and consistent with law and the objectives set out below, the direct results of federally funded scientific research are made available to and useful for the public, industry, and the scientific community. Such results include peer-reviewed publications and digital data.

Scientific research supported by the Federal Government catalyzes innovative breakthroughs that drive our economy. The results of that research become the grist for new insights and are assets for progress in areas such as health, energy, the environment, agriculture, and national security.

Access to digital data sets resulting from federally funded research allows companies to focus resources and efforts on understanding and exploiting discoveries. For example, open weather

OSTP Memo: Specified Objectives

Digital Data

- Maximize free access while
 - Protecting privacy and confidentiality, national security
 - Recognizing intellectual property rights
 - Balancing costs & benefits of long-term preservation
- Require data management plans (DMPs)
- Allow inclusion of costs in applications for funding
- Ensure appropriate evaluation of DMPs
- Monitor compliance by investigators
- Encourage deposit of data in public repositories, where possible
- Cooperate with the private sector
- Develop approaches for data citation & attribution
- Support training, education and workforce development
- Assess long-term needs for preservation and options for repositories

Scholarly Publications

- Public can read, download, analyze in digital form
- 12-month post-publication embargo as guideline, with stakeholder petitions to change
- Easy public search, analysis of, and access to publications
- Full public access to metadata without charge upon first publication
- Public-private collaboration
- Attribution to authors, journals, and original publishers
- Archival solutions that provide long-term preservation & access without charge
 - Uses widely available, nonproprietary standards/formats
 - Provides access for persons with disabilities (consistent with Section 508 of Rehabilitation Act)
 - Enables integration and interoperability with other Federal archival solutions and other appropriate archives

Completed Public Access Plans (as of July 2016)

[See <http://www.cendi.gov>]

Department of Agriculture (Nov 2014)

<http://www.usda.gov/documents/USDA-Public-Access-Implementation-Plan.pdf>

Department of Defense (Feb 2015)

http://www.dtic.mil/dtic/pdf/dod_public_access_plan_feb2015.pdf

Department of Energy (Jul 2014)

http://energy.gov/sites/prod/files/2014/08/f18/DOE_Public_Access%20Plan_FINAL.pdf

Department of Health & Human Services

<http://www.hhs.gov/open/public-access-guiding-principles/index.html>

- **Administration for Community Living [Publications]** (Feb 2016)
<http://www.acf.hhs.gov/programs/nidilrr/docs/ACL-PublicAccessPlan-Jan2016.pdf>
- **Agency for Health Research & Quality** (Feb 2015)
<http://www.ahrq.gov/funding/policies/publicaccess/index.html>
- **Assistant Secretary for Preparedness & Response** (Feb 2015)
<http://www.phe.gov/Preparedness/planning/science/Pages/AccessPlan.aspx>
- **Centers for Disease Control** (Jan 2015)
http://www.cdc.gov/od/science/docs/Final-CDC-Public-Access-Plan-Jan-2015_508-Compliant.pdf
- **Food & Drug Administration** (Feb 2015)
<http://www.fda.gov/downloads/ScienceResearch/AboutScienceResearchatFDA/UCM435418.pdf>
- **National Institutes of Health** (Feb 2015)
<http://grants.nih.gov/grants/NIH-Public-Access-Plan.pdf>

Department of Transportation (Nov 2015)

<https://www.transportation.gov/open/official-dot-public-access-plan>

Department of Veterans Affairs (Mar 2015)

http://www.va.gov/ORO/Docs/Guidance/Plan_for_Access_to_Results_of_VA_Funded_Rsch_02_14_2014.pdf

National Institute of Standards & Technology (Apr 2015)

<http://www.nist.gov/open/upload/NIST-Plan-for-Public-Access.pdf>

National Aeronautics and Space Administration (Nov 2014)

http://science.nasa.gov/media/medialibrary/2014/12/05/NASA_Plan_for_increasing_access_to_results_of_federally_funded_research.pdf

National Oceanic and Atmospheric Administration (Feb 2015)

http://docs.lib.noaa.gov/noaa_documents/NOAA_Research_Council/NOAA_PAR_R_Plan_v5.04.pdf

National Science Foundation (Mar 2015)

<http://www.nsf.gov/pubs/2015/nsf15052/nsf15052.pdf>

Smithsonian Institution (Aug 2015)

http://public.media.smithsonianmag.com//file_upload_plugin/1f143b54-a9f9-4746-bef5-1c76151e3c7a.pdf

U.S. Geological Survey (Feb 2016)

http://www.usgs.gov/quality_integrity/open_access/default.asp

NOTE: Institute for Museum & Library Services and Patient-Centered Outcomes Research Institute also have policies for publications access.

Status of Data Management Plan Requirement

Agency	Intramural	Extramural	Agency	Intramural	Extramural
USDA	TBA	TBA	HHS-FDA	Jan 2016	Oct 2016
DOC-NIST	Oct 2014	Oct 2015	HHS-NIH	DMP – TBD IHSD-Oct 2015 GDS-Sep 2015	DMP – TBD DSP-Oct 2003 GDS-Jan 2015
DOC-NOAA	Jan 2016	June 2016	DOI-USGS	Feb 2015	Oct 2016
DOD	TBA	TBA	DOT	Jan 2016	Jan 2016
ED	[TBA]	IES-Oct 2012	VA	Jan 2016	N/A
DOE	Oct 2015	Oct 2015	NASA	Oct 2015	Oct 2015
HHS-ACL	Oct 2017	Oct 2017	NSF	N/A	Jan 2011
HHL-AHRQ	Public Data	TBA	SI	Oct 2015	Oct 2015
HHS-ASPR	Oct 2015	Oct 2015	USAID	Oct 2014	Oct 2014
HHS-CDC	Feb 2016	Oct 2013/2016			

NOTE: See www.cendi.gov for additional details

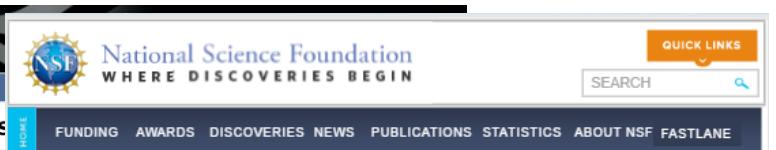
Data Management Guidance



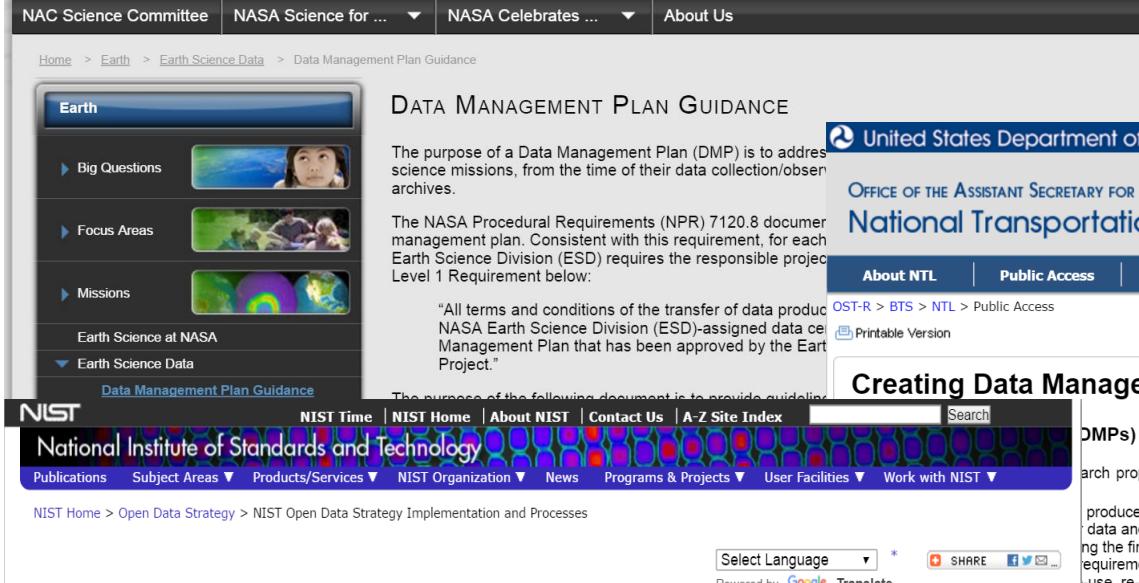
The screenshot shows the NASA Science Earth website. The top navigation bar includes links for Home, Big Questions, Earth, Heliophysics, Planets, Astrophysics, Missions, Technology, and Science News. Below the navigation is a banner with the text "NASA SCIENCE EARTH". The main content area features a "DATA MANAGEMENT PLAN GUIDANCE" section with a sub-section titled "Earth". This section contains links for Big Questions, Focus Areas, Missions, and Earth Science Data. A sidebar on the left lists "Earth Science at NASA" and "Data Management Plan Guidance".



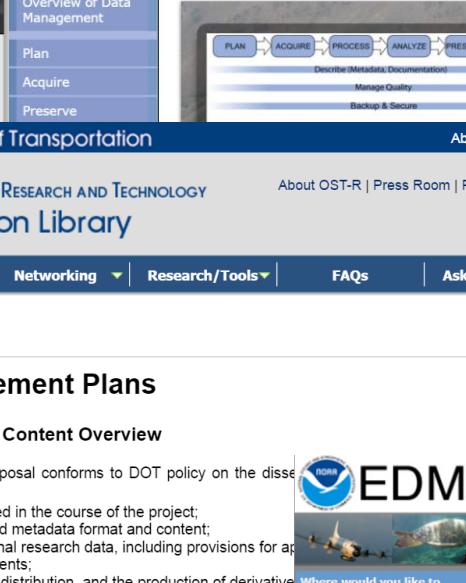
The screenshot shows the USGS Data Management website. The top navigation bar includes links for Home, Overview of Data Management, Plan, Acquire, and Preserve. The main content area features a "Supporting and Enabling US" section with a diagram showing a flow from PLAN to ACQUIRE to PROCESS to ANALYZE to DESCRIBE (Metadata, Documentation). Below this is a "Manage Quality" and "Backup & Secure" section.



The screenshot shows the National Science Foundation (NSF) website. The top navigation bar includes links for Home, FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT NSF, and FASTLANE. The main content area features a "Dissemination and Sharing of Research Results" section with a "NSF Data Sharing Policy" link. Below this is a "NSF Data Management Plan Requirements" section with a link to the "Grant Proposal Guide (GPG) Chapter II.C.2.i".



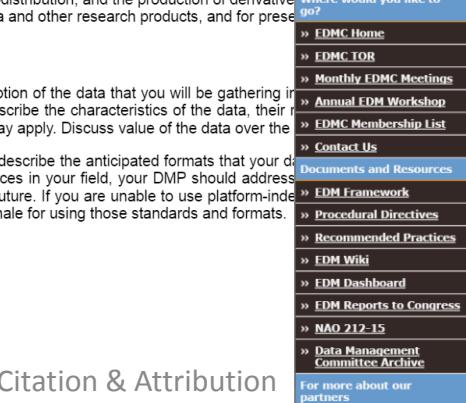
The screenshot shows the NIST website. The top navigation bar includes links for NIST Time, NIST Home, About NIST, Contact Us, and A-Z Site Index. The main content area features a "NIST Open Data Strategy Implementation and Processes" section with a "Select Language" dropdown and a "Powered by Google Translate" link. Below this is a "NIST's Guiding Principles for Implementation" section with a list of principles.



The screenshot shows the OST-R website. The top navigation bar includes links for About NTL, Public Access, Networking, Research/Tools, FAQs, and Ask. The main content area features a "Creating Data Management Plans" section and a "DMPs) Content Overview" section. The sidebar on the right lists "BFA Organization", "Office of Budget, Finance, & Award Management", "Budget Division", and "Division of Acquisition and Cooperative Support".



The screenshot shows the EDMC website. The top navigation bar includes links for "Where would you like to go?" (EDMC Home, EDMC TOR, Monthly EDMC Meetings, Annual EDM Workshop, EDMC Membership List, Contact Us, Documents and Resources, EDM Framework, Procedural Directives, Recommended Practices, EDM Wiki, EDM Dashboard, EDM Reports to Congress, NAO 212-15, Data Management Committees Archive, For more about our partners, NOSC, OSC), and a "Click to download the current version of the PD as an Adobe file (pdf)" link. The main content area features a "NOAA Data Management Planning Procedural Directive" section with a link to the "NOAA DMP Repository" on GoogleDrive.



The screenshot shows the Data Citation & Attribution website. The top navigation bar includes links for Home, Overview of Data Management, Plan, Acquire, and Preserve. The main content area features a "Data Citation & Attribution" section with a "Click to download the Data Management Plan Template (v2.0.1 updated Jan 2015)" link.

Following Future Developments

Public Access Forum: <https://www.whitehouse.gov/administration/eop/ostp/library/publicaccesspolicy>

OSTP Blog: <https://www.whitehouse.gov/blog/2016/02/22/increasing-access-results-federally-funded-science>



The screenshot shows the official website of the Office of Science and Technology Policy (OSTP). The header features a circular logo of the White House, followed by navigation links for BRIEFING ROOM, ISSUES, THE ADMINISTRATION, PARTICIPATE, and 1600 PENN. A search bar is on the right. Below the header, a breadcrumb trail shows Home, The Administration, and Office of Science and Technology Policy. The main title "Office of Science and Technology Policy" is displayed prominently. A navigation bar below the title includes links for About, Pressroom, OSTP Blog, Divisions, Initiatives, R&D Budgets, Resource Library, NSTC, PCAST, and Contact. On the left, a "RESOURCE LIBRARY" sidebar lists links for Documents, Speeches, & Reports; Freedom of Information Act (FOIA); Scientific Integrity; Testimony; Compliance Guidelines; Archives; and Public Access Policy. The main content area features a section titled "OSTP Public Access Policy Forum" with two "UPDATE" entries: one for April 29, 2016, and one for January 29, 2016, each with a link to read the progress update.

OSTP Public Access Policy Forum

UPDATE: April 29, 2016 - On April 29, 2016, OSTP Director John P. Holdren transmitted an update to Congress outlining progress toward the development and implementation of policies to increase public access to the results of federally funded scientific research.

[Read the progress update here.](#)

UPDATE: January 29, 2016 - On January 29, 2016, OSTP Director John P. Holdren transmitted an update to Congress outlining progress toward the development and implementation of policies to increase public access to the results of federally funded scientific research.

[Read the progress update here.](#)