

# Direct Imaging with Ground-Based Telescopes

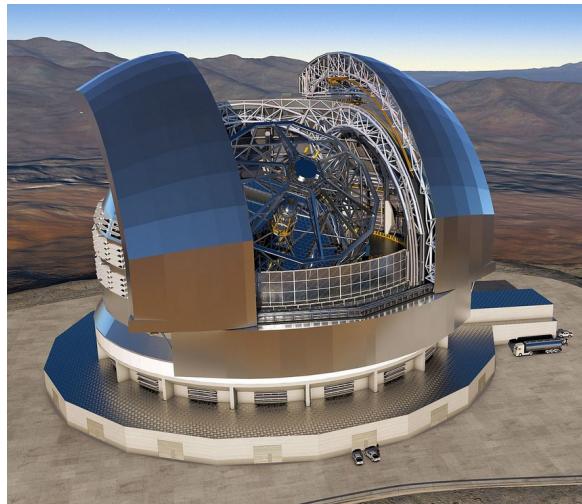
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*Japanese Astrobiology Center, National Institutes for Natural Sciences (NINS)*

*Subaru Telescope, National Astronomical Observatory of Japan (NINS)*

*Breakthrough Watch committee chair*



Mar 7, 2018

# Outline

## Direct imaging measurements fundamentals

- Brief introduction

- Planetary formation / disks

- Exoplanetary systems

- Exoplanet spectroscopy

## Exoplanet Imaging / Detection with ELTs

### Habitable planets imaging & biosignatures detection with ELTs

- Thermal emission

- Reflected light

## Technology & expected performance

- Coronagraphy

- Wavefront control

## Planning future instruments

- From 10m-class telescopes to 30m-class telescopes

- ELT, TMT and GMT plans

# **Direct imaging measurements fundamentals**

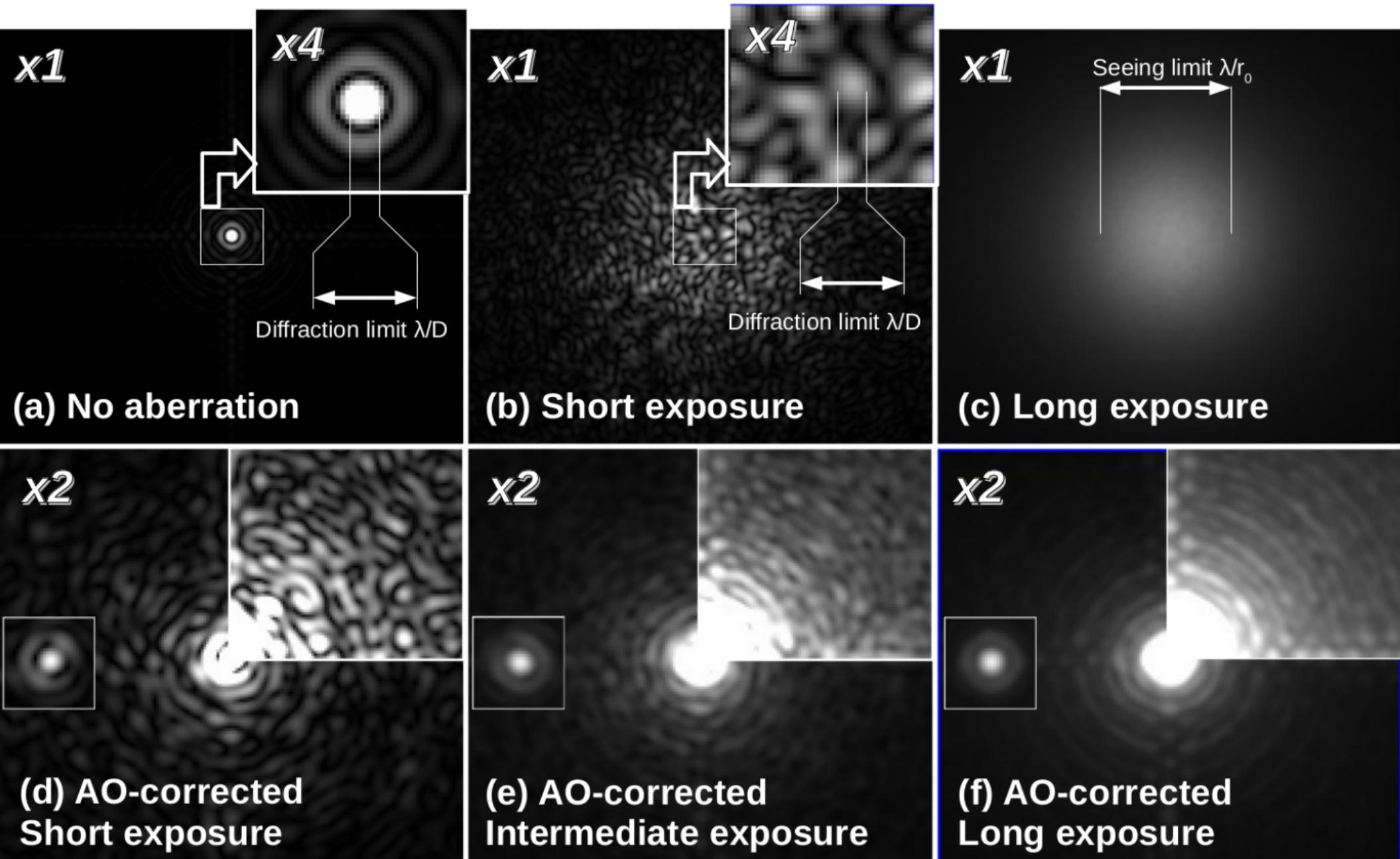
**Brief introduction**

**Planetary formation / disks**

**Exoplanetary systems**

**Exoplanet spectroscopy**

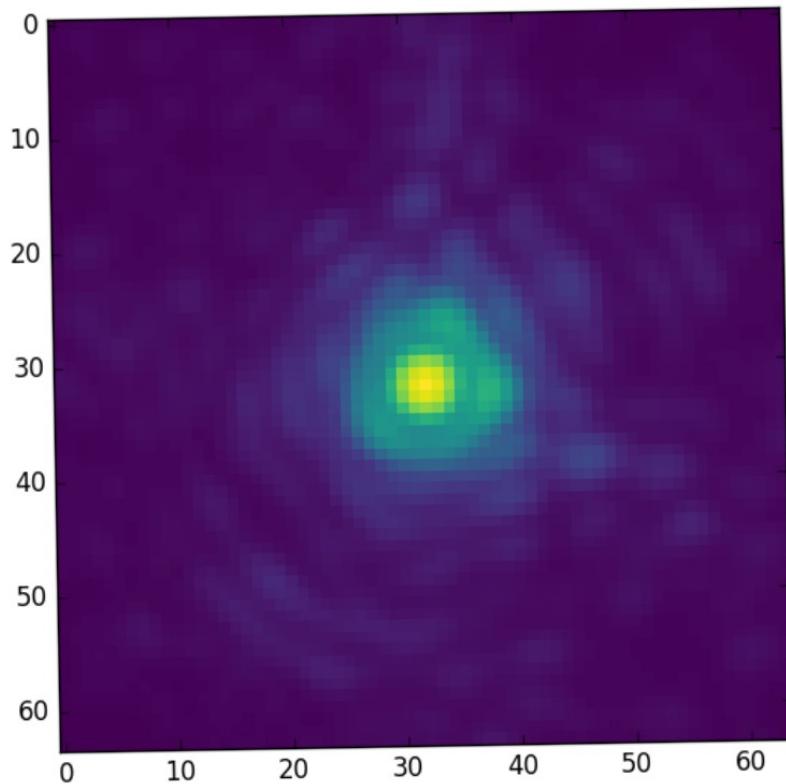
# Adaptive Optics Correction of Atmospheric Turbulence



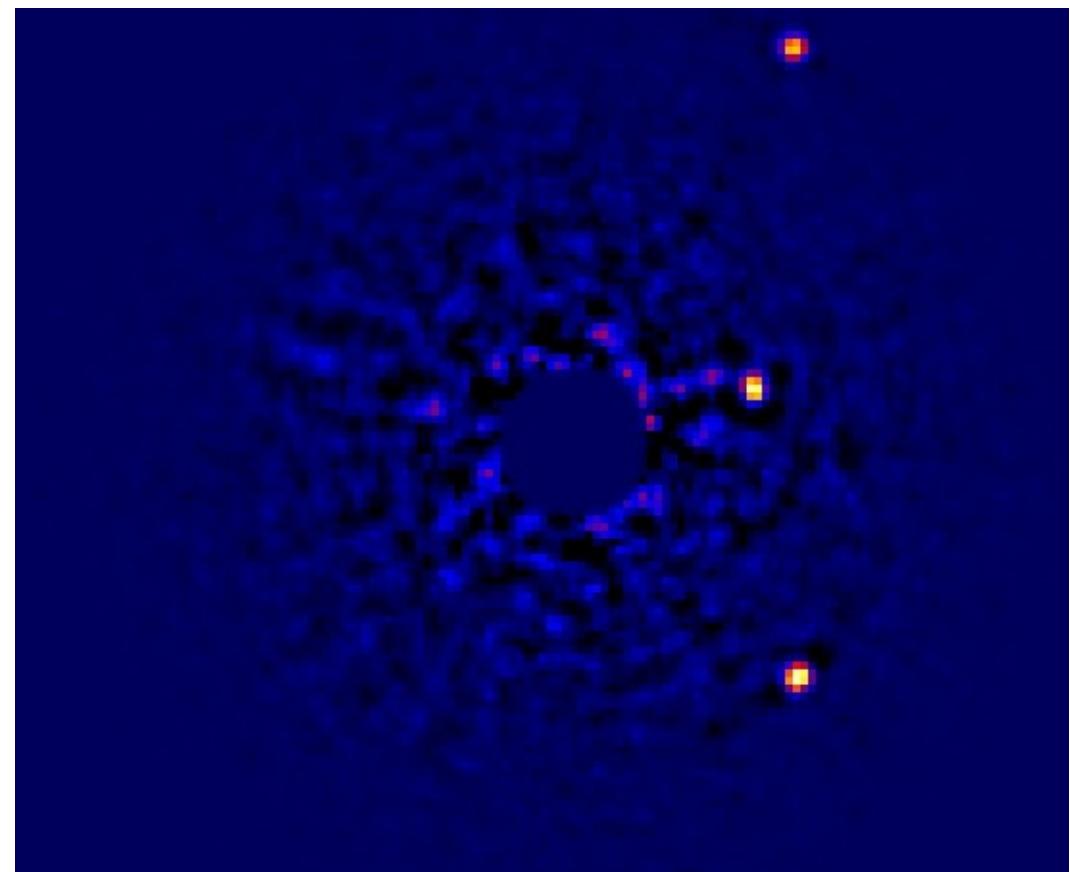
# The REAL challenge: Wavefront error (speckles)

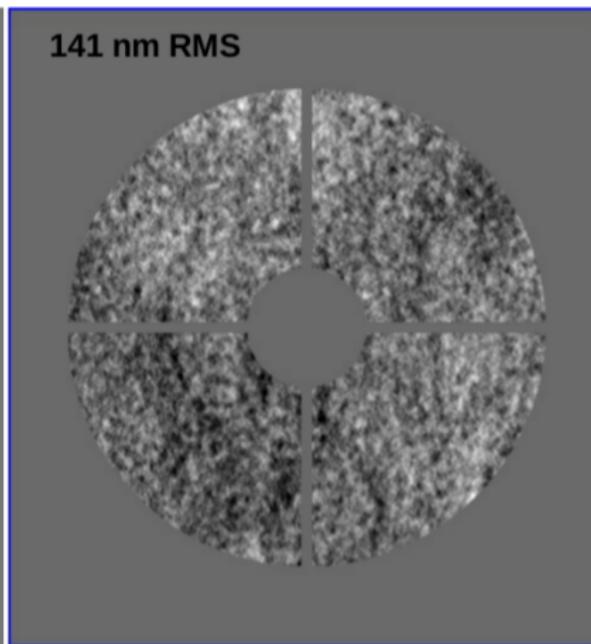
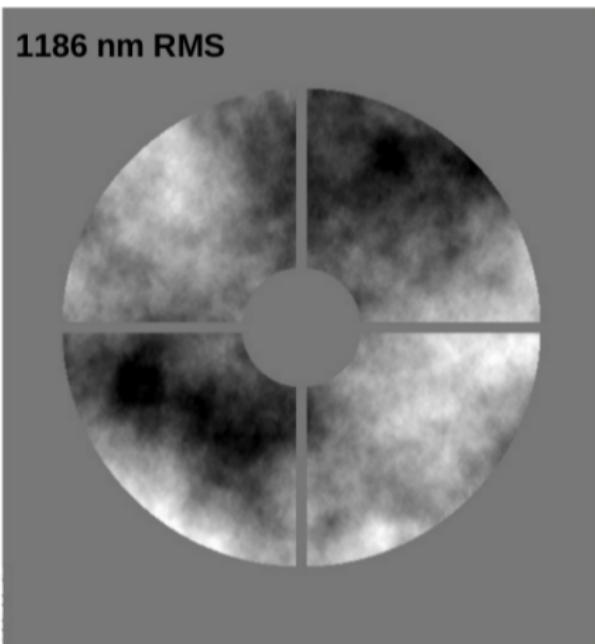
*SCExAO: 1200 modes corrected at 3 kHz*

Visible (750nm)  
Subaru/SCExAO/VAMPIRES



NearIR high contrast image  
Subaru/AO188/HiCIAO





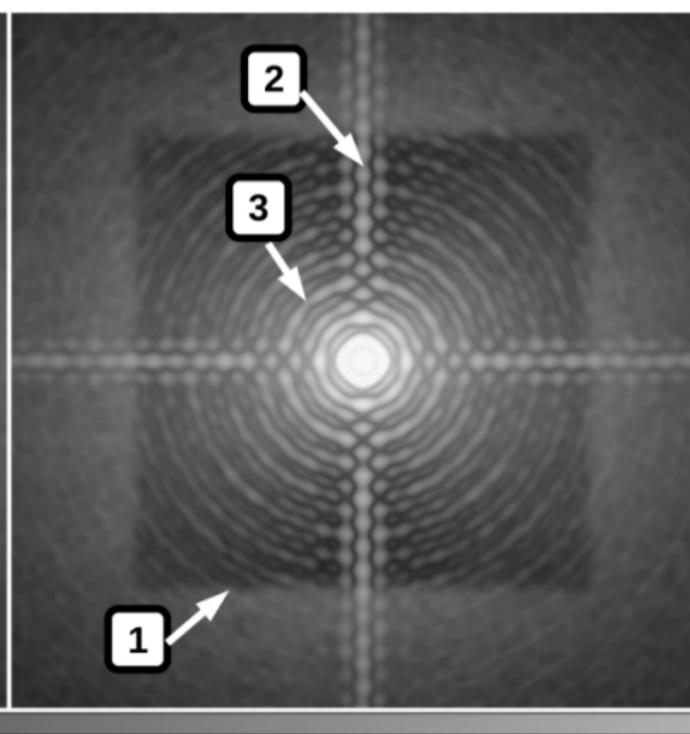
- 1: ExAO control radius
- 2: Telescope spider diffraction
- 3: Diffraction rings
- 4: Ghost spider diffraction
- 5: "butterfly" wind effect
- 6: Coronagraphic leak (low order aberrations)

Monochromatic PSFs, 1.65um  
No photon noise  
10m/s wind speed, single layer  
4ms wavefront control lag

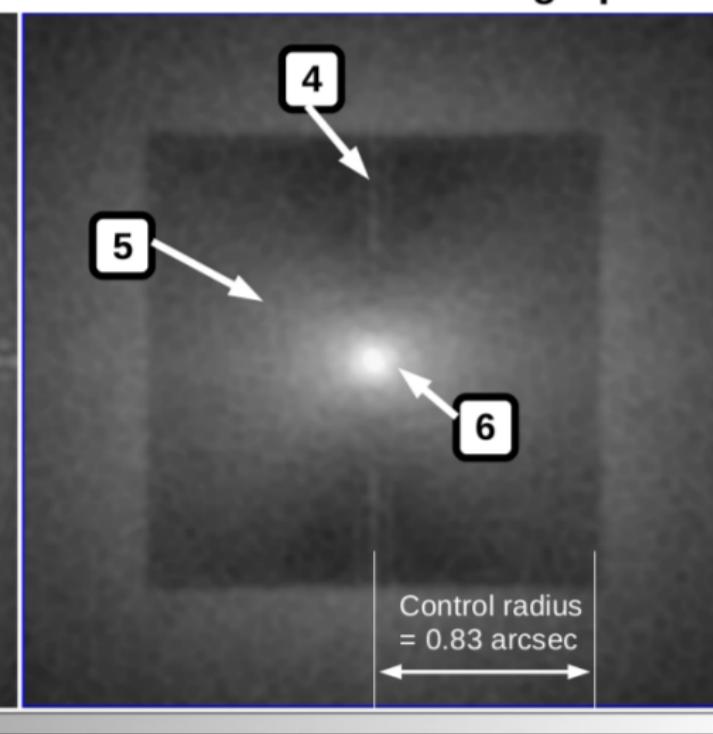
No AO correction



Extreme-AO correction



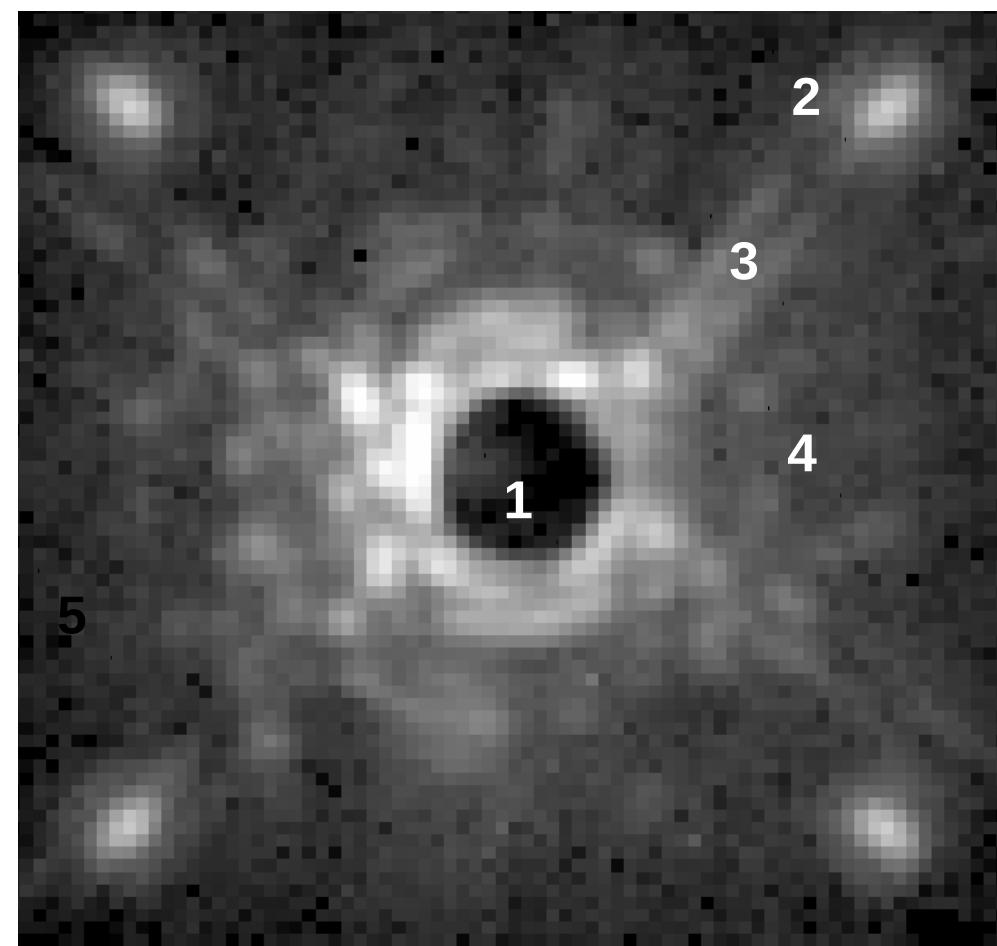
Extreme-AO + coronagraph



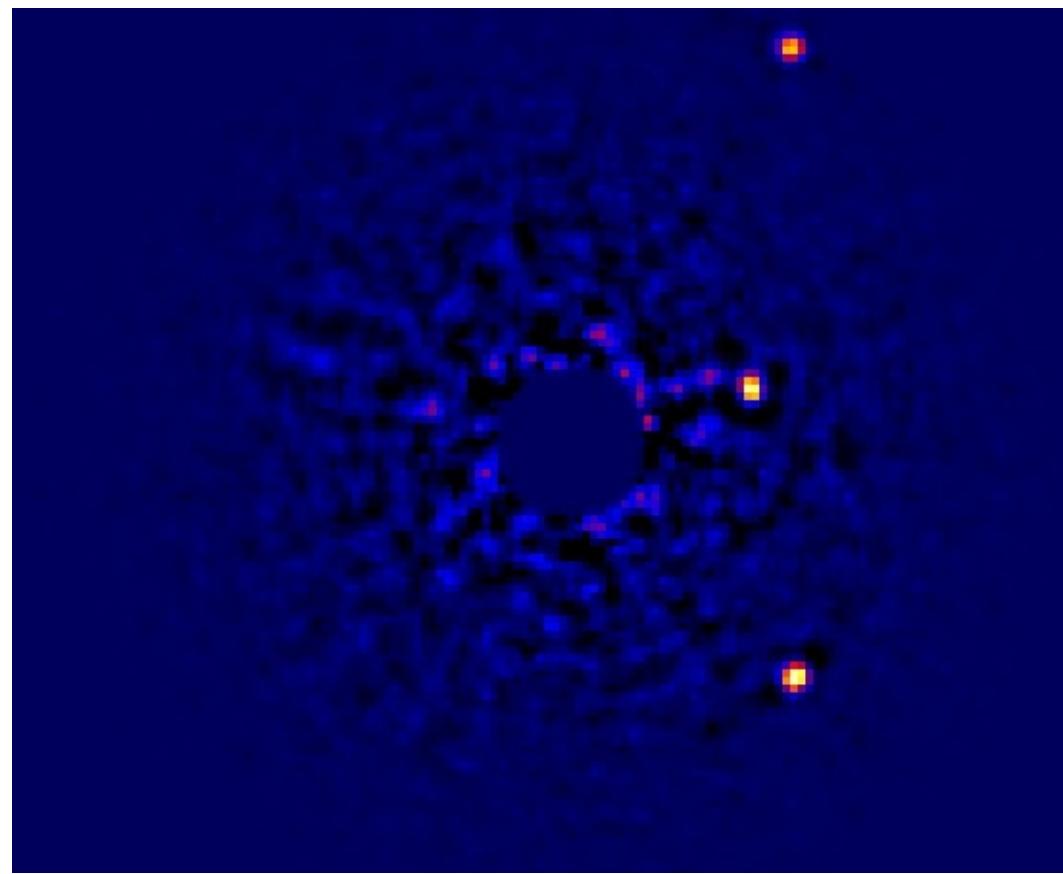
-4.7 -4.4 -4.1 -3.8 -3.5 -3.2 -2.9 -2.6 -2.3

Contrast (10-base log)

RAW image



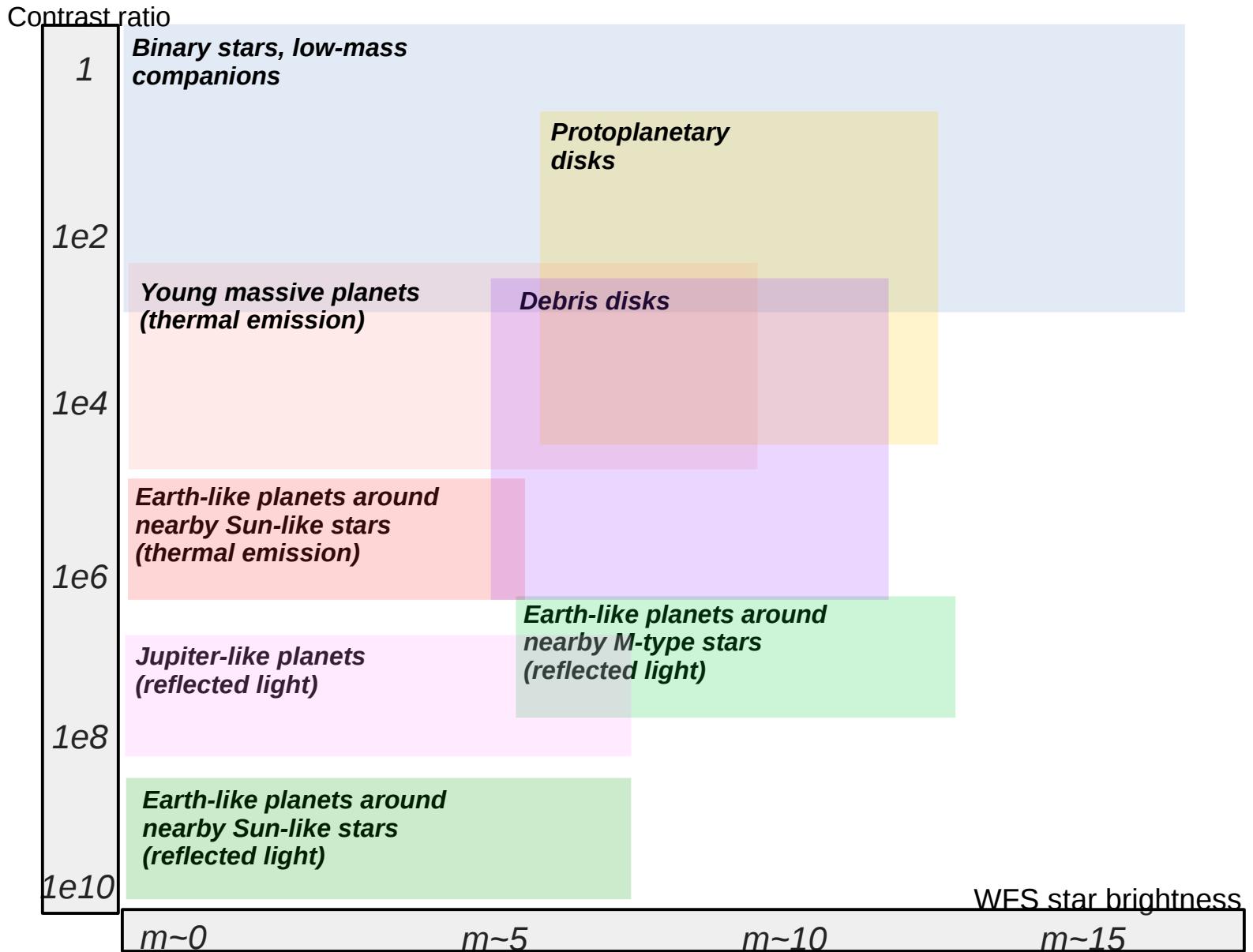
PROCESSED image

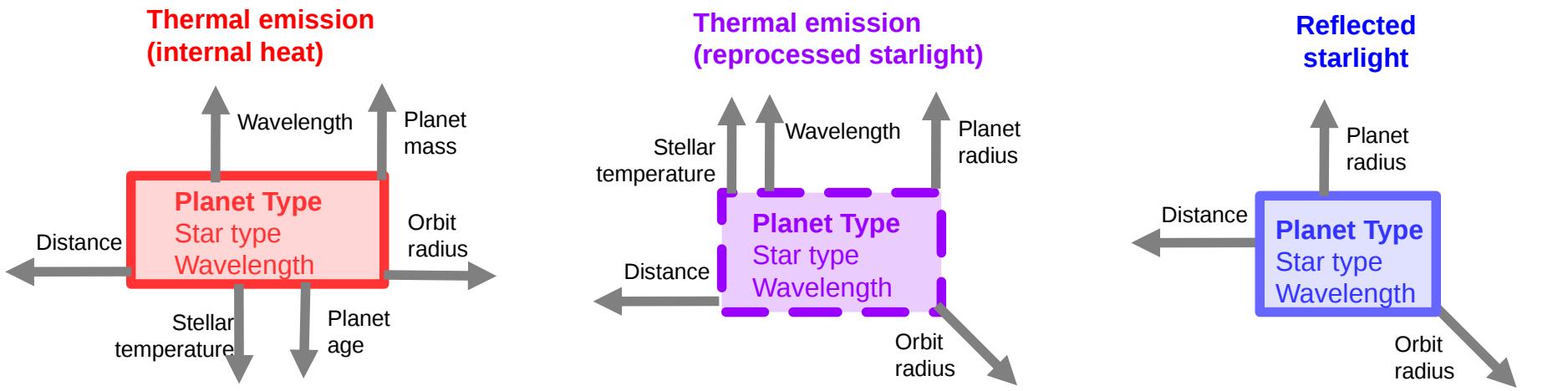


- 1: Coronagraph Focal plane mask
- 2: Calibration Speckles (astrometry and photometry)
- 3: Residual diffraction
- 4: Speckle Noise
- 5: Photon and Readout noise

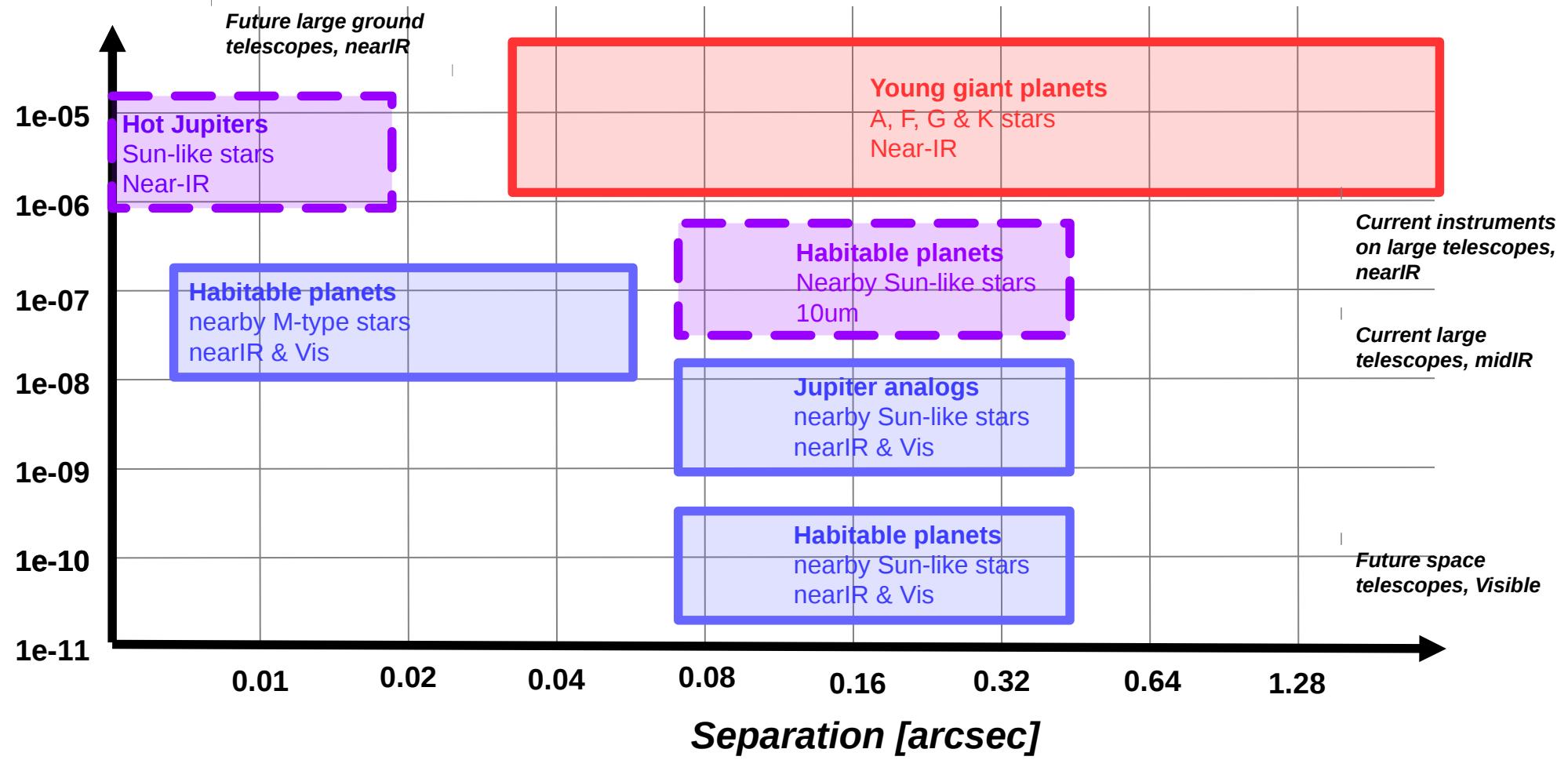
*Detection noise dominated by :*  

- residual speckle noise
- photon noise
- readout noise





## Contrast



# Disk Imaging

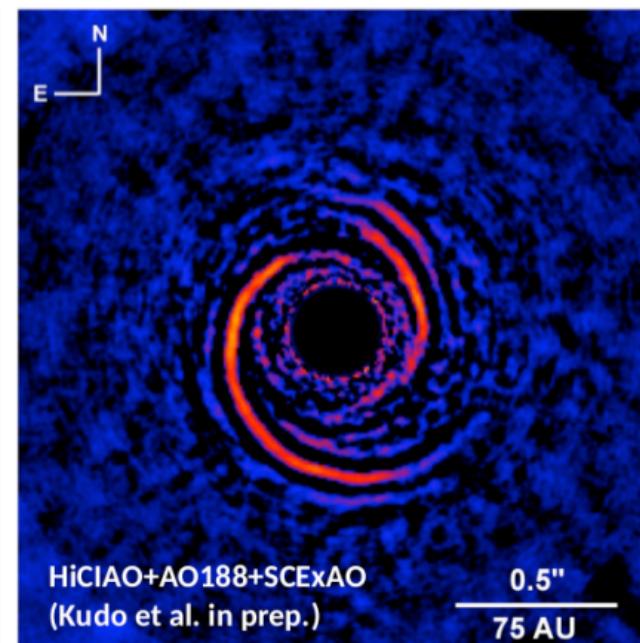
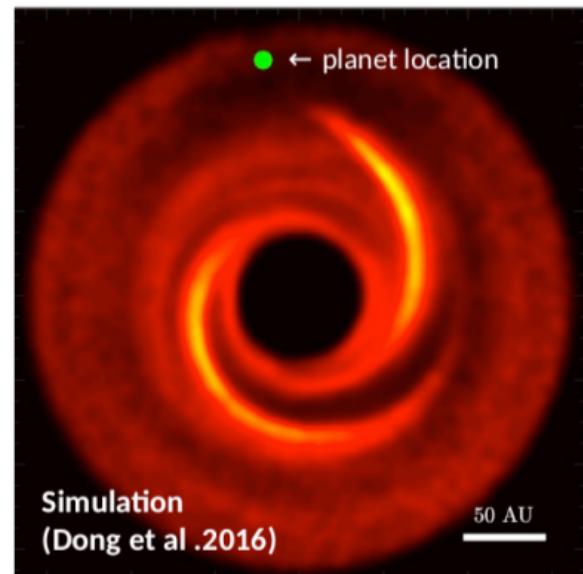
Figure 2: MWC 758 disk

**Top right:** Disk model and predicted planet position to produce spiral arms.

**Bottom left:** Near-IR image without exAO.

**Bottom right:** H-band image with SCExAO. The image quality is greatly improved.

High speed polarimetric imaging without coronagraph and saturation will map innermost disk and constrain location & mass of forming planets.



**Planet formation and early evolution**

**Disks shaped by planets' gravity (gaps, spiral arms)**

**Debris disks composition**

**Debris disks replenished by asteroid collisions**

**a** HST 2010

**b** HST 2011

**c** IRDIS 2014 (avg. prof. subtr.)

**d** IRDIS 2014 (KLIP)

**e** IRDIS 2014 (LOCI)

E D C B A

1 segment = 1'' = 9.9 AU

E N

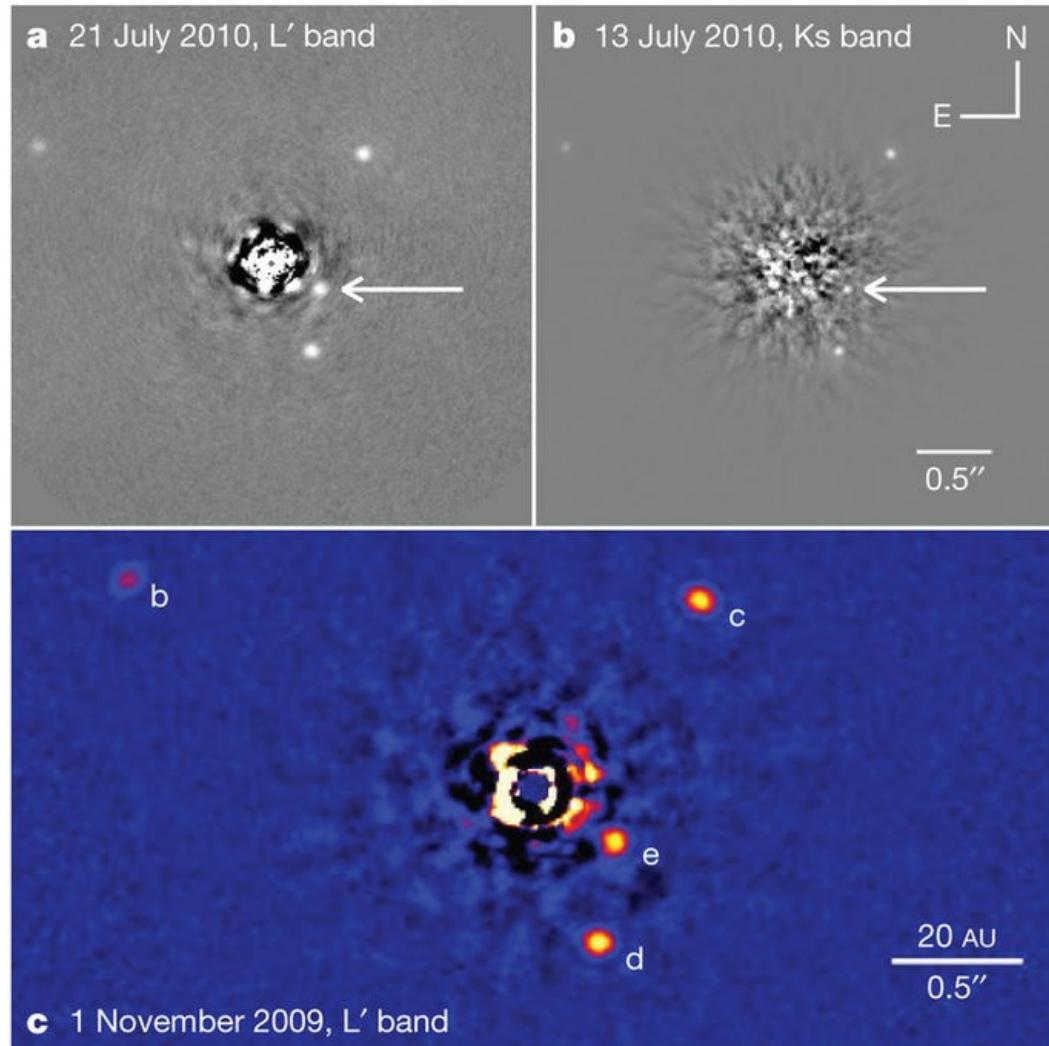
**Fast-moving disk features around AU Mic**

(Boccaletti et al. 2015)

Min = -0.3 max Zero

Max

# Measurements: Astrometry & Photometry



## Astrometry

Multi-planet systems:  
Orbits and masses constrained by  
dynamical stability requirements

Spectro-astrometry: Moons

## Photometry

Multi-band  
→ bulk properties (temperature, size)

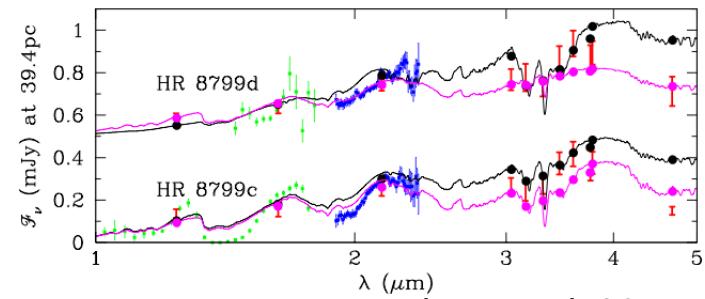
Variability  
→ Clouds, rotation period  
→ Moons (transit) & Rings

# Measurements: Spectroscopy

Absorption lines → chemistry

Can be observed with Thermal emission / Reflected light / Transit spectroscopy

Model-fitting → temperature, composition, gravity



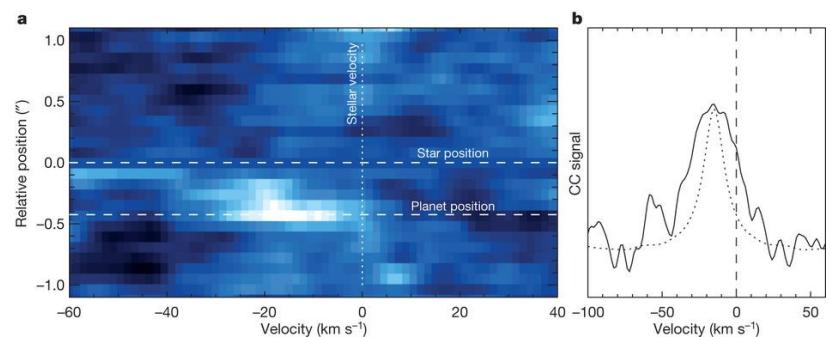
Ingraham et al. 2014

Dynamics (High res)

Planet rotation (beta pic spin: Snellen et al 2014)

Orbits

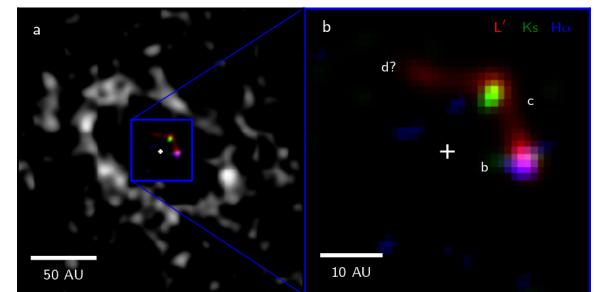
Winds



Snellen et al. 2014

Emission lines (Accretion Halpha, Aurorae)

- Halpha accretion (LkCa 15, Sallum et al. 2015)
- Prox Cen b Oxygen emission could be imaged with ELT in ~day exposure time (Luger et al. 2017)
- 819nm circular polarized emission on M8.5 star (Berdyugina et al. 2017)



Sallum et al. 2015

# Measurements: Spectroscopy

## Direct imaging spectroscopy complementarity with transit spectroscopy

- Transit spectroscopy samples higher atmosphere layers
- Different planets. Direct imaging strongly favors nearest systems
- Direct imaging fundamentally more sensitive IF exoplanet orbit resolved, and contrast  $>\sim 1e4$
- Direct imaging performance scales strongly with telescope diameter, transit spectroscopy performance scales slowly

Example: Earth-like planet around M-type star

Transit spectroscopy signal is  $\sim 100x$  stronger than Reflected light spectroscopy, scales as  $D^2$   
... but photon noise is much higher (instrument contrast = 1), scales as  $D$   
 $\rightarrow \text{SNR} \sim D$

# Exoplanet Imaging / Detection with GSMTs

*Study performed by Jared Males, Univ. of Arizona*

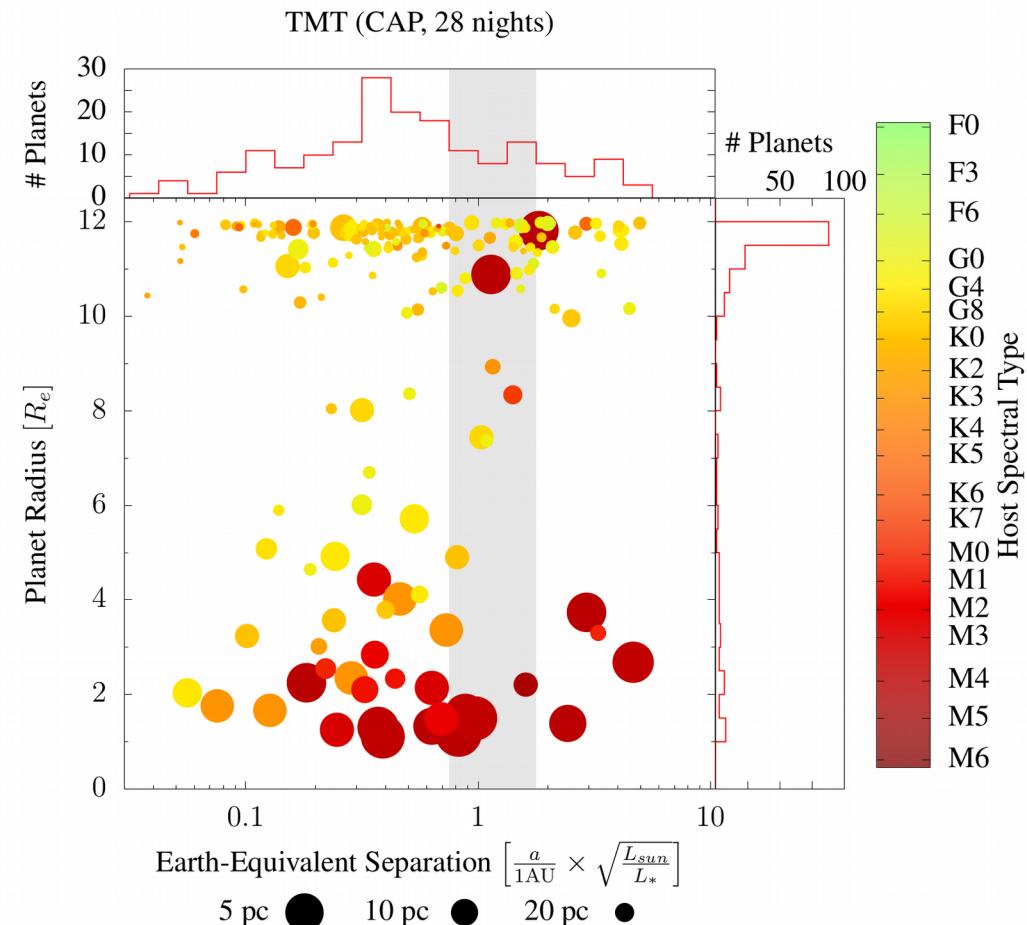
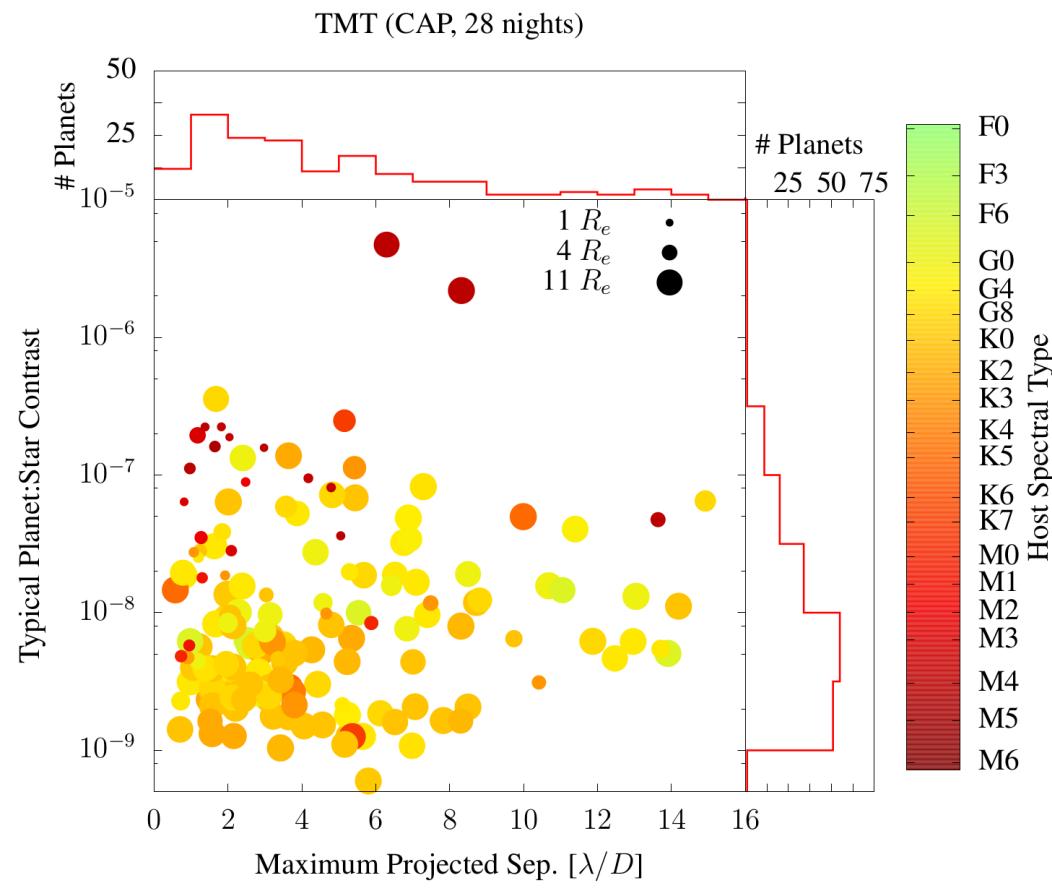
# Direct Imaging of known RV planets - TMT

Assumptions:

1 I/D IWA coronagraph, SNR=5 in broadband (400nm) @ 800nm

Speckle-noise limited with predictive control

No chromatic effects (WFS and science at 800nm)



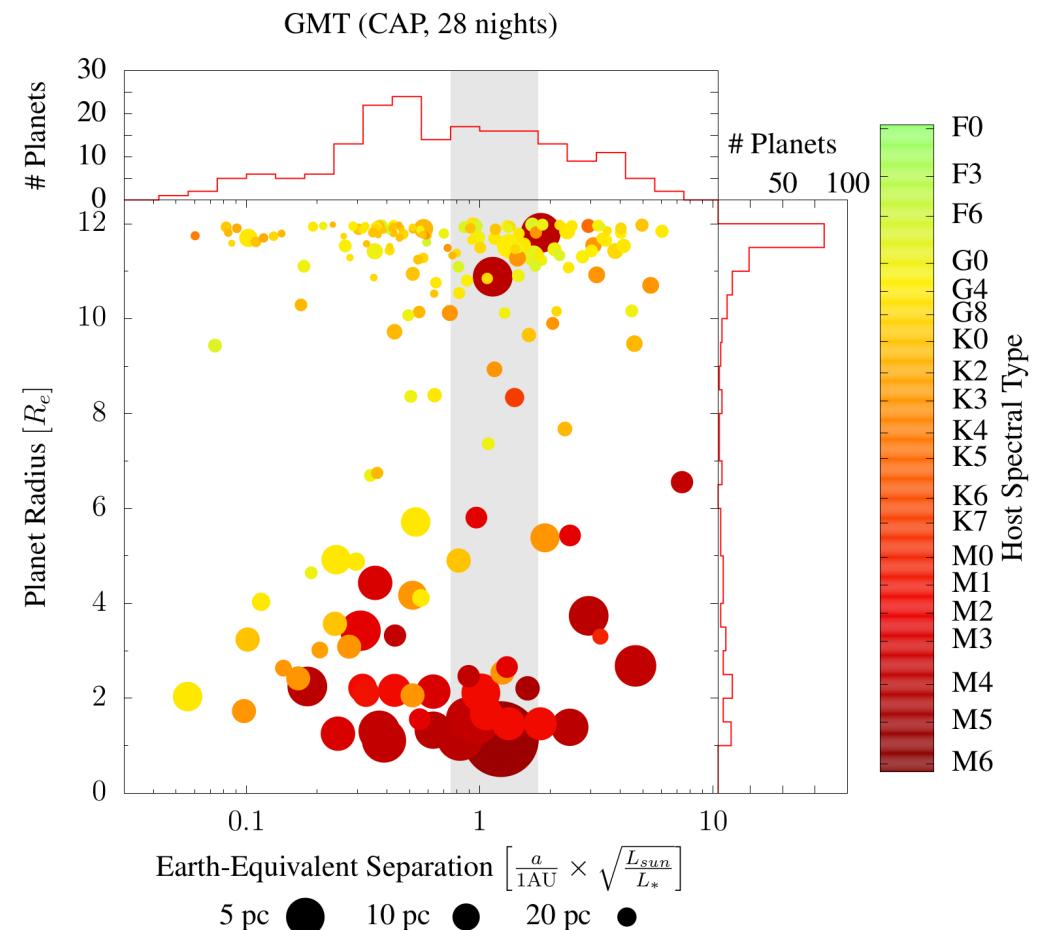
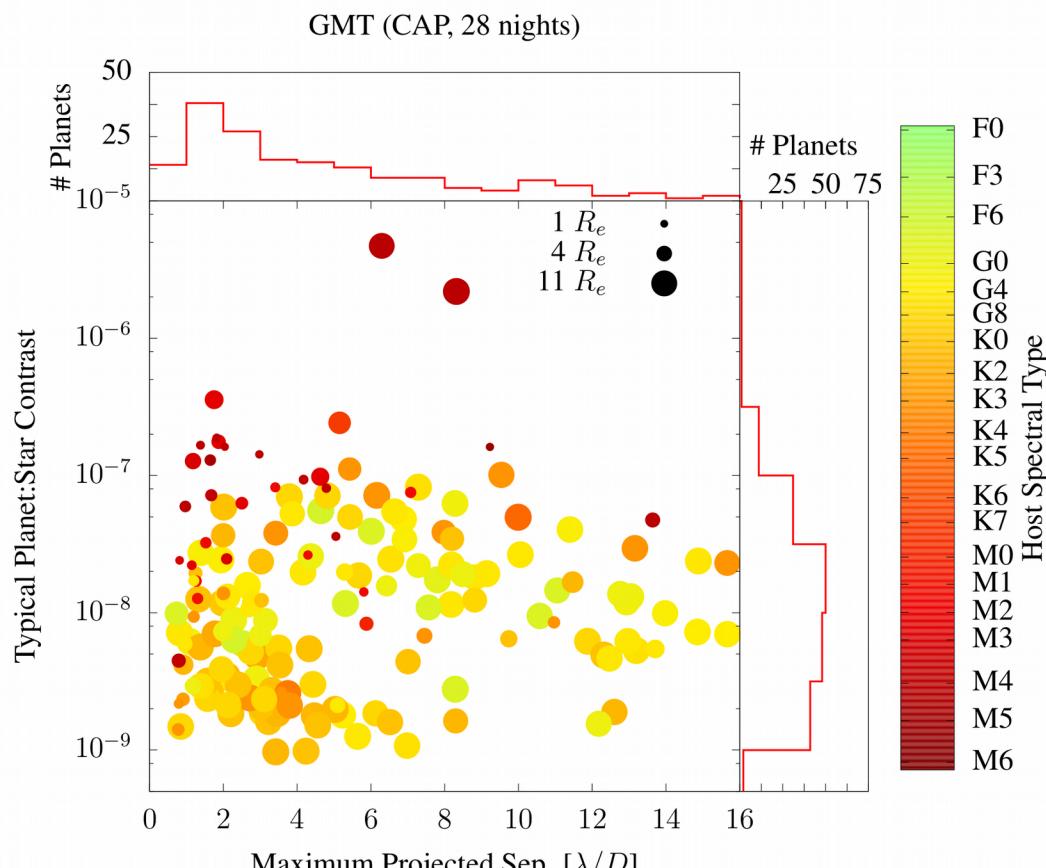
# Direct Imaging of known RV planets - GMT

Assumptions:

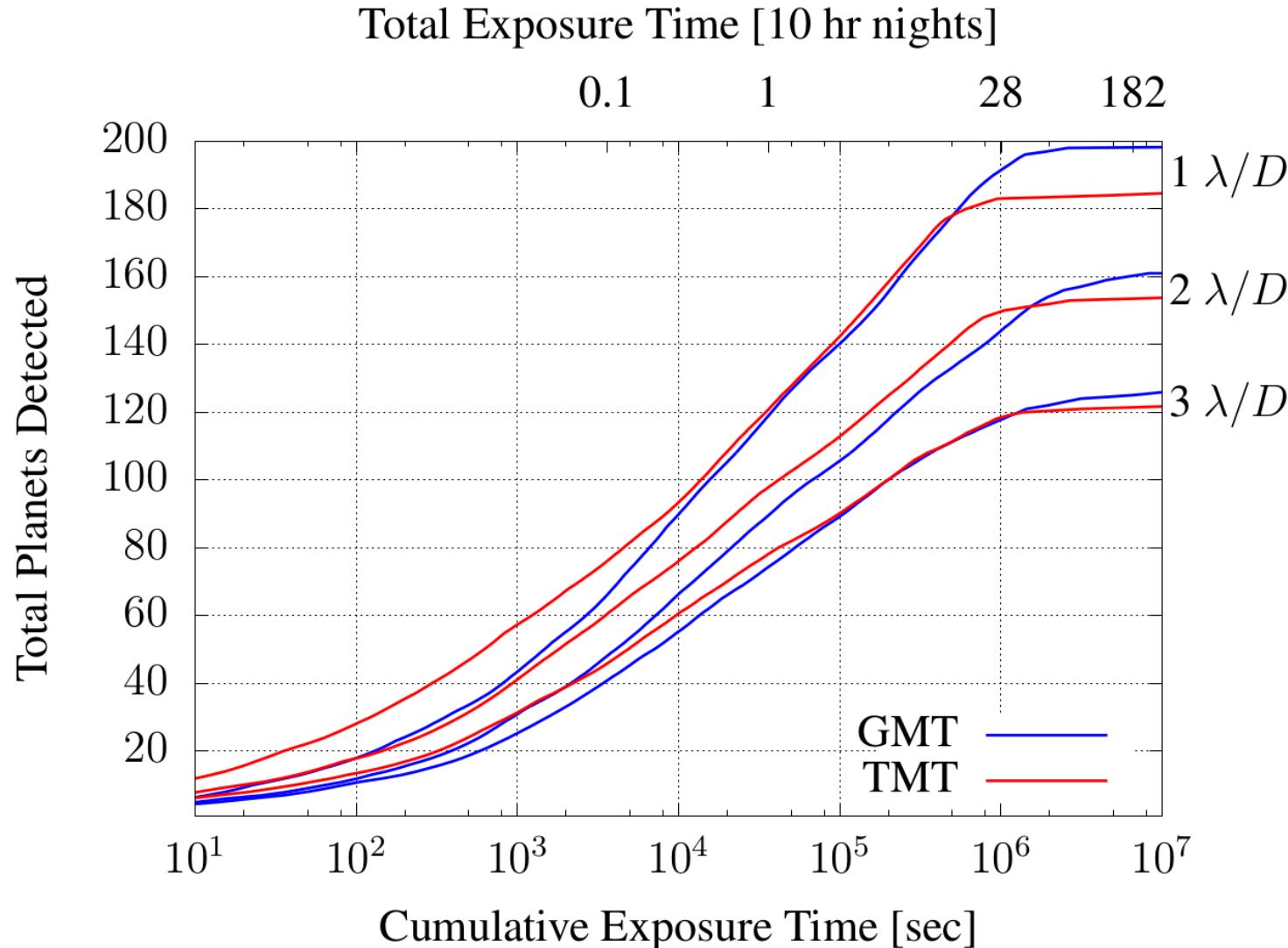
1 I/D IWA coronagraph, SNR=5 in broadband (400nm) @ 800nm

Speckle-noise limited with predictive control

No chromatic effects (WFS and science at 800nm)



# Direct Imaging of known RV planets – IWA / exposure time



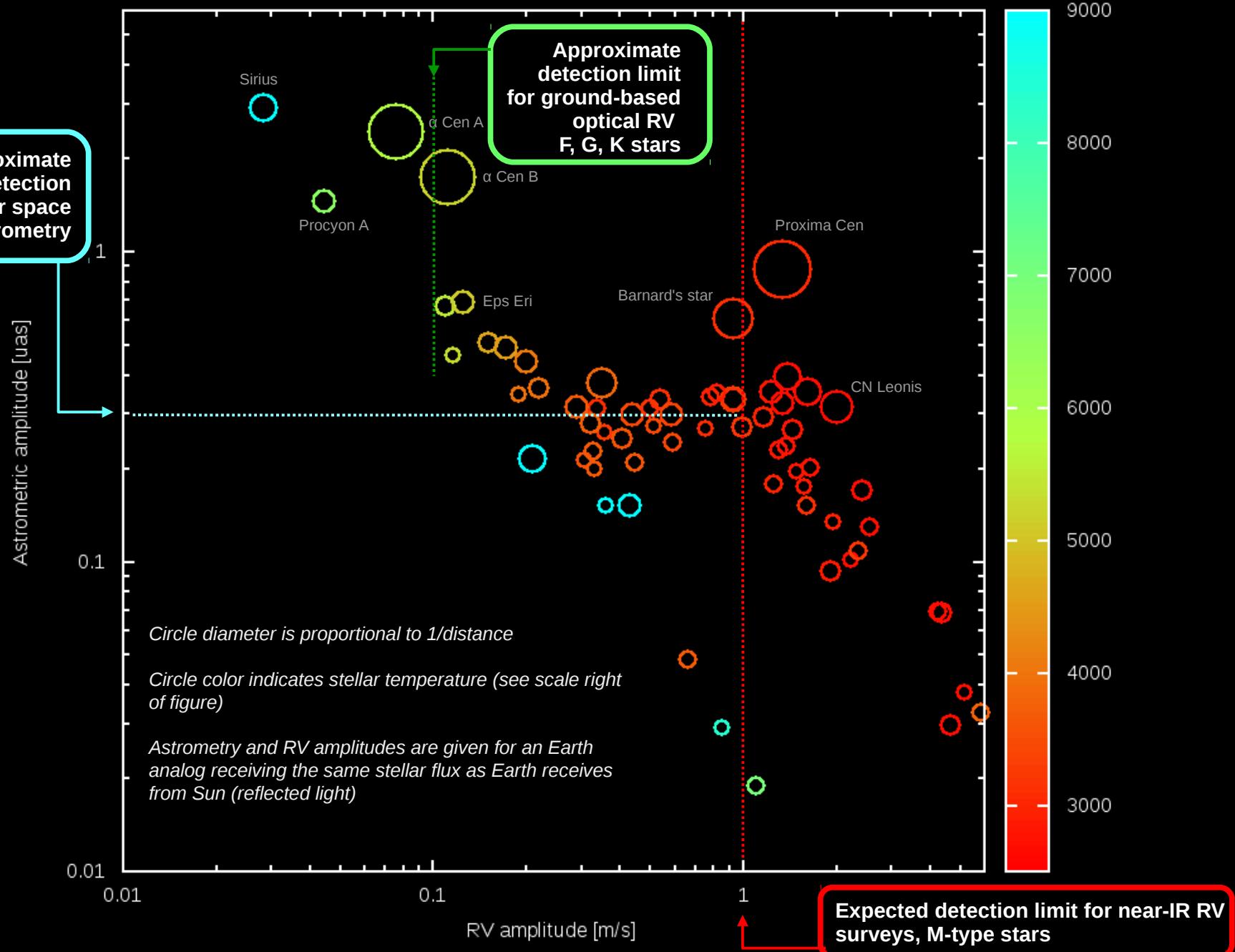
# **Habitable planets imaging & biosignatures detection with ELTs**

**Thermal emission**

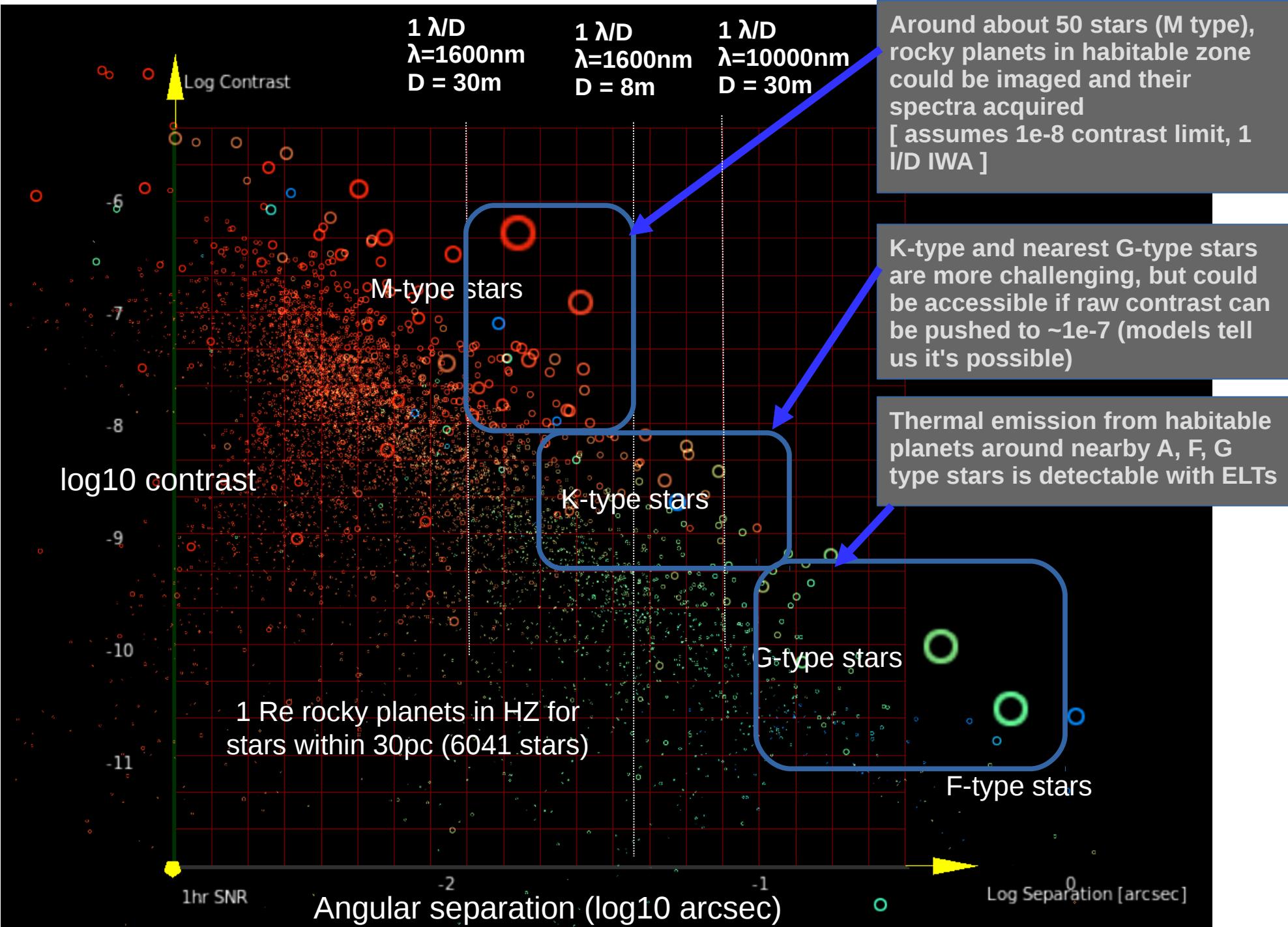
**Reflected light**

# ***Habitable Zones within 5 pc (16 ly): Astrometry and RV Signal Amplitudes for Earth Analogs***

## Star Temperature [K]



# Habitable Planets: Contrast and Angular separation



# 10um imaging and spectroscopy

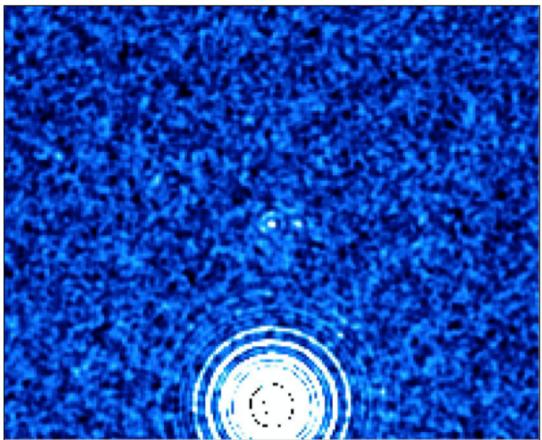


Fig. 1: A simulated 100h sequence of Alpha Centauri at 10 microns for an 8m telescope. The target star (center) is hidden behind a coronagraph. A faint 4.5 sigma 1 Earth radius 288K planet is detected West of the star at 1 arcsec. The 2nd star of the system is visible South of the target star.

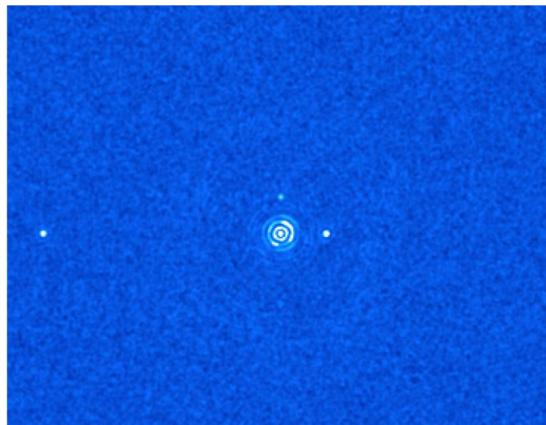


Fig. 2: Same as Fig. 1, but for a 30m telescope. A bright 25 sigma 1 Earth radius 288K planet is detected West of the star at 1 arcsec. A Venus-like planet is detected North of the star, as a Jupiter-like planet is detected East.

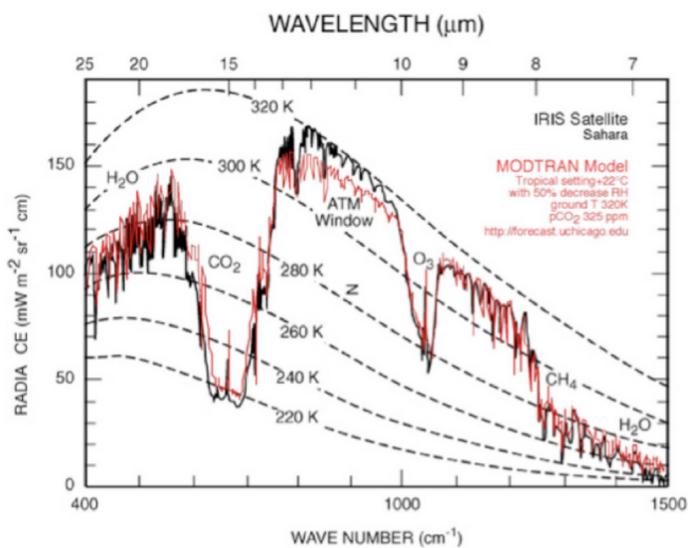
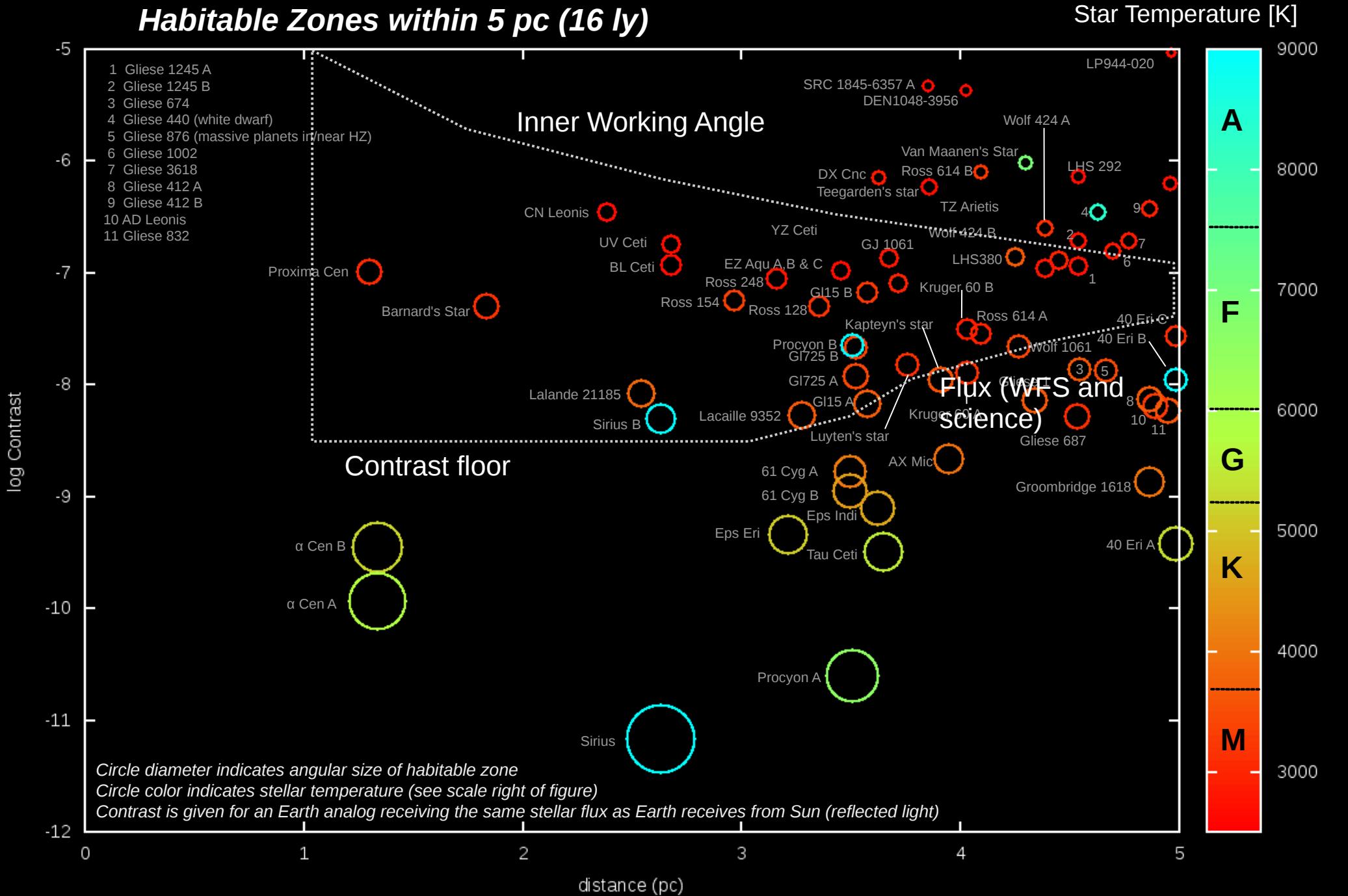


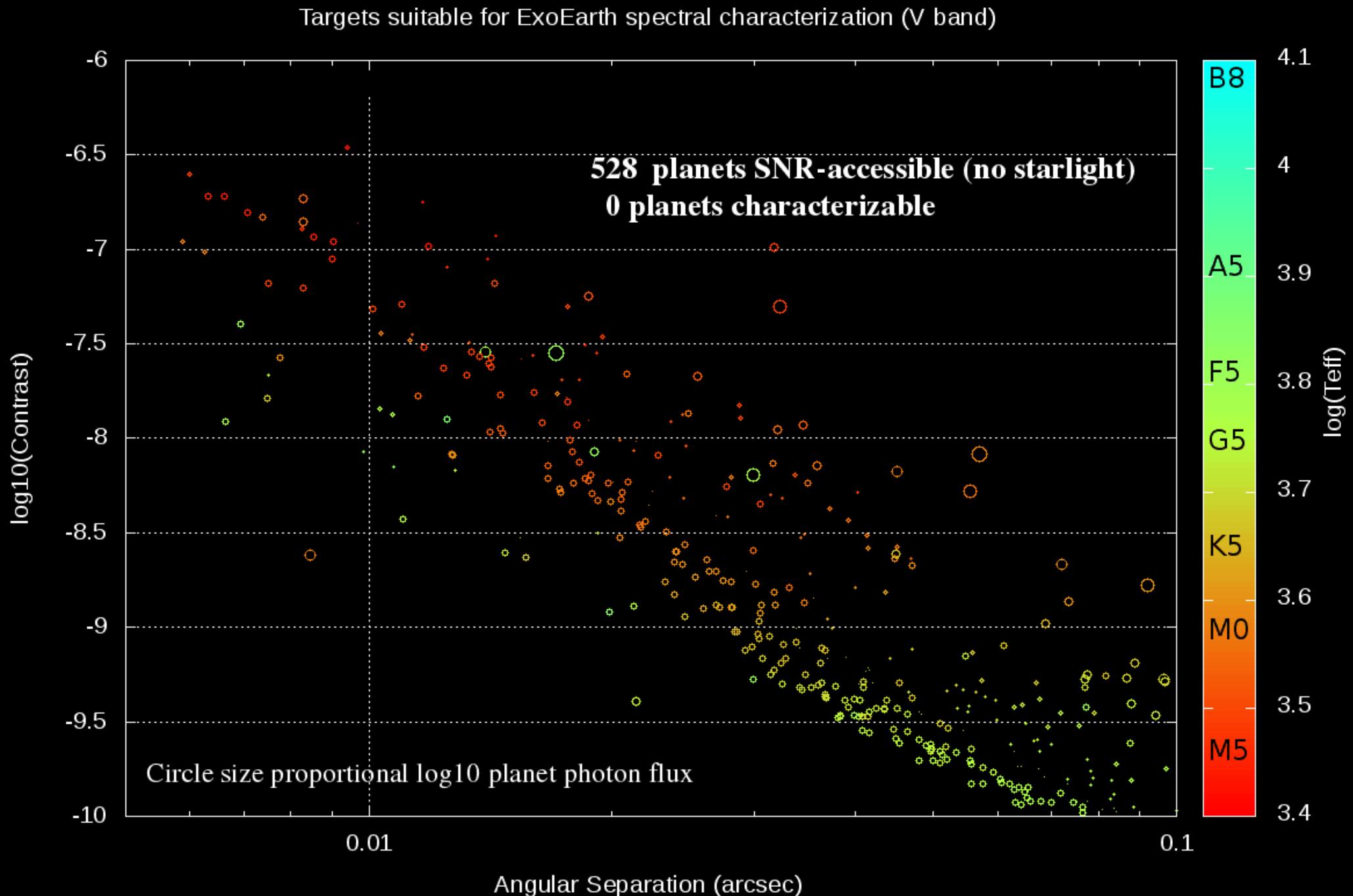
Fig. 3: Earth spectrum acquired from space for the Sahara. Note the peak emission between 10-13 microns. Biomarkers: CO<sub>2</sub>, O<sub>3</sub>, CH<sub>4</sub> and water bands are visible in the N-band.

Credit: Christian Marois

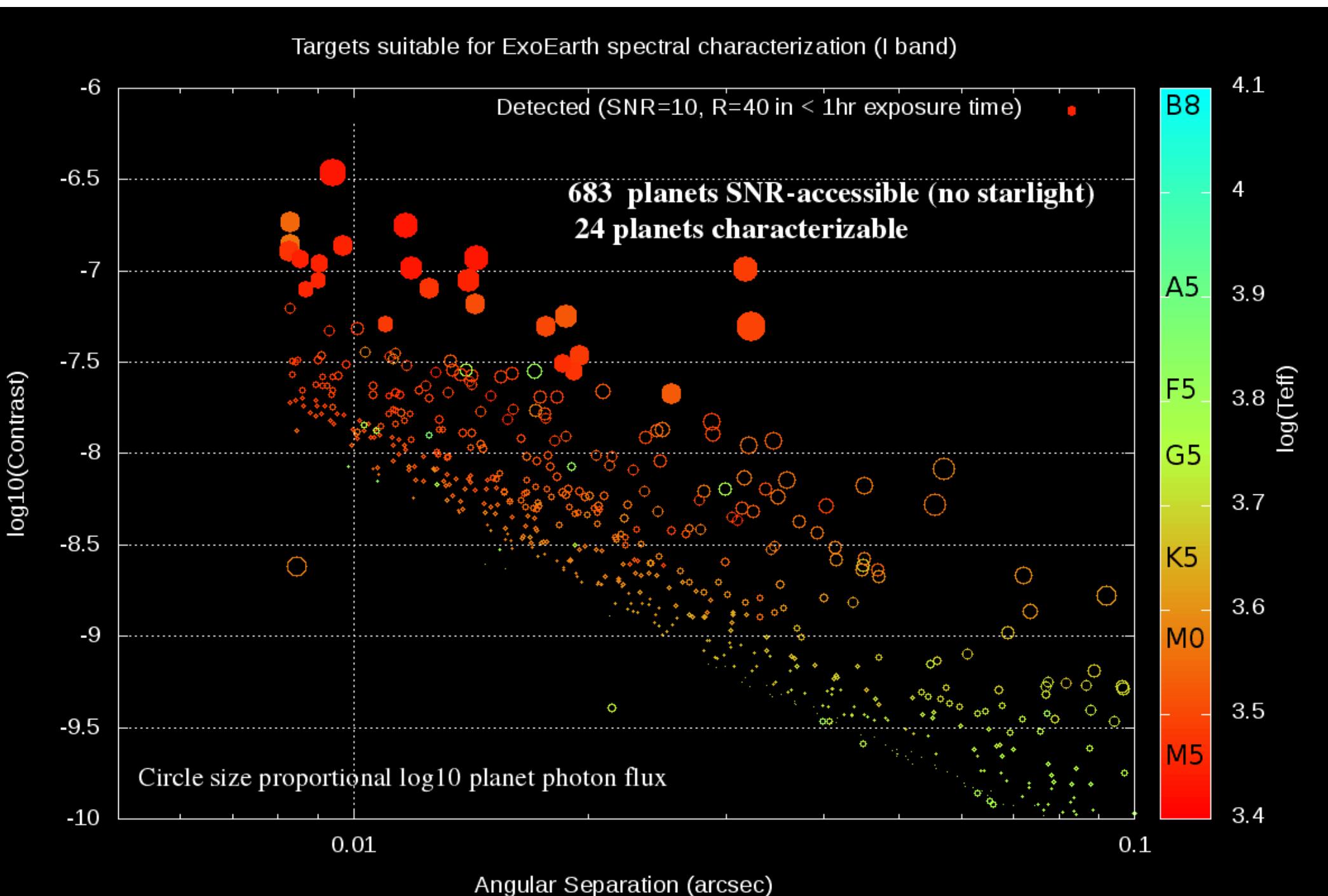
# Habitable Zones within 5 pc (16 ly)



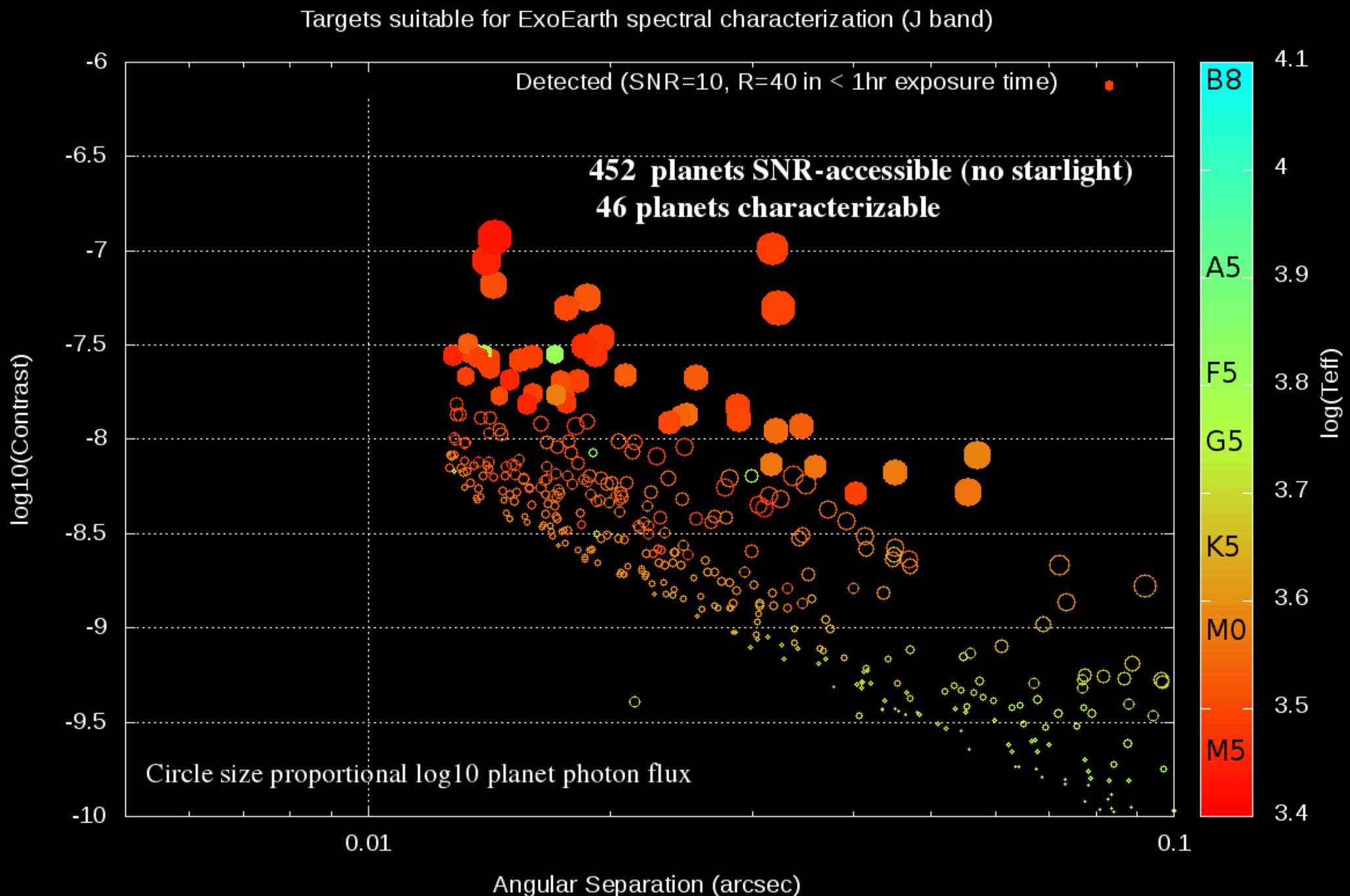
# Photon-noise limited detections (1e-6 raw contrast at 1um)



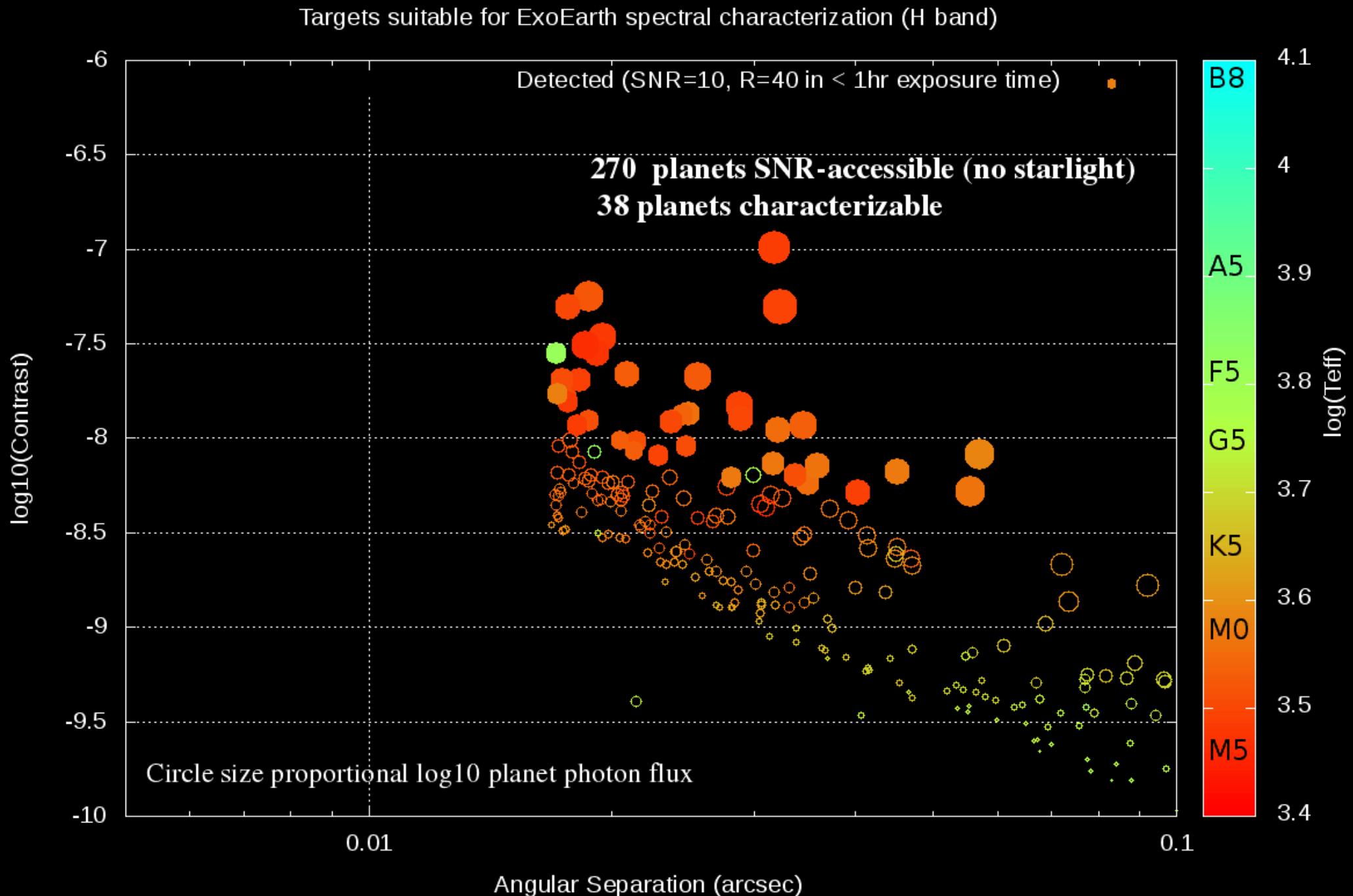
# Photon-noise limited detections (1e-6 raw contrast at 1um)



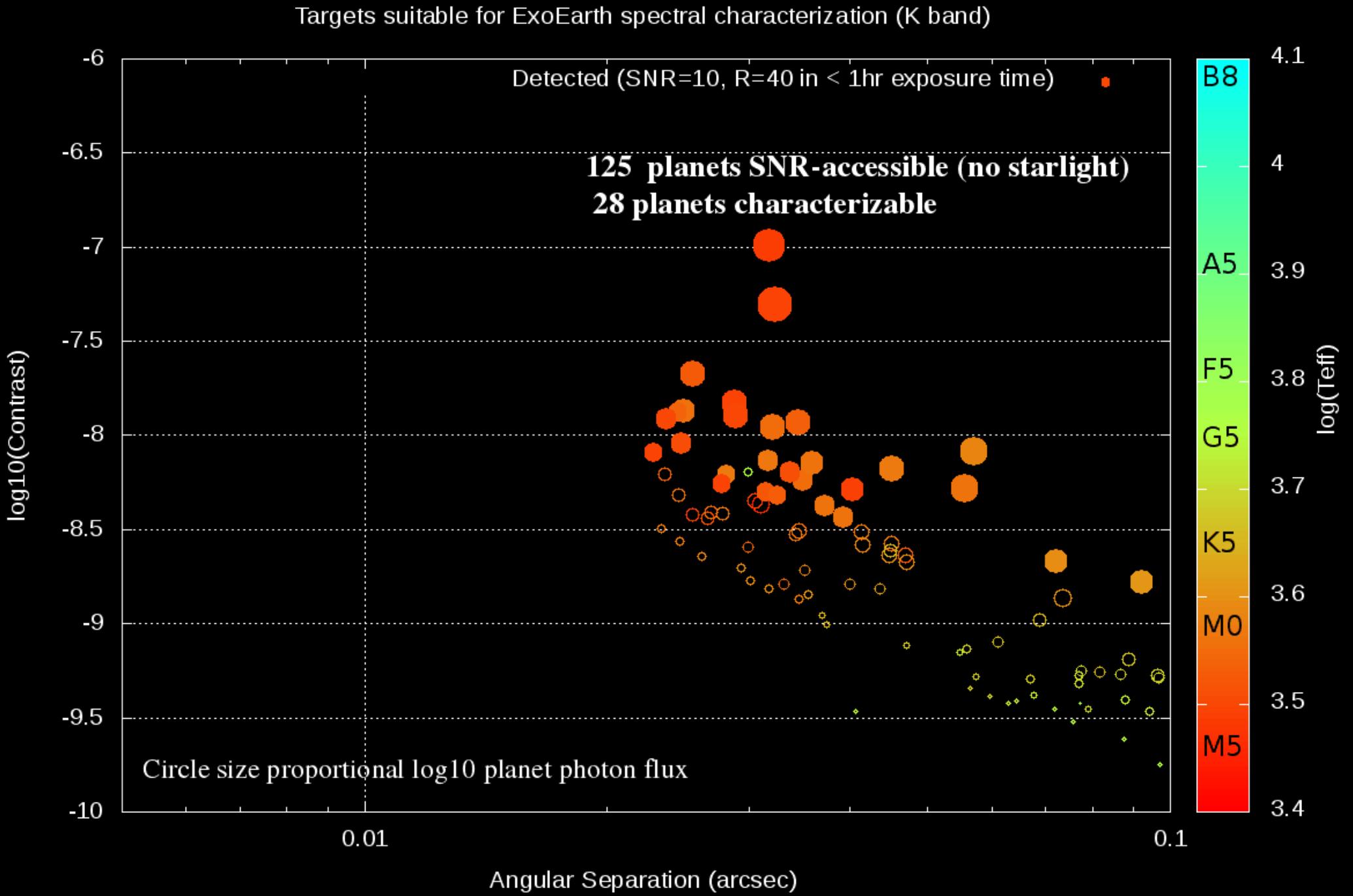
# Photon-noise limited detections (1e-6 raw contrast at 1um)



# Photon-noise limited detections (1e-6 raw contrast at 1um)



# Photon-noise limited detections (1e-6 raw contrast at 1um)



# Technology

Previous material assumes :

- Raw contrast  $\sim 1e-5$  to  $1e-6$  ( $1e-5$  on  $ml=8$  target)
- Photon-noise limited residual noise
- $\sim 1$  I/D IWA coronagraphy

Current systems on  $\sim 8m$  telescopes have not yet demonstrated this level of performance

Is this realistic ?

# Coronagraphs reduce speckle noise

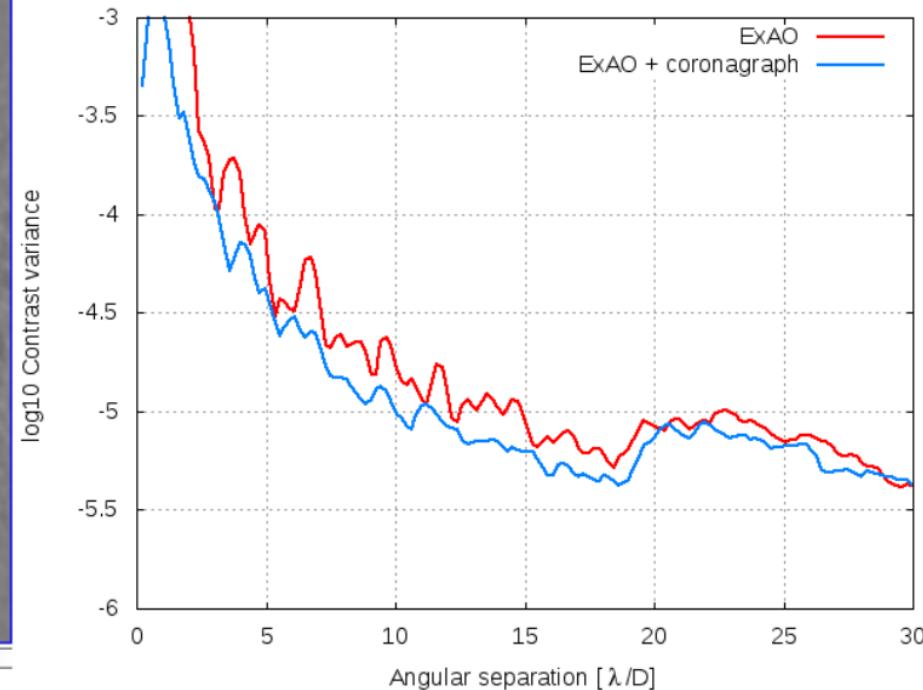
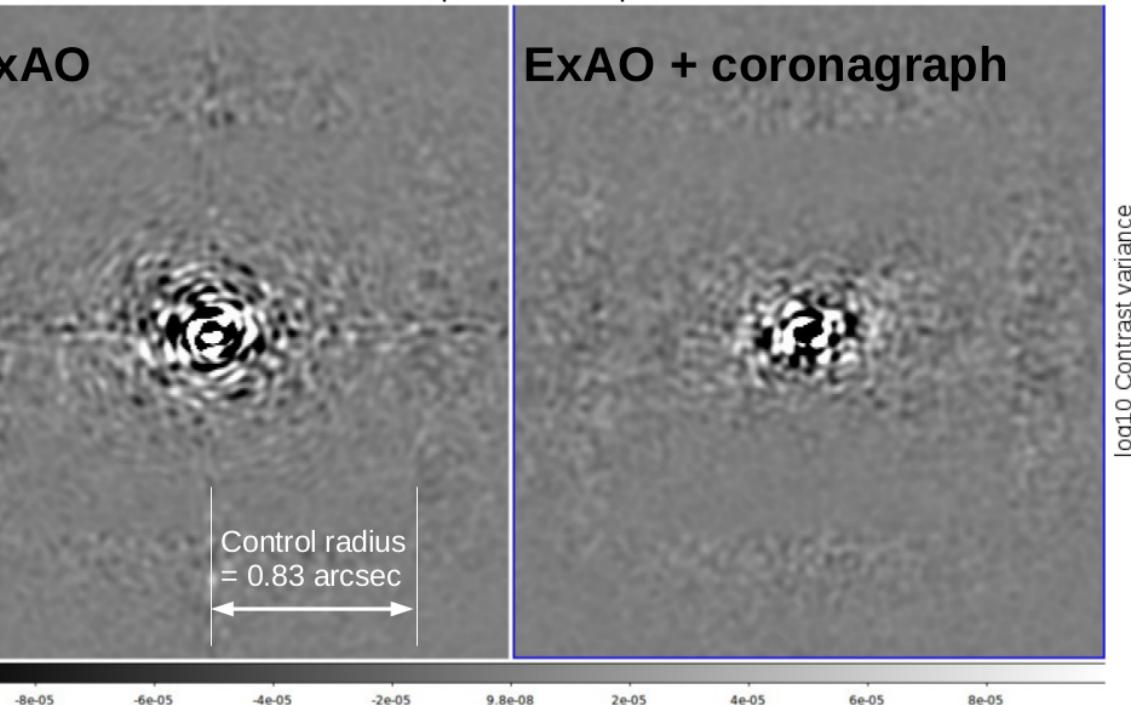
“speckle pinning” effect

See: Bloemhof et al. 2001, Aime & Soummer 2004, Soummer et al. 2007

## PSF subtraction residual (no photon noise)

Difference between two PSFs, exposure time per PSF=100 coherence times

ExAO



(largely) lossless apodization

Creates a PSF with weak Airy rings

Focal plane mask:  $-1 < t < 0$

Induces destructive interference  
inside downstream pupil

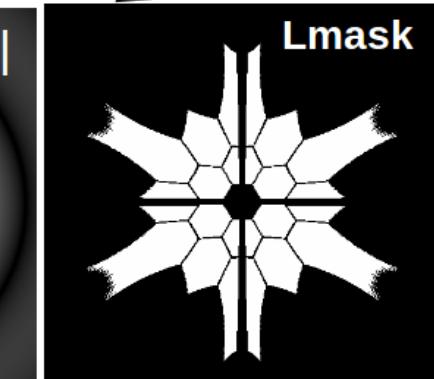
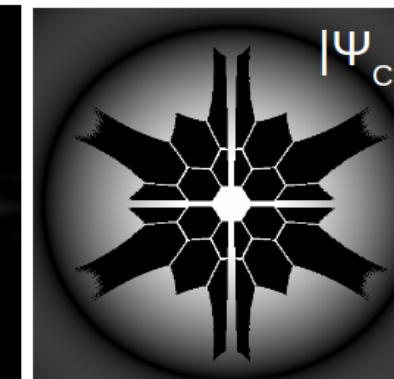
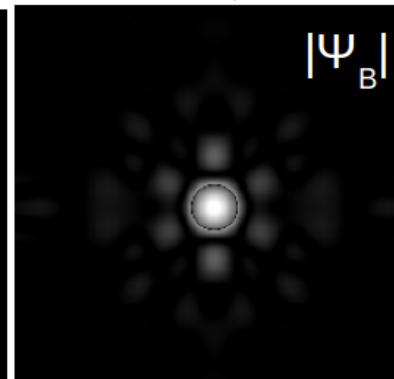
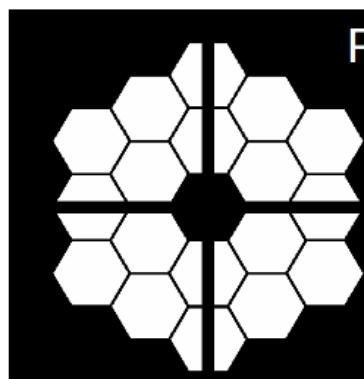
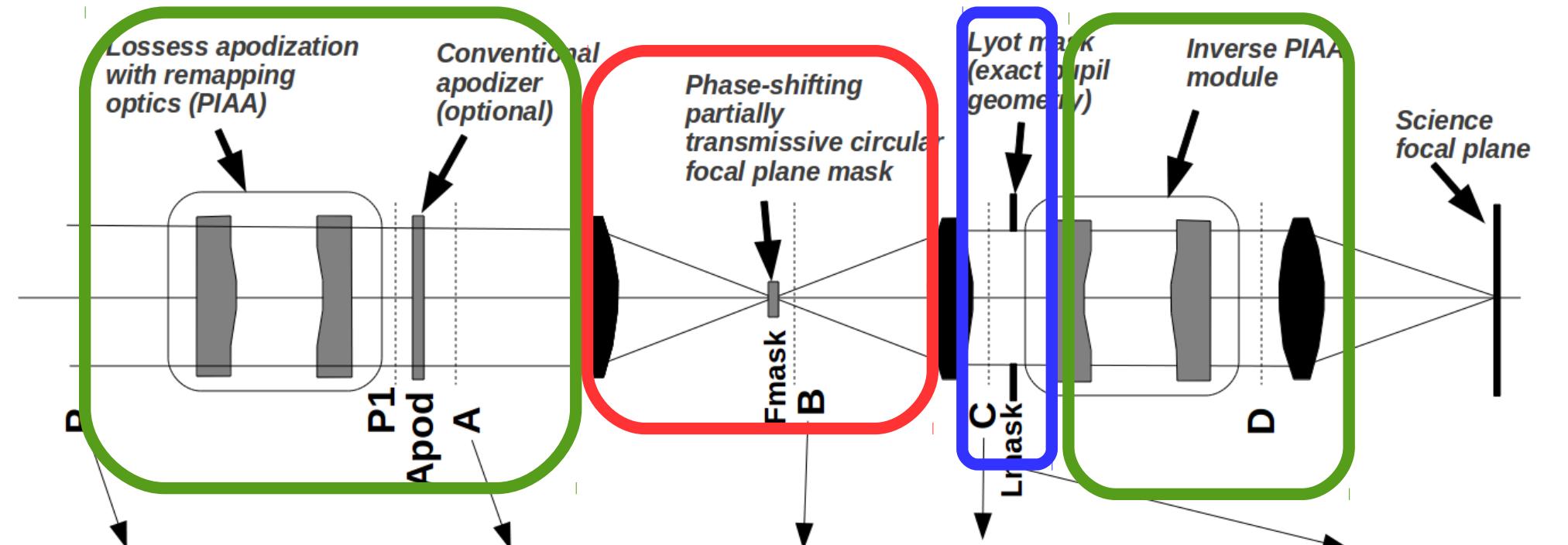
Lyot stop

Blocks starlight

Inverse PIAA (optional)

Recovering Airy PSF over wide field

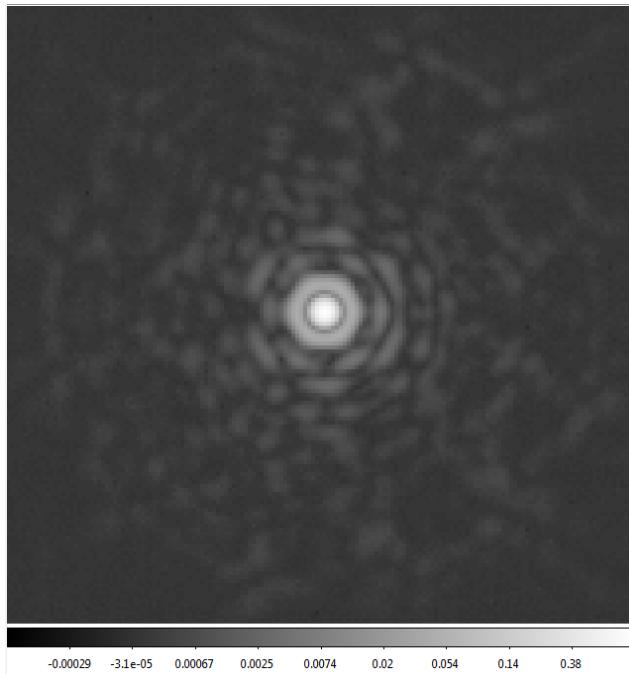
## Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)



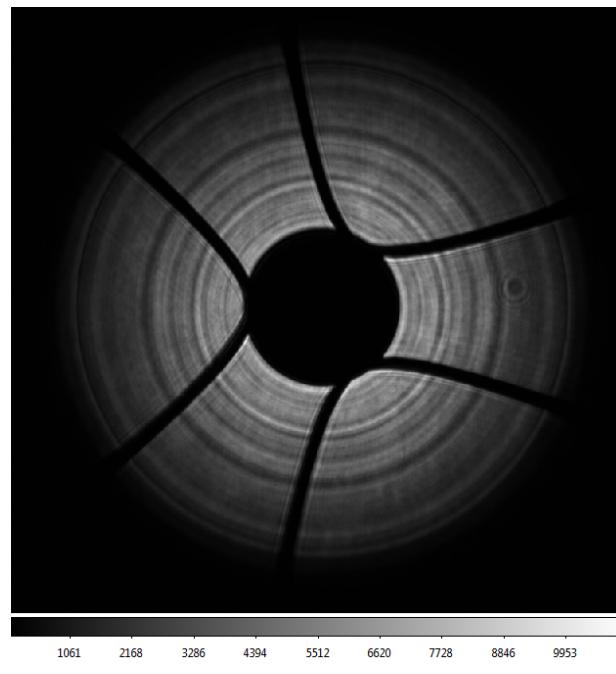
# PIAACMC lab performance @ WFIRST (Kern et al. 2016)

Operates at 1e-7 contrast, 1.3 I/D IWA, 70% throughput  
Visible light

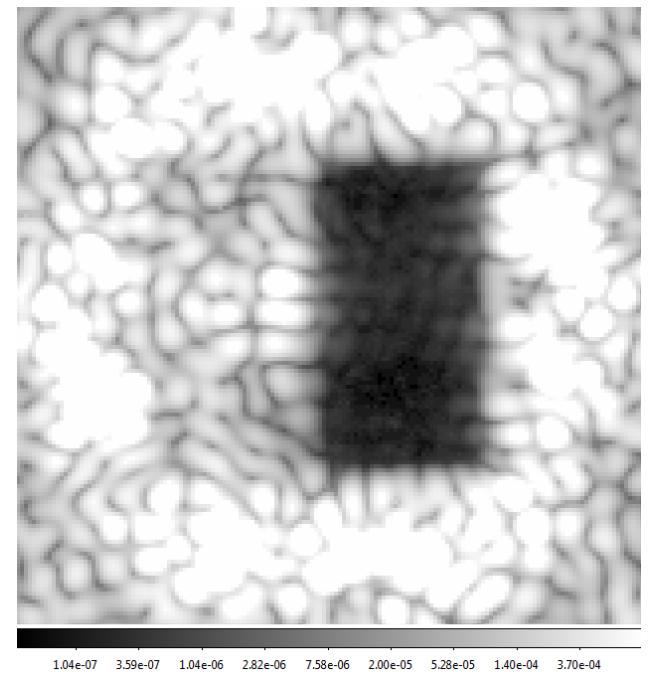
non-coronagraphic PSF



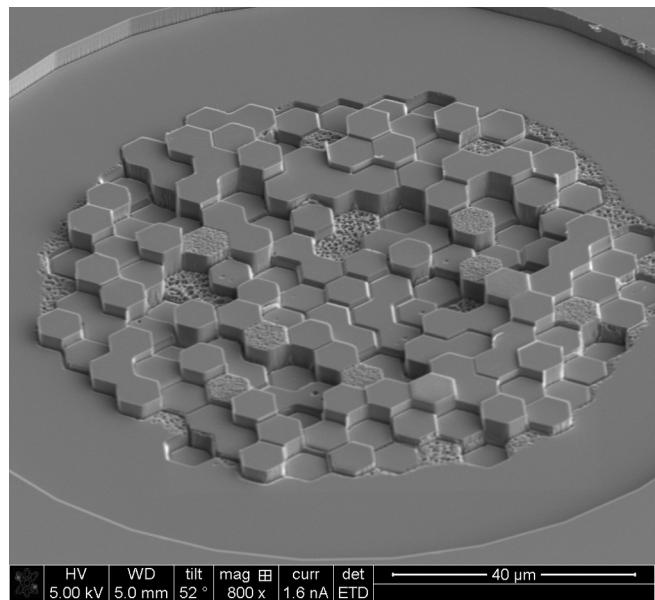
Remapped pupil



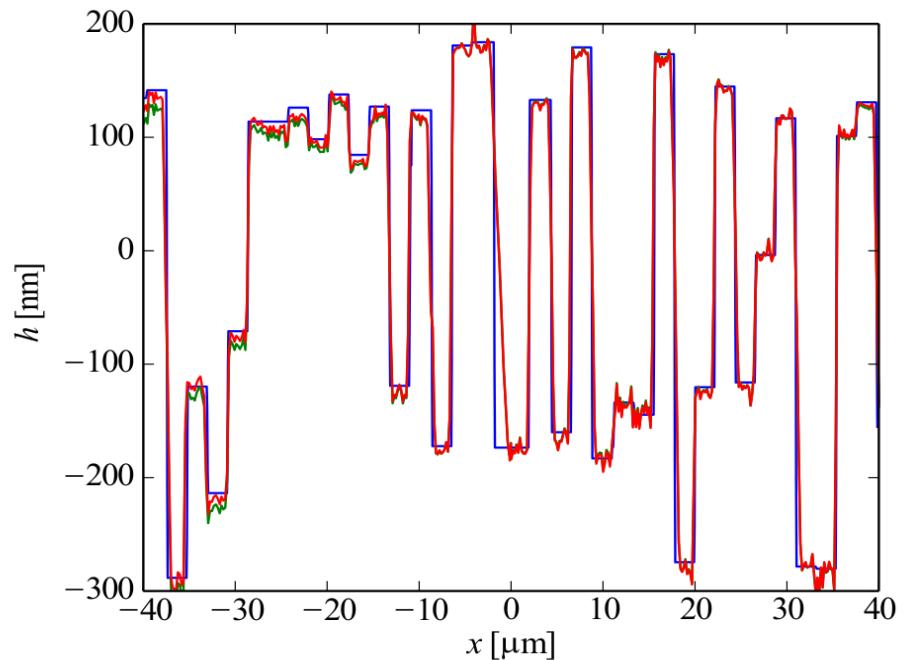
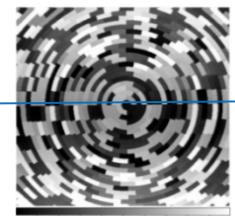
Coronagraphic image



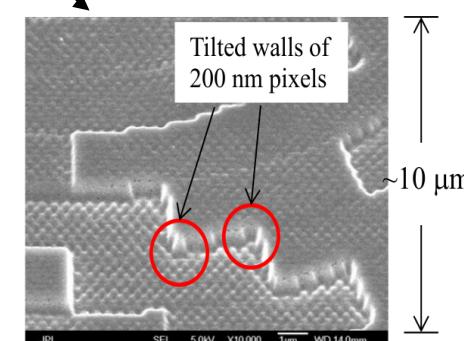
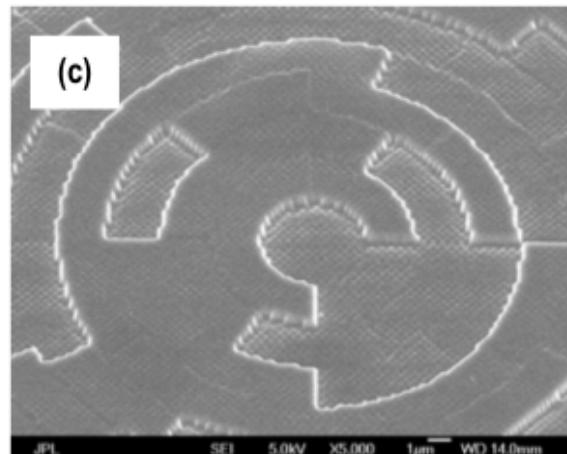
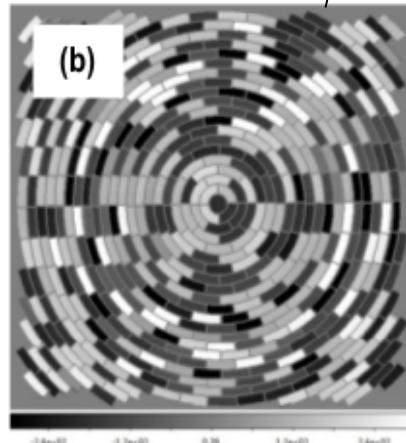
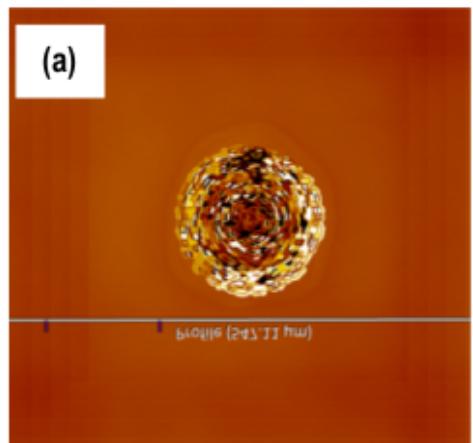
# Multi-zone PIAACMC focal plane mask



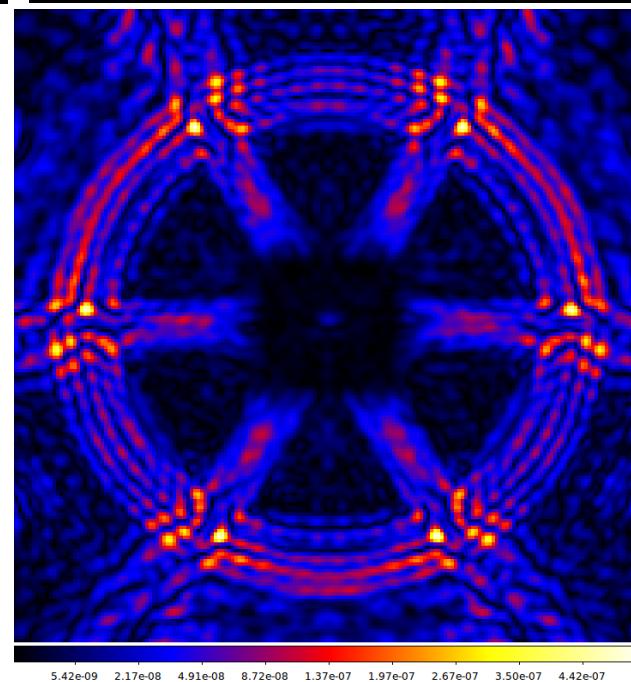
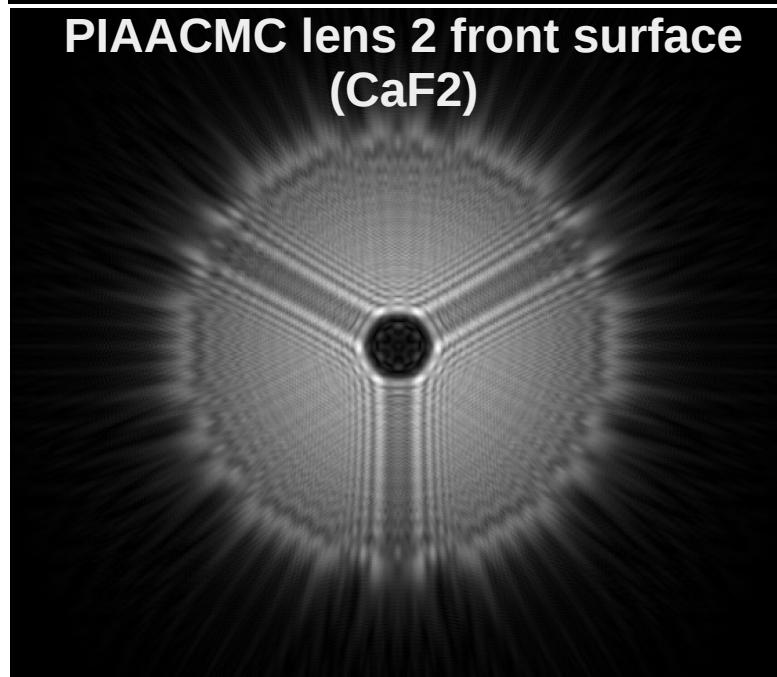
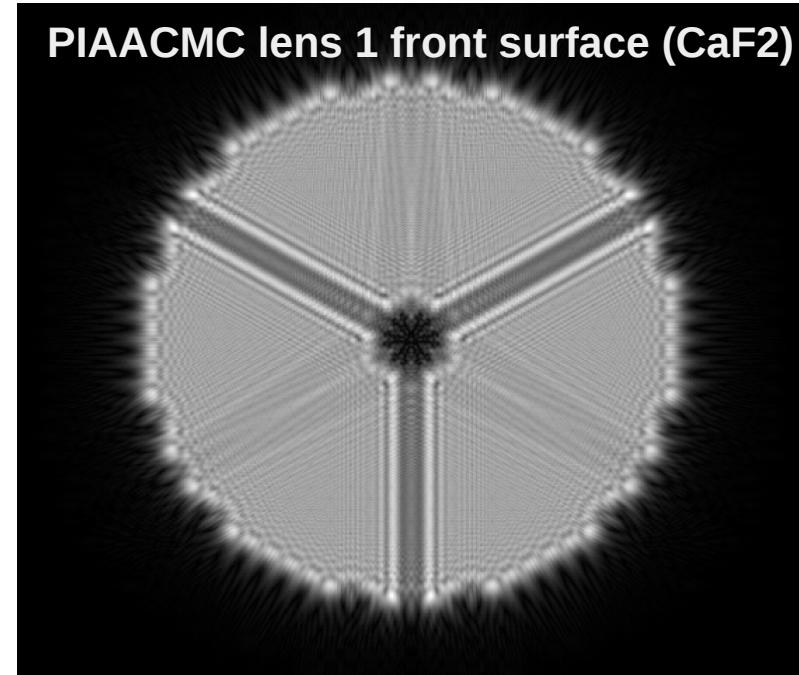
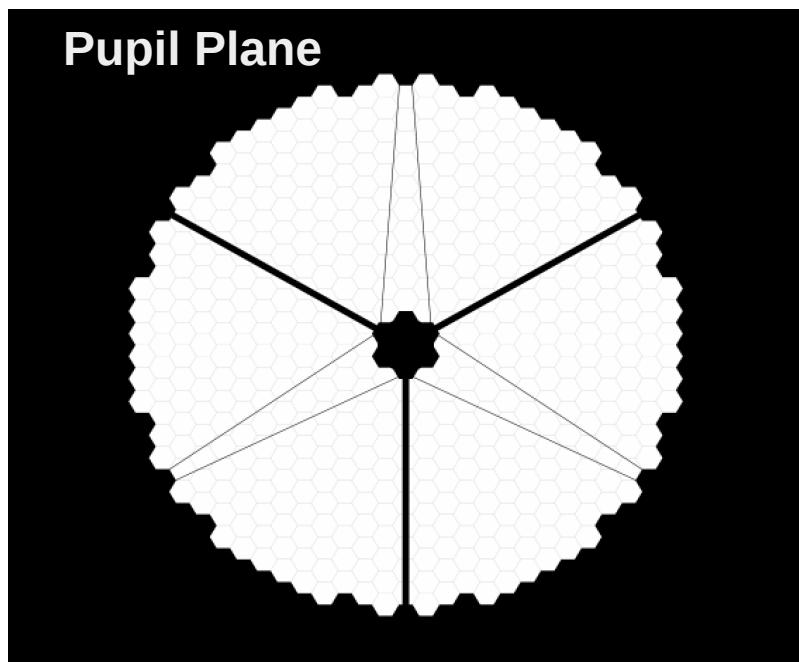
← SCExAO focal plane mask (2017)



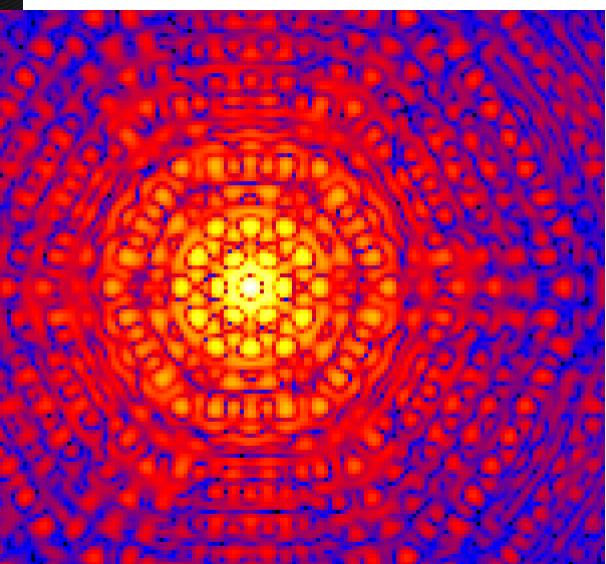
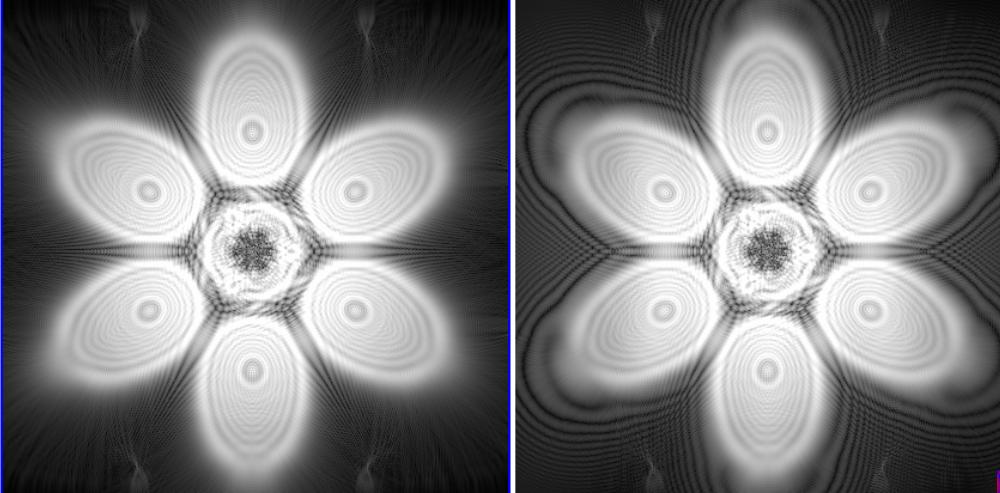
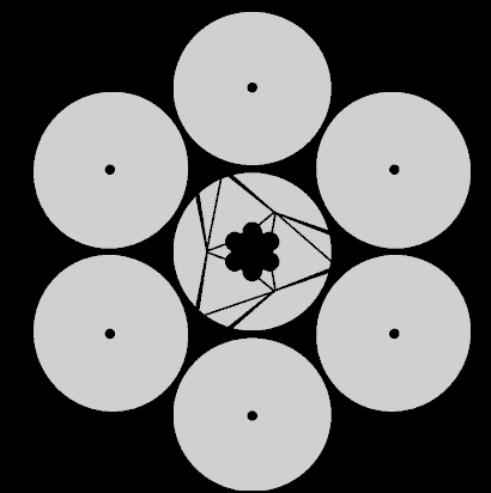
Focal plane mask manufactured at JPL's MDL  
Meets performance requirements  
(WFIRST PIAACMC Milestone report)



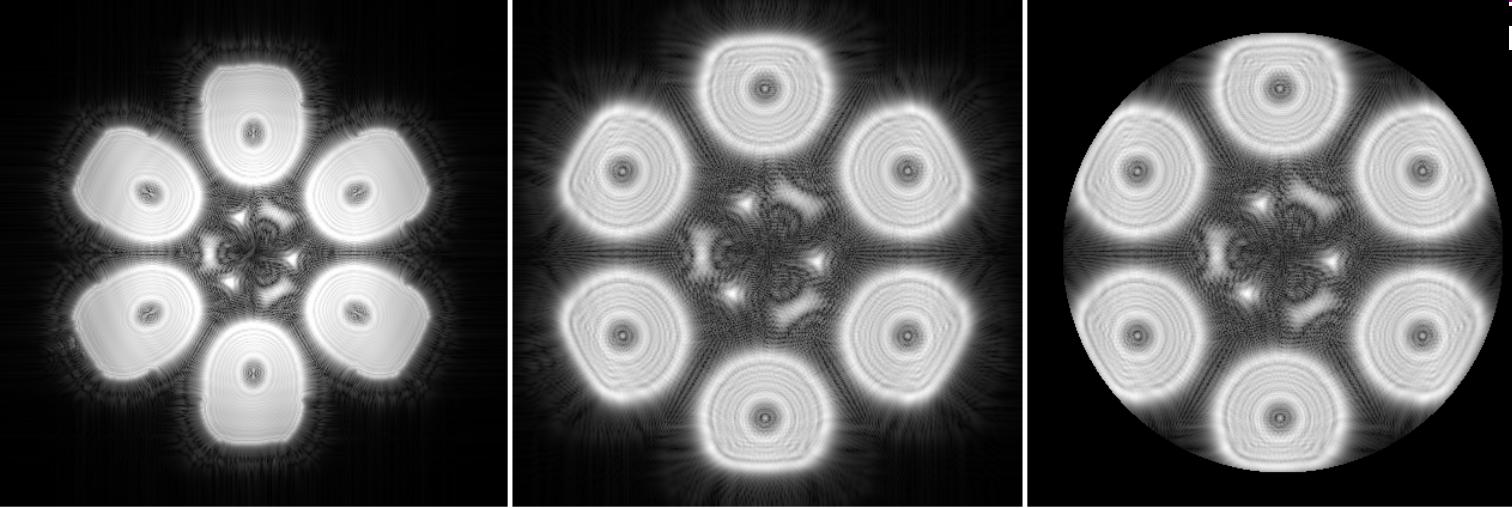
# TMT coronagraph design for 1 I/D IWA

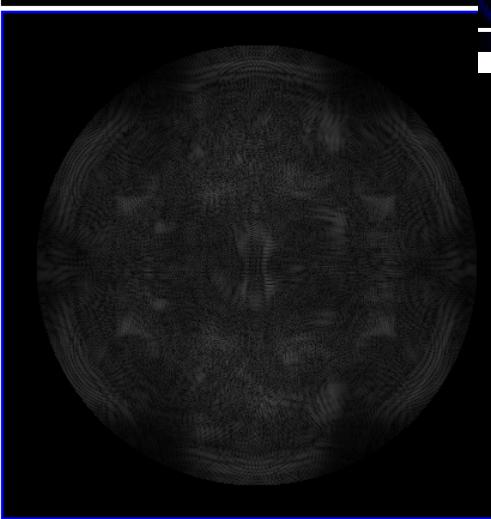
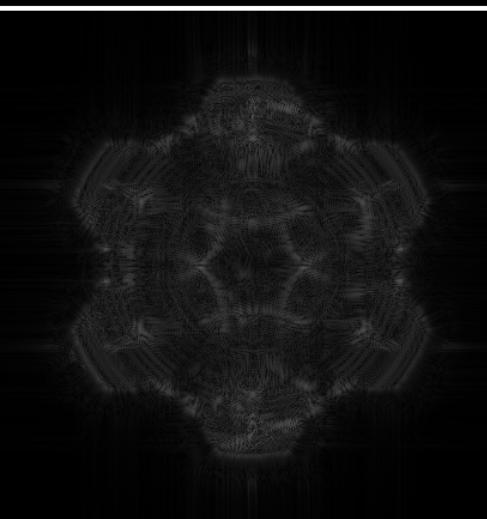
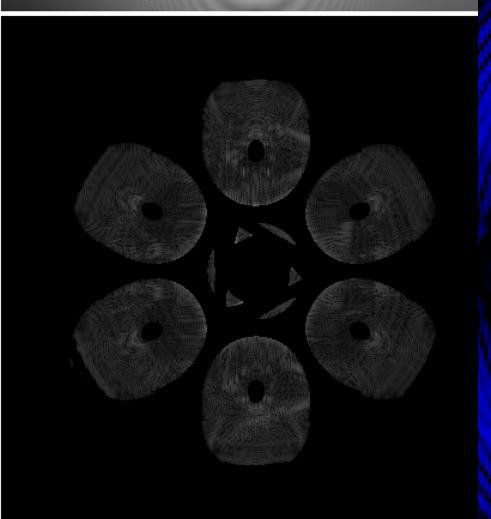
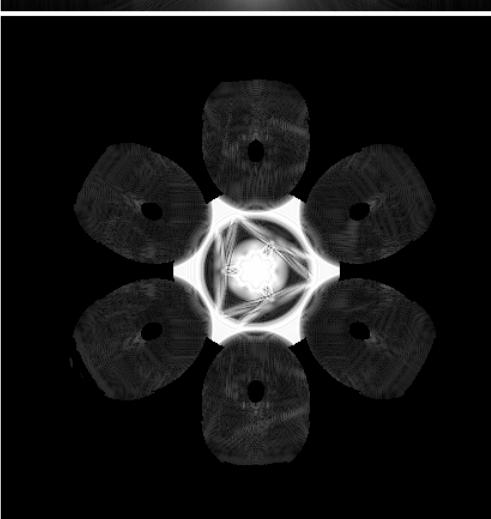
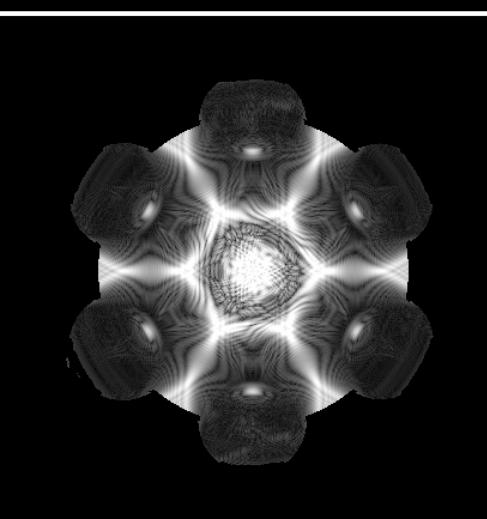
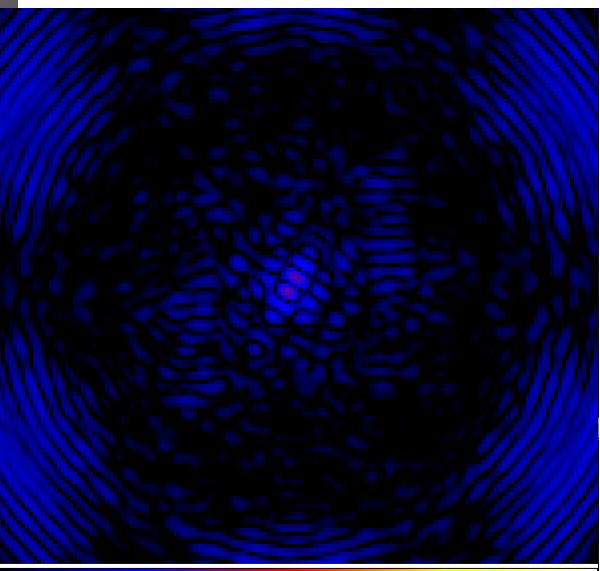
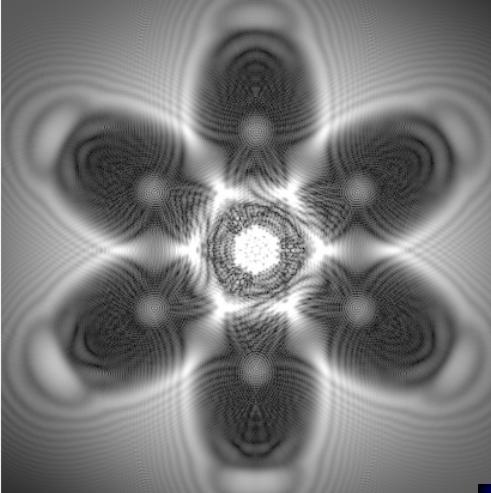
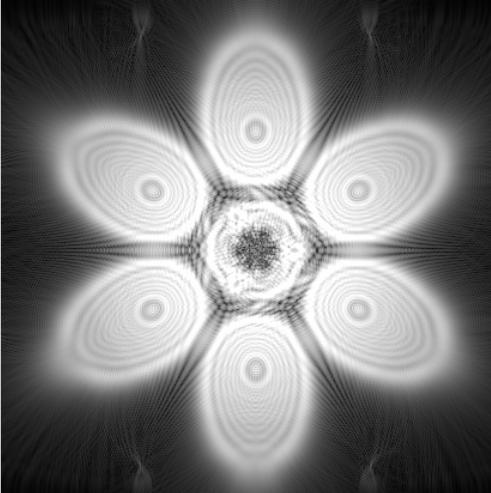
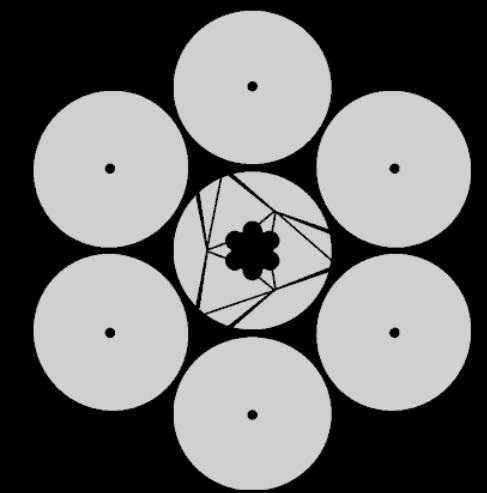


3e-9 contrast  
in 1.2 to 8 I/D  
80% off-axis  
throughput  
1.2 I/D IWA  
CaF2 lenses  
SiO2 mask



-7.2 -6.4 -5.6 -4.8 -4 -3.2 -2.4 -1.6 -0.8





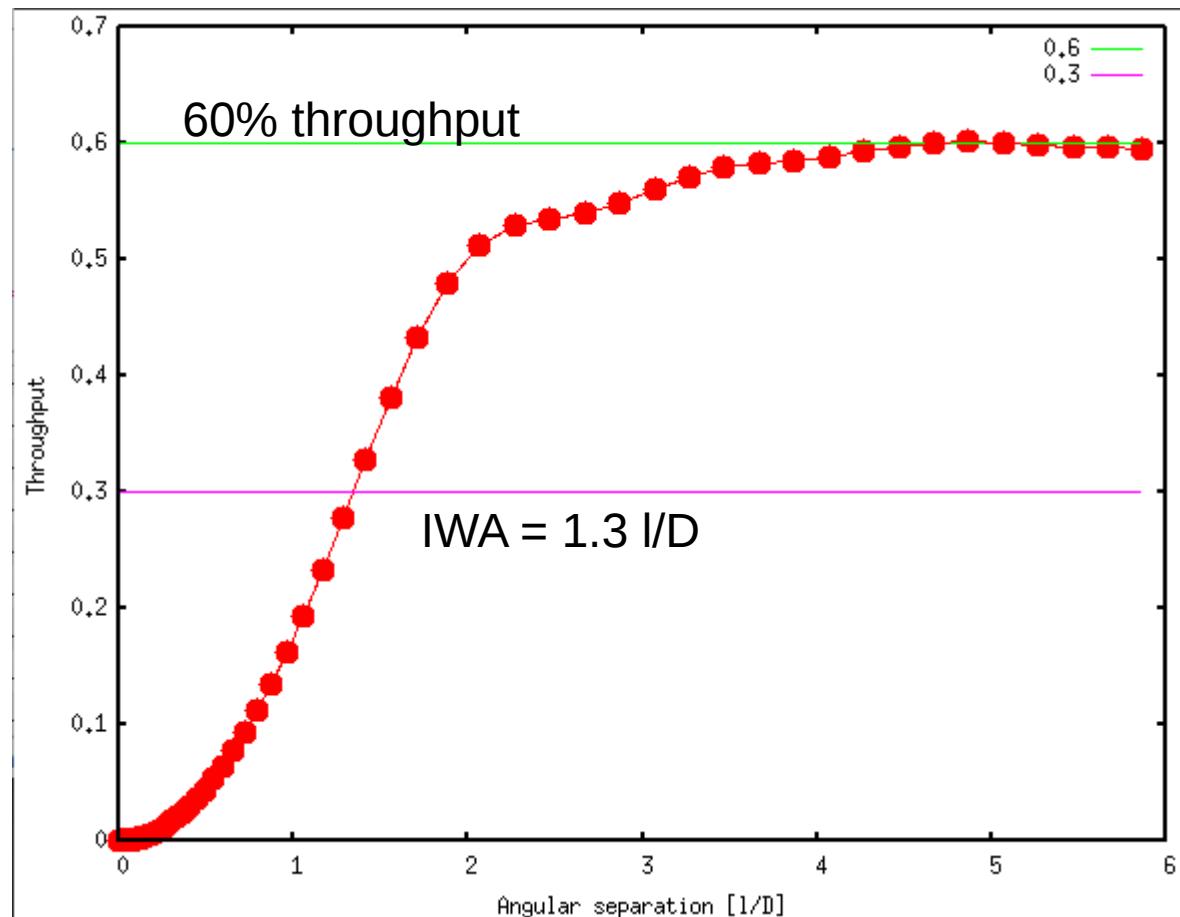
0.015 0.06 0.14

0.24 0.38 0.54

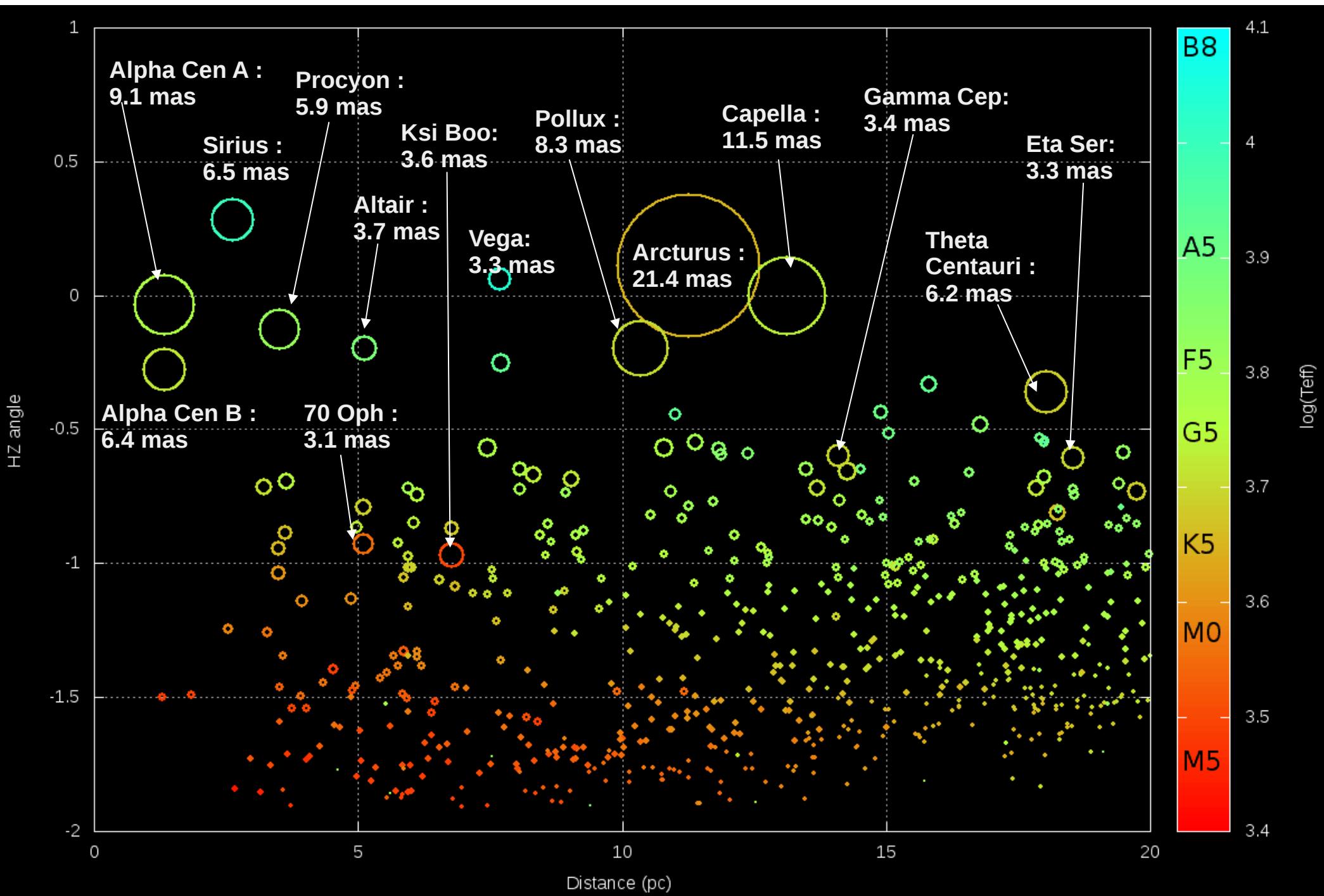
0.73 0.96 1.2

# Performance (GMT pupil)

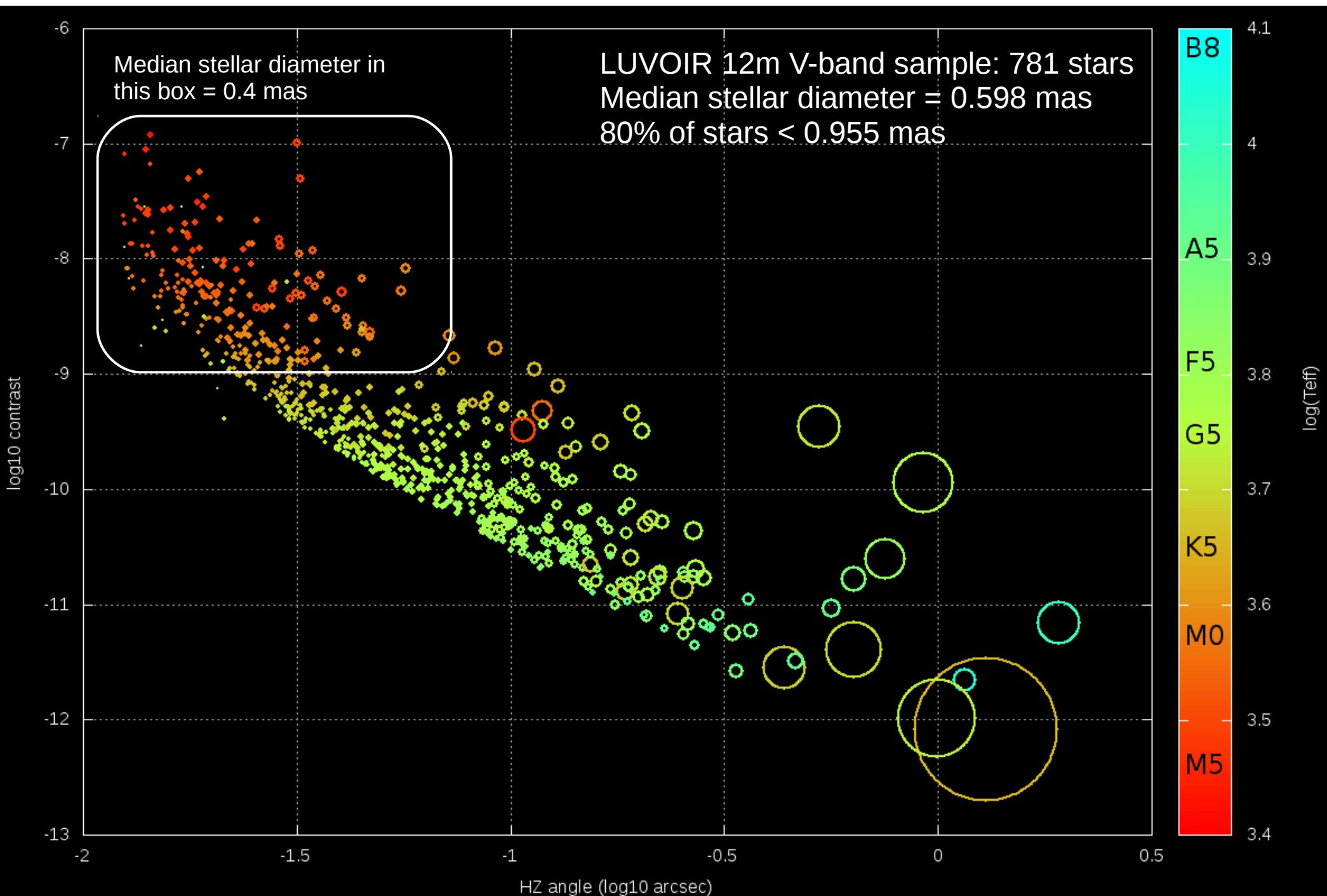
1e-7 contrast  
3e-6 contrast @ 3 I/D for 6% I/D disk



# Stellar angular sizes strongly correlate with HZ angle



## ... and contrast

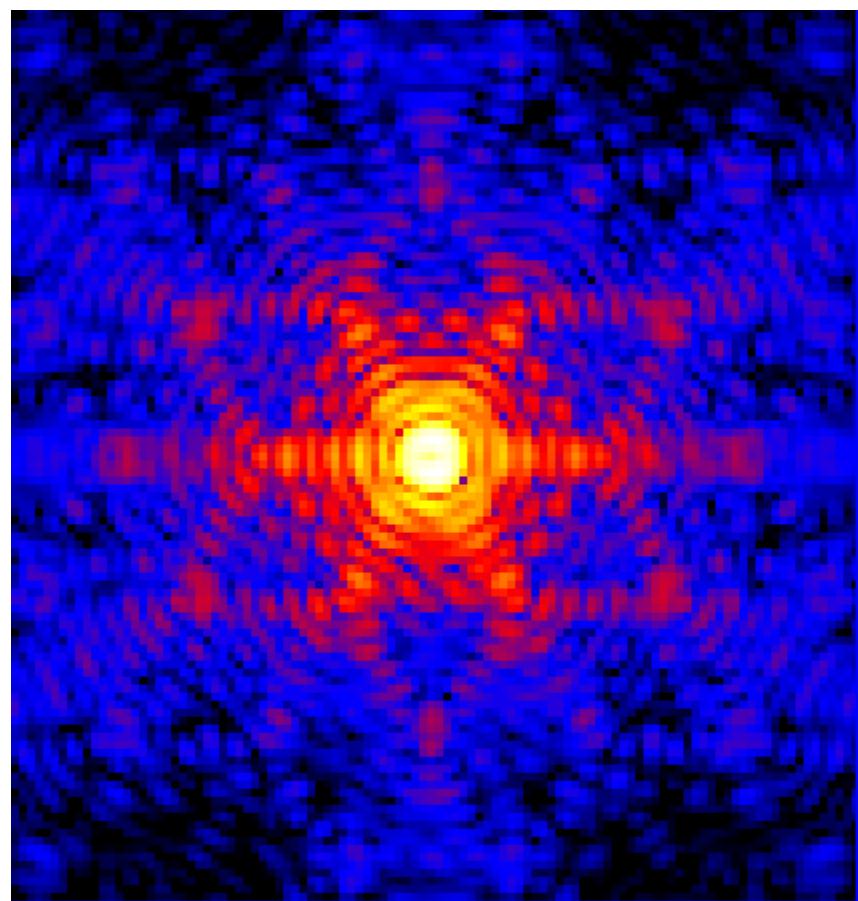


# PSF is dominated by stellar angular size

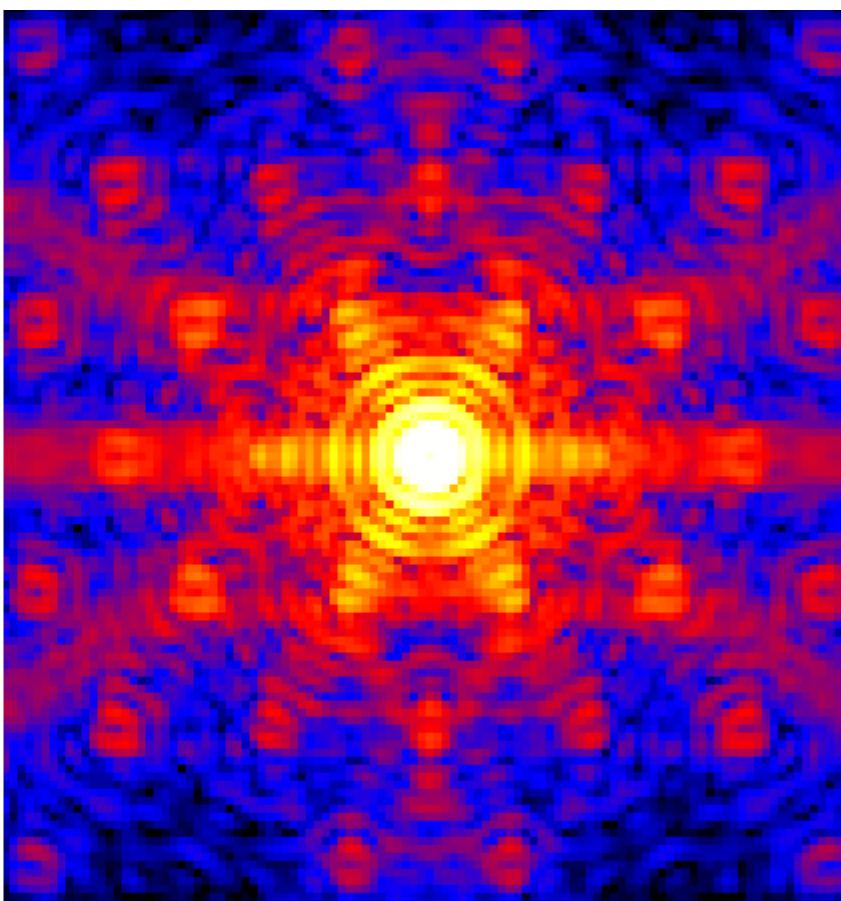
PSF dominated by incoherent spots due to stellar angular size → contributes to photon noise, but does not interfere coherently with wavefront errors → can be removed in post-processing

**Instead of radial average contrast, we use 50-percentile (search) and 20-percentile (spectroscopy) radial contrasts for performance evaluation: we avoid the bright spots**

Source radius = 0.01 I/D



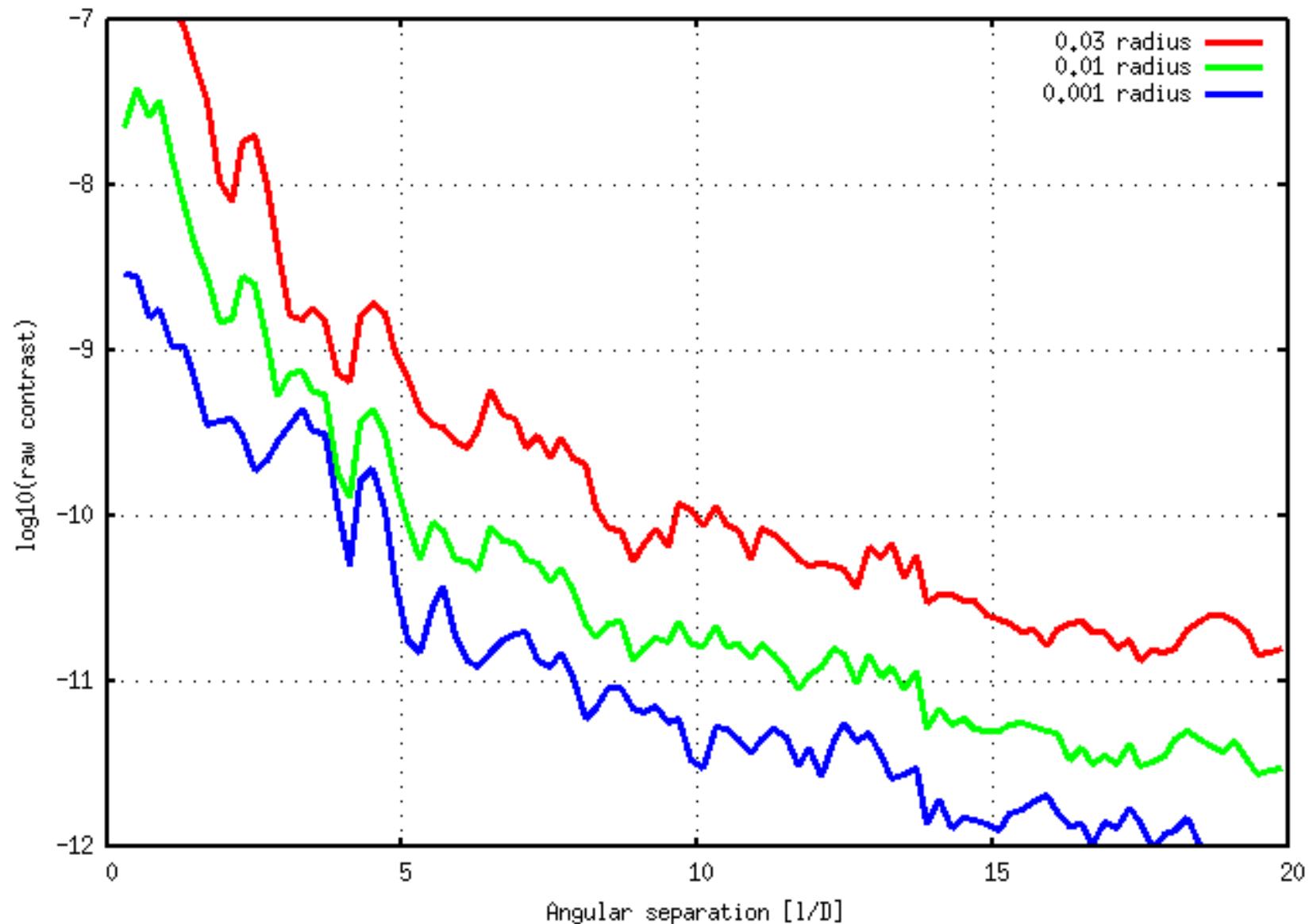
Source radius = 0.03 I/D



10% bandwidth  
optimized

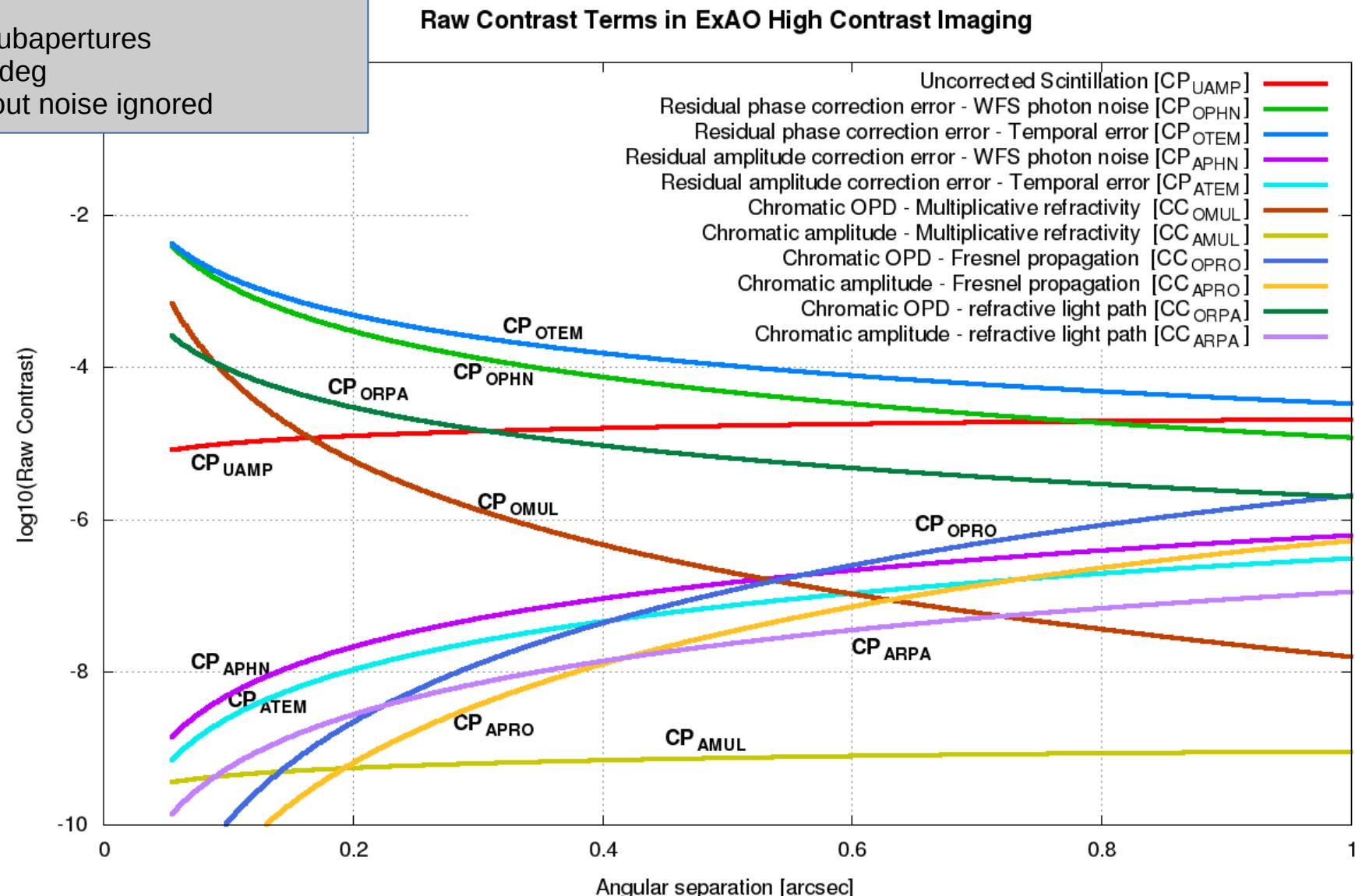
# APLCMC design – Raw Contrast

*(20 percentile along each radius)*



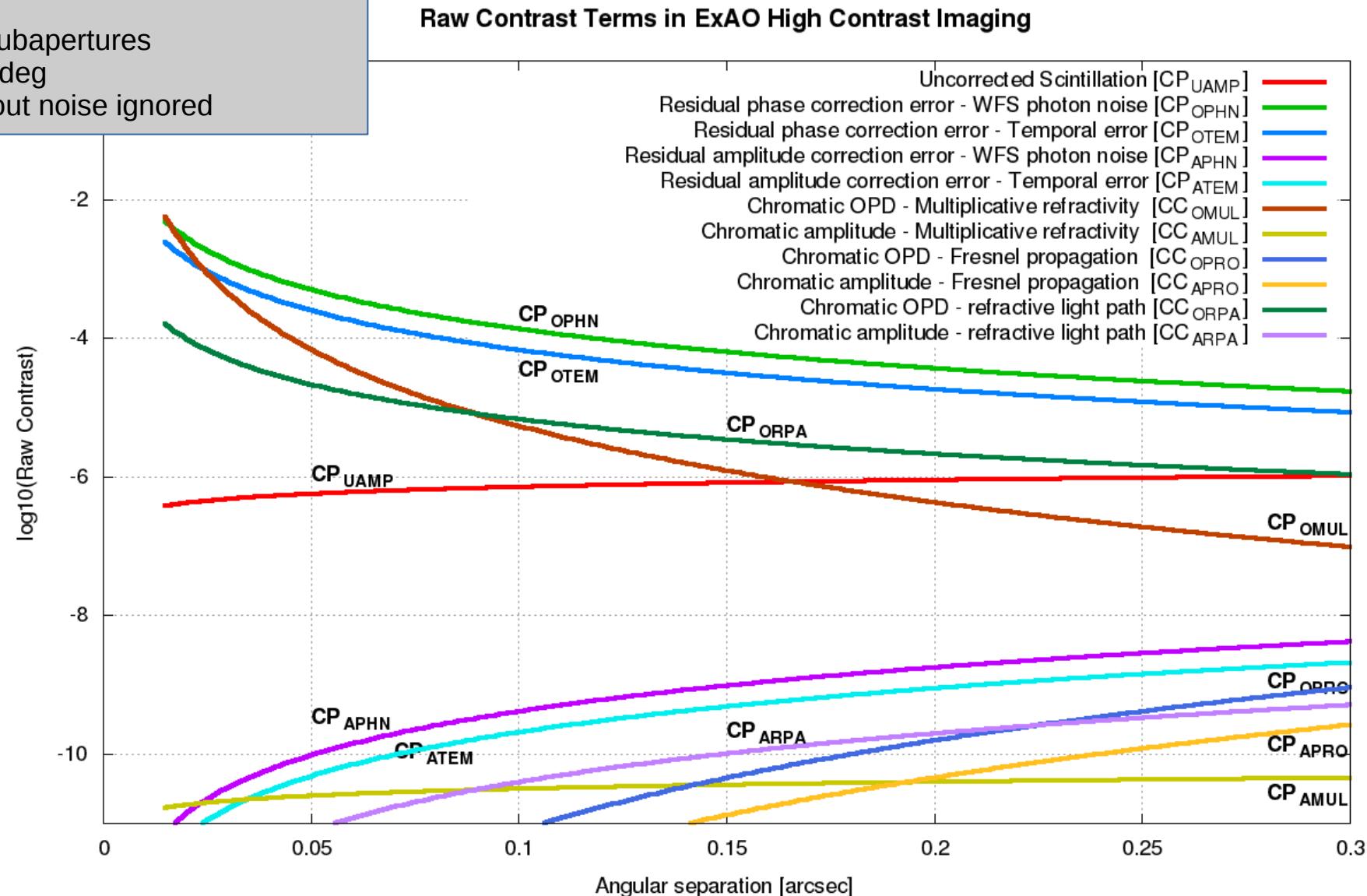
# Contrast Error Budget (Primary WFC)

D=8m telescope  
 High contrast imaging at 1.6  $\mu\text{m}$   
 Wavefront sensing at 0.8  $\mu\text{m}$   
 30% efficiency WFS  
 40% wide WFS spectral band  
 1 kHz WFS frame rate  
 Integrator controller with optimal gain setting  
 Wind speed = 8 m/s  
 Fried parameter  $r_0 = 0.15$  m at 0.5  $\mu\text{m}$   
 $m_l = 8$  target  
 SHWFSm 15cm subapertures  
 Zenith angle = 40 deg  
 Aliasing and readout noise ignored



# Contrast Error Budget (Primary WFC)

D=30m telescope  
 High contrast imaging at 1.6  $\mu\text{m}$   
 Wavefront sensing at 0.8  $\mu\text{m}$   
 30% efficiency WFS  
 40% wide WFS spectral band  
 5 kHz WFS frame rate  
 Integrator controller with optimal gain setting  
 Wind speed = 10 m/s  
 Fried parameter  $r_0 = 0.15$  m at 0.5  $\mu\text{m}$   
 $m_l = 8$  target  
 SHWFSm 15cm subapertures  
 Zenith angle = 40 deg  
 Aliasing and readout noise ignored



## How ExAO@ GSMTs will differ from current “conventional” AO ?

Current AO systems (SPHERE, GPI) at ~<3 I/D : ~1e-3 raw contrast, ~1e-4 detection limit.

**To image habitable planets, ExAO systems will require ~1000x gain in raw contrast (1e-6), and 10000x gain in detection limit (~1e-8)**

Current limits, and how to overcome them:

### Star is too faint for ExAO WFS

- More efficient wavefront sensing (for example unmodulated pyramid) to provide >1000x gain in equivalent star brightness
- Predictive Control
- Sensor fusion between multiple sensors

### Current systems are too slow → need low latency systems

- Predictive control
- Faster loop speed

### Non-common path errors (including WF chromaticity) and slow speckles

- Focal plane wavefront control + sensor fusion

### Lyot Coronagraph doesn't provide required suppression at 2 I/D

- Advanced coronagraphs (Vortex, PIAACMC etc...)

### Planet image is still ~100x below starlight halo

- High dispersion spectroscopy template matching
- Coherent differential imaging (use coherence to separate starlight from planet light)
- Use WF telemetry to subtract PSF

# **WFS/C : Game-changing advances**

**High-sensitivity WFS** required to reduce WFS photon noise term

**Focal plane “speckle control”** addresses chromaticity and non-common path error terms

**Predictive control** and **sensor fusion** increase sensitivity and speed

**Machine learning based control loop** self-calibrates system, and learns how to use WFS telemetry for PSF calibration

High-performance calibration approaches:

- **High dispersion coronagraphy**
- **Coherent differential imaging**

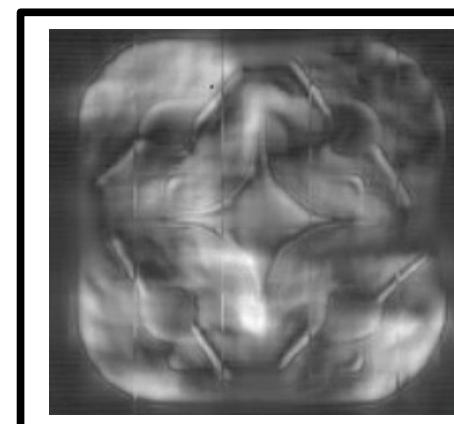
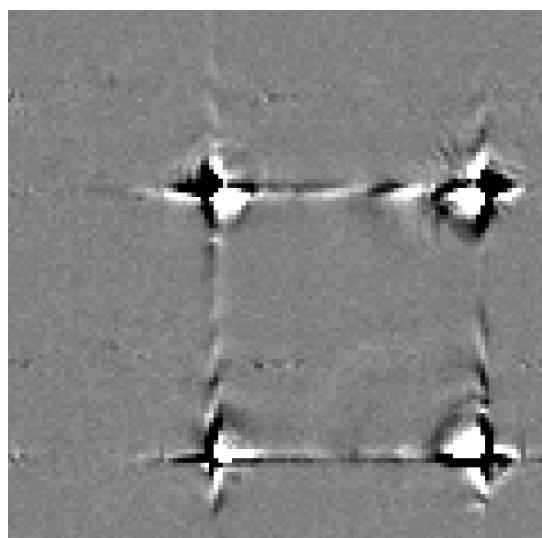
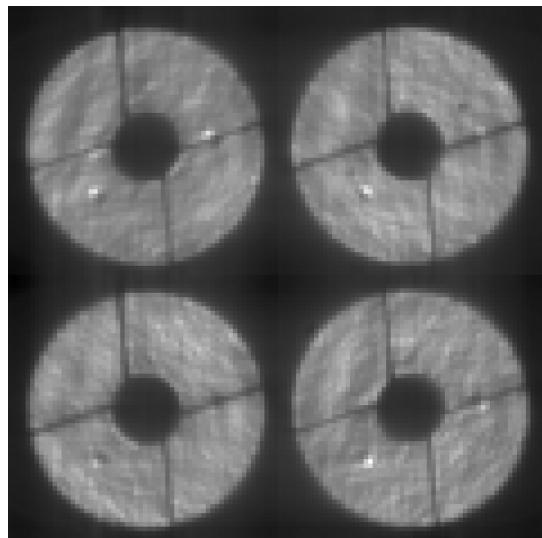
# High performance WFS

Low-modulation PyWFS (600-900nm)

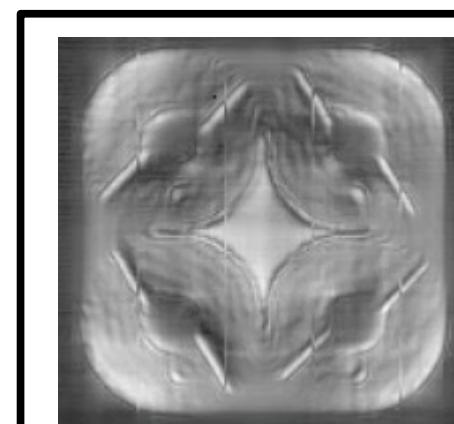
14400 sensors → 2000 actuators

loop runs at up to 3.5 kHz

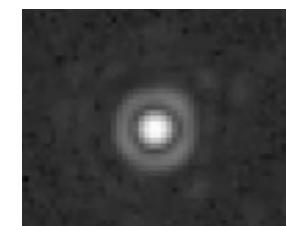
Unmodulated PyWFS demo (H band, SAPHIRA)  
14400 sensors → 2000 actuators



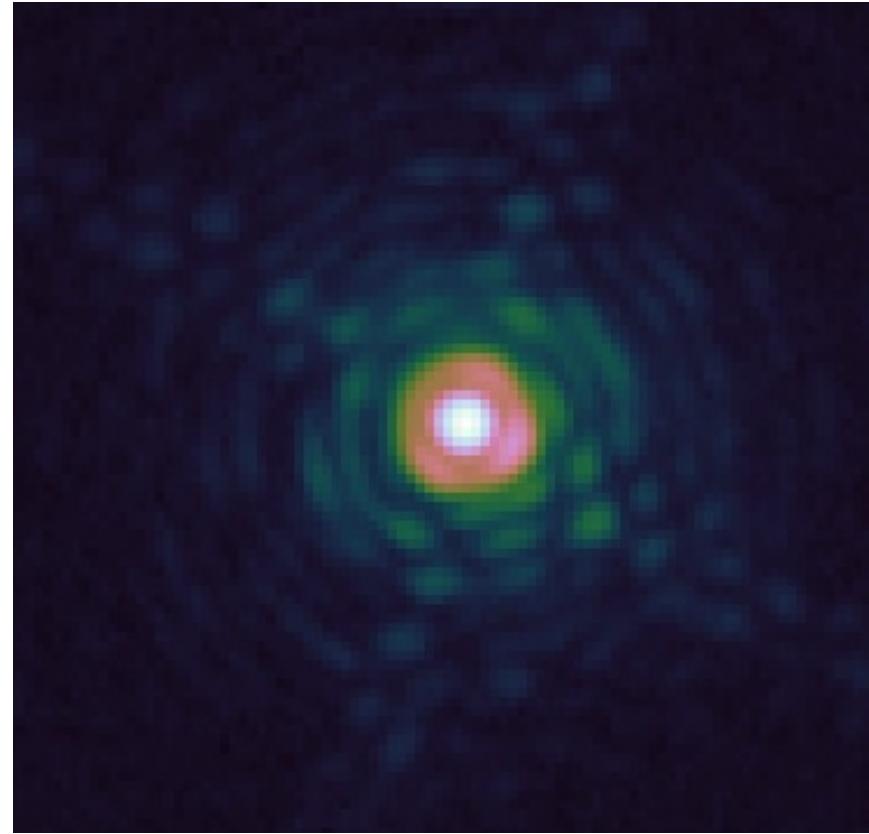
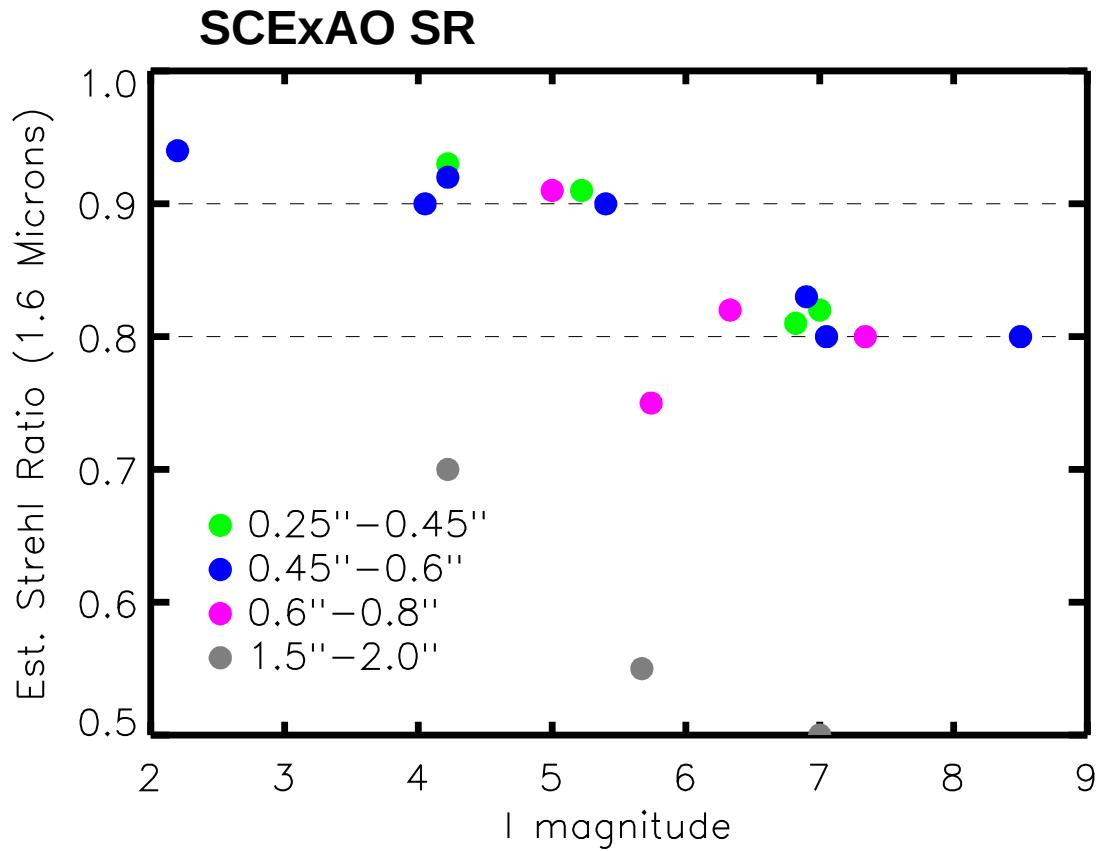
**NearIR PyWFS  
correction OFF**



**NearIR PyWFS  
correction ON**



# Faint Star Performance

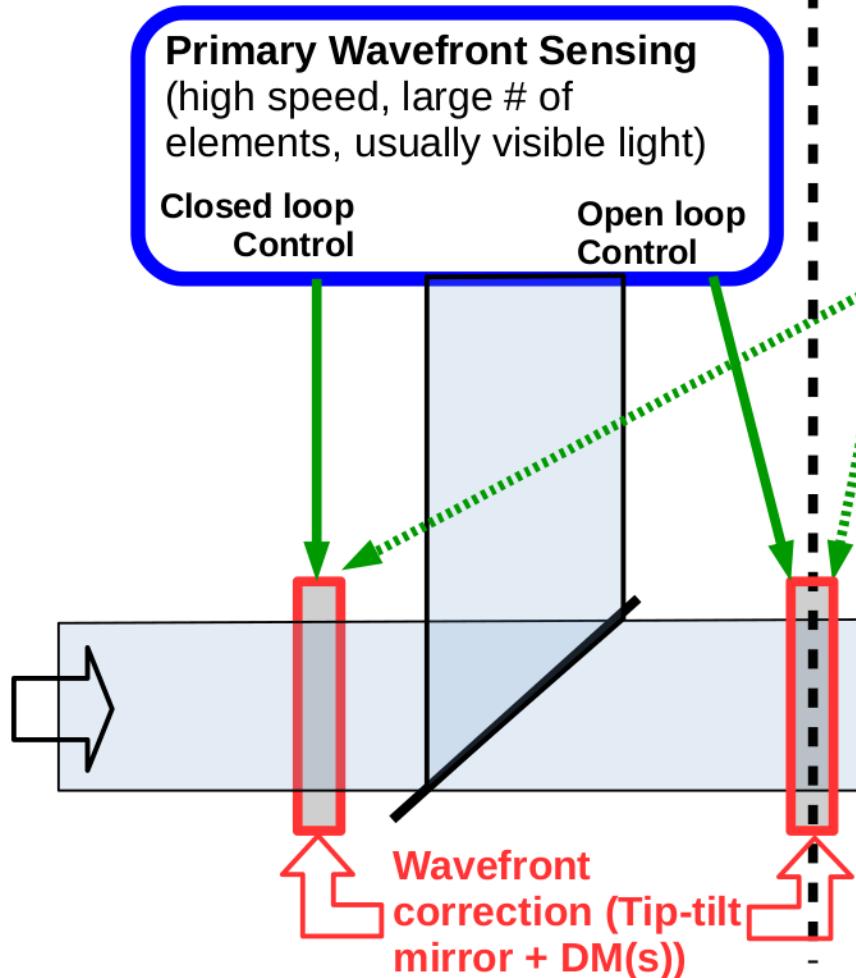


S.R.  $\sim 0.9$  for bright stars under average to good conditions  
x-AO correction demonstrated down to  $I \sim 9$

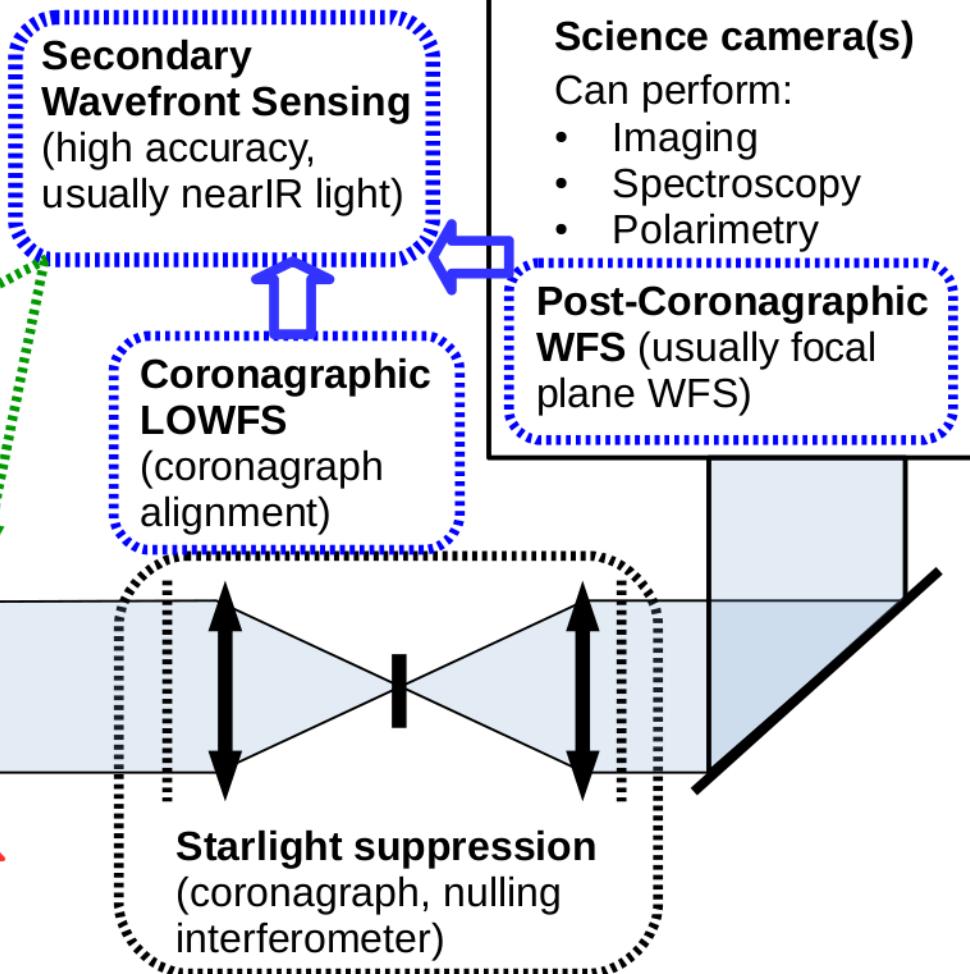
**LkCa 15:**  
R  $\sim 11.6$  star, K band  
SR $\sim 0.65$  @ H  
Predictive control ON

# High Contrast Imaging System Architecture

## Primary WFS/C

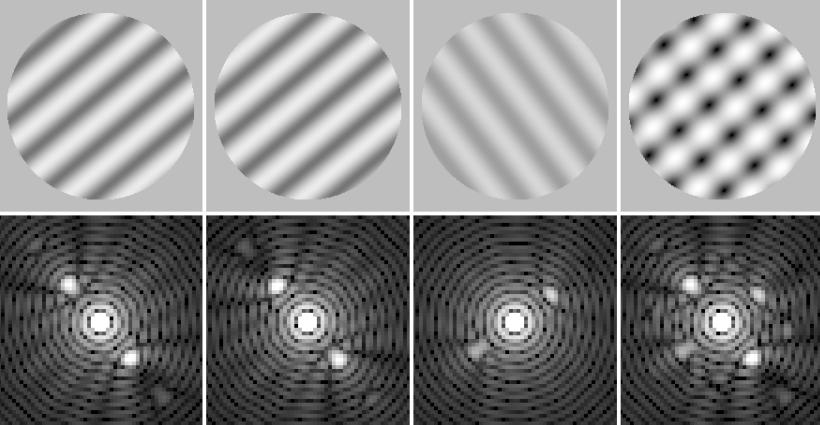


## Secondary WFS/C



**Science Instrument(s)**

**Coronagraph**



# Speckle Control

Speckle nulling, in the lab and on-sky (no XAO).

Experience limited by detector readout noise and speed.

KERNEL project: C-RED-ONE camera.

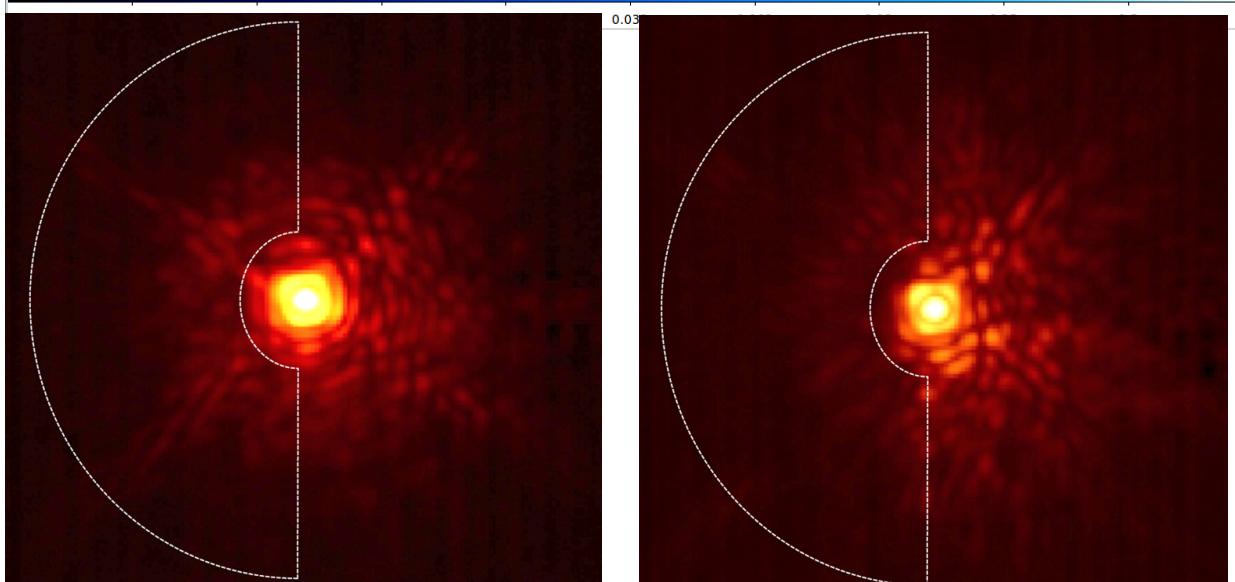
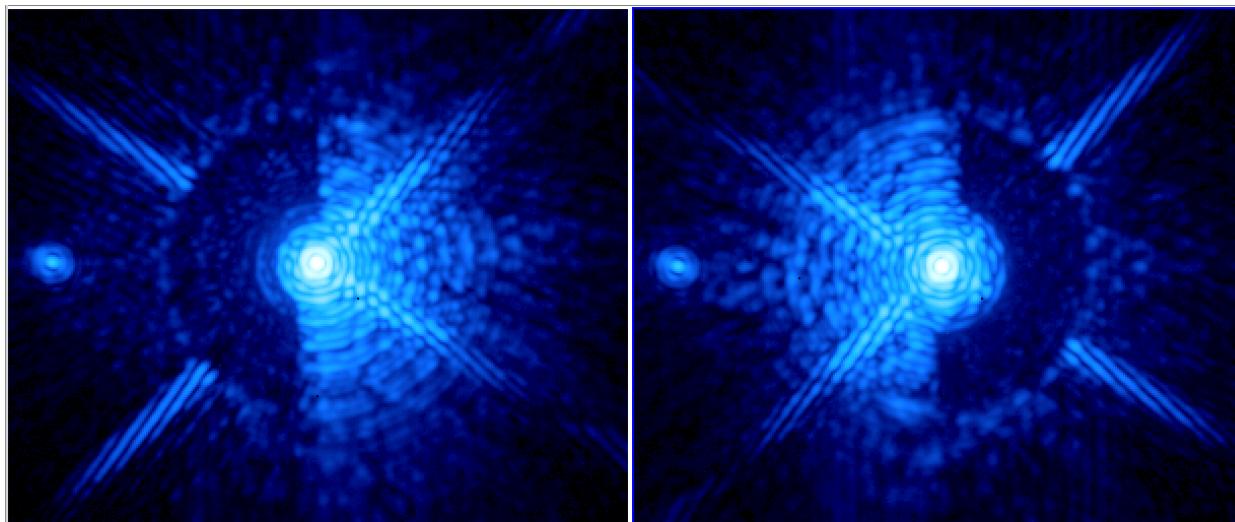
From:

- 114 e- RON
- 170 Hz frame rate

To:

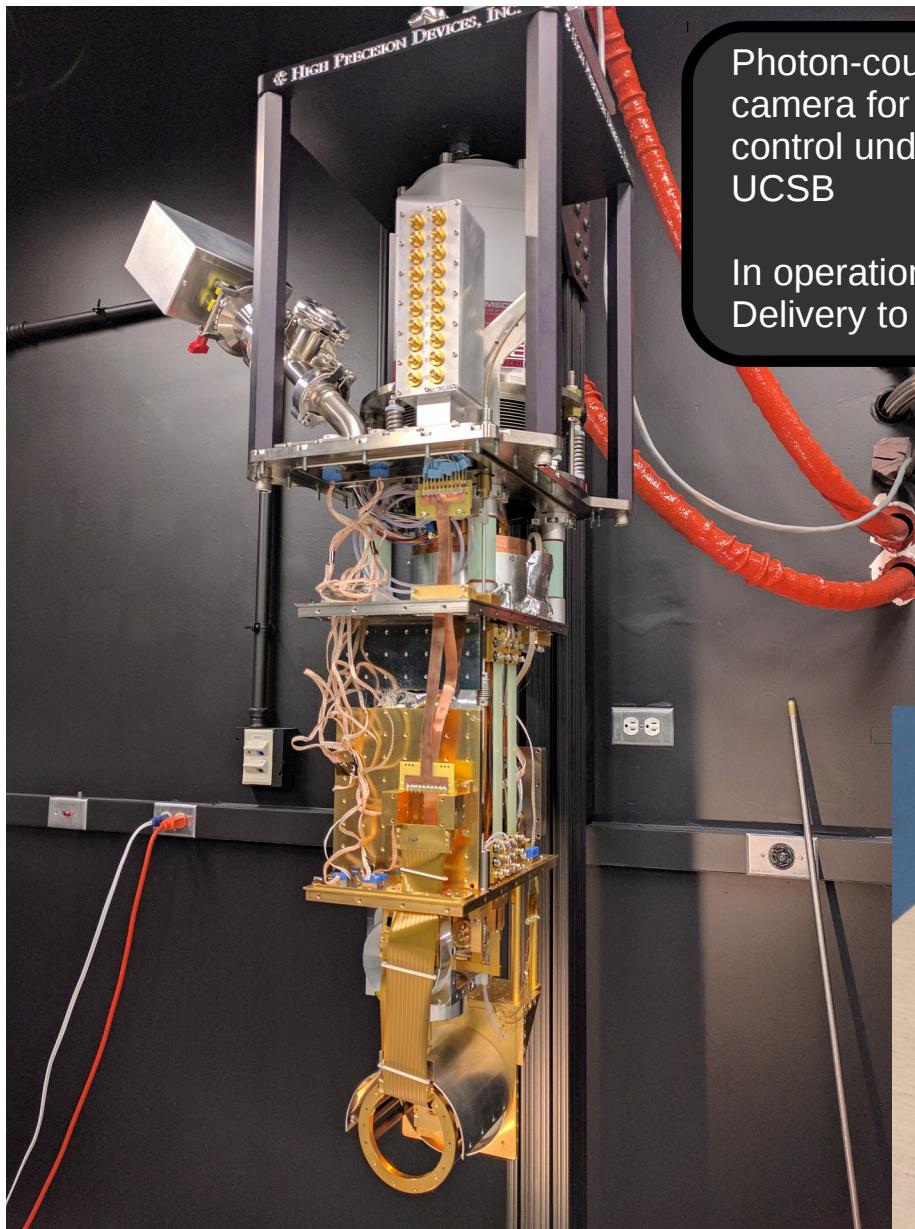
- 0.8 e- RON
- 3500 Hz frame rate

Expect some updates



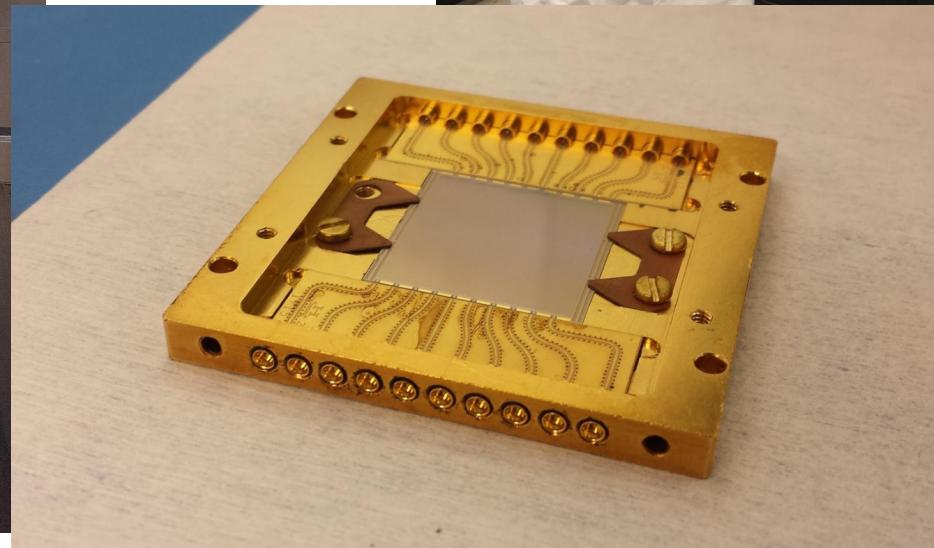
# MKIDS camera (Ben Mazin, UCSB)

Photon-counting, wavelength resolving 140x140 pixel camera

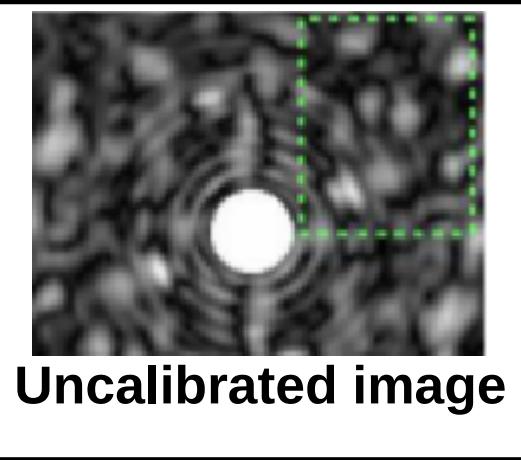


Photon-counting near-IR MKIDs  
camera for kHz speed speckle  
control under construction at  
UCSB

In operation @ Palomar  
Delivery to SCExAO March 2018

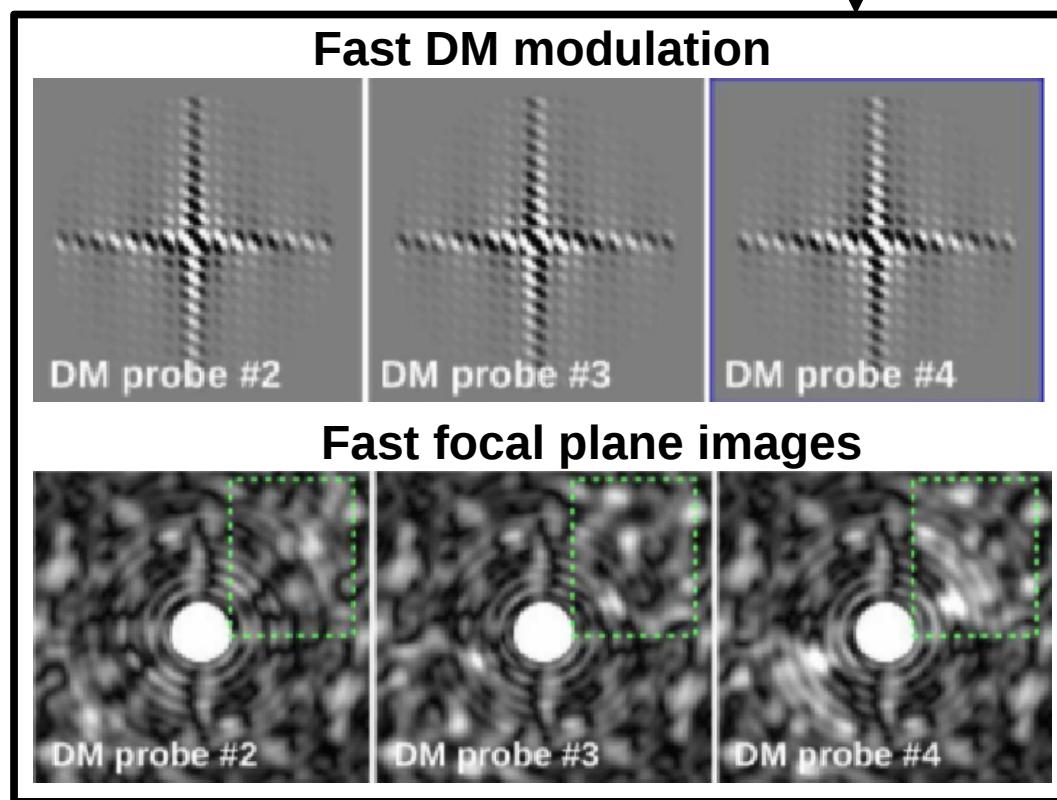


## COHERENT DIFFERENTIAL IMAGING



subtract

## Speckle SENSING



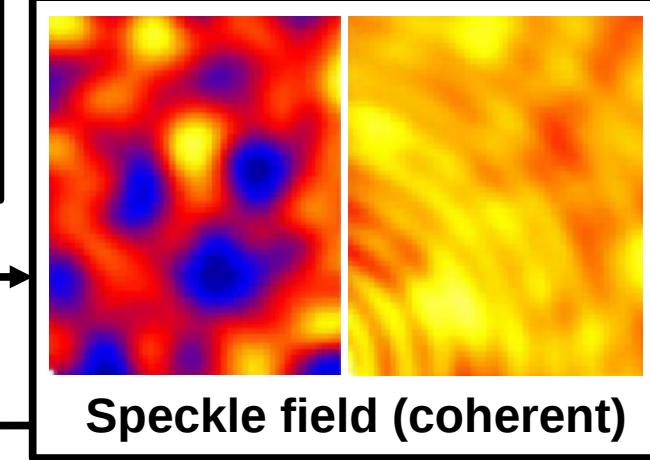
Apply to DM(s)

Compute DM(s)  
solution to cancel  
coherent light

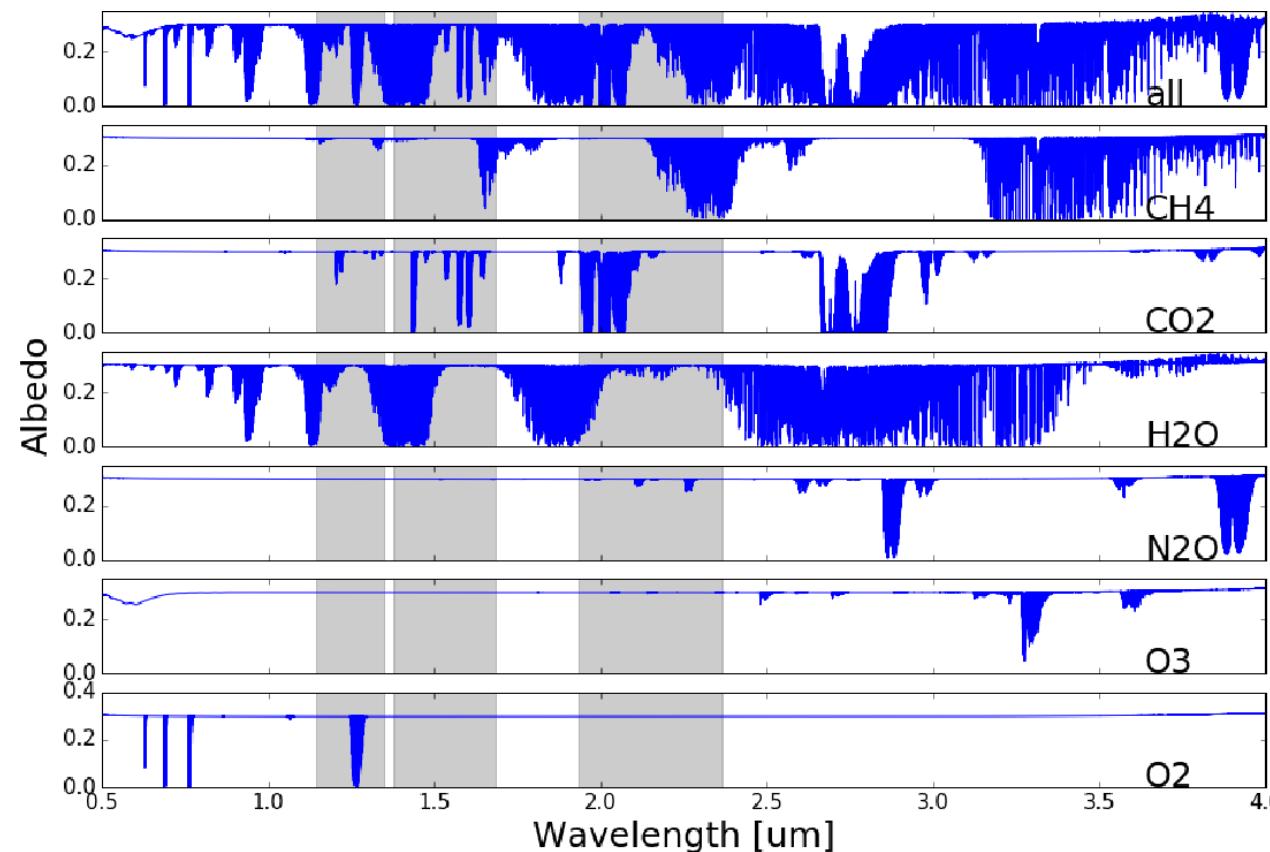
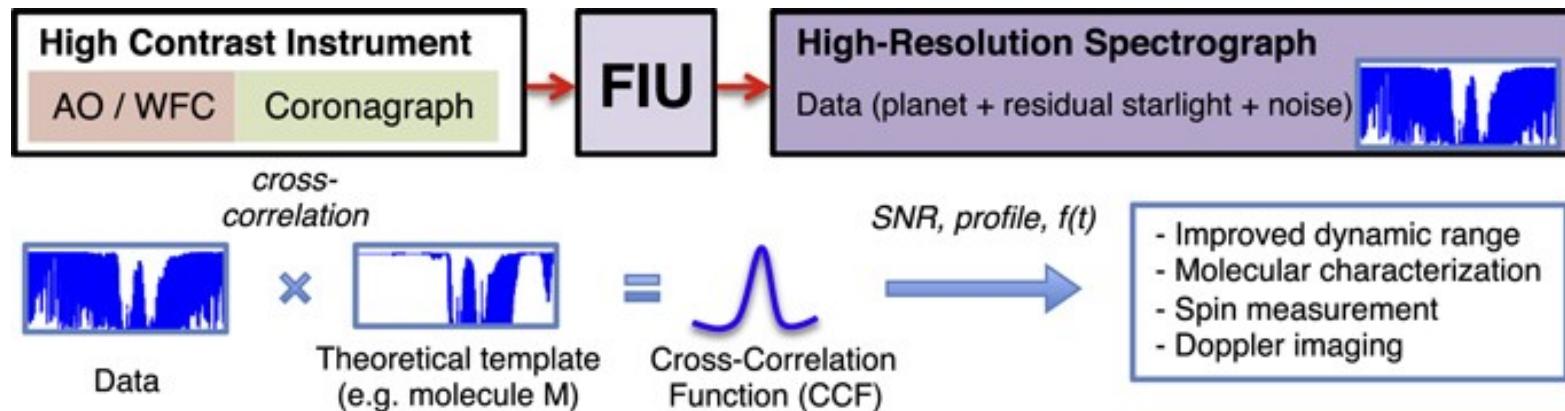
Non-linear solver

## Speckle CONTROL

square modulus



# High Dispersion Coronagraphy



Very robust differential signature

First demonstrated on combined light (no coronagraph) – Snellen et al.

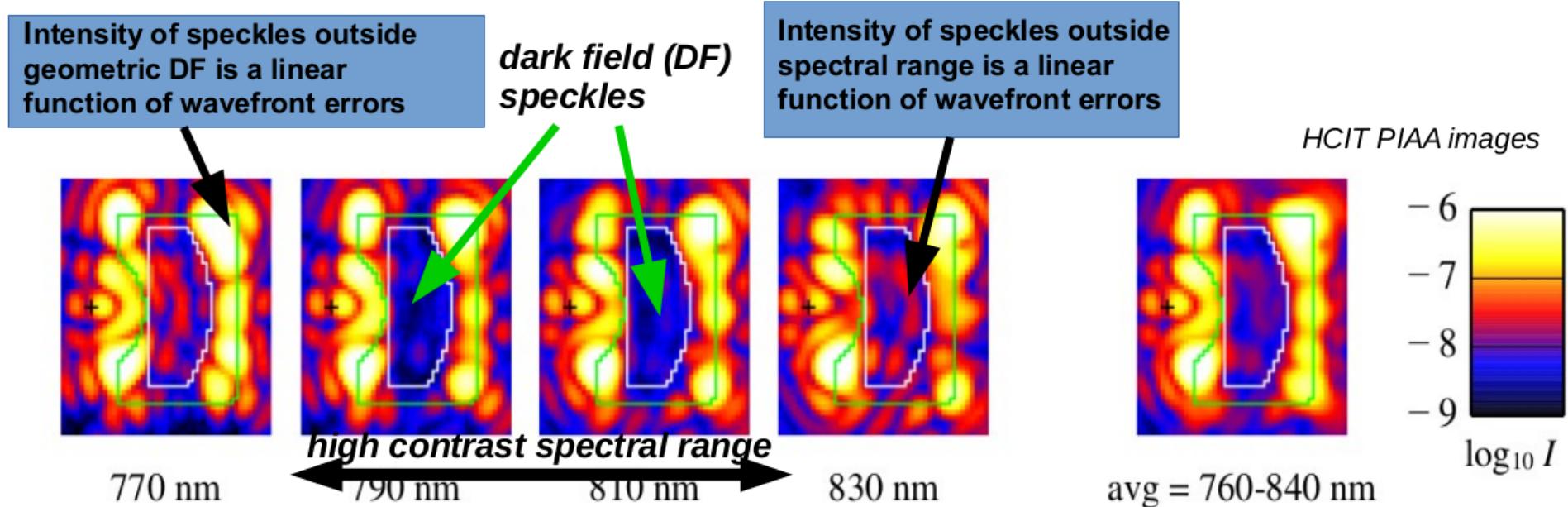
# Linear Dark Field Control (LDFC)

See also: Miller et al. 2017, Guyon et al. 2017 (astro-ph)

Speckle intensity in the DF are a non-linear function of wavefront errors

→ current wavefront control technique uses several images (each obtained with a different DM shape) and a non-linear reconstruction algorithm (for example, Electric Field Conjugation – EFC)

**Speckle intensity in the BF are linearly coupled to wavefront errors** → we have developed a new control scheme using BF light to freeze the wavefront and therefore prevent light from appearing inside the DF



# Predictive Control and Sensor Fusion

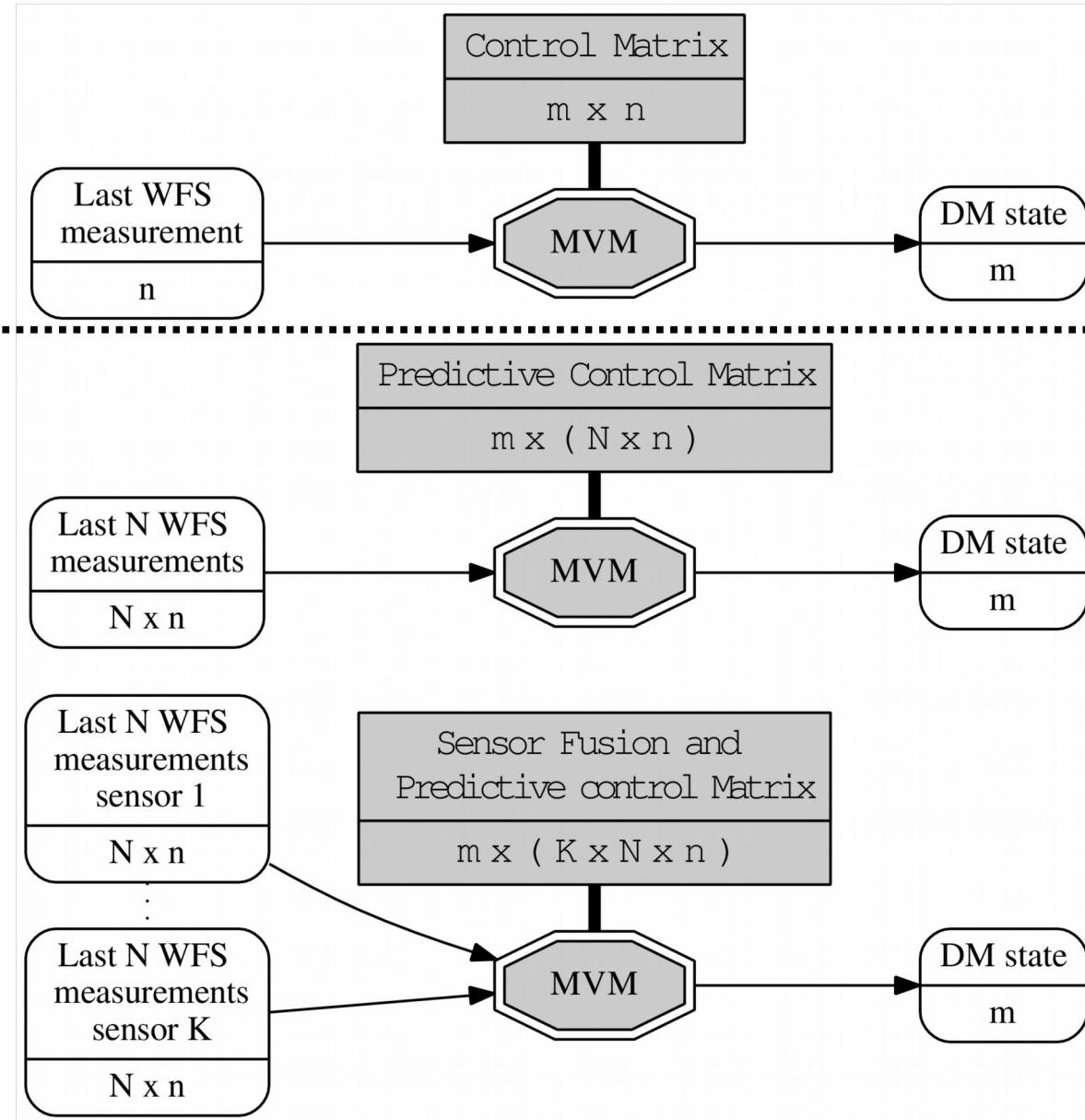
**Conventional AO:**

We measure RM/CM

**Advanced AO control:**

We want to use past measurements (predictive control) and other measurements (sensor fusion) → control matrix is very big, and usually impossible to measure

We derive CM from WFS(s) telemetry



# Predictive control & sensor fusion → 100x contrast gain ?

See also: Males & Guyon 2017 (astro-ph)

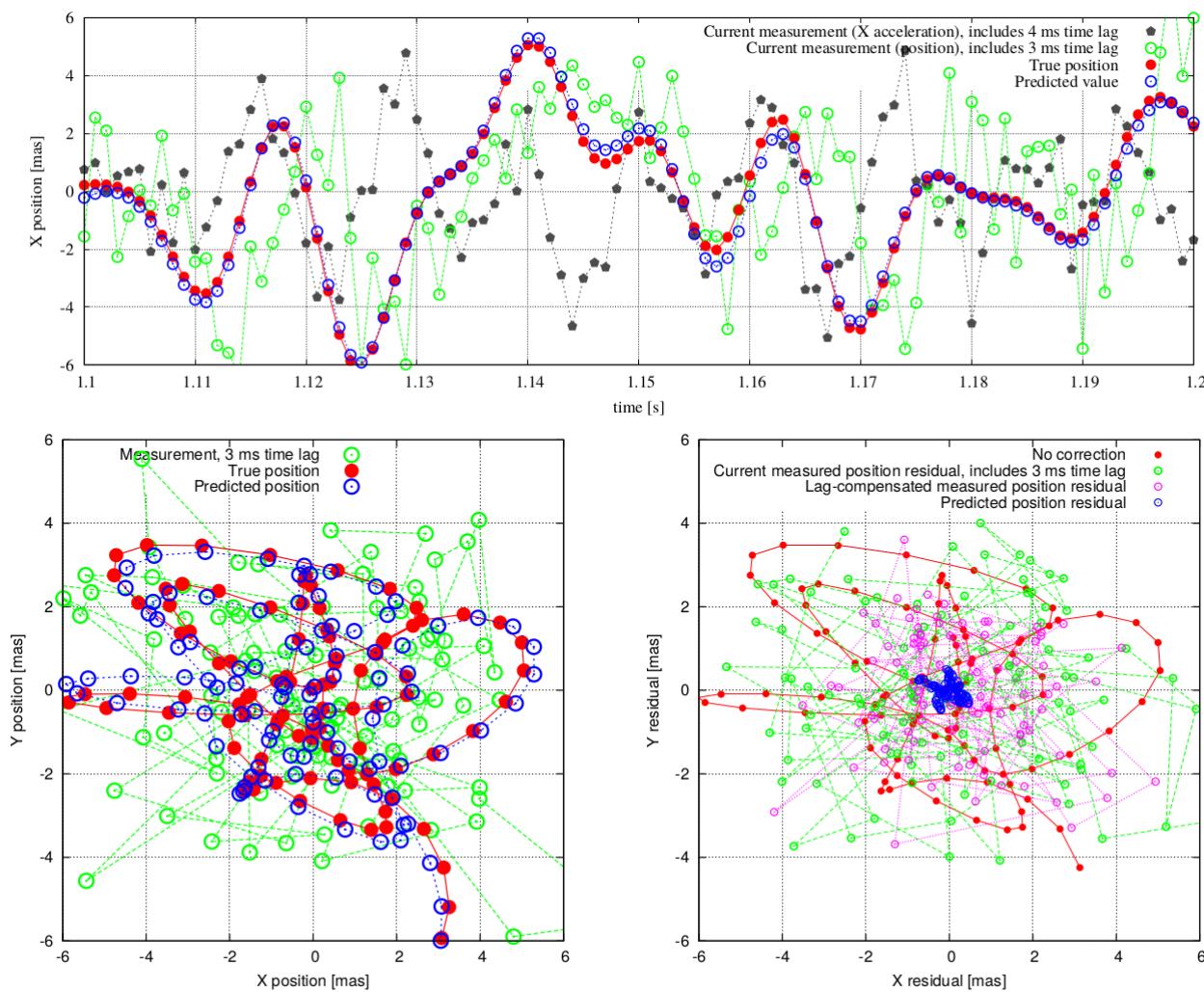
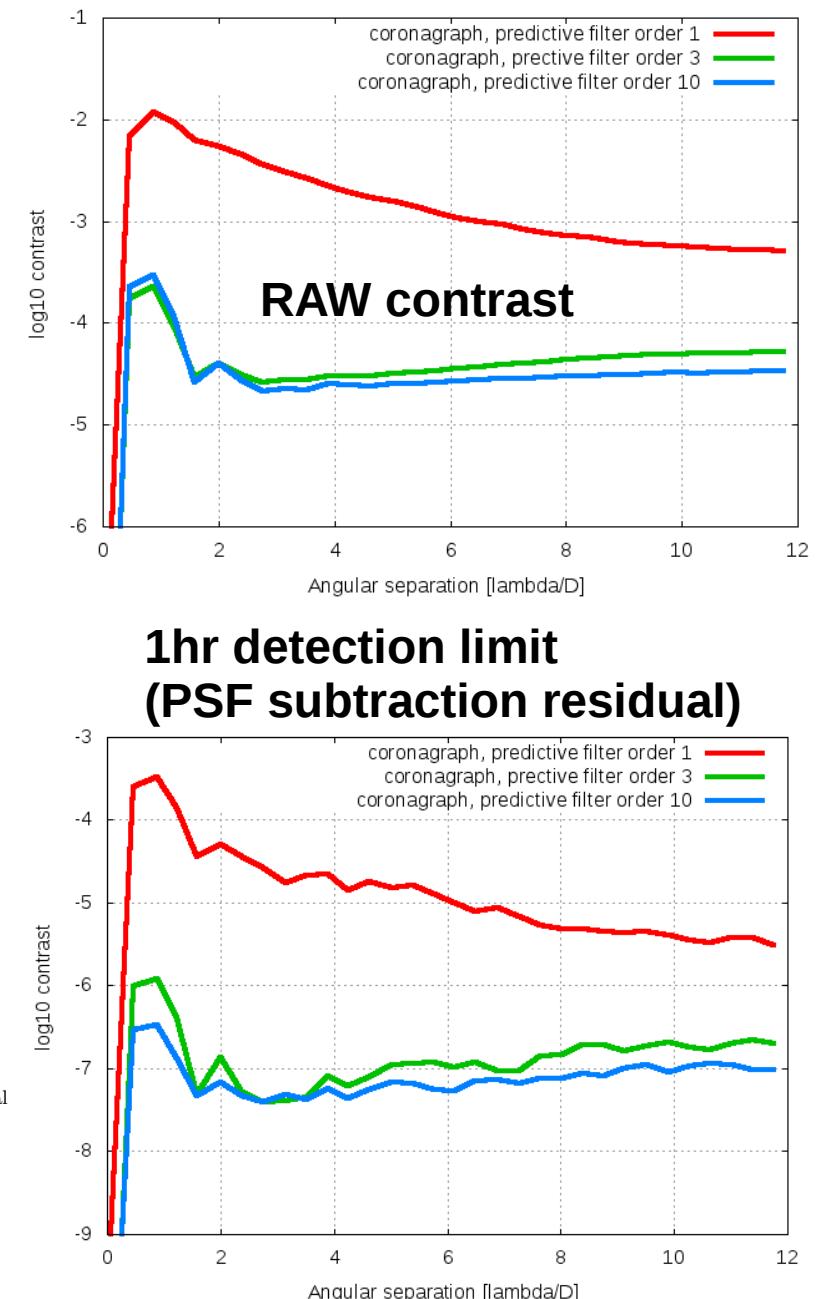


FIG. 3.— Top left: 2D-tracks for true pointing (red), predicted pointing (blue) and last measured position (green). Top right: Residual pointing error. Bottom: Single axis (x) values.



# The Machine Learning challenge

Need to derive 100s of millions of CM values within minutes, using billions of samples...

Example:

SCExAO, 3 kHz, 10-step predictive control, 100 sec training

Input:  $14,400 \times 3,000 \times 100 = 4.32\text{e}9$  measurements

Output:  $14,400 \times 2000 \times 10 = 288\text{e}6$  CM coefficients

Solution:

We deploy linear ***Machine Learning*** technique on a modal control space (smaller # of dimensions).

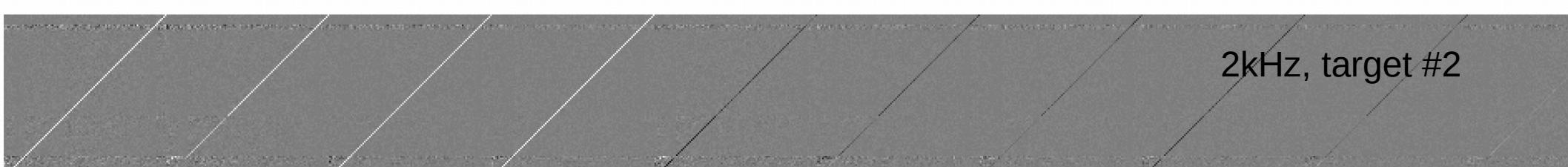
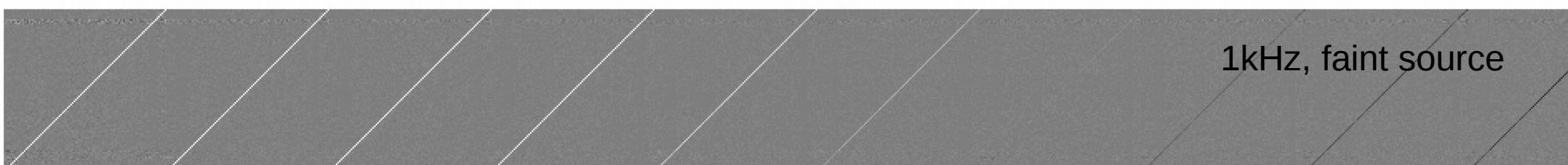
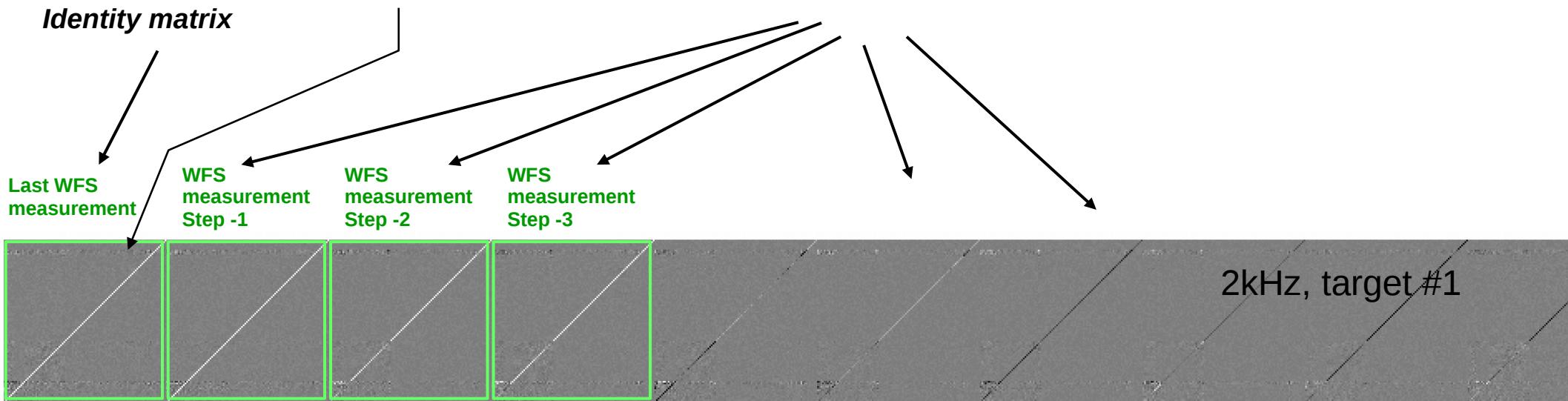
We use GPU cores (35,000 cores @ 1.6 GHz in SCExAO main RTC).

# Prediction control matrix (100 modes shown)

Conventional AO would  
have control matrix  
 $100 \times 100$  elements  
*Identity matrix*

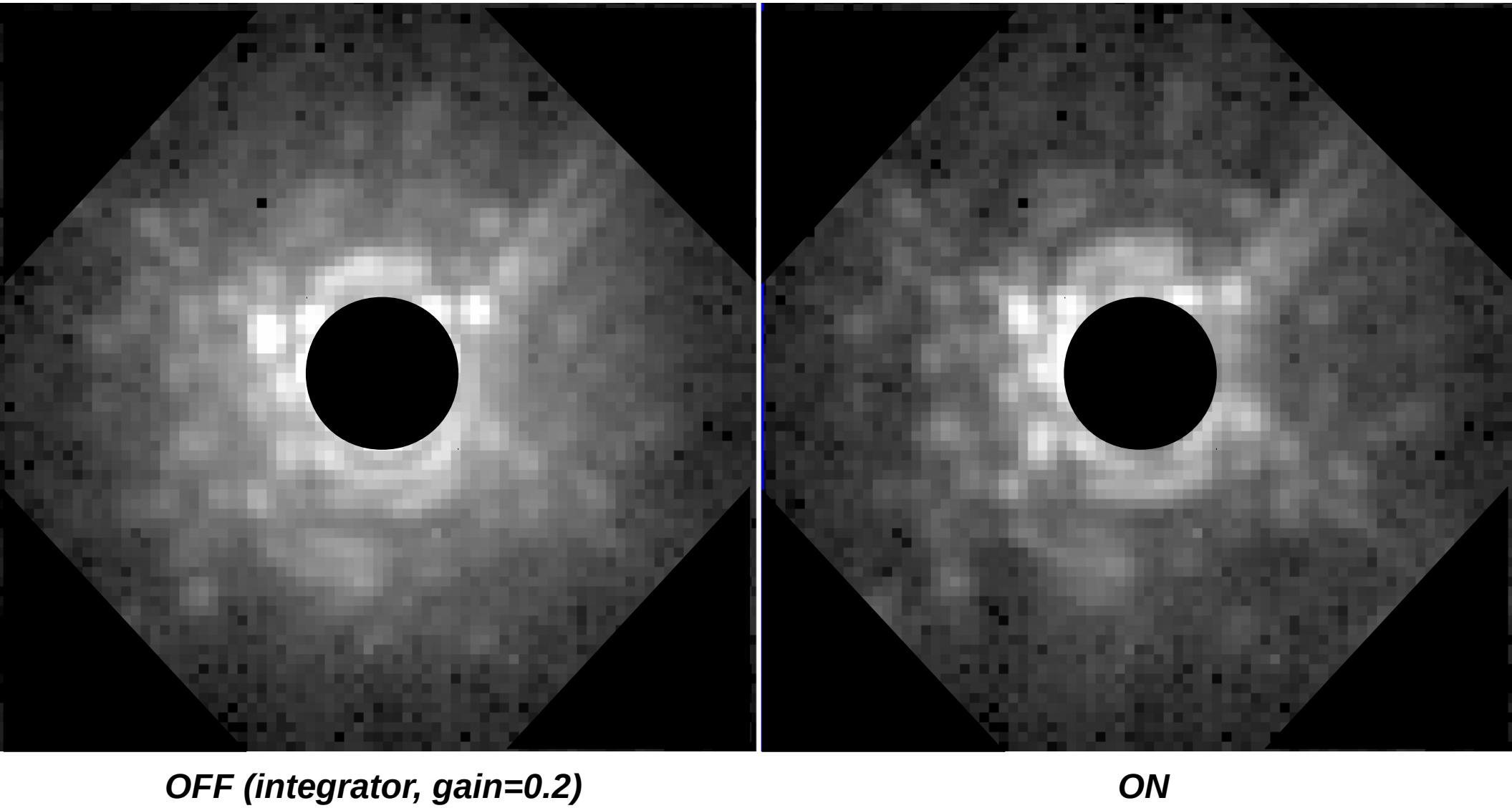
*Optimal control adds  
elements outside of  
diagonal*

*Predictive control adds  
these blocks to control  
matrix*



# First on-sky results (2 kHz, 50 sec update)

→ 2.5x raw contrast improvement



Average of 54 consecutives 0.5s images (26 sec exposure), 3 mn apart  
Same star, same exposure time, same intensity scale

## **Planning future instruments**

**From 10m-class telescopes to 30m-class telescopes**

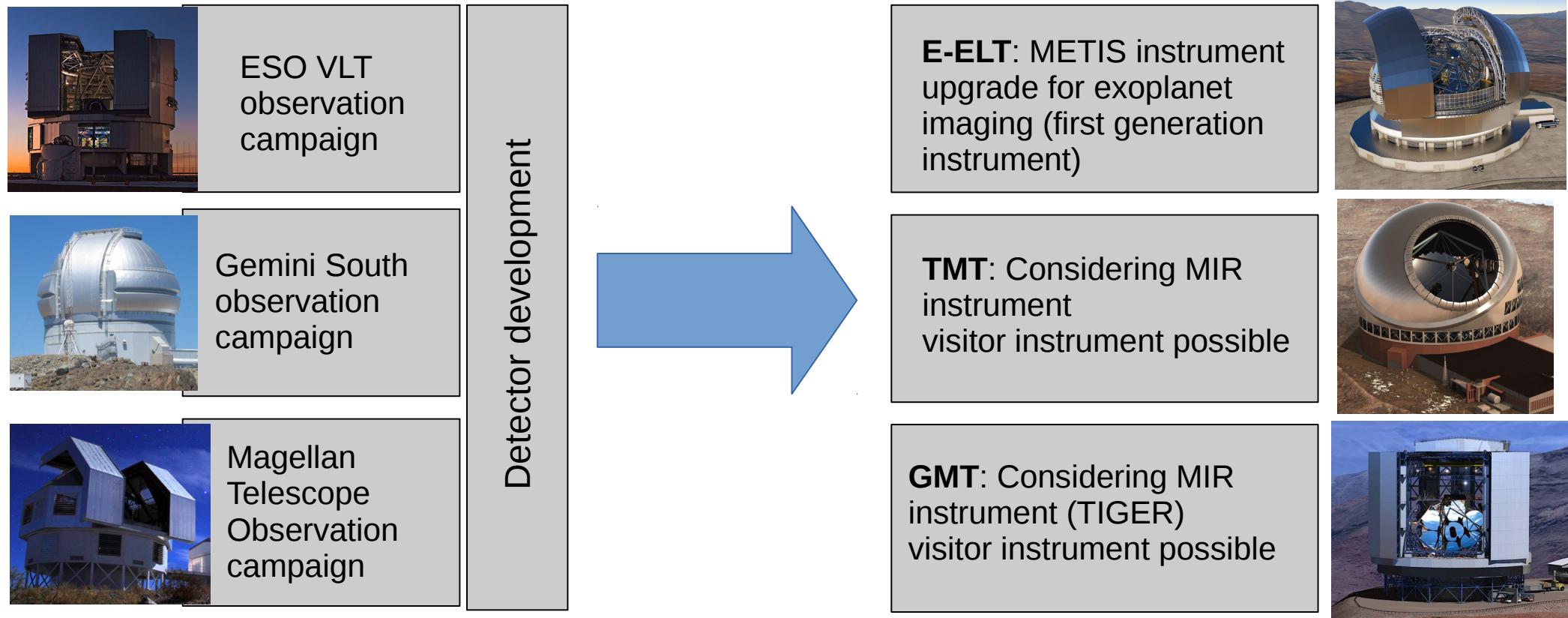
**ELT, TMT and GMT plans**

## 10um Ground Based Imaging

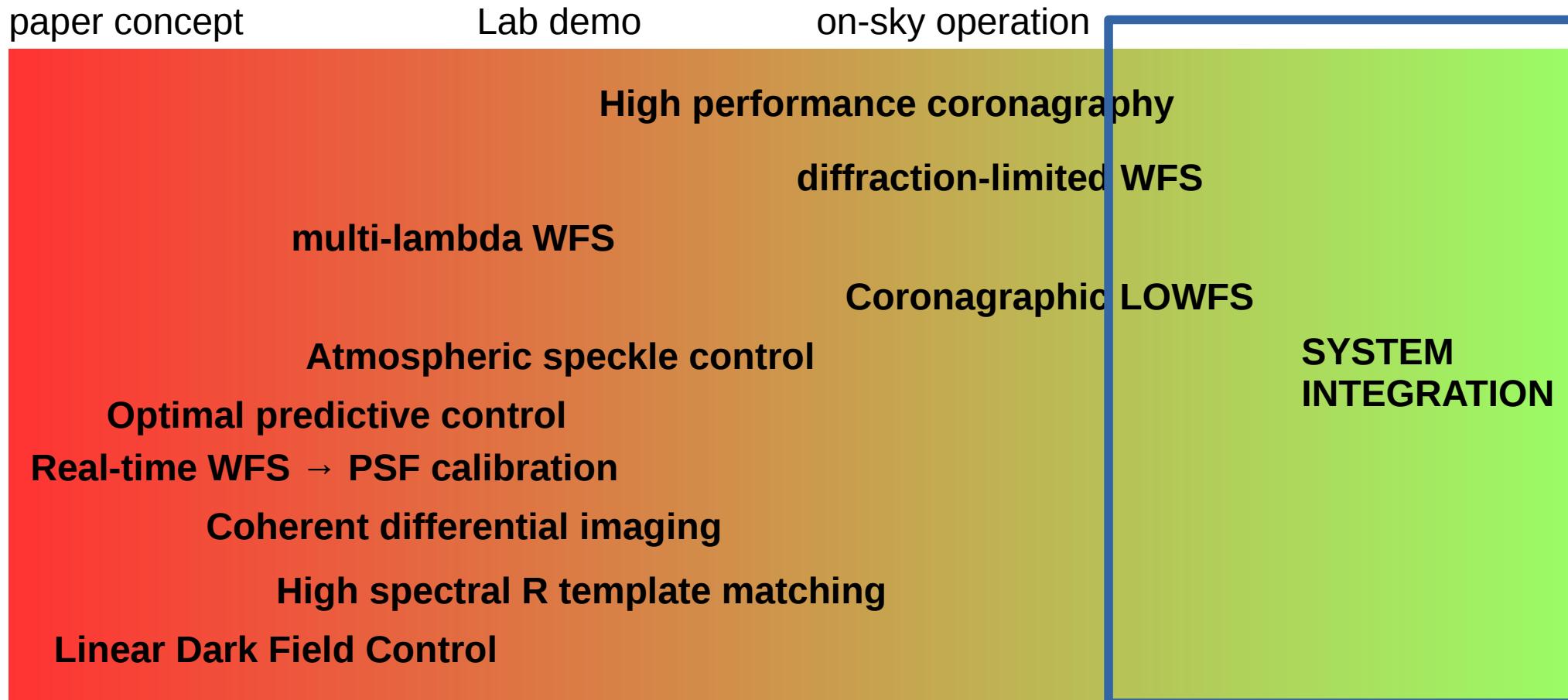
**Phase 1 (Alpha Cen, VLT/Gemini/Magellan) effort will enable Phase 2 (ELTs) imaging and characterization of habitable planets around a dozen nearby stars**

Thermal IR imaging/spectroscopy detects habitable exoplanets, measures radius and temperature + some chemical species (CO<sub>2</sub>, H<sub>2</sub>O, O<sub>3</sub>)

Overlap with space missions targets (reflected visible light) → Direct measurement of greenhouse effect and detailed characterization of atmospheres.

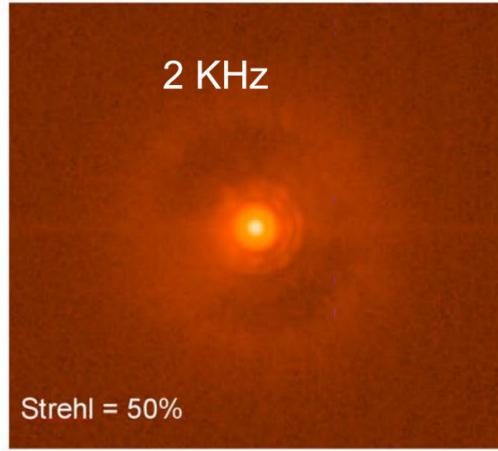
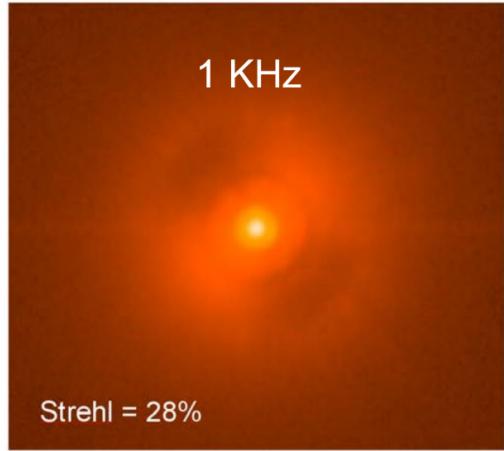


# Key technologies need rapid maturation from paper concepts to system integration

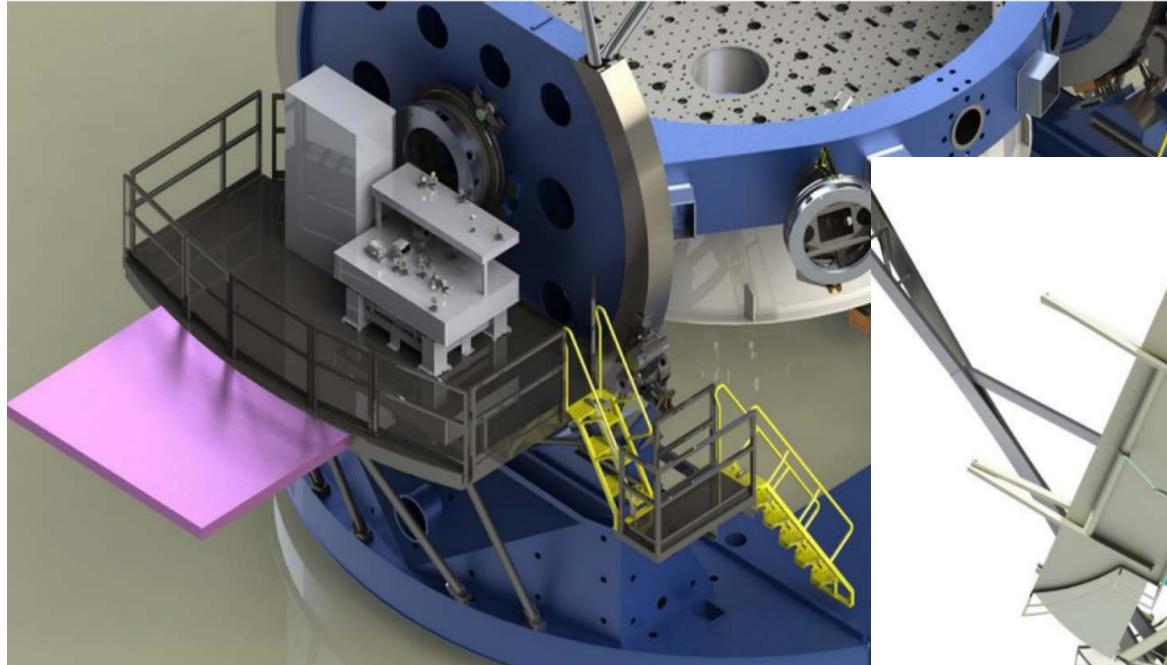


# MagAO → MagAO-2k → MagAO-X → GMTAO-X

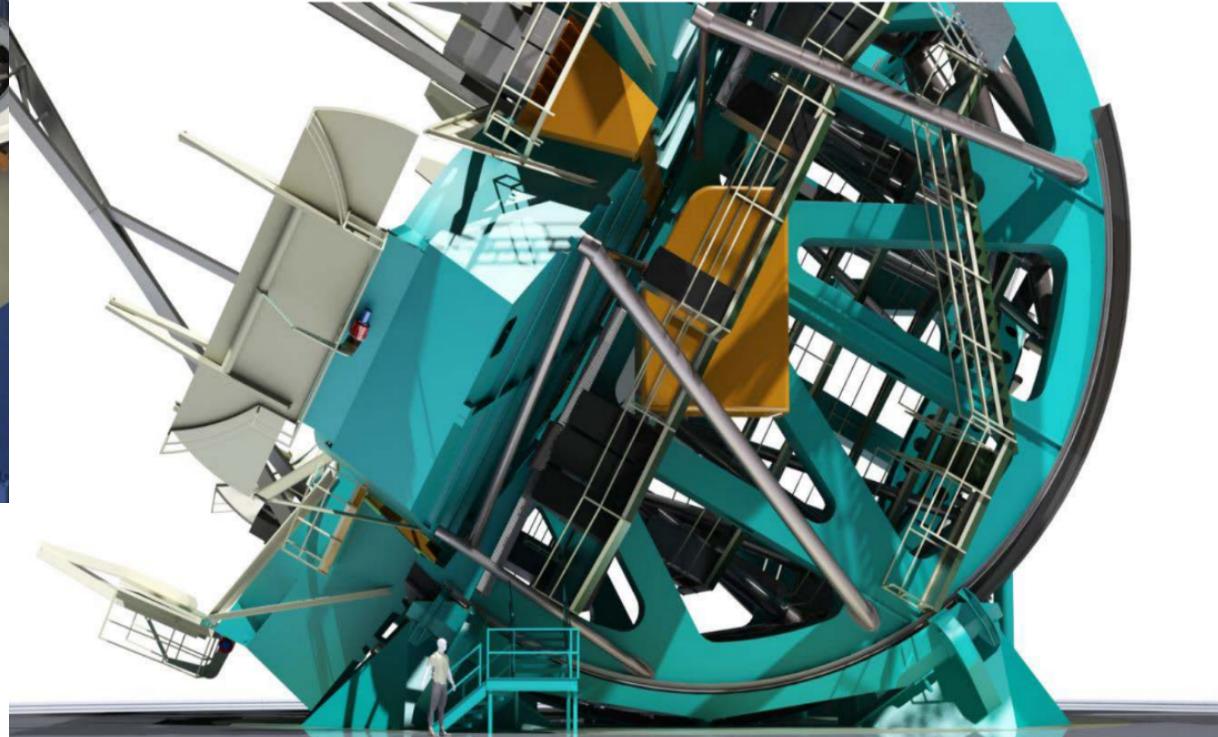
(Males, Close, Guyon et al.)



MagAO → MagAO-2k upgrade  
High-perf AO in optical (0.9um)

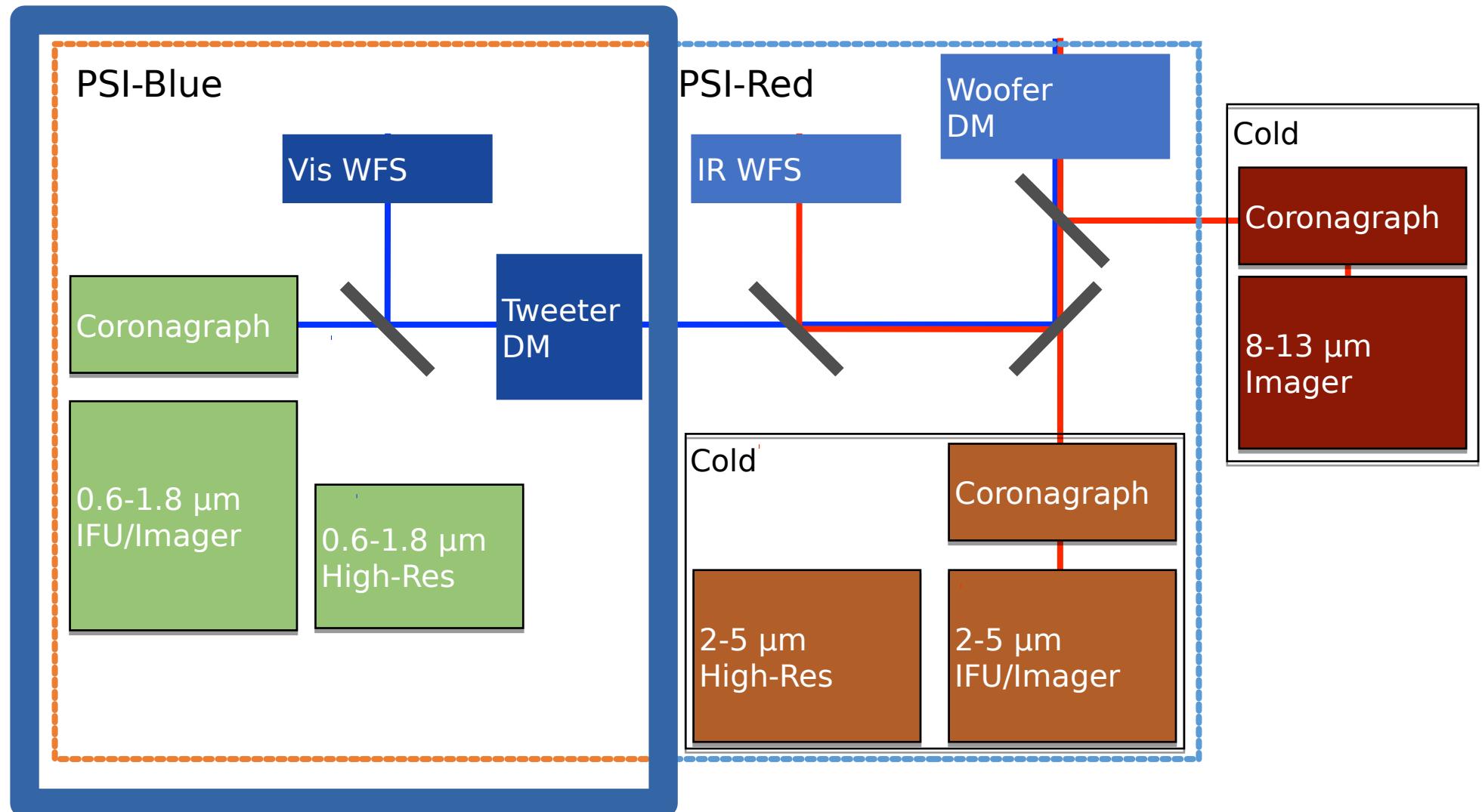


MagAO-X  
Extreme-AO @ Magellan  
Under construction, NSF-funded  
(Males et al.)



GMTAO-X concept

# TMT PST concept



Flexible system / ongoing development

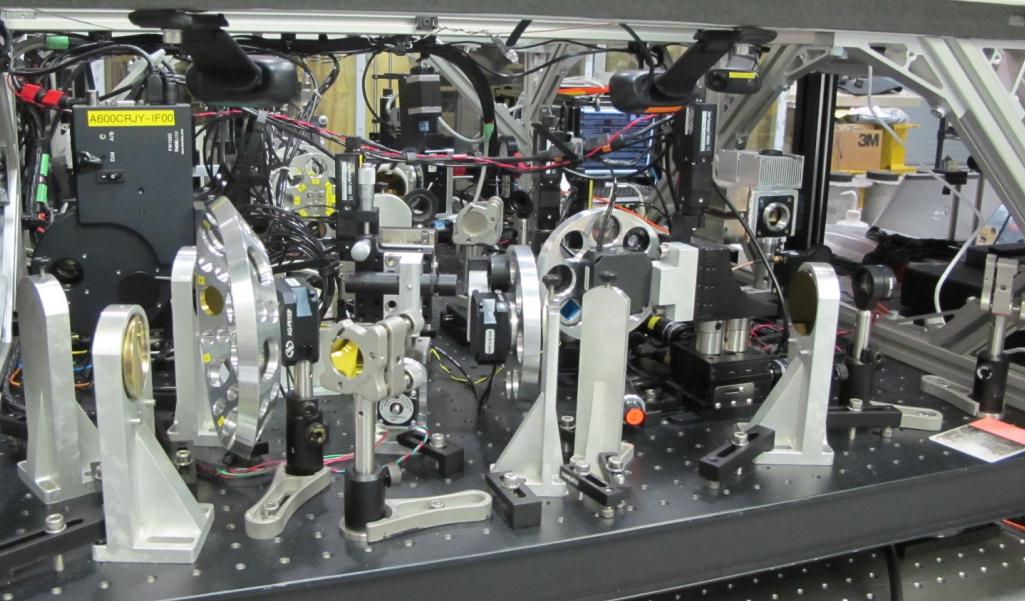
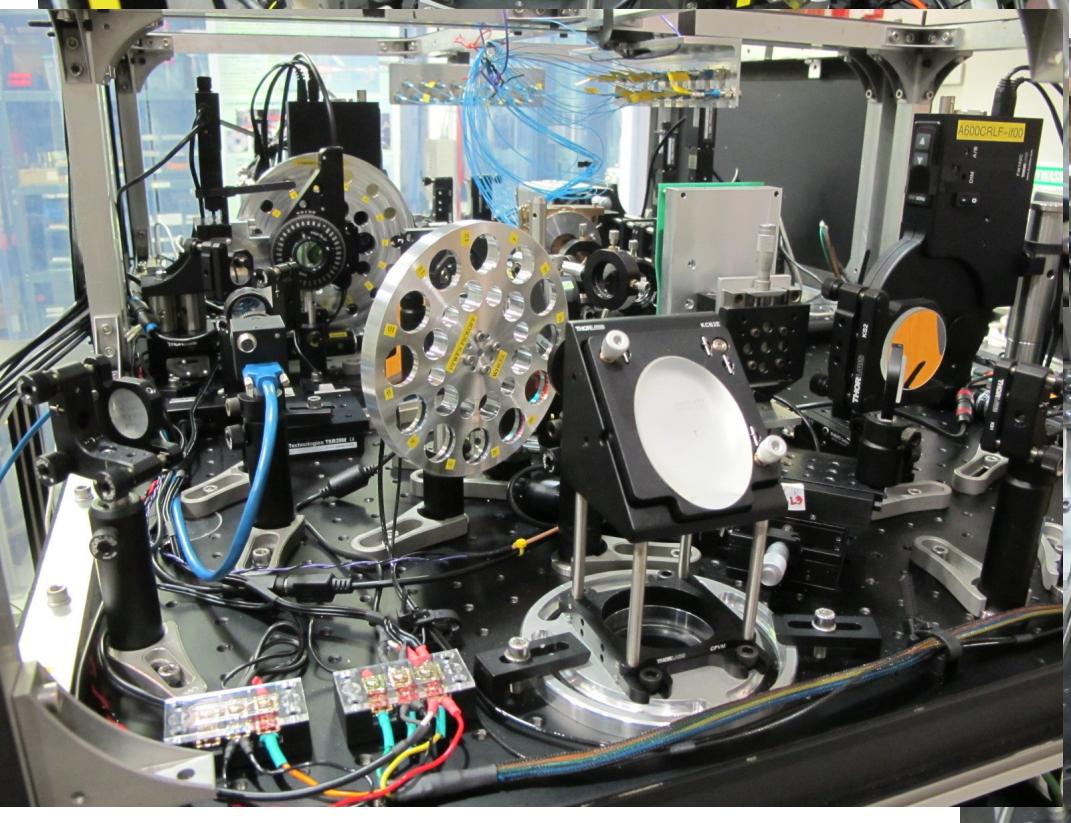
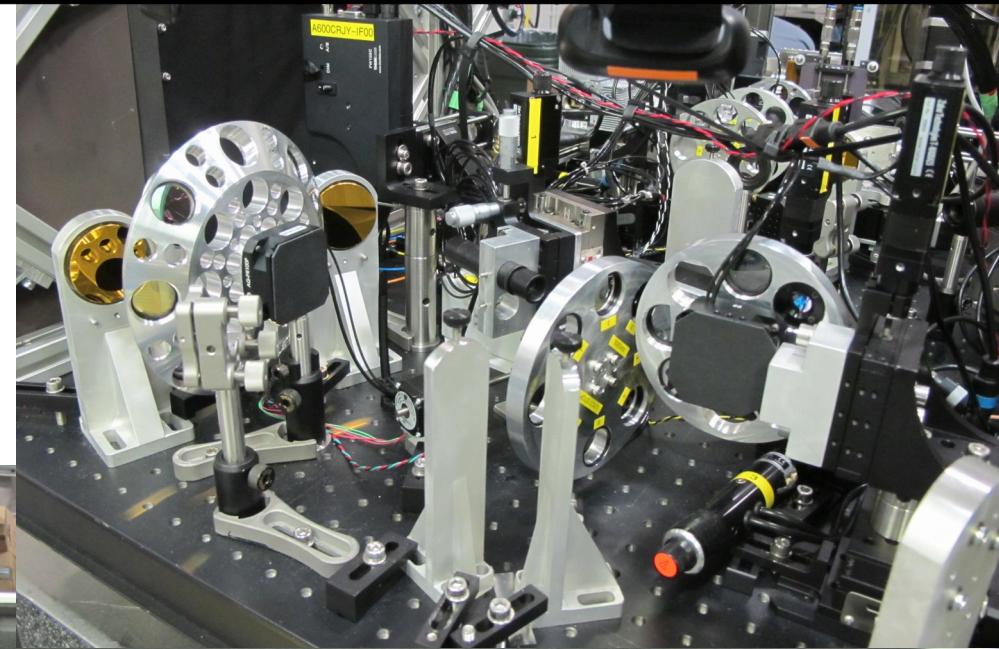
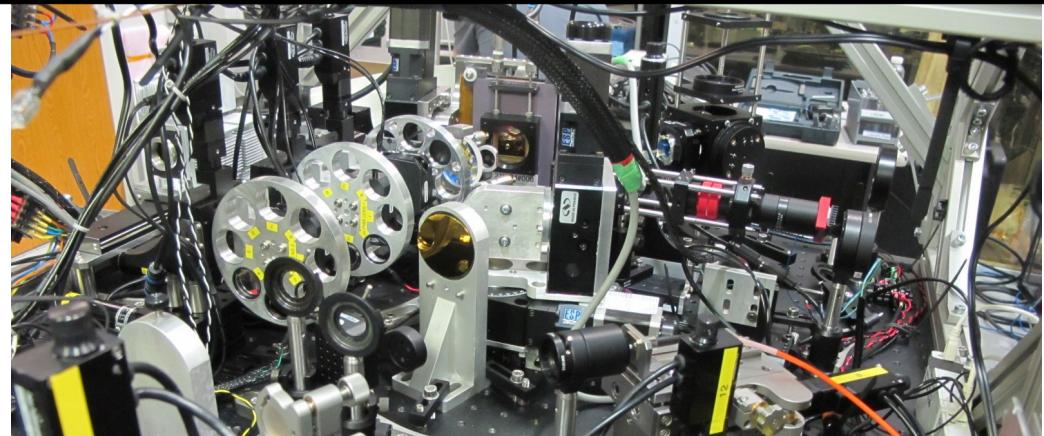


# Subaru Coronagraphic Extreme Adaptive Optics





# Subaru Coronagraphic Extreme Adaptive Optics



## Conclusions, thoughts...

High contrast imaging instrument on GSMTs using current technology would be scientifically extremely rewarding → **should be deployed ASAP after first light**

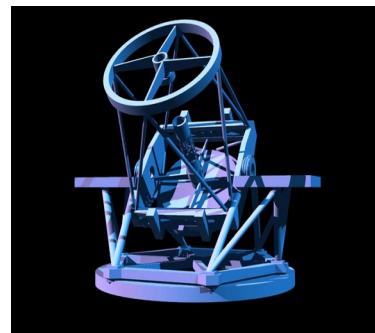
**Opportunity to detect biomarkers on nearest hab exoplanets in 10yr**  
**Fundamental advantages: large aperture, near-IR access**

Search for biomarkers on habitable planets around M-type stars is within reach of GSMTs, but still requires technology maturation at intermediate TRL  
→ currently difficult to estimate with certainty science yield of GSMTs for hab planet characterization, but easiest targets (Prox Cen b) appear very promising

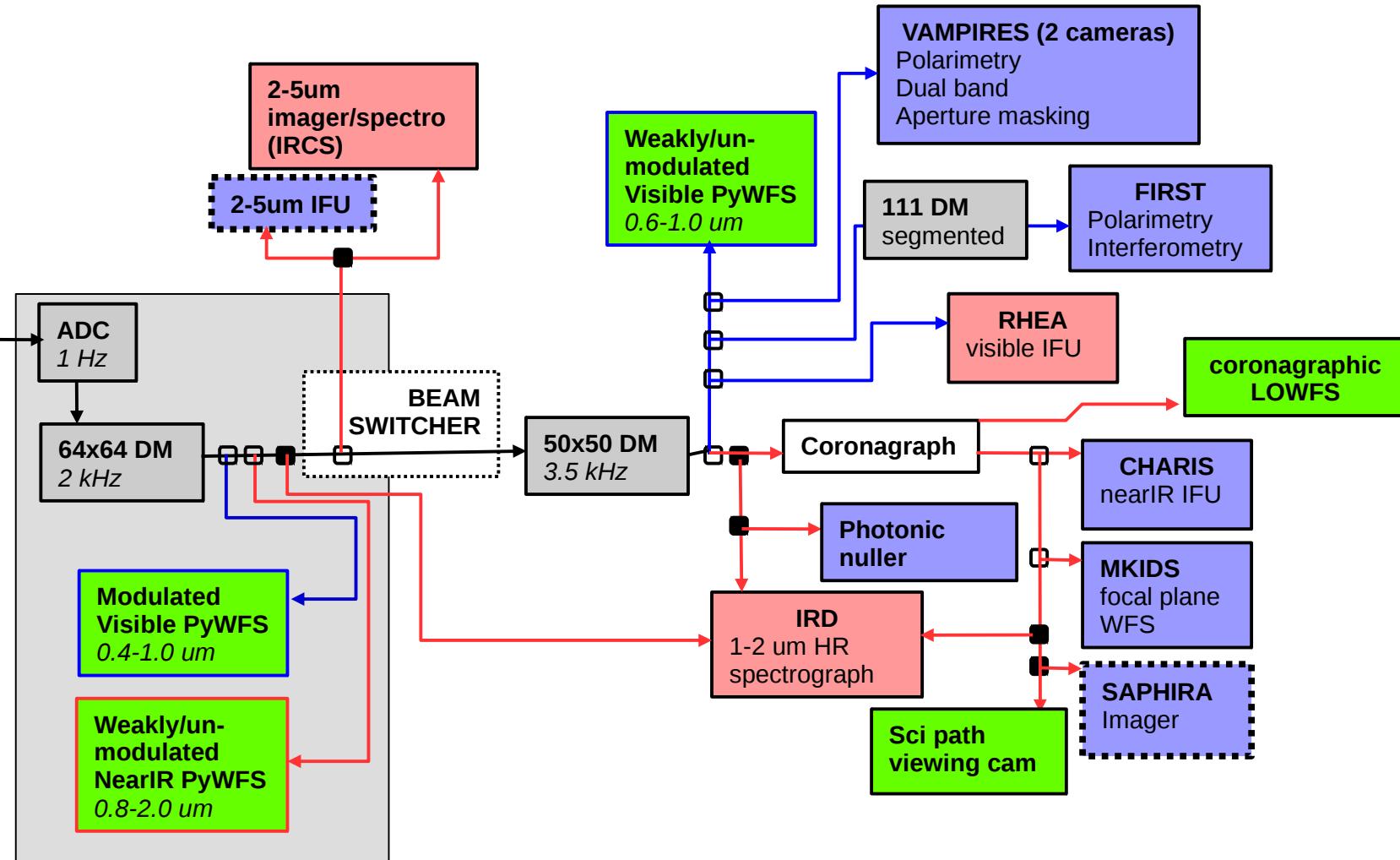
We should aim for HCI instrument deployment in ~10yr timescale  
→ need to start design NOW  
→ must welcome new technologies to allow challenging science (most tech dev has small impact on instrument design: algorithms, detectors, coronagraph masks)

Must create / use ecosystem of lab+on-sky precursors to mature technologies, and develop/test hardware and **better integrate technology development for space and ground systems**

# SCExAO Light path



Facility AO



Active WF correction  
Dedicated science instrument  
Mixed science/WFS

Dedicated WFS  
Visitor port  
□ *dichroic*  
■ *beam switch*

**11 wavefront sensors**