



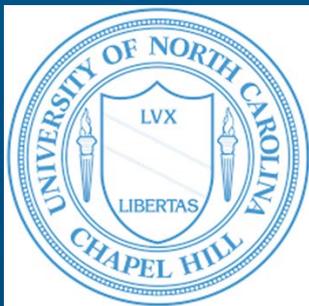
FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

Faculty-Administrator Collaboration Team (FACT)



FDP Meeting – January 2018





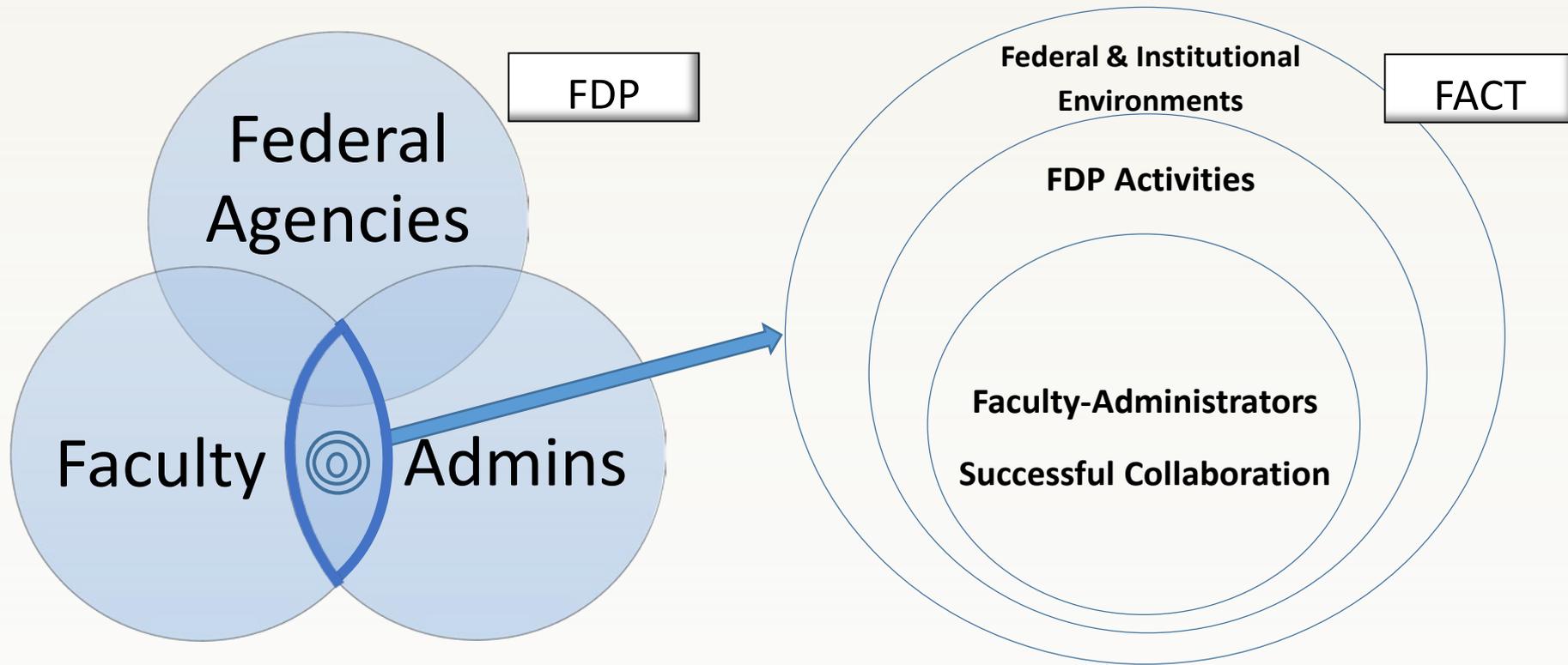
Agenda for FACT Session

- Introductions & background
 - What is FACT?
 - Who are we?
- What we have done and learned so far
 - Feedback
 - Initial exploration projects
- Aligning and supporting other FDP work
- Open Discussion
 - Future work



What is FACT?

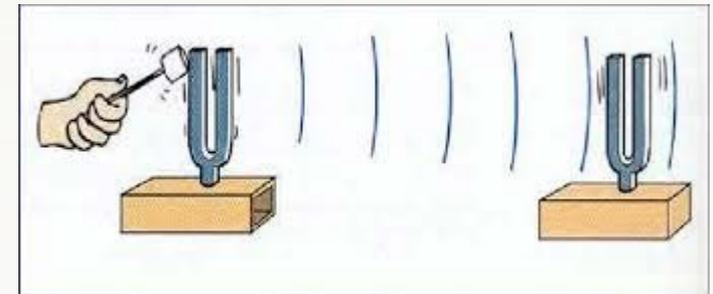
Faculty - Administrator Collaboration Team





Background of FACT

- Faculty and Administrators rarely formally engage at FDP
- There is demonstrated interest and need
- Faculty-Administrator collaboration is key to successful institutional research programs, and therefore to the national research program



- Idea generation, percolation, and resonance
- “Initiative” / Steering Committee/ Charter



Background of FACT

- Small group convened to discuss concept
- Evolved into larger and more focused group
- Engaged in regular calls and actions
 - Articulate mission and vision
 - Develop charter
 - Communicate with Executive Committee
 - Plan and initiate startup projects
- Started with universities – faculty and administrator pairs
- Could expand to include federal partners



Who we are

Steering Committee

FDP Member Organization	Faculty Rep	Admin Rep
College of Charleston	Kelly Shaver	Susan Anderson
Duke University	Adrian Hernandez	Jim Luther
Michigan State University	Laura McCabe	JR Haywood
Michigan Technological University	Larry Sutter/ Jason Carter	Dave Reed
Northeastern	David Budil	Joan Cyr
University of North Carolina at Chapel Hill	Lori Carter-Edwards	Robin Cyr
University of Washington	Mark Haselkorn	Lynette Arias



Input from previous sessions

- Improve faculty representation and participation at FDP
 - Encourage faculty with policy interests
 - Point to past FDP successes
- Foster cross-pollination at home institution
 - Faculty luncheons
 - Reports to Faculty Senates
- Assess research support effectiveness
 - Enhance transparency in administrative processes
 - Develop strategies to deal with low success rates
- Improve communication of guidelines
 - Identify best practices for local interpretation
 - Replace email updates with agency-specific checklists accessible on a JIT basis



Activities since last meeting

- Expanded Steering Committee
- Developed Charter
 - Proposed Charter to Executive Committee
 - Received approval to continue initiative
- Initiated Website
- Planned and initiated two startup projects
 - How do faculty and administrators collaborate?
 - Quantitative – by the numbers
 - Qualitative – by experiences



Quantitative Assessment - Matrix

- Demographic Information
 - PRINCIPAL INVESTIGATORS / CO-PI'S
 - EXPENDITURES (NSF HERD Survey)
 - AWARDS
 - PROPOSALS
 - AWARDS STAFFING
 - PROPOSALS STAFFING
- Employees by F&A Cost Pools
 - Dollars allocated to Research / Average Fully Loaded Comp
- Compliance Area
 - Human subjects studies, Animal studies, Export Controls, Controlled substances/narcotics, Select agents

Federal awards staff
Other Government staff
Non-Gov't awards staff



A	B	C	D		E
			School of Med	Oth (Camp	
Categories / Questions					
PRINCIPAL INVESTIGATORS / CO-PI'S					
	Total # of Full-Time Tenure/Tenure Track Faculty		786		857
	# Full-Time Tenure/Tenure Track Faculty submitting proposals as PI or co-PI		576		387
	# Full-Time Tenure/Tenure Track Faculty on active projects as PI or co-PI		514		437
	Total # of Full-Time Research Scientists or Non Tenure Track		1,416		TBI
	# Full-Time <u>Non Tenure Track faculty</u> submitting proposals as PI or co-PI		438		187
	# Full-Time <u>Non Tenure Track faculty</u> on active projects as PI or co-PI		323		277
EXPENDITURES					
	Total Sponsored Projects Expenditures in last FY (NSF HERD Survey)				
AWARDS					
	Please list the # of awards received in the last FY for the following categories, including incremental funding			FY17	
	Total # of Awards received in last FY		2,372		546
	Federal awards received in last FY		1,321		287
	Other Government awards received in last FY		12		20
	Non-Govt awards received in last FY		1,039		247
PROPOSALS					
	Please list the # of proposals submitted in the last FY for the following categories for			FY17	
	Total proposals submitted in last FY		4,165		129
	Federal proposals submitted in last FY		2,140		837
	Other Government proposals submitted in last FY		16		10
	Non-Govt proposals submitted in last FY		2,009		457
AWARDS STAFFING					
	Please list the # of staff supporting Awards (expenditure review/invoicing/reports, etc)				
	Total # of staff				
	Federal awards staff				

Net sure hours



Quantitative Assessment - Matrix

- Observations
 - Significant variability
 - Impacted by economies of scale, institutional culture, award portfolio type, technology infrastructure, etc.
- Next steps
 - Develop metrics for further comparison
 - Further analysis
 - Demographics, F&A FTE data, Compliance Area
 - Qualitative
 - Harmonize with Faculty Workload Survey



Qualitative Assessment

Mission

- What is the mission of your institution's research enterprise?
- What do you individually do to help achieve that mission?
- How does your institution establish sponsored research strategies, goals and priorities?
- How do you measure the success of your sponsored research activities?
- How does your institution measure the success of its research enterprise?



Qualitative Assessment

Development

- How do you typically engage in developing a sponsored research activity?
- How does your institution identify research funding opportunities?
- How does your institution foster research collaborations?



Qualitative Assessment

Management

- How does your institution establish sponsored research practices and policies?
- How do Federal policies and priorities impact your institution's collaborative research effort?
- Having considered all these issues, what works best for you about the system for sponsored research at your institution? What hinders you the most?
- How do you establish a relationship with your faculty/administrator sponsored research counterparts?
- How do you acquire an understanding of your institution's policies and practices for sponsored research?



Aligning with FDP

- FDP Goal: More efficient, productive and impactful research
- FACT: Faculty-Administrator Collaboration Team—more successful F-A working relationship to achieve more successful institutional research enterprises
- Within FDP context and complementary to other FDP activities, FACT focuses on
 - institutional structures for F-A collaborations
 - Institutional definitions of research enterprise success
 - examples of F-A collaboration successes
 - examples of frequent challenges to F-A collaboration
- Following initial qualitative and quantitative review of member institutions, FACT will host ongoing discussions with FDP and develop future FACT projects



Future Issues & Open Discussion

- Is there a good understanding of FACT and its goals?
- Any thoughts on the evolving structure of FACT?
- Do the initial FACT goals and activities seem likely to be helpful?
- What other activities could FACT and/or FDP take to strengthen the faculty-administrator research collaboration?
- What else?



Contact Info

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