Faculty/Administrator Collaboration Taskforce (FACT)

Moderator

Lynette Arias, University of Washington

Panel

Jason Carter, Faculty; Dave Reed, Administration; Michigan Technological University

Kelly Shaver, Faculty; Susan Anderson Rivaleau, Administration; College of Charleston

David Budil, Faculty, Northeastern University

FDP Meeting – September 2017

Agenda

- Panel introductions

- Background and motivation

- Issues

  - What will FACT do?

  - How will FACT operate?

  - What will be its status within FDP?

- Perspective from a private research university

- Audience input and open discussion

- Where we go from here

Current FACT Steering Group

Faculty/Administrator Partner Members

Lynette Arias & Mark Haselkorn—University of Washington

Larry Sutter, Jason Carter, Dave Reed—Michigan Tech University

Susan Anderson Rivaleau & Kelly Shaver—College of Charleston

David Budil & Joan Cyr—Northeastern University

J.R. Haywood & Laura McCabe—Michigan State University

Red = On today's panel

Some questions from last meeting

- What is the relationship between academic structure and research administration structure?

- How does the structure embrace faculty needs in addition to ensuring compliance?

- What gets done because it enhances the institution's research enterprise?

- How do faculty and administration engage?

- What is the institution's culture for enacting change?

- Who is responsible for forming policies & procedures?

- Who is responsible for success?

Other potential issues

- Do we need to understand faculty/administration research partnerships in the context of relevant differences in university research environments?

- If so, what are those relevant differences?
  - By size?
  - By public versus private?
  - By other factors to be discovered and explored (e.g. Organizational structures? Policies? Goals? Partnerships?)

- How do we currently work together? What are common strengths and weaknesses? Are there shareable best practices?

- Other?

FACT Proposed Charter

- **Leverages the unique opportunity provided by FDP** membership and meetings, where Faculty and Administrators attend together, to enhance institutional faculty-administrator collaboration **for successful research operations.**

- **Establish an ongoing dialog** between faculty and administrators attending FDP and **initiate projects** to advance their collaborative efforts to achieve institutional research program goals.

- FACT will pay attention to this vital **research collaboration** and provide FDP with an opportunity to **better understand and enhance it**. We will collect and inventory as many of the challenges and successes as can be brought forward and then prioritize key areas for discussion, analysis and, eventually, recommend improvements.

- **We anticipate the outcomes to include**:
    - Open sessions at FDP Meetings
    - Annual reports
    - Projects to gather new needed data
    - Demonstration projects

Outcomes

- Collectively develop a project that would showcase effective faculty administrator interactions towards successful research operations!

- "This is what our institution does really well"

- Create reference toolkit for best practices for faculty and administrators

Issues for establishing and maintaining FACT

- How should FACT function within FDP?

- What type of entity should FACT be? Standing or given a specific timeframe to address a specific issue?

- What would constitute "membership" in FACT? A faculty/administrator pair willing to work together with the group?

- How will FACT operate?

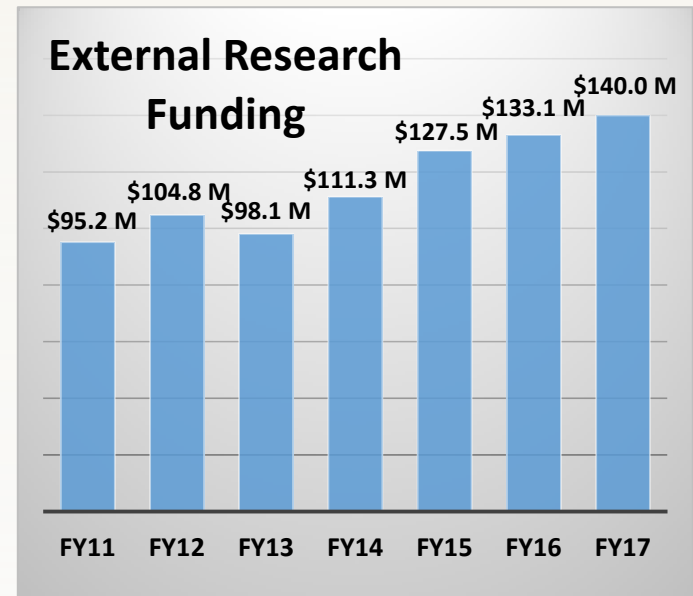- Other structural and operational issues?

Northeastern Overview

Private University in Boston, leading Co-op school (11,000 placements in 2016), no medical school, Enrollment: (13,697 undergrad, 6,243 grad)
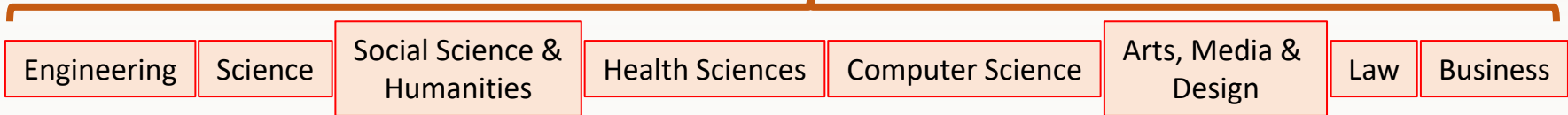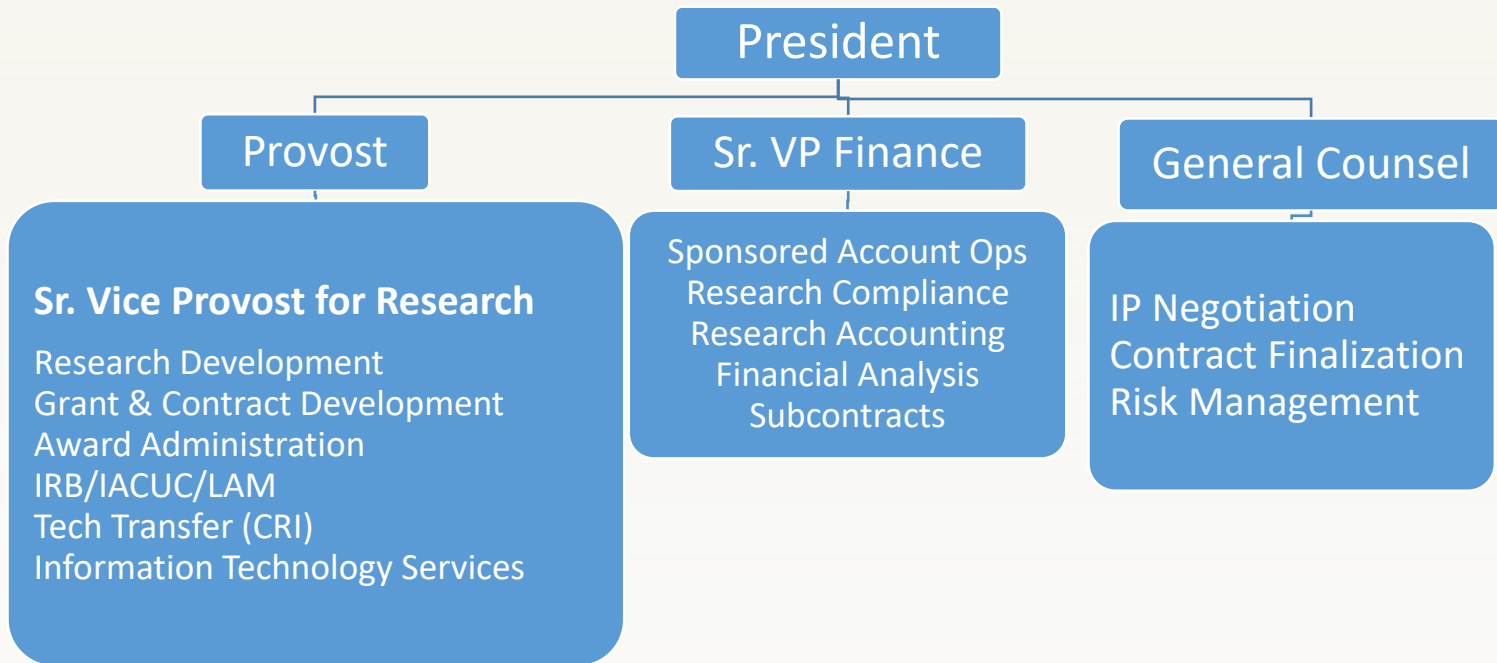
Challenges

- 8 Colleges

- Converted to "Hybrid RCM" budget in 2011

- Rapid growth in research activity (73% since FY11)

- Carnegie Research 1 ranking in 2015

- Administrative expansion
  - Has lagged research growth
  - Has occurred both in Colleges and at University level
  - Lacks overall organization and standardization

External Research Funding

FY	Amount
FY11	$95.2 M
FY12	$104.8 M
FY13	$98.1 M
FY14	$111.3 M
FY15	$127.5 M
FY16	$133.1 M
FY17	$140.0 M

NU Research Support

President

Provost

Sr. VP Finance

General Counsel

Sr. Vice Provost for Research

Research Development
Grant & Contract Development
Award Administration
IRB/IACUC/LAM
Tech Transfer (CRI)
Information Technology Services

Sponsored Account Ops
Research Compliance
Research Accounting
Financial Analysis
Subcontracts

IP Negotiation
Contract Finalization
Risk Management

Engineering

Science

Social Science & Humanities

Health Sciences

Computer Science

Arts, Media & Design

Law

Business

Audience input

and

open discussion

Themes/ideas from 1ˢᵗ session

- How get faculty involved in research administration enterprise
  - Form advisory groups
  - Get to know faculty

- How get faculty aware of issues from administration
  - How get IRB info out to faculty

- How do faculty get administrators to help them move grants through
  - Innovation can be hindered without a team
  - Specialization of staff/identify players to allow faculty to succeed
  - Tell faculty who to see; guidance not obstruction
  - Move away from silo of individual websites
  - Lifecycle built into website

Questions from 1st session

- How to encourage faculty to participate?

- How manage various stages of approval?

- How to improve efficiency in operation of laboratories?

- How to deal with risk management? Especially for projects with shared resources.

- How can we get faculty involved in governance/grant administration (on campus and at FDP)?

- Who should be responsible for faculty mentoring re grant activities?

- How to balance of administrative responsibility with need to be personable?

- How can institutions find ways to solve problems and empower administrators to solve faculty issues?

Contact Info

- Mark Haselkorn - markh@uw.edu
- Larry Sutter – llsutter@mtu.edu
- David Reed – ddreed@mtu.edu
- Jason Carter -
- Lynette Arias - ariasl@uw.edu
- Kelly Shaver - shaverk@cofc.edu
- Susan Anderson Rivaleau - rivaleaus@cofc.edu
- David Budil -
- Laura McCabe - mccabel@psl.msu.edu